

1 **Transtendinous Repair of Partial Articular Sided Supraspinatus Tears is Associated**
2 **With Higher Rates of Stiffness and Significantly Inferior Early Functional Scores Than**
3 **Tear Completion and Repair: A Systematic Review**

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26 **Abstract**

27

28 **Introduction**

29 Transtendon repair (TTR) and tear completion and repair (TCR) are common repair
30 techniques for partial thickness rotator cuff tears (PTRCTs). Previous systematic reviews
31 have not demonstrated any advantage of either but have not specifically addressed early
32 recovery.

33 **Aim**

34 To compare the outcomes of these two techniques in treating PTRCTs with respect to post-
35 operative stiffness, delay in functional recovery and re-tear rates.

36

37 **Material and Methods**

38 A systematic review of the Medline and EMBASE database was performed in accordance
39 with the PRISMA guidelines. Both cases series and comparative studies reporting functional
40 outcomes, post-operative stiffness or re-tear rate after either TTR or TCR for PTRCTs were
41 included.

42

43 **Results**

44 The search strategy identified 21 studies (n=797); 4 comparative studies (n=214), 15 TTR
45 (n=511) and 2 TCR case series (n=72). All four comparative studies included were
46 randomised controlled trials. One RCT reported early outcomes and demonstrated
47 significantly slower recovery in the TTR group at 3 months (ASES p=0.037, Constant score
48 p=0.019 and pain p=0.001). Similarly, data from the case series suggested that the rate of
49 post-operative stiffness was higher in the TTR group. All comparative studies demonstrated

50 no significant difference at final follow up in terms of pain, range of motion or functional
51 score.

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53 **Discussion**

54 The results of this systematic review suggest that transtendinous repairs are associated with
55 more pain and worse function during the first 3 months. This suggests that tear completion
56 and repair should be the preferred option as comparative studies do not demonstrate any long
57 term advantage of transtendinous repair.

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59 **Type of study:** Systematic review

60 **Level of Proof:** Level II evidence

61

62 **Keywords**

63 Rotator cuff tear

64 Tear completion

65 Transtendinous repair

66 Partial rotator cuff tear

67 Stiffness

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73 **Introduction**

74 Partial thickness rotator cuff tears (PTRCTs) were first described by Codman [1] and later
75 classified by Ellman according to the depth and location of the tear. [2] PTRCTs may occur
76 on the articular side, within the tendon, or on the bursal side, with articular-sided tears being
77 2–3 times more common than bursal-sided tears [3, 4]. Possible pathogenesis of tears
78 includes intrinsic degeneration, extrinsic impingement and trauma [5]. Partial tears are shown
79 to have a variable rate of progression with 28-40% eventually becoming full thickness tears
80 [6-8].

81 While many patients with cuff tears that involve under 50% of the tendon improve clinically
82 with non-operative treatment modalities, surgical repair may be indicated if tears exceed 50%
83 or in those who have failed non-operative treatment [9, 10]. Weber et al. reported that
84 arthroscopic debridement and acromioplasty alone was associated with a higher reoperation
85 rate than observed in those that underwent repair when the tear extended to over 50% [10].
86 Similarly, Ellman reported a high (25%) reoperation rate in patients treated with only
87 debridement and acromioplasty [2]. This has led to a trend in repairing lesions that extend to
88 more than 50% of the tendon thickness [2, 10-12]. Two common treatments are the
89 transtendon repair technique and formal repair after completion of PTRCTs.

90 The theoretical advantages of transtendinous repair are maintenance of the intact part of the
91 tendon and improved biomechanical properties (less gapping and higher mean ultimate
92 failure strength) [13-16]. However, there is concern that the tendon can become
93 over tensioned [15, 16], as repair of the articular side may cause bunching of the bursal layer
94 of the cuff resulting in unbalanced tendon tension and residual discomfort. [17] The
95 alternative technique is to convert the PTRCT to a full thickness tear before repair and this
96 has the potential advantages of better access to the tendon footprint for preparation of the
97 bony bed and removal of degenerative tissue [10, 18]. However, the procedure involves

98 removal of structurally sound bursal sided tendon and may potentially lead to a higher re-tear
99 rate [19]. Although previous reviews and meta-analysis have demonstrated that both
100 techniques can provide similar improvement in shoulder function [20, 21], the risk of post-
101 operative stiffness and delay in functional recovery have not been thoroughly evaluated. The
102 aim of this study was to compare the two surgical techniques for treating articular-sided
103 PTRCTs, with respect to the association with these adverse early outcomes and also an
104 evaluation of the re-tear rate at long term follow-up.

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108 **Methods**

109 A systematic review of the literature was conducted in accordance with the PRISMA guidelines
110 [22] using the online databases Medline and EMBASE. The review was registered on the
111 PROSPERO database on 25th March 2017 (Reference CRD42017060207). The searches were
112 performed independently by two authors on the 18th of March 2017 and repeated on the 25th
113 of April 2017 to ensure accuracy. The Medline search strategy is illustrated in Table 1.

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115 Only studies that were published in English were included. Both cases series and comparative
116 studies reporting outcomes after either transtendinous repair (TTR) or tear completion and
117 repair (TCR) of PTRCTs were included. Studies reporting outcomes of patients with partial
118 subscapularis or infraspinatus tears were excluded. Only arthroscopic repairs were included
119 but any surgical technique was acceptable. The study must have reported the American
120 Shoulder & Elbow Shoulder Surgeons Evaluation Form (ASES) or the Constant Score, and/or
121 the incidence of post-operative stiffness and/or re-tear rate. In addition, only primary research

122 was considered for review with any abstracts, comments, review articles and technique articles
123 excluded.

124
125 Data from comparative studies and case series were presented together as a narrative synthesis
126 of each individual outcome measure. The studies were appraised independently by two authors
127 using the tool developed by the Grading of Recommendations, Assessment, Development
128 and Evaluations (GRADE) Working Group [23]. In addition, the robustness of study
129 methodology was appraised using the Methodological index for non-randomized studies
130 (MINORS) [24].

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134 **Results**

135 The search strategy identified 21 studies eligible for inclusion; 4 comparative studies [19,25-
136 27], 15 TTR case series [14, 17, 18, 28-39] and, 2 TCR case series. [40, 41]. A flow chart of
137 the search strategy is shown in Figure 1. The total number of participants in all studies was
138 797. 214 participants were included in the comparative studies with sample sizes ranging
139 from 32 to 74 [19, 25-27]. The TTR case series included 511 patients and the TCR case series
140 72. Concise details of the included studies are given in Table 2 to 5.

141

142 **Functional Outcomes**

143 Three comparative studies reported functional scores; the Constant score in all three and the
144 ASES in two studies. All demonstrated statistically significant improvement in functional
145 outcomes with both surgical techniques as demonstrated in Table 2. However, there was no
146 difference between the groups at final follow up [19, 25, 26]. Only one comparative study

147 reported functional outcomes in the early post-operative period, demonstrating a significantly
148 slower recovery in the TTR group at 3 months [19]. After 3 months the ASES had improved
149 significantly more in the TCR group (49.2 to 64.6) compared to the TTR group (50.8 to
150 54.9), ($p=0.037$). Similarly the Constant Score ($p=0.019$) had significantly improved more in
151 the TCR group (59.0 to 70.8) compared to the TTR group (54.8 to 57.9). Early recovery was
152 not reported in the other three comparative studies. The evidence reviewed relating to
153 functional outcomes was of moderate quality (see Table 6).

154 In the TTR case series a variety of functional outcome measures were used with the most
155 common being the ASES in 6 studies and the Constant score in 3 studies. All case series
156 reviewed reported improvement in functional outcomes after TTR as shown in Table 3. The
157 ASES was reported in both TCR case series which demonstrated statistically significant
158 improvement as demonstrated in Table 4. However, the studies lacked information on early
159 functional recovery with outcomes reported at final follow up only; mean range of follow up
160 was 12 to 62 months in the TTR case series and 24 to 38 months in the TCR case series.

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162

163 **Pain**

164 Two comparative studies reported improvements in pain using the VAS score (see Table 2);
165 Shin et al. demonstrated a rise of 4.1 in the TTR group and 4.2 in the TCR group whilst
166 Castagna et al. a rise of 3.4 and 3.6 respectively [19, 25]. Only Shin et al. reported early pain
167 relief where pre-operative pain had worsened in the TTR group from 5.5 to 5.9 and reduced
168 from 5.3 to 2.8 in the TCR group ($p=0.001$) [19]. However, these authors report that from six
169 months onwards there was no statistical difference between the groups [19]. Nine TTR and
170 one TCR case series reported pain with improvements ranging from 3.8 to 6.7 after TTR and
171 being 5.7 after TCR [17, 18, 28, 31-33, 36-38, 40] (see Tables 3 and 4).

172 **Re-tear**

173 The re-tear rate was reported in three comparative studies and four TTR case series, these
174 results are demonstrated in Table 5. In the comparative studies, the re-tear rate ranged from
175 0% to 5.9% in the TTR group and from 0% to 8.3% in TCR group [19, 26, 27]. None of the
176 comparative studies demonstrated any statistically significant difference between the groups
177 at final follow up (mean range 19 to 38 months) [19, 26, 27]. The incidence of re-tear in TTR
178 case series ranged from 0 to 12% but this outcome was not reported in the TCR case series.
179 The use of the GRADE tool highlights that this should be considered very low quality
180 evidence (see Table 6).

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182

183 **Post-operative stiffness**

184 Two comparative studies reported the incidence of post-operative stiffness. Franceschi et al.
185 reported a rate of 9.3% in the TTR group and 10.7% in the TCR group during the first six
186 months following surgery suggesting. All cases in the TTR group resolved but two thirds of
187 cases in the TCR group required arthroscopic capsular release [26]. Shin et al. reported a
188 slightly higher rate of post-operative stiffness after TTR 12.5% versus 8.3% after TCR [19].
189 The GRADE tool suggested that the evidence reviewed on this topic was deemed to be of
190 very low quality (see Table 6).

191 Five studies reported the rate of post-operative stiffness in the TTR case series (n=244) with
192 the rate ranging from 0 to 18%. Vinanti et al. performed the largest TTR case series and
193 suggest that 18% of their patients had stiffness at 3 months although all had improved by 6
194 months (n=100) [37]. Both TCR case series reported the incidence of post-operative stiffness
195 ranging from 0 to 2.8%.

196

197 **Discussion**

198 The results of this review demonstrate that early functional recovery and pain relief after
199 TCR is superior when compared to TTR (ASES $p=0.037$, Constant Score $p=0.019$ and pain
200 $p=0.001$). These findings originate from a good quality RCT conducted by Shin et al. that
201 provided level 1 evidence [19] and are further supported by case series which report a higher
202 rate of post-operative stiffness after TTR. Shin et al. [19] suggest that inferior early outcomes
203 in the TTR groups could be due to a mismatch in tension between the articular and bursal
204 layers in the initial period after repair and that completion allows for repair with correct
205 tensioning of the rotator cuff [19]. This has led to some authors describing surgical
206 techniques to minimise this discrepancy in tension but these initial reports consist of small
207 series without comparative groups [29, 33].

208 Although all three comparative studies reporting functional outcomes at final follow up
209 demonstrated significant improvements with both TTR and TCR, none demonstrated any
210 difference in outcome between the techniques at final follow up [19, 25, 26], this supports the
211 findings of a previous meta-analysis [21]. Furthermore, no significant differences in re-tear
212 rate were reported. The case series similarly demonstrated the ability of both TTR and TCR
213 to provide good pain relief and functional improvement after treatment of PTRCTs at final
214 follow up. It is therefore suggested that if there is no difference between the procedures at
215 long term follow up, that early functional recovery and the rate of post-operative stiffness
216 should be important clinical considerations. If TCR can provide significantly better early
217 functional scores and less post-operative stiffness, then it should be considered as the
218 preferred approach particularly when it has not been shown to be disadvantageous with
219 respect to re-tear rates at long term follow-up. However, only one comparative study reported
220 the early recovery of patients undergoing repair for PTRCTs and this highlights that early
221 outcomes following these procedures have thus far been neglected in the literature. This

222 review suggests that further research is required to validate the work of Shin et al that has
223 demonstrated improved early functional recovery and pain relief in the TCR group when
224 compared to TTR [19].

225 Although the risk of stiffness after TTR is a concern, its incidence was reported in only two
226 RCTs and seven case series. Shin et al. demonstrated a trend to a higher incidence after TTR
227 (12.5% versus 8.3%) [19], whereas Franceschi et al. reported similar rates of stiffness (TTR
228 9.3% versus TCR 10.7%) [26]. In addition, the case series suggest a higher rate of stiffness in
229 the TTR group (range 0 to 18% compared to 0 to 2.8% after TCR). Shin et al. suggested that
230 any potential increase in stiffness after TTR could also be a result of a mismatch in tension
231 between the articular and bursal layers in the initial period restricting motion [19]. The risk of
232 post-operative stiffness after either TTR or TCR was higher than the previously reported
233 3.3% for repair of full thickness rotator cuff tears [42]. This higher risk of stiffness after
234 repair of partial tears has previously been described, Huberty et al. retrospectively studied
235 489 patients showing that 4.9% had post-operative stiffness but those with PTRCTs had a
236 higher risk at 15% [43]. The results of this systematic review would support the view that
237 partial tendon tears are at higher risk of stiffness post-operatively regardless of the surgical
238 technique used to repair them.

239 Re-tear rate is another important outcome that was reported in three RCTs and four TTR case
240 series. The three comparative studies utilised post-operative MRI scans to identify those with
241 re-tears and but did not show consensus between studies [19, 26, 27]. Shin et al. reported
242 more re-tears in the TCR group (8.3% vs 0%) [19], Franceschi demonstrated similar re-tear
243 rates (TTR 3.1% vs TCR 3.6%) [26] and Kim et al. demonstrated a higher re-tear rate in the
244 TCR group (5.9% versus 0%) [27]. The three TTR case series reporting re-tear ranged from
245 0% to 12%, whereas values for re-tear were not available from the TCR case series.

246 Previously authors have raised concern over the risk of an increased re-tear rate after TCR

247 due to the risk of poor tendon healing after the intact rotator cuff has been completely taken
248 down [35] but the reviewed studies have not demonstrated any increased rate of re-tear in the
249 TCR group. The reported re-tear values after both TTR and TCR patients compare favourably
250 against the incidence of re-tears after repair of full thickness rotator cuff tears (17% to 46%)
251 [44, 45]. A previous meta-analysis suggests that both TCR and TTR have a relatively high
252 rate of healing and that partial thickness tears intrinsically have good healing potential when
253 compared to large full thickness tears [20]. This may explain the low rate of re-tears reported
254 after repair of partial tears reported in this review.

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257 **Limitations**

258 The main limitations of this SR were the failure of the comparative series to report early
259 functional recovery in two studies [25, 26] and rate of stiffness in another two studies [25,
260 27]. The heterogeneity between studies with respect to the population, the functional outcome
261 measures reported and reporting of early functional recovery precluded pooling of data and
262 meta-analysis. The studies failed to uniformly report additional details of the tear including
263 tendon quality, presence of delamination and the degree of retraction of the deep layer which
264 are all factors that can independently impact on patient outcomes. The availability of only
265 small samples sizes in the comparative studies risks underpowering of the studies and may
266 result in failure of these studies to demonstrate any significant difference even if present. In
267 addition, the discrepancy between the number of TTR case series (n = 15) and TCR case
268 series (n= 2) restricted comparison of the groups.

269 Table 6 illustrates the GRADE assessment of comparative studies and reported that the
270 quality of this evidence ranged from moderate to low quality. The case series provided only
271 level IV evidence and hence had significant limitations that must be taken into account when

272 interpreting the results. Tables 7 and 8 illustrate the appraisal of the studies according to the
273 MINORS criteria and demonstrated that the scores ranged from 3 to 7 against the 12 criteria.
274 This demonstrated significant weaknesses in these studies with common limitations being the
275 loss of patients to follow up, risk of outcome bias and lack of a comparative group.

276

277 Despite these limitations the review highlights that TTR is associated with a higher incidence
278 of post-operative stiffness, higher pain scores and slower functional recovery than tear
279 completion and repair. This warrants further study but also suggests that in the absence of
280 higher quality evidence, and a lack of significant difference in long term outcomes in
281 previous systematic review comparing the two techniques, that TCR should be considered for
282 surgical management of PTRCTs.

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286 **Conclusion**

287 The results of this systematic review suggest that transtendinous repairs are associated with
288 more pain and worse function during the first 3 months after surgery. This suggests that tear
289 completion and repair should be the preferred option as comparative studies do not
290 demonstrate any advantage of transtendinous repair at long term follow-up.

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294 Professor A Saithna is a consultant for Arthrex.

295 None of the authors has any additional financial, consultant, institutional and other
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441 **Figure 1: Flow diagram of review process**

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443 **Table 1: Search strategy for Medline**

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445 **Table 2 – Summary of the comparative studies included**

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447 **Table 3 – Summary of the transtendinous repair (TTR) case series**

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449 **Table 4 – Summary of the tear completion and repair (TCR) case series**

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451 **Table 5 – Summary of the re-tear rates after TTR and TCR**

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453 **Table 6: GRADE assessment of outcome measures of interest**

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455 **Table 7: Methodological items for non-randomized studies (MINORS) Scores for**

456 **transtendinous repair case series**

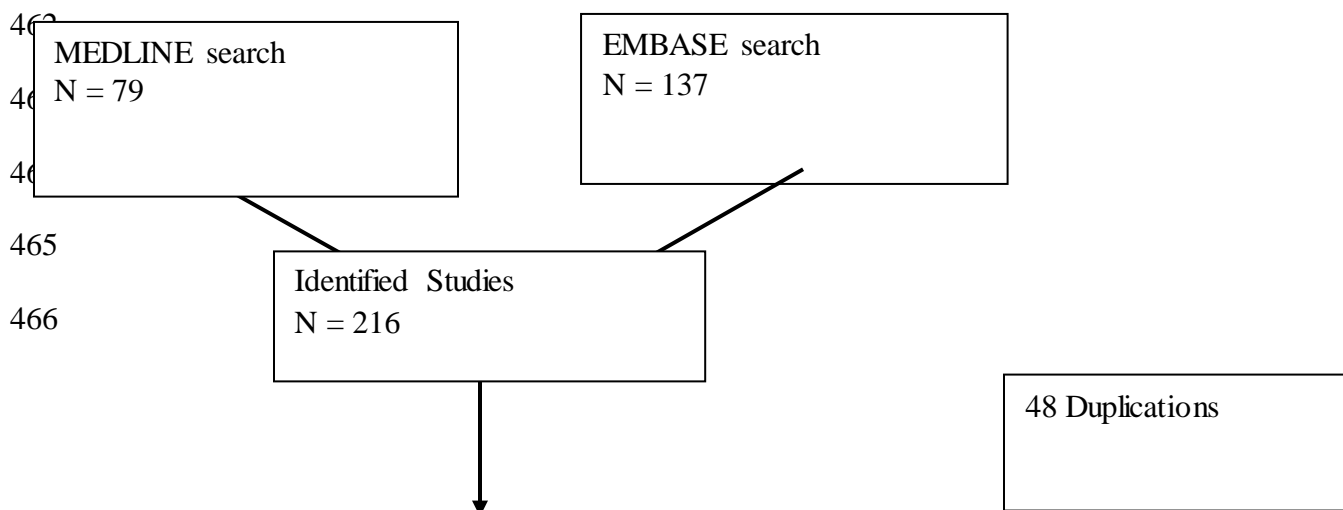
457

458 **Table 8: Methodological items for non-randomized studies (MINORS) Score for tear**

459 **completion and repair case series**

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461 **Figure 1: Flow diagram of review process**



Transtendinous Repair Versus Tear Completion and Repair for Partial Rotator Cuff Tears

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