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## The SMFA program for quantum chemistry calculations on large molecules

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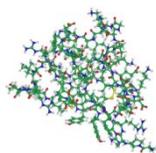
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## Abstract

SMFA is a general program package for performing quantum chemistry calculations on large molecules, using an energy-based fragmentation approach. The program can calculate electronic energies, energy gradients and second derivatives; perform geometry optimization; find first order saddle points (transition states); perform energy optimized scans along a user-defined path; and evaluate various molecular properties. The program can use any of the following quantum chemistry packages: GAMESS(US), GAUSSIAN, NWChem and Q-Chem. In addition, SMFA provides a number of utility programs that, *inter alia*, calculate vibrational frequencies and infrared spectra with isotopic substitutions, the electrostatic potential on the solvent-accessible-surface, and isodesmic and higher order near-iso-energetic reaction schemes. Calculations of the electronic energy and related properties can be carried out using a scheme that provides a computation time that is linearly dependent on the size of the molecule or, if the user has enough processing units available, in a walltime that is independent of the size of the molecule.

## Graphical/Visual Abstract and Caption



Graphics Caption: SMFA enables quantum chemistry calculations for proteins

## Introduction

The field generally known as quantum chemistry is concerned with the calculation of the electronic structure of molecules. Over many decades, many computational methods have been developed to approximate the total energy of the electrons in a molecule, and associated molecular properties. With this knowledge, one can explain known chemical processes and predict previously unknown processes. Quantum chemistry has proven to be a powerful tool in chemistry generally. However, these computational methods are difficult to apply to large molecules, as the computational time (and memory) scale up rapidly with molecular size. If "N" represents some measure of molecular size (say, the total number of basis functions used to describe the electronic wavefunction), a widely used but not very reliable method (density functional theory) requires a computation time that scales as  $O(N^3)$  -  $O(N^4)$ , while the most commonly used reliable method (a "coupled cluster" method) scales as  $O(N^7)$ . Substantial effort has been made to reduce these "scaling powers" to near linear scaling  $O(N^1)$  through the use of highly parallelized codes. However, computation times remain very high. Consequently, the most reliable methods can only be applied to small molecules (containing perhaps tens of atoms), and even the less reliable methods cannot easily be applied to molecules containing hundreds or thousands of atoms.

Over the last decade, so-called fragmentation methods have been developed which aim to avoid the high power scaling problem of traditional quantum chemistry methods.<sup>1 2</sup>

SMFA (Systematic Molecular Fragmentation by Annihilation) is a general purpose program package which aims to approximate quantum chemistry calculations of molecular energies and properties for large organic, inorganic and biological molecules. The approximation employed, systematic molecular fragmentation by annihilation,<sup>2 - 10</sup> decomposes a molecule into relative small pieces (fragments), on which quantum chemistry calculations are carried out.

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3 The number of fragments is linearly proportional to the number of chemical functional groups in  
4 the molecule. The size of the fragments is independent of the size of the whole molecule. The  
5 energy and properties of the molecule can be accurately approximated from a combination,  
6 respectively, of the energy and properties of these small fragments. Hence, if calculations on  
7 these fragments are carried out sequentially, the computation time is linearly proportional to  
8 the number of chemical functional groups in the molecule  $O(N^1)$ . However, these fragment  
9 calculations are completely independent of one another, hence if sufficient processing units are  
10 available, the "walltime" for any calculation only depends on the time required to perform a  
11 calculation on the largest fragment, which is independent of the total number of chemical  
12 functional groups  $O(N^0)$ . Thus, the SMFA approach provides a route to achieving high levels of  
13 parallelization that is independent of the level of theory used, therefore avoiding the  
14 implementation overhead of parallelizing each method separately.

## 30 THE SMFA METHOD

31  
32 SMFA is based on a completely automated procedure for decomposing a molecule into  
33 small fragments. The method has been described in detail elsewhere.<sup>6,9,10</sup> To summarise, the  
34 computer algorithm can be briefly described as follows.

- 35 (1) Bonds and functional groups are defined, consistent with normal chemical concepts. A  
36 molecule is viewed as a collection of groups connected by single bonds.  
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38 (2) Beginning with some arbitrary group (call it A):  
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40 (i) Remove group A from the molecule;  
41  
42 (ii) leave A in place, but remove all groups separated from A by more than a specified number of  
43 bonds (call it "Level" bonds); and  
44  
45 (iii) remove group A and all the groups in (ii) from the molecule.  
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47 (iv) The molecule is represented by the sum of the fragments produced in steps (i) and (ii),  
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minus the fragments produced in step (iii).

(v) Taking each fragment in (iv), repeat steps (i) to (iii), and continue with every new fragment created until there is no fragment that has groups separated by more than Level bonds.

This procedure yields a set of fragments  $\{F_n\}$  ( $N_{\text{frag}}$  in number) with integer coefficients  $\{f_n\}$ . Hydrogen atom caps are appended to the atoms with dangling bonds, with bond lengths calculated using the covalent radii of the atoms involved. In this way, the molecule,  $M$ , is represented by a sum of fragments, and the “bonded” energy,  $E_b$ , is a sum of the energies of the fragments.

$$M \rightarrow \sum_{n=1}^{N_{\text{frag}}} f_n F_n \quad (1)$$

$$E_b = \sum_{n=1}^{N_{\text{frag}}} f_n E(F_n) \quad (2)$$

Eq. (2) neglects the interactions between groups that are separated by more than Level bonds. These “nonbonded” interactions are accounted for as follows. First, a Level = 1 fragmentation is evaluated (comprising  $N_{\text{frag}}^{(1)}$  fragments), and the nonbonded interactions,  $E_{\text{nb}}$ , are given by the interactions of these Level = 1 fragments:

$$E_{\text{nb}} = \sum_{n_1=1}^{N_{\text{frag}}^{(1)}-1} \sum_{n_2=n_1+1}^{N_{\text{frag}}^{(1)}} f_{n_1}^{(1)} f_{n_2}^{(1)} E \left[ F_{n_1}^{(1)} \leftrightarrow F_{n_2}^{(1)} \right]_{\text{allowed}} \quad (3)$$

where  $E \left[ F_{n_1}^{(1)} \leftrightarrow F_{n_2}^{(1)} \right]_{\text{allowed}}$  denotes the interaction energy of Level = 1 fragments that do not include group interactions that have already been accounted for in the bonded energy of Eq. (2). These fragment–fragment interaction energies are evaluated using ab initio calculations if the fragment–fragment separation is less than some specified tolerance, denoted by  $d_{\text{tol}}$  (the nearest atom–atom distance divided by the sum of the corresponding Van der Waals radii). For

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3 longer separations, the interaction energy is evaluated using perturbation theory [based on ab  
4 initio calculations of charge distributions, static polarizability and the imaginary frequency  
5 polarizability] as the sum of an electrostatic interaction, a dispersion interaction and a  
6 contribution from induction. When formally charged groups are present in the molecule, or a  
7 polar solvent is present, the significant induction effect is accounted for by carrying out all ab  
8 initio calculations in the presence of appropriate embedded charges.<sup>9</sup>

9  
10 This is a completely automated procedure in the SMFA program. If the user selects  
11 larger and larger values of the integer parameter Level, the fragments,  $F_n$ , are larger, and the  
12 total energy [Eq. (2) plus Eq. (3) plus the perturbative contributions] can be seen to converge (to  
13 near the correct value for the ab initio method and basis set which the user has chosen).  
14 Accurate energy gradients and higher derivatives are obtained by differentiating Eqs (1) and (2).

15  
16 Similarly, since any property of a molecule can be evaluated as the derivative of the  
17 molecular Hamiltonian in the presence of an external field, equations similar to Eqs (1) and (2)  
18 have been derived for any molecular property. The method for obtaining such properties from  
19 the SMFA program is described below (and in detail in the User's Guide that accompanies the  
20 program).

21  
22 The SMFA method shares some similar features and some significant differences from  
23 other "energy-based" molecular fragmentation methods. Several of these methods have been  
24 reviewed and compared in the review article of Ref. 2. In particular, SMFA tends to produce a  
25 similarly accurate approximation to the molecular energy as other approaches but with  
26 somewhat smaller fragment sizes. This is probably due to the fact that the fragmentation  
27 method relies primarily on the bonded connectivity of a molecule, rather than a distance-based  
28 algorithm.

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30 It is important to note that SMFA (like the other approaches reviewed in Ref. 2) is

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3 essentially an *ab initio* quantum chemistry method, rather than a "QM/MM" method. There is  
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5 no distinction in SMFA between an "inner" part of the molecule that is treated by quantum  
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7 electronic structure methods and an "outer" region which is treated using molecular mechanics.  
8  
9 Hence there is no arbitrary or intuitive "user-defined" region that is the only part of the  
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11 molecule that is treated correctly using first principles methods. The whole molecule (including  
12  
13 any solvent) is treated from first principles. The essential approximation made is that "chemistry  
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15 is local": That is, the influence that some part of the molecule has on the electronic structure of  
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17 another part of the molecule decays in magnitude with the distance and bonded connectivity of  
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19 the two regions.  
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23 A major advantage of SMFA is that it can be applied systematically. The essential  
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25 approximation can be tested simply by repeating the application of SMFA with increasing values  
26  
27 of the parameter Level. If the SMFA approximation is valid, then the values of the energy or  
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29 property obtained should converge as the value of Level increases.  
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### 32 **ACCURACY of SMFA**

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35 There have been several reports on the accuracy of SMFA in various applications.<sup>4-7,9</sup>  
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37 To illustrate these results, we note that for a set of 96 neutral organic molecules containing 18  
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39 to 80 atoms, the mean absolute error in the energy (MAEE) for a range of *ab initio* methods and  
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41 basis sets was 2.1 to 4.4 kJ mol<sup>-1</sup>, for Level = 3, and  $d_{tot} = 1.1$ .<sup>5</sup> A set of larger molecules,  
42  
43 containing 45 to 180 atoms, was considered in Ref. 9: 24 peptides and sugars (with extensive  
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45 hydrogen bonding) showed an MAEE of 14.9, 5.6 and 3.9 kJ mol<sup>-1</sup>, for Levels 3, 4, and 5,  
46  
47 respectively; 14 ions and zwitterions had an MAEE of 8.2, 4.5 and 2.9 kJ mol<sup>-1</sup>, for Levels 3, 4,  
48  
49 and 5, respectively; 20 conformers of a protein containing 246 atoms (with 3 formally charged  
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51 groups) had a mean absolute error in their relative energies of 4.6 kJ mol<sup>-1</sup> at Level 3. Moreover,  
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3 for these 20 conformers, the root-mean-square error in the energy gradient falls below about  
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5 0.0003 au for *Level* = 3 and *Level* = 4, if  $d_{tol} > 2$ .  
6

7  
8 There is no established trend for how the accuracy of SMFA (or any other energy-based  
9  
10 fragmentation approach) varies as the size of the molecule increases. A qualitative argument  
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12 (see Section 5.2 in Ref. 2) suggests that the error in the energy might increase as  $O(N^{1/2})$ , where  
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14  $N$  represents the number of functional groups in the molecule. However, so far no such trend  
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16 has been observed, as the variation of the error with the chemical composition and structure of  
17  
18 the molecules tested masks any trend with molecular size. A practical difficulty in exploring this  
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20 subject is the difficulty of obtaining "exact" results for very large molecules, as such calculations  
21  
22 become impossible to carry out as the molecular size is increased. Fortunately, the systematic  
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24 character of SMFA allows some estimate of the error in the energy or property, as the user can  
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26 systematically increase the value of *Level* and observe convergence of the energy or property  
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28 value.  
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### 31 32 **QUANTUM CHEMISTRY METHODS** 33

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35 SMFA carries out the quantum chemistry calculations using one or other of the  
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37 GAMESS(US)<sup>11</sup>, GAUSSIAN<sup>12</sup>, NWChem<sup>13</sup> or Q-Chem<sup>14</sup> program packages (hereafter called the  
38  
39 quantum chemistry package). The user can select either of these available program packages.  
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41 SMFA also uses the DALTON<sup>15</sup> program package for some calculations that are not available on  
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43 one or more of the four packages above, and the DALTON package must also be available for  
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45 use. In addition to direct use of these packages to calculate the energies and properties of  
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47 molecular fragments, quantum chemistry data is also used to evaluate the interactions between  
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49 distantly separated parts of the molecule by perturbation theory.<sup>16 17</sup>  
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52  
53 SMFA is suitable for use with any single configuration ab initio quantum chemistry  
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55 method that is available in the quantum chemistry package (for example, Hartree Fock, Möller-  
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3 Plesset methods, coupled cluster methods, etc). The user can also choose any density functional  
4 theory (DFT) method available in the quantum chemistry package. At present, SMFA is not  
5  
6 suitable for use with multi-configuration methods.  
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## 9 10 **APPLICABILITY**

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13 SMFA views a molecule as a collection of chemical functional groups. A group consists of  
14 heavy atoms that are connected by multiple bonds (double, triple etc) and all associated  
15 hydrogen atoms. Hence, a molecule appears as a set of groups that are connected by *single*  
16 bonds. Individual groups are never fragmented in the SMFA process. So, for example, a single  
17 benzene ring is not fragmented in SMFA, as it is a single chemical group. Similarly a graphene  
18 molecule of any size is not fragmented in SMFA, and the program is not usefully applicable to  
19 such molecules. Otherwise, SMFA can be usefully applied to molecules which consist of many  
20 chemical functional groups that are connected by single bonds.  
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31 Most importantly, SMFA makes it possible to apply reliable quantum chemistry methods  
32 to large molecules; methods that can accurately describe chemical reaction enthalpies and  
33 activation energies. Reaction pathways can be explored to obtain a quantitative understanding  
34 of mechanisms in large molecules, including biological molecules. As illustrated in the examples  
35 below, SMFA can be usefully applied to molecules ranging in size from tens to thousands of  
36 atoms.  
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44 At the smaller end of this range, the advantage is not only a saving in computer time,  
45 but the ability to apply very reliably accurate levels of quantum chemistry methods with large  
46 basis sets to obtain very reliable information about the mechanism and energetics of chemical  
47 reactions. Results that would be difficult to obtain in any other way.  
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53 At the high end of molecular size, very reliably accurate levels of quantum chemistry  
54 methods with large basis sets can still be applied to study chemical reactions. If the user has  
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3 sufficient processing units available, the walltime for highly reliable calculations on such large  
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5 molecules is no more than that for relatively small molecules. Hence, first principle calculations  
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7 (rather than say molecular mechanics or QM/MM approaches) can be applied to biological  
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9 molecules, including an atomic level description of the solvent.  
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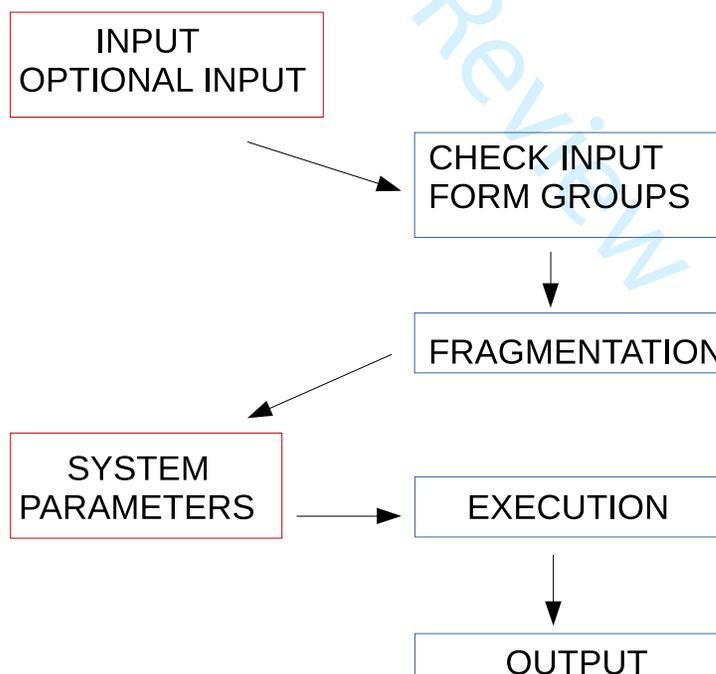
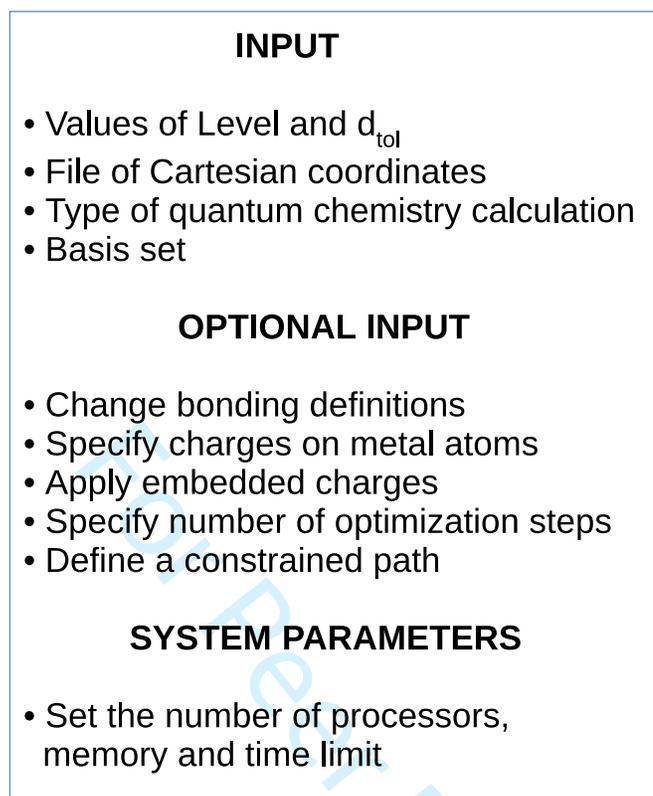
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12 Moreover, reliable levels of quantum chemistry can be applied to the estimation of  
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14 molecular properties that provide reliable information about molecular structure.  
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17 One should note that the current SMFA program produces the electronic energy and  
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19 other properties for a given molecular configuration. The SMFA program does not automatically  
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21 produce free energies that are associated with an ensemble of molecular configurations. The  
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23 user must supply a molecular configuration as input; including the structure of any solvent  
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25 molecules. SMFA can then be used to locate minimum energy configurations, transition states,  
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27 and minimum energy paths for a user-selected process.  
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## 30 OPERATION

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32 SMFA requires input from the user, as indicated at the top of Figure 1. The input is  
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34 achieved via a sequence of questions and prompts from the program to which the user responds  
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36 interactively. For most calculations, the input required from the user is very little more than  
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38 would be required to use one of the quantum chemistry packages; namely, the value of Level to  
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40 be used, and the value of the parameter ( $d_{\text{tol}}$ ) that defines the distance beyond which  
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42 perturbation theory is used to evaluate interactions between parts of the molecule. For many  
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44 calculations, the user can ignore the optional input of Figure 1. However, if the system contains  
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46 metal atoms, or polar solvent molecules, or a (possibly constrained) optimisation is involved,  
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48 then some extra input is required. The user can also change the default definitions that define  
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50 the bonding in a molecule.  
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3           The flowchart in Figure 1 indicates the process followed by SMFA. The steps on the right  
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5           are automated. After the fragmentation step, SMFA reports a recommendation for the optimum  
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7           number of processors to the output file. The program also produces a sample "input deck" for  
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9           the largest fragment which will be calculated. The user may wish to run test calculations using  
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11           this "input deck" with various levels of electronic structure theory to evaluate what calculations  
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13           are feasible and to estimate memory and time requirements. Using this information, the user  
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15           then enters the final part of the input which is the number of processors to be used, the total  
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17           memory and disk space to be used and the time limit.  
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3 Figure 1. SMFA requires input from the user, as indicated above. Input is achieved via a  
4 sequence of questions and responses. The flow chart indicates the stages at which user input is  
5 required (on the left), while the stages on the right are automated.  
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## 10 11 12 **STRUCTURAL OPTIMIZATION**

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14 SMFA provides three types of molecular structure optimization: energy minimisation,  
15 first-order saddle point (transition state) determination, and energy minimization along a user-  
16 defined path. The path is defined by constraints on any combination of bond lengths, bond  
17 angles and dihedral angles. Each type of optimization evaluates the hessian at the initial  
18 geometry and thereafter uses energy gradients in conjunction with an updated approximate  
19 hessian. The code is based on established methods in the literature.<sup>18-20</sup>  
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## 28 **PROPERTIES**

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30 The program directly provides calculation of the molecular vibrational frequencies,  
31 dipole polarizability, and hyperpolarizability. However, any molecular property that is available  
32 in the quantum chemistry package can be evaluated by SMFA with the addition of a user-  
33 defined script. The User's Guide provides the information required for the composition of a  
34 simple script to extract any desired property.  
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42 In addition, SMFA can calculate the electrostatic potential (ESP) of a molecule on the  
43 solvent accessible surface. The format of the calculated data is designed to interface with  
44 graphics programs (for example, VMD<sup>21</sup>). This type of graphic can be useful in obtaining a  
45 qualitative understanding of how a small charged molecule might interact with a protein, for  
46 example.  
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53 SMFA provides harmonic vibrational frequencies (and zero point vibrational energy),  
54 infrared intensities and simulated infrared spectrum (with a user-defined line width). Moreover,  
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SMFA provides frequencies, infrared intensities and simulated infrared spectrum with isotopic substitutions. The user can choose to substitute particular nuclei or all nuclei of a particular element.

Finally, SMFA provides a simple facility to measure atom-atom distances, angles and dihedral angles for a given molecular structure.

### ISO-ENERGETIC REACTIONS

SMFA provides a utility program that can be used to compose isoenergetic reaction schemes, without actually having to perform any quantum chemistry calculations. Such reaction schemes can be useful in a number of ways.

An isodesmic chemical reaction<sup>22</sup> is one in which the number and type of chemical bonds is the same for both reactants and products. For example, reactants and products have the same number of C-C, C-H, C=O bonds, etc. Since, the heat of formation of molecules is mostly determined by the number and type of bonds, the heat of reaction is near zero for an isodesmic reaction. This fact allows one to estimate the heat of formation of one species in the reaction, if the heat of formation of all other species is known. A homodesmotic reaction<sup>23</sup> is similar, except that in addition to the same number and types of bonds in both reactants and products, the neighbouring substituents of those bonds are the same. For example, not only is the number of C-C bonds the same, but the number of C-C-X bonds (with a given substituent X) are the same for reactants and products (for all X). Given an even closer correspondence in bonding for reactants and products, a homodesmotic reaction has a heat of reaction which is even more likely to be near zero.

As it turns out, SMFA naturally produces instances of such reactions when it fragments a molecule. The simplest application of SMFA, that is using Level = 1, produces a set of molecular fragments which, with a simple rearrangement, represents an isodesmic reaction involving the

1  
2  
3 original molecule. Using Level = 2, produces a homodesmotic reaction involving the original  
4 molecule. Higher values of Level lead to even more reliably iso-energetic reactions. The SMFA  
5 program outputs a description of such reactions, in files that give the Cartesian coordinates of  
6 the reactants and products, the coefficients of these molecules in the reaction, the InChI (IUPAC  
7 International Chemical Identifier) for each species, and a graphics file that can be used to draw  
8 simple 2-dimensional structures of the species.  
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Once such files have been created for two such near-iso-energetic reactions, SMFA provides the means to subtract one reaction from another to generate an additional near-iso-energetic reaction scheme; and hence with repetition ad infinitum.

## **SOLVENT**

SMFA does not allow the use of implicit solvation via continuum models. However, explicit solvent molecules may be included in the structure, at little additional computational cost if the solvent molecules are small. For example, a structure that contains a large organic or biological molecule solvated in water will be fragmented into small structures that will include parts of the solute, water molecules interacting with parts of the solute, and perhaps many structures that contain only groups of water molecules. These latter fragments are unlikely to be the largest fragments in the set. Hence, using many processors, such solvent fragments are unlikely to contribute to the apparent computation walltime.

## **EXAMPLES**

There are several papers in the literature which demonstrate the accuracy of SMFA for the calculation of the energy and other properties for moderately large molecules.<sup>2, 4-10</sup> Some details were included in the section on accuracy above. Hence, herein two examples are presented that rather serve to demonstrate the computational efficiency of SMFA for both moderate sized and large molecules.

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3 Table 1 presents computation times for two small proteins, containing 224 and 1031  
4 atoms, respectively. In the Protein Data Bank (PDB)<sup>24</sup>, these molecules have identifiers 1xv4 and  
5  
6  
7 2n37, respectively. Although these are very small proteins in biological terms, they would be  
8  
9 considered quite large molecules for the application of quantum chemistry. In column 1 of this  
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12 table, we compare computation times for the vibrational frequencies of molecule 1xv4, via a  
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15 direct calculation for the whole molecule versus calculation with SMFA (for a value of Level of 3  
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17 and  $d_{\text{tol}} = 1.1$ ). Both calculations were carried out for a geometry optimised at B3LYP/6-31G(d), a  
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19 common quantum chemistry method for larger molecules. Comparing the frequencies  
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22 calculated for the whole molecule with those calculated with SMFA shows a mean absolute  
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25 difference of just  $1.2 \text{ cm}^{-1}$ . The whole molecule calculation was carried out using the most  
26  
27 efficient means available: In parallel on a single node containing 16 cpus. The SMFA program  
28  
29 indicated that 32 cpus should be used for maximum efficiency and minimum walltime, so the  
30  
31 SMFA calculations were carried out on 32 cpus in two nodes. The table shows that SMFA  
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33  
34 reduces the total cpu time by a factor of about 8.7, and reduces the walltime by a factor of  
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36 about 15.5. The power of SMFA is demonstrated by the data in column 2. The molecule 2n37 is  
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38 sufficiently large that calculation of the frequencies at the B3LYP/6-31G(d) level of quantum  
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40 chemistry is beyond the computational resources available to us. In fact, it was not even  
41  
42 possible to converge a calculation of the energy of this molecule at B3LYP/6-31G(d). In contrast,  
43  
44 SMFA calculates the frequencies without difficulty. The SMFA program indicated that 160 cpus  
45  
46 should be used for maximum efficiency and minimum walltime. Comparing 1xv4 with 2n37: The  
47  
48 number of basis functions increases by a factor of 4.73; the total cpu time increases by a factor  
49  
50 of 5.0; the walltime increases by only a factor of 1.2. Since the structure of these two proteins  
51  
52 are of course quite different, one cannot expect exact polynomial scaling in cpu times when  
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54 comparing these two molecules. However, Table 1 strongly indicates that the total cpu time  
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scales only linearly with the size of the molecule, and that the walltime is independent of the size of the molecule, if sufficient processors are available, up to the number of processors recommended by SMFA.

Table 1. Selected data for the calculation of vibrational frequencies with the B3LYP/6-31G(d) method, for two small proteins, with PDB identifiers 1xv4 and 2n37. "Molecule" denotes calculations carried out on the whole molecule, while "SMFA" denotes calculations carried out using SMFA with Level = 3 and  $d_{\text{tol}} = 1.1$ . It is important to note that since these calculations were carried out on a shared facility, system delays result in variation of the walltime. The walltimes shown here should be taken as only indicative to within a few minutes.

	1xv4	2n37
Number of Atoms	224	1031
Number of Electrons	820	3934
Number of Basis Functions	1865	8821
Molecule: Number of CPUs	16	-
Molecule: Total CPU Time (mins)	9565.02	-
Molecule: Walltime (mins)	599.92	-
SMFA: Number of CPUs	32	160
SMFA: Total CPU Time (mins)	1101.93	5556.6
SMFA: Walltime (mins)	38.75	45.3

In order to minimise the walltime and employ multiple processors efficiently, SMFA first estimates the minimum number of processors (call it  $N_{\text{proc}}$ ) required to ensure that all processors are fully occupied while the largest fragment is processed (on one processor). The largest  $N_{\text{proc}}$  fragments are then assigned to  $N_{\text{proc}}$  processors (one each). Thereafter, as each calculation

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3 completes, the now idle processor is assigned the next fragment from an ordered list of  
4  
5 fragments. In this way, SMFA aims to minimise the percentage of time that processors are idle.  
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7  
8 One should note that the walltime for SMFA is mainly determined by the time required  
9  
10 to perform the quantum chemistry calculation on the largest fragment. This fragment can vary  
11  
12 in size and composition from one molecule to another, and even between two conformers of  
13  
14 the same molecule, if the distribution of hydrogen bonds is different for the two conformers.  
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19  
20 It is worthwhile to show that SMFA may also be useful for much smaller molecules than  
21  
22 those in Table 1. A series of crown ethers, cyclic molecules with the formula  $(\text{CH}_2\text{OCH}_2)_n$ , were  
23  
24 considered with  $n = 4, \dots, 9$ . These molecules contain between 28 and 63 atoms. The geometries  
25  
26 of these molecules were optimised at the B3LYP/6-31G(d) level of electronic structure  
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28 calculation. Generally, these structures are not "flat" but quite buckled ring structures, changing  
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30 shape significantly as the repeat number,  $n$ , increases from 4 to 9. Nevertheless, this series of  
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32 molecules provides a succession of roughly analogous structures of increasing size. Figures 2 and  
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34 3 show how the times for RI-MP2/cc-pVQZ single point calculations of the electronic energy vary  
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36 for this sequence of structures. Whole molecule calculations could not be completed for  $n = 9$ ,  
37  
38 due to excessive memory requirements.  
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43 Figure 2 presents the total computation times for calculations carried out on a single  
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45 node of 16 processors. The time for a direct calculation of the whole molecules (black dots) are  
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47 compared with the times for calculations via SMFA (blue dots for Level = 2, and green dots for  
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49 Level = 3). It is clear that for  $n > 6$ , both Level = 2 or 3 yield total cpu times below that for the  
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51 whole molecule.  
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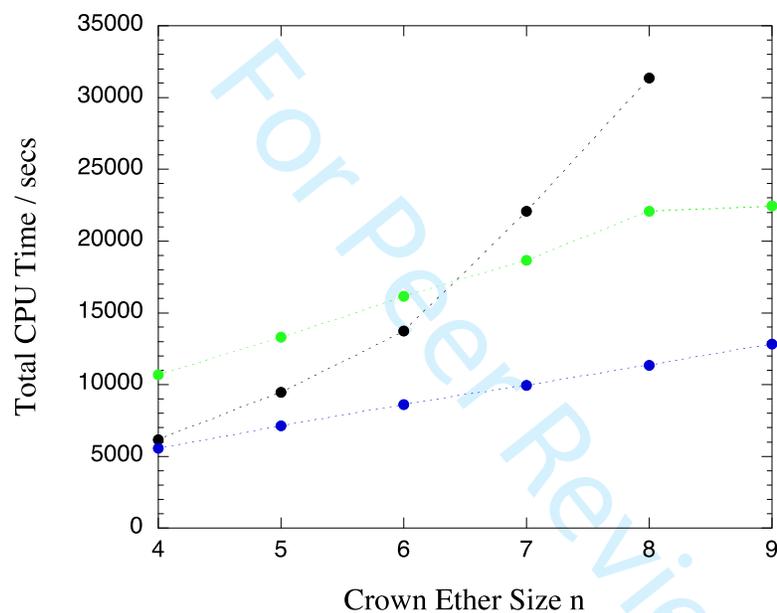


Figure 2. The total computational times for RI-MP2/cc-pVQZ are shown for single point energy calculations on crown ethers,  $(\text{CH}_2\text{OCH}_2)_n$ , carried out on a single node of 16 processors. The black symbols correspond to calculations on the whole molecule; blue symbols denote SMFA calculations with Level = 2; green symbols denote Level = 3.

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3 Figure 3 presents the corresponding walltimes for the whole molecule calculation  
4 (black), and for the Level = 2 (blue) and Level = 3 (green) calculations. The walltimes for the  
5 whole molecule calculations are simply the corresponding total cpu times of Fig. 2, divided by a  
6 factor of 16, so these results have been truncated to allow a clearer view of the SMFA results. As  
7 noted in Table 1, since the SMFA calculations are performed on a shared facility, system delays  
8 result in variations of the walltime reported, and some consequent uncertainty in the data of Fig.  
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3. Nonetheless, it appears that the walltime for a Level = 2 calculation is always below that for the whole molecule, and the Level 3 calculations also lower for  $N > 5$  (even though the whole molecule calculation is run in parallel on 16 cpus). Linear scaling is reasonably clear for SMFA with Level = 2 or 3. At Level = 3, for  $n = 4, 5, 6, 7, 8$  and  $9$ , SMFA recommends the use of 22, 27, 32, 37, 39, and 44 cpus, respectively, for optimum efficiency and minimum walltime. Since, our system only allows the use of multiple whole nodes, these Level = 3 calculations were repeated with 32, 32, 32, 48, 48, and 48 cpus on two or three nodes, respectively. The resulting walltimes are shown in red in Figure 3. It is apparent that near  $O(N^0)$  scaling is achieved by SMFA for these relatively small molecules, if sufficient cpus are available, up to or exceeding the number of cpus recommended by SMFA.

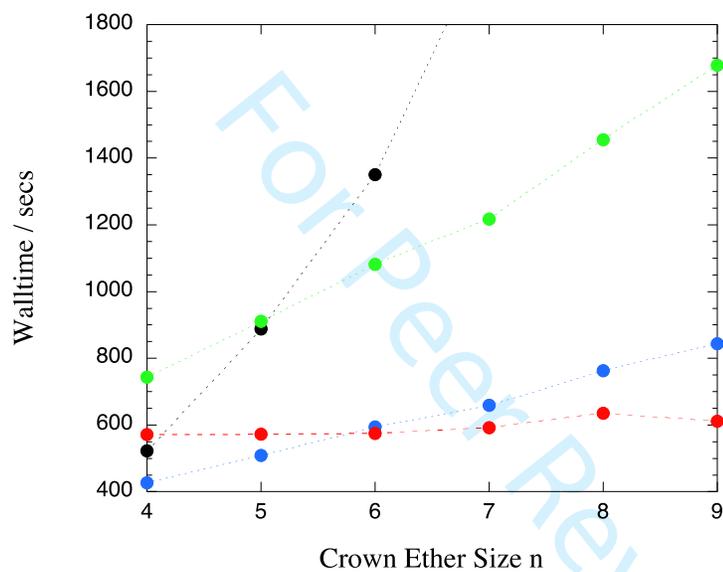


Figure 3. The computation walltimes for RI-MP2/cc-pVQZ single point energy calculations are shown, corresponding to the total cpu times presented in Figure 2. Using a single node with 16 processors, the black symbols denote calculations on the whole molecule; blue symbols denote SMFA with Level = 2; and green symbols denote SMFA with Level = 3. The red symbols denote results for SMFA (Level = 3) where the number of processors is allowed to increase with

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3 molecular size (see the text for details). As noted in Table 1, the walltimes are subject to some  
4 uncertainty.  
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## 8 **Conclusion**

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10 The SMFA program provides the means to use many current quantum chemistry  
11 methods of reliable accuracy to estimate the energy, structure and properties of many  
12 molecules of moderate to large size. For chemical reactions, reactant, product, and transition  
13 state geometries can be found, and reaction paths can be explored. Even when used with a  
14 single processing unit, linear scaling of computation time with molecular size is achieved.  
15 Moreover, this approach is especially suitable for highly parallel computer systems, where the  
16 walltime for the calculation is approximately independent of molecular size if sufficient numbers  
17 of cpus are available.  
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28 The SMFA program is freely available from the GitHub website,  
29 *<https://github.com/mickcollins/SMFAPAC>*.  
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## 35 **Acknowledgments**

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38 acknowledge helpful comments on the SMFA program from Dr Terry Frankcombe.  
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For Peer Review

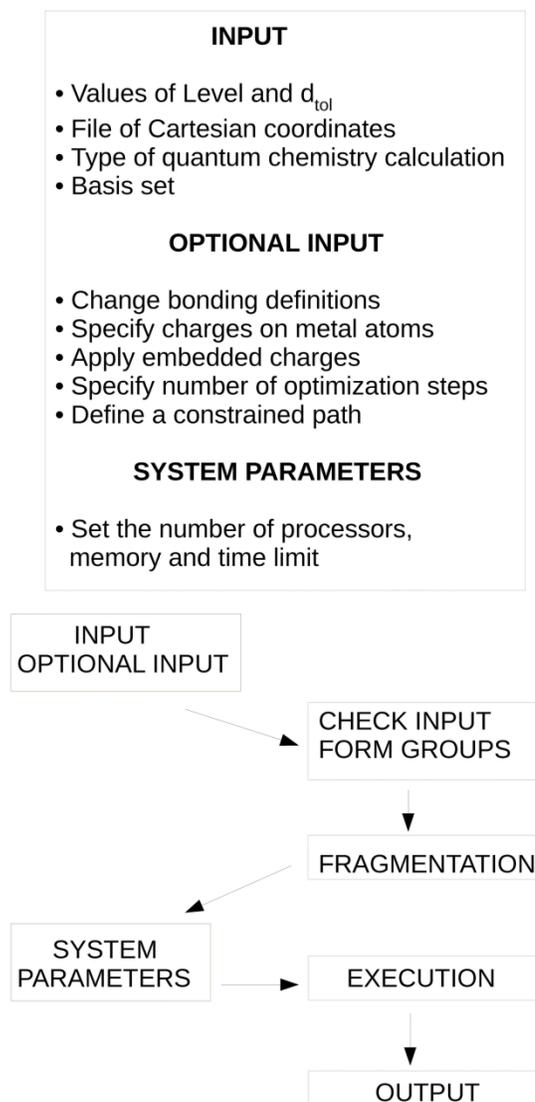


Figure 1. SMFA requires input from the user, as indicated above. Input is achieved via a sequence of questions and responses. The flow chart indicates the stages at which user input is required (on the left), while the stages on the right are automated.

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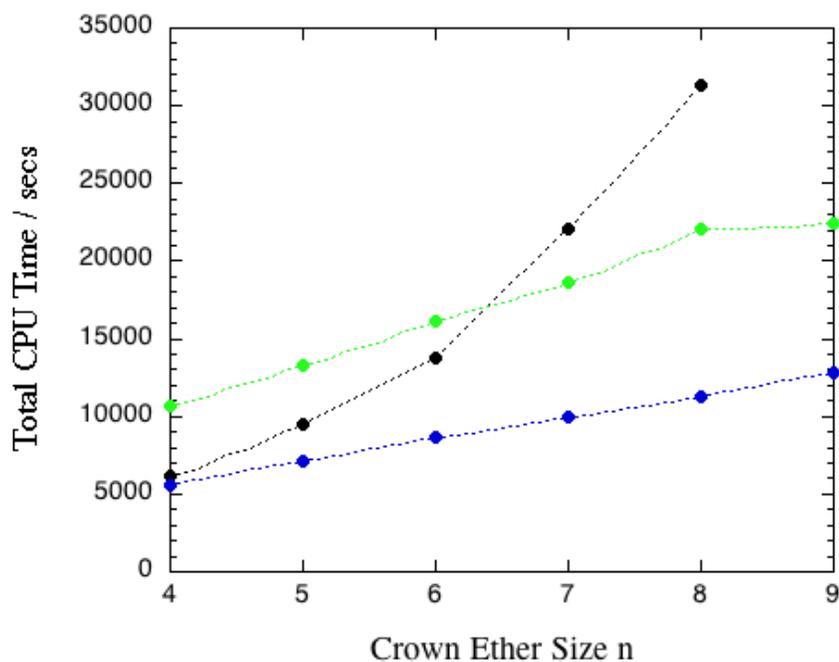


Figure 2. The total computational times for RI-MP2/cc-pVQZ are shown for single point energy calculations on crown ethers,  $(\text{CH}_2\text{OCH}_2)_n$ , carried out on a single node of 16 processors. The black symbols correspond to calculations on the whole molecule; blue symbols denote SMFA calculations with Level = 2; green symbols denote Level = 3.

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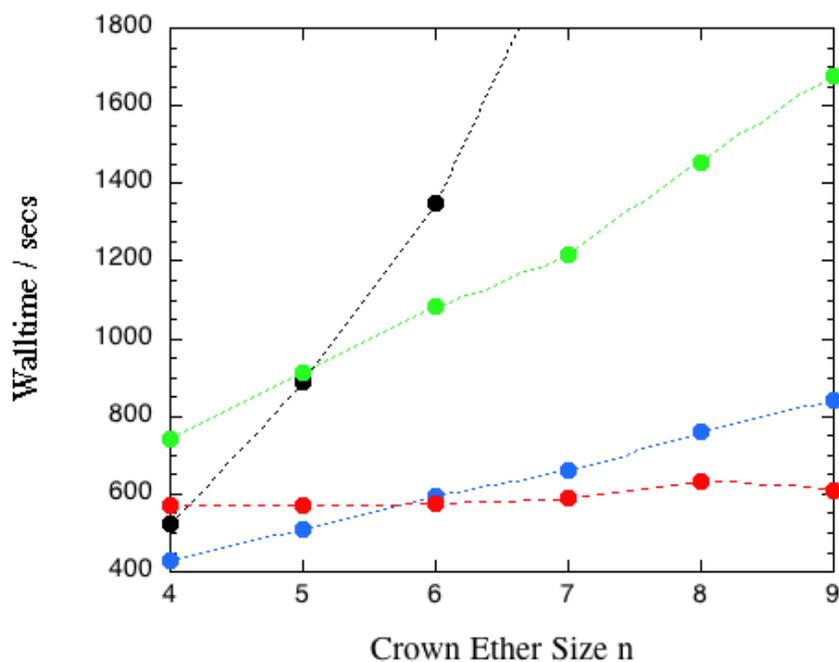


Figure 3. The computation walltimes for RI-MP2/cc-pVQZ single point energy calculations are shown, corresponding to the total cpu times presented in Figure 2. Using a single node with 16 processors, the black symbols denote calculations on the whole molecule; blue symbols denote SMFA with Level = 2; and green symbols denote SMFA with Level = 3. The red symbols denote results for SMFA (Level = 3) where the number of processors is allowed to increase with molecular size (see the text for details). As noted in Table 1, the walltimes are subject to some uncertainty.

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3 **Article Title:** The SMFA program for quantum chemistry calculations  
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6 on large molecules  
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12 **Article Type:** Software Focus  
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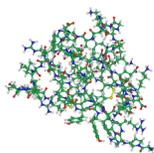
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## Abstract

SMFA is a general program package for performing quantum chemistry calculations on large molecules, using an energy-based fragmentation approach. The program can calculate electronic energies, energy gradients and second derivatives; perform geometry optimization; find first order saddle points (transition states); perform energy optimized scans along a user-defined path; and evaluate various molecular properties. The program can use any of the following quantum chemistry packages: GAMESS(US), GAUSSIAN, NWChem and Q-Chem. In addition, SMFA provides a number of utility programs that, *inter alia*, calculate vibrational frequencies and infrared spectra with isotopic substitutions, the electrostatic potential on the solvent-accessible-surface, and isodesmic and higher order near-iso-energetic reaction schemes. Calculations of the electronic energy and related properties can be carried out using a scheme that provides a computation time that is linearly dependent on the size of the molecule or, if the user has enough processing units available, in a walltime that is independent of the size of the molecule.

## Graphical/Visual Abstract and Caption



Graphics Caption: SMFA enables quantum chemistry calculations for proteins

## Introduction

The field generally known as quantum chemistry is concerned with the calculation of the electronic structure of molecules. Over many decades, many computational methods have been developed to approximate the total energy of the electrons in a molecule, and associated molecular properties. With this knowledge, one can explain known chemical processes and predict previously unknown processes. Quantum chemistry has proven to be a powerful tool in chemistry generally. However, these computational methods are difficult to apply to large molecules, as the computational time (and memory) scale up rapidly with molecular size. If "N" represents some measure of molecular size (say, the total number of basis functions used to describe the electronic wavefunction), a widely used but not very reliable method (density functional theory) requires a computation time that scales as  $O(N^3)$  -  $O(N^4)$ , while the most commonly used reliable method (a "coupled cluster" method) scales as  $O(N^7)$ . Substantial effort has been made to reduce these "scaling powers" to near linear scaling  $O(N^1)$  through the use of highly parallelized codes. However, computation times remain very high. Consequently, the most reliable methods can only be applied to small molecules (containing perhaps tens of atoms), and even the less reliable methods cannot easily be applied to molecules containing hundreds or thousands of atoms.

Over the last decade, so-called fragmentation methods have been developed which aim to avoid the high power scaling problem of traditional quantum chemistry methods.<sup>1 2</sup>

SMFA (Systematic Molecular Fragmentation by Annihilation) is a general purpose program package which aims to approximate quantum chemistry calculations of molecular energies and properties for large organic, inorganic and biological molecules. The approximation employed, systematic molecular fragmentation by annihilation,<sup>2 - 10</sup> decomposes a molecule into relative small pieces (fragments), on which quantum chemistry calculations are carried out.

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3 The number of fragments is linearly proportional to the number of chemical functional groups in  
4 the molecule. The size of the fragments is independent of the size of the whole molecule. The  
5 energy and properties of the molecule can be accurately approximated from a combination,  
6 respectively, of the energy and properties of these small fragments. Hence, if calculations on  
7 these fragments are carried out sequentially, the computation time is linearly proportional to  
8 the number of chemical functional groups in the molecule  $O(N^1)$ . However, these fragment  
9 calculations are completely independent of one another, hence if sufficient processing units are  
10 available, the "walltime" for any calculation only depends on the time required to perform a  
11 calculation on the largest fragment, which is independent of the total number of chemical  
12 functional groups  $O(N^0)$ . Thus, the SMFA approach provides a route to achieving high levels of  
13 parallelisation that is independent of the level of theory used, therefore avoiding the  
14 implementation overhead of parallelising each method separately.

### 30 THE SMFA METHOD

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32 SMFA is based on a completely automated procedure for decomposing a molecule into  
33 small fragments. The method has been described in detail elsewhere.<sup>6,9,10</sup> To summarise, the  
34 computer algorithm can be briefly described as follows.

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37 (1) Bonds and functional groups are defined, consistent with normal chemical concepts. A  
38 molecule is viewed as a collection of groups connected by single bonds.

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41 (2) Beginning with some arbitrary group (call it A):

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44 (i) Remove group A from the molecule;

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47 (ii) leave A in place, but remove all groups separated from A by more than a specified number of  
48 bonds (call it "Level" bonds); and

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51 (iii) remove group A and all the groups in (ii) from the molecule.

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54 (iv) The molecule is represented by the sum of the fragments produced in steps (i) and (ii),

minus the fragments produced in step (iii).

(v) Taking each fragment in (iv), repeat steps (i) to (iii), and continue with every new fragment created until there is no fragment that has groups separated by more than Level bonds.

This procedure yields a set of fragments  $\{F_n\}$  ( $N_{\text{frag}}$  in number) with integer coefficients  $\{f_n\}$ . Hydrogen atom caps are appended to the atoms with dangling bonds, with bond lengths calculated using the covalent radii of the atoms involved. In this way, the molecule,  $M$ , is represented by a sum of fragments, and the “bonded” energy,  $E_b$ , is a sum of the energies of the fragments.

$$M \rightarrow \sum_{n=1}^{N_{\text{frag}}} f_n F_n \quad (1)$$

$$E_b = \sum_{n=1}^{N_{\text{frag}}} f_n E(F_n) \quad (2)$$

Eq. (2) neglects the interactions between groups that are separated by more than Level bonds. These “nonbonded” interactions are accounted for as follows. First, a Level = 1 fragmentation is evaluated (comprising  $N_{\text{frag}}^{(1)}$  fragments), and the nonbonded interactions,  $E_{nb}$ , are given by the interactions of these Level = 1 fragments:

$$E_{nb} = \sum_{n_1=1}^{N_{\text{frag}}^{(1)}-1} \sum_{n_2=n_1+1}^{N_{\text{frag}}^{(1)}} f_{n_1}^{(1)} f_{n_2}^{(1)} E[F_{n_1}^{(1)} \leftrightarrow F_{n_2}^{(1)}]_{\text{allowed}} \quad (3)$$

where  $E[F_{n_1}^{(1)} \leftrightarrow F_{n_2}^{(1)}]_{\text{allowed}}$  denotes the interaction energy of Level = 1 fragments that do not include group interactions that have already been accounted for in the bonded energy of Eq. (2). These fragment–fragment interaction energies are evaluated using ab initio calculations if the fragment–fragment separation is less than some specified tolerance, denoted by  $d_{\text{tol}}$  (the

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3 nearest atom-atom distance divided by the sum of the corresponding Van der Waals radii). For  
4 longer separations, the interaction energy is evaluated using perturbation theory [based on ab  
5 initio calculations of charge distributions, static polarizability and the imaginary frequency  
6 polarizability] as the sum of an electrostatic interaction, a dispersion interaction and a  
7 contribution from induction. When formally charged groups are present in the molecule, or a  
8 polar solvent is present, the significant induction effect is accounted for by carrying out all ab  
9 initio calculations in the presence of appropriate embedded charges.<sup>9</sup>

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19 This is a completely automated procedure in the SMFA program. If the user selects  
20 larger and larger values of the integer parameter Level, the fragments,  $F_{nl}$ , are larger, and the  
21 total energy [Eq. (2) plus Eq. (3) plus the perturbative contributions] can be seen to converge (to  
22 near the correct value for the ab initio method and basis set which the user has chosen).

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28 Accurate energy gradients and higher derivatives are obtained by differentiating Eqs (1) and (2).

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30 Similarly, since any property of a molecule can be evaluated as the derivative of the  
31 molecular Hamiltonian in the presence of an external field, equations similar to Eqs (1) and (2)  
32 have been derived for any molecular property. The method for obtaining such properties from  
33 the SMFA program is described below (and in detail in the User's Guide that accompanies the  
34 program).

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41 The SMFA method shares some similar features and some significant differences from  
42 other "energy-based" molecular fragmentation methods. Several of these methods have been  
43 reviewed and compared in the review article of Ref. 2. In particular, SMFA tends to produce a  
44 similarly accurate approximation to the molecular energy as other approaches but with  
45 somewhat smaller fragment sizes. This is probably due to the fact that the fragmentation  
46 method relies primarily on the bonded connectivity of a molecule, rather than a distance-based  
47 algorithm.

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3 \_\_\_\_\_ It is important to note that SMFA (like the other approaches reviewed in Ref. 2) is  
4 essentially an *ab initio* quantum chemistry method, rather than a "QM/MM" method. There is  
5 no distinction in SMFA between an "inner" part of the molecule that is treated by quantum  
6 electronic structure methods and an "outer" region which is treated using molecular mechanics.  
7 Hence there is no arbitrary or intuitive "user-defined" region that is the only part of the  
8 molecule that is treated correctly using first principles methods. The whole molecule (including  
9 any solvent) is treated from first principles. The essential approximation made is that "chemistry  
10 is local": That is, the influence that some part of the molecule has on the electronic structure of  
11 another part of the molecule decays in magnitude with the distance and bonded connectivity of  
12 the two regions.  
13

14 \_\_\_\_\_ A major advantage of SMFA is that it can be applied systematically. The essential  
15 approximation can be tested simply by repeating the application of SMFA with increasing values  
16 of the parameter Level. If the SMFA approximation is valid, then the values of the energy or  
17 property obtained should converge as the value of Level increases.  
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### 19 **ACCURACY of SMFA**

20 \_\_\_\_\_ There have been several reports on the accuracy of SMFA in various applications.<sup>4-7,9</sup>  
21 To illustrate these results, we note that for a set of 96 neutral organic molecules containing 18  
22 to 80 atoms, the mean absolute error in the energy (MAEE) for a range of *ab initio* methods and  
23 basis sets was 2.1 to 4.4 kJ mol<sup>-1</sup>, for Level = 3, and  $d_{tol} = 1.1$ .<sup>5</sup> A set of larger molecules,  
24 containing 45 to 180 atoms, was considered in Ref. 9: 24 peptides and sugars (with extensive  
25 hydrogen bonding) showed an MAEE of 14.9, 5.6 and 3.9 kJ mol<sup>-1</sup>, for Levels 3, 4, and 5,  
26 respectively; 14 ions and zwitterions had an MAEE of 8.2, 4.5 and 2.9 kJ mol<sup>-1</sup>, for Levels 3, 4,  
27 and 5, respectively; 20 conformers of a protein containing 246 atoms (with 3 formally charged  
28 groups) had a mean absolute error in their relative energies of 4.6 kJ mol<sup>-1</sup> at Level 3. Moreover,  
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3 for these 20 conformers, the root-mean-square error in the energy gradient falls below about  
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5 0.0003 au for *Level* = 3 and *Level* = 4, if  $d_{tol} > 2$ .  
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8 \_\_\_\_\_ There is no established trend for how the accuracy of SMFA (or any other energy-based  
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10 fragmentation approach) varies as the size of the molecule increases. A qualitative argument  
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12 (see Section 5.2 in Ref. 2) suggests that the error in the energy might increase as  $O(N^{1/2})$ , where  
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14 *N* represents the number of functional groups in the molecule. However, so far no such trend  
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16 has been observed, as the variation of the error with the chemical composition and structure of  
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18 the molecules tested masks any trend with molecular size. A practical difficulty in exploring this  
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20 subject is the difficulty of obtaining "exact" results for very large molecules, as such calculations  
21  
22 become impossible to carry out as the molecular size is increased. Fortunately, the systematic  
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24 character of SMFA allows some estimate of the error in the energy or property, as the user can  
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26 systematically increase the value of *Level* and observe convergence of the energy or property  
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28 value.  
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34 \_\_\_\_\_ The SMFA procedure is entitled "systematic" because fragmentation of the molecule  
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36 can be carried out at a sequence of integer values of the parameter "Level". Higher values of  
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38 Level result in larger fragments and more reliable estimates of energy and property values.  
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40 Convergence of such energy/property values can be observed as the value of Level is increased.  
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## 44 **QUANTUM CHEMISTRY METHODS**

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46 SMFA carries out the quantum chemistry calculations using one or other of the  
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48 GAMESS(US)<sup>11</sup>, GAUSSIAN<sup>12</sup>, NWChem<sup>13</sup> or Q-Chem<sup>14</sup> program packages (hereafter called the  
49  
50 quantum chemistry package). The user can select either of these available program packages.  
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52 SMFA also uses the DALTON<sup>15</sup> program package for some calculations that are not available on  
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54 one or more of the four packages above, and the DALTON package must also be available for  
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3 use. In addition to direct use of these packages to calculate the energies and properties of  
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5 molecular fragments, quantum chemistry data is also used to evaluate the interactions between  
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7 distantly separated parts of the molecule by perturbation theory.<sup>16 17</sup>  
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10 SMFA is suitable for use with any single configuration ab initio quantum chemistry  
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12 method that is available in the quantum chemistry package (for example, Hartree Fock, Möller-  
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14 Plesset methods, coupled cluster methods, etc). The user can also choose any density functional  
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16 theory (DFT) method available in the quantum chemistry package. At present, SMFA is not  
17  
18 suitable for use with multi-configuration methods.  
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## 21 **APPLICABILITY**

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23 SMFA views a molecule as a collection of chemical functional groups. A group consists of  
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25 heavy atoms that are connected by multiple bonds (double, triple etc) and all associated  
26  
27 hydrogen atoms. Hence, a molecule appears as a set of groups that are connected by *single*  
28  
29 bonds. Individual groups are never fragmented in the SMFA process. So, for example, a single  
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31 benzene ring is not fragmented in SMFA, as it is a single chemical group. Similarly a graphene  
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33 molecule of any size is not fragmented in SMFA, and the program is not usefully applicable to  
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35 such molecules. Otherwise, SMFA can be usefully applied to molecules which consist of many  
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37 chemical functional groups that are connected by single bonds.  
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40  
41 Most importantly, SMFA makes it possible to apply reliable quantum chemistry methods  
42  
43 to large molecules; methods that can accurately describe chemical reaction enthalpies and  
44  
45 activation energies. Reaction pathways can be explored to obtain a quantitative understanding  
46  
47 of mechanisms in large molecules, including biological molecules. As illustrated in the examples  
48  
49 below, SMFA can be usefully applied to molecules ranging in size from tens to thousands of  
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51 atoms.  
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3 \_\_\_\_\_ At the smaller end of this range, the advantage is not only a saving in computer time,  
4 but the ability to apply very reliably accurate levels of quantum chemistry methods with large  
5 basis sets to obtain very reliable information about the mechanism and energetics of chemical  
6 reactions. Results that would be difficult to obtain in any other way.  
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11 \_\_\_\_\_ At the high end of molecular size, very reliably accurate levels of quantum chemistry  
12 methods with large basis sets can still be applied to study chemical reactions. If the user has  
13 sufficient processing units available, the walltime for highly reliable calculations on such large  
14 molecules is no more than that for relatively small molecules. Hence, first principle calculations  
15 (rather than say molecular mechanics or QM/MM approaches) can be applied to biological  
16 molecules, including an atomic level description of the solvent.  
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19 \_\_\_\_\_ Moreover, reliable levels of quantum chemistry can be applied to the estimation of  
20 molecular properties that provide reliable information about molecular structure.  
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23 \_\_\_\_\_ One should note that the current SMFA program produces the electronic energy and  
24 other properties for a given molecular configuration. The SMFA program does not automatically  
25 produce free energies that are associated with an ensemble of molecular configurations. The  
26 user must supply a molecular configuration as input; including the structure of any solvent  
27 molecules. SMFA can then be used to locate minimum energy configurations, transition states,  
28 and minimum energy paths for a user-selected process.  
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### 31 **OPERATION**

32 \_\_\_\_\_ SMFA requires the user to input the data which is required by the quantum chemistry  
33 package: type of calculation, basis set, and so on. The molecular geometry must be provided in a  
34 file of Cartesian coordinates. The input is achieved via a sequence of questions and prompts  
35 from the program to which the user responds interactively. The user then requests the program  
36 to automatically check the input and perform the fragmentation process. The program outputs  
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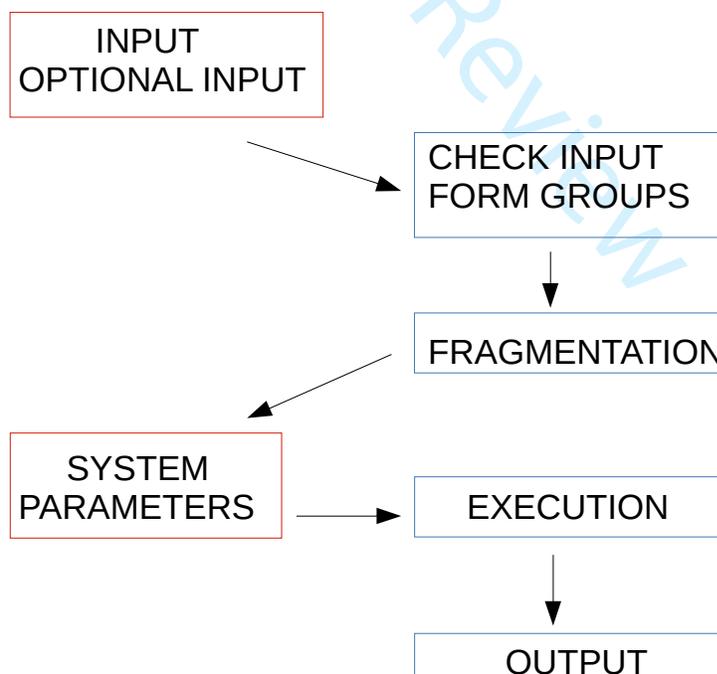
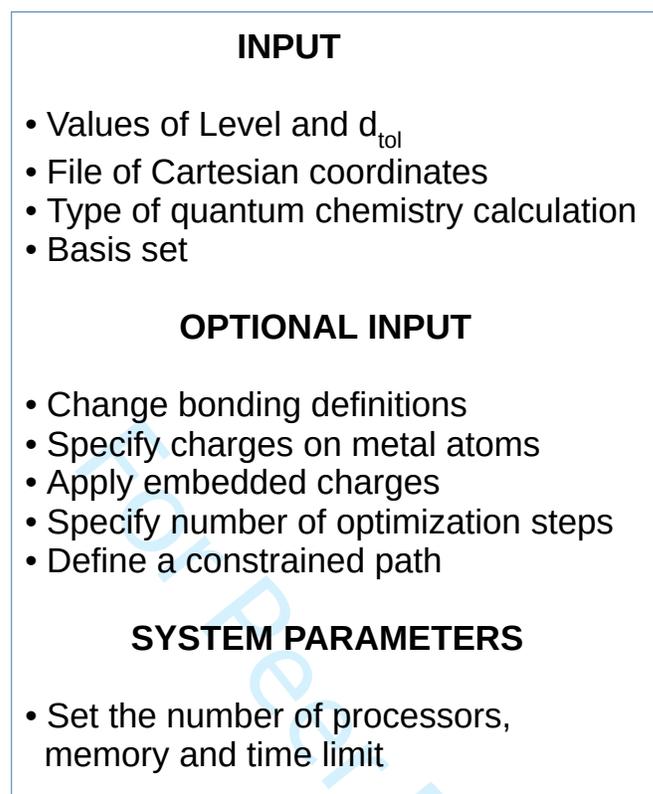
(to an output file) some details about the fragmentation process (purely for information) and outputs a recommendation for the optimum number of processors required for a parallel calculation, and a sample "input deck" for the largest fragment which will be calculated. Using this information, the user then enters the final part of the input which is the number of processors to be used, the total memory and disk space to be used and the time limit. Given the instruction to proceed, the program automatically performs all necessary calculations and appends the final results to the output file.

——— For most calculations, the input required from the user is very little more than would be required to use one of the quantum chemistry packages; namely, the value of Level to be used, and the value of a parameter that defines the distance beyond which perturbation theory is used to evaluate interactions between parts of the molecule. When metal atoms are present in the molecule, the user must define the formal charge on these metals. The user also has the option of changing the program defaults that define the bonding between atoms.

\_\_\_\_\_ SMFA requires input from the user, as indicated at the top of Figure 1. The input is achieved via a sequence of questions and prompts from the program to which the user responds interactively. For most calculations, the input required from the user is very little more than would be required to use one of the quantum chemistry packages; namely, the value of Level to be used, and the value of the parameter ( $d_{tol}$ ) that defines the distance beyond which perturbation theory is used to evaluate interactions between parts of the molecule. For many calculations, the user can ignore the optional input of Figure 1. However, if the system contains metal atoms, or polar solvent molecules, or a (possibly constrained) optimisation is involved, then some extra input is required. The user can also change the default definitions that define the bonding in a molecule.

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The flowchart in Figure 1 indicates the process followed by SMFA. The steps on the right are automated. After the fragmentation step, SMFA reports a recommendation for the optimum number of processors to the output file. The program also produces a sample "input deck" for the largest fragment which will be calculated. The user may wish to run test calculations using this "input deck" with various levels of electronic structure theory to evaluate what calculations are feasible and to estimate memory and time requirements. Using this information, the user then enters the final part of the input which is the number of processors to be used, the total memory and disk space to be used and the time limit.



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3 Figure 1. SMFA requires input from the user, as indicated above. Input is achieved via a  
4 sequence of questions and responses. The flow chart indicates the stages at which user input is  
5 required (on the left), while the stages on the right are automated.  
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## 12 **STRUCTURAL OPTIMIZATION**

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15 SMFA provides three types of molecular structure optimization: energy minimisation,  
16 first-order saddle point (transition state) determination, and energy minimization along a user-  
17 defined path. The path is defined by constraints on any combination of bond lengths, bond  
18 angles and dihedral angles. Each type of optimization evaluates the hessian at the initial  
19 geometry and thereafter uses energy gradients in conjunction with an updated approximate  
20 hessian. The code is based on established methods in the literature.<sup>18-20</sup>  
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## 28 **PROPERTIES**

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30 The program directly provides calculation of the molecular vibrational frequencies,  
31 dipole polarizability, and hyperpolarizability. However, any molecular property that is available  
32 in the quantum chemistry package can be evaluated by SMFA with the addition of a user-  
33 defined script. The User's Guide provides the information required for the composition of a  
34 simple script to extract any desired property.  
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42 In addition, SMFA can calculate the electrostatic potential (ESP) of a molecule on the  
43 solvent accessible surface. The format of the calculated data is designed to interface with  
44 graphics programs (for example, VMD<sup>21</sup>). This type of graphic can be useful in obtaining a  
45 qualitative understanding of how a small charged molecule might interact with a protein, for  
46 example.  
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53 SMFA provides harmonic vibrational frequencies (and zero point vibrational energy),  
54 infrared intensities and simulated infrared spectrum (with a user-defined line width). Moreover,  
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SMFA provides frequencies, infrared intensities and simulated infrared spectrum with isotopic substitutions. The user can choose to substitute particular nuclei or all nuclei of a particular element.

Finally, SMFA provides a simple facility to measure atom-atom distances, angles and dihedral angles for a given molecular structure.

### ISO-ENERGETIC REACTIONS

SMFA provides a utility program that can be used to compose isoenergetic reaction schemes, without actually having to perform any quantum chemistry calculations. Such reaction schemes can be useful in a number of ways.

An isodesmic chemical reaction<sup>22</sup> is one in which the number and type of chemical bonds is the same for both reactants and products. For example, reactants and products have the same number of C-C, C-H, C=O bonds, etc. Since, the heat of formation of molecules is mostly determined by the number and type of bonds, the heat of reaction is near zero for an isodesmic reaction. This fact allows one to estimate the heat of formation of one species in the reaction, if the heat of formation of all other species is known. A homodesmotic reaction<sup>23</sup> is similar, except that in addition to the same number and types of bonds in both reactants and products, the neighbouring substituents of those bonds are the same. For example, not only is the number of C-C bonds the same, but the number of C-C-X bonds (with a given substituent X) are the same for reactants and products (for all X). Given an even closer correspondence in bonding for reactants and products, a homodesmotic reaction has a heat of reaction which is even more likely to be near zero.

As it turns out, SMFA naturally produces instances of such reactions when it fragments a molecule. The simplest application of SMFA, that is using Level = 1, produces a set of molecular fragments which, with a simple rearrangement, represents an isodesmic reaction involving the

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3 original molecule. Using Level = 2, produces a homodesmotic reaction involving the original  
4 molecule. Higher values of Level lead to even more reliably iso-energetic reactions. The SMFA  
5 program outputs a description of such reactions, in files that give the Cartesian coordinates of  
6 the reactants and products, the coefficients of these molecules in the reaction, the InChi (IUPAC  
7 International Chemical Identifier) for each species, and a graphics file that can be used to draw  
8 simple 2-dimensional structures of the species.  
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Once such files have been created for two such near-iso-energetic reactions, SMFA provides the means to subtract one reaction from another to generate an additional near-iso-energetic reaction scheme; and hence with repetition ad infinitum.

## SOLVENT

SMFA does not allow the use of implicit solvation via continuum models. However, explicit solvent molecules may be included in the structure, at little additional computational cost if the solvent molecules are small. For example, a structure that contains a large organic or biological molecule solvated in water will be fragmented into small structures that will include parts of the solute, water molecules interacting with parts of the solute, and perhaps many structures that contain only groups of water molecules. These latter fragments are unlikely to be the largest fragments in the set. Hence, using many processors, such solvent fragments are unlikely to contribute to the apparent computation walltime.

## EXAMPLES

There are several papers in the literature which demonstrate the accuracy of SMFA for the calculation of the energy and other properties for moderately large molecules.<sup>2, 4 - 10</sup> Some details were included in the section on accuracy above. In general, SMFA attains so-called chemical accuracy (a few kJ mol<sup>-1</sup>) for values of 3 or 4 for the parameter Level. Hence, herein

two examples are presented that rather serve to demonstrate the computational efficiency of SMFA for both moderate sized and large molecules.

Table 1 presents computation times for two small proteins, containing 224 and 1031 atoms, respectively. In the Protein Data Bank (PDB)<sup>24</sup>, these molecules have identifiers 1xv4 and 2n37, respectively. Although these are very small proteins in biological terms, they would be considered quite large molecules for the application of quantum chemistry. In column 1 of this table, we compare computation times for the vibrational frequencies of molecule 1xv4, via a direct calculation for the whole molecule versus calculation with SMFA (and a value of Level of 3 and  $d_{\text{tol}} = 1.1$ ). ~~Previous calculations showed that the energy of this molecule converged to within a few  $\text{kJ mol}^{-1}$  by Level = 3. Both calculations were carried out for a geometry optimised at B3LYP/6-31G(d), a common quantum chemistry method for larger molecules. Comparing the frequencies calculated for the whole molecule with those calculated with SMFA shows a mean absolute difference of just  $1.2 \text{ cm}^{-1}$ .~~ The whole molecule calculation was carried out using the most efficient means available: ~~in parallel on a 28 cpu node. In parallel on a single node containing 16 cpus.~~ The SMFA program indicated that 32 cpus should be used for maximum efficiency and minimum walltime, so the SMFA calculations were carried out on 32 cpus in two nodes. The processors of both machines are very close in speed. The table shows that SMFA reduces the total cpu time by a factor of about ~~7.38.7~~, and reduces the walltime by a factor of about ~~7.815.5~~. The power of SMFA is demonstrated by the data in column 2. The molecule 2n37 is sufficiently large that calculation of the frequencies at the B3LYP/6-31G(d) level of quantum chemistry is beyond the computational resources available to us. In fact, it was not even possible to converge a calculation of the energy of this molecule at B3LYP/6-31G(d). In contrast, SMFA calculates the frequencies without difficulty. The SMFA program indicated that 160 cpus should be used for maximum efficiency and minimum walltime. Comparing 1xv4 with 2n37: The

number of basis functions increases by a factor of 4.73; the total cpu time increases by a factor of 5.265.0; the walltime increases by only a factor of 1.251.2. Since the structure of these two proteins are of course quite different, one cannot expect exact polynomial scaling in cpu times when comparing these two molecules. However, Table 1 strongly indicates that the total cpu time scales only linearly with the size of the molecule, and that the walltime is independent of the size of the molecule, if sufficient processors are available, up to the number of processors recommended by SMFA.

Table 1. Selected data for the calculation of vibrational frequencies with the B3LYP/6-31G(d) method, for two small proteins, with PDB identifiers 1xv4 and 2n37. "Molecule" denotes calculations carried out on the whole molecule, while "SMFA" denotes calculations carried out using SMFA with Level = 3 and d<sub>tol</sub> = 1.1. It is important to note that since these calculations were carried out on a shared facility, system delays result in variation of the walltime. The walltimes shown here should be taken as only indicative to within a few minutes.

	1xv4	2n37
Number of Atoms	224	1031
Number of Electrons	820	3934
Number of Basis Functions	1865	8821
Molecule: Number of CPUs	<u>2816</u>	-
Molecule: Total CPU Time (mins)	<u>7793.89565.02</u>	-
Molecule: Walltime (mins)	<u>280.9599.92</u>	-
SMFA: Number of CPUs	32	160
SMFA: Total CPU Time (mins)	<u>1056.41101.93</u>	5556.6
SMFA: Walltime (mins)	<u>36.338.75</u>	45.3

In order to minimise the walltime and employ multiple processors efficiently, SMFA first estimates the minimum number of processors (call it  $N_{\text{proc}}$ ) required to ensure that all processors are fully occupied while the largest fragment is processed (on one processor). The largest  $N_{\text{proc}}$  fragments are then assigned to  $N_{\text{proc}}$  processors (one each). Thereafter, as each calculation completes, the now idle processor is assigned the next fragment from an ordered list of fragments. In this way, SMFA aims to minimise the percentage of time that processors are idle.

One should note that the walltime for SMFA is mainly determined by the time required to perform the quantum chemistry calculation on the largest fragment. This fragment can vary in size and composition from one molecule to another, and even between two conformers of the same molecule, if the distribution of hydrogen bonds is different for the two conformers.

It is worthwhile to show that SMFA may also be useful for much smaller molecules than those in Table 1. A series of crown ethers, cyclic molecules with the formula  $(\text{CH}_2\text{OCH}_2)_n$ , were considered with  $n = 4, \dots, 9$ . These molecules contain between 28 and 63 atoms. The geometries of these molecules were optimised at the B3LYP/6-31G(d) level of electronic structure calculation. Generally, these structures are not "flat" but quite buckled ring structures, changing shape significantly as the repeat number,  $n$ , increases from 4 to 9. Nevertheless, this series of molecules provides a succession of roughly analogous structures of increasing size. Figures 12 and 23 show how the times for RI-MP2/cc-pVQZ calculations of the electronic energy vary for this sequence of structures. Whole molecule calculations could not be completed for  $n = 9$ , due to excessive memory requirements.

Figure 12 presents the total computation times for calculations carried out on a single node of 16 processors. The time for a direct calculation of the whole molecules (black dots) are compared with the times for calculations via SMFA (blue dots for Level = 2, and green dots for

Level = 3). It is clear that for  $n > 6$ , both Level = 2 or 3 yield total cpu times below that for the whole molecule.

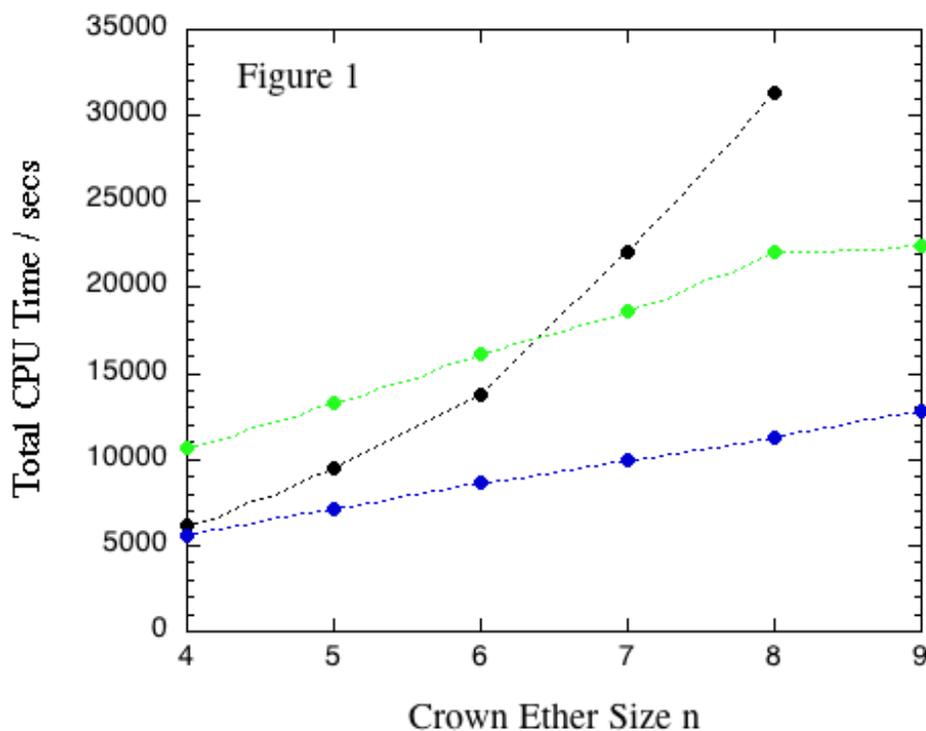
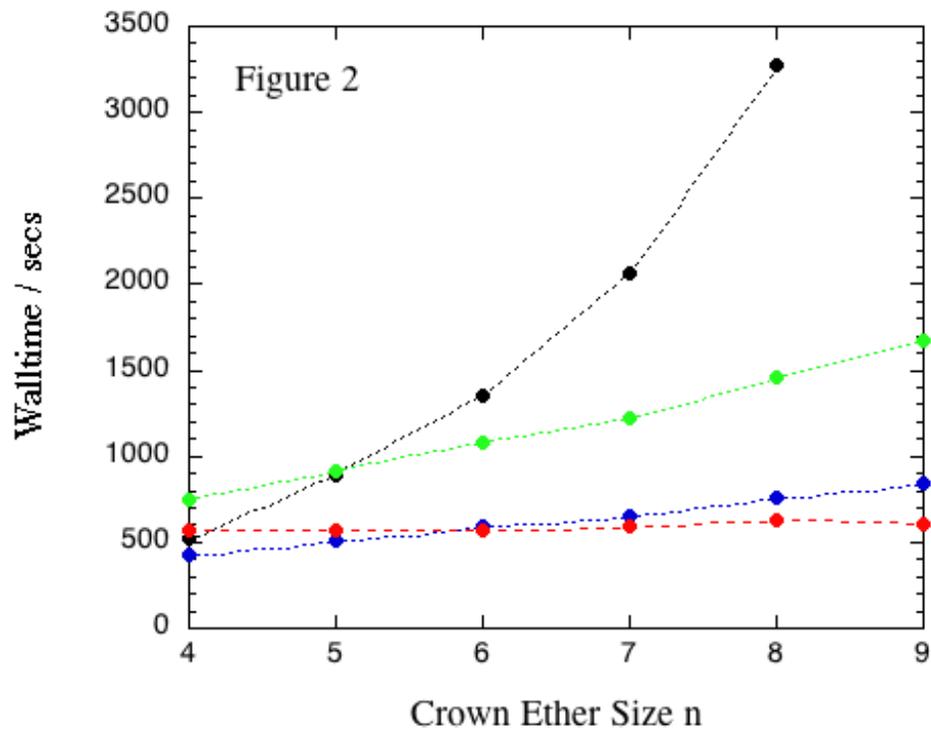


Figure 12. The total computational times for RI-MP2/cc-pVQZ are shown for [single point energy](#) calculations on crown ethers,  $(\text{CH}_2\text{OCH}_2)_n$ , carried out on a single node of 16 processors. The black symbols correspond to calculations on the whole molecule; blue symbols denote SMFA calculations with Level = 2; green symbols denote Level = 3.

Figure 2 presents the corresponding walltimes for the whole molecule calculation (black), and for the Level = 2 (blue) and Level = 3 (green) calculations. While the walltime for a Level = 2 calculation is always below that for the whole molecule, the Level 3 calculations also lower for  $N > 5$  (even though the whole molecule calculation is run in parallel on 16 cpus). It

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3 appears that the whole molecule calculation does not scale linearly with molecular size, but that  
4 linear scaling is reasonably clear for SMFA with Level = 2 or 3. At Level = 3, for  $n = 4, 5, 6, 7, 8$   
5 and 9, SMFA recommends the use of 22, 27, 32, 37, 39, and 44 cpus, respectively, for optimum  
6 efficiency and minimum walltime. Since, our system only allows the use of multiple whole  
7 nodes, these Level = 3 calculations were repeated with 32, 32, 32, 48, 48, and 48 cpus on two or  
8 three nodes, respectively. The resulting walltimes are shown in red in Figure 2. It is apparent  
9 that near  $O(N^0)$  scaling is achieved by SMFA for these relatively small molecules.  
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19 Figure 3 presents the corresponding walltimes for the whole molecule calculation  
20 (black), and for the Level = 2 (blue) and Level = 3 (green) calculations. The walltimes for the  
21 whole molecule calculations are simply the corresponding total cpu times of Fig. 2, divided by a  
22 factor of 16, so these results have been truncated to allow a clearer view of the SMFA results. As  
23 noted in Table 1, since the SMFA calculations are performed on a shared facility, system delays  
24 result in variations of the walltime reported, and some consequent uncertainty in the data of Fig.  
25 3. Nonetheless, it appears that the walltime for a Level = 2 calculation is always below that for  
26 the whole molecule, and the Level 3 calculations also lower for  $N > 5$  (even though the whole  
27 molecule calculation is run in parallel on 16 cpus). Linear scaling is reasonably clear for SMFA  
28 with Level = 2 or 3. At Level = 3, for  $n = 4, 5, 6, 7, 8$  and 9, SMFA recommends the use of 22, 27,  
29 32, 37, 39, and 44 cpus, respectively, for optimum efficiency and minimum walltime. Since, our  
30 system only allows the use of multiple whole nodes, these Level = 3 calculations were repeated  
31 with 32, 32, 32, 48, 48, and 48 cpus on two or three nodes, respectively. The resulting walltimes  
32 are shown in red in Figure 3. It is apparent that near  $O(N^0)$  scaling is achieved by SMFA for these  
33 relatively small molecules, if sufficient cpus are available, up to or exceeding the number of cpus  
34 recommended by SMFA.  
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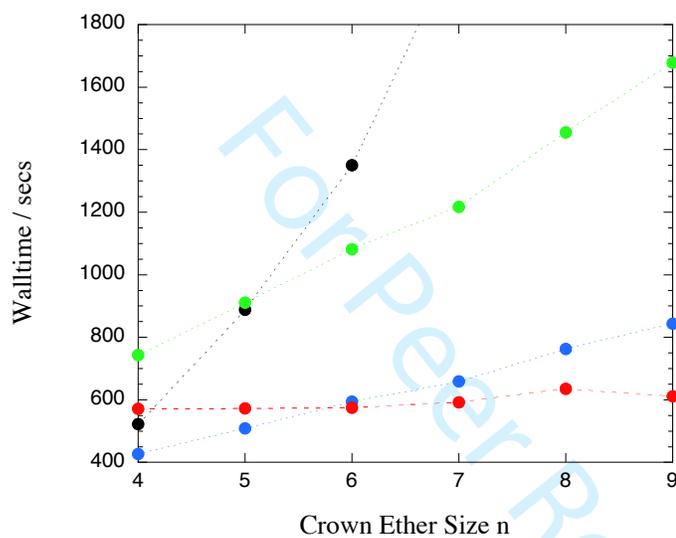


Figure-23. The computation walltimes for RI-MP2/cc-pVQZ are shown, corresponding to the total cpu times presented in Figure 1. Using a single node with 16 processors, the black symbols denote calculations on the whole molecule; blue symbols denote SMFA with Level = 2; and green symbols denote SMFA with Level = 3. The red symbols denote results for SMFA (Level = 3) where the number of processors is allowed to increase with molecular size (see the text for details). As noted in Table 1, the walltimes are subject to some uncertainty.

## Conclusion

The SMFA program provides the means to use many current quantum chemistry methods of reliable accuracy to estimate the energy, structure and properties of many molecules of moderate to large size. For chemical reactions, reactant, product, and transition state geometries can be found, and reaction paths can be explored. Even when used with a single processing unit, linear scaling of computation time with molecular size is achieved. Moreover, this approach is especially suitable for highly parallel computer systems, where the walltime for the calculation is approximately independent of molecular size if sufficient numbers of cpus are available.

The SMFA program is freely available from the GitHub website, <https://github.com/mickcollins/SMFAPAC>.

## Acknowledgments

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