Reliability of salivary cortisol and testosterone to a high-intensity cycling protocol to highlight overtraining.

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29 Abstract

30 Athletes physically overload to improve performance. Unbalanced stress/recovery may induce overtraining, which

31 is difficult to diagnosis as no diagnostic marker exists. Hormonal responses to a 55/80 cycle (30-min of alternating 32 blocks of 1-min at 55% and 4-min at 80% maximum workrate) may highlight early stage overtraining

33 (overreaching), as blunted cortisol and testosterone responses to 55/80 follows intensified training. However, the

34 reliability of hormonal responses to 55/80 when not overreached is unknown. Therefore, reported blunted

hormonal responses could be due to inconsistent cortisol and testosterone responses to 55/80. Participants (n = 23) completed three 55/80 bouts, >7 days apart, with no exercise 24 h pre-trials. Pre-exercise urine osmolality and

36 completed three 55/80 bouts, >7 days apart, with no exercise 24 h pre-trials. Pre-exercise urine osmolality and
 37 stress questionnaire responses were measured. Pre, post, and 30-min post-exercise saliva samples were collected

38 for cortisol and testosterone assessment. Salivary cortisol and testosterone responses, osmolality and wellbeing

39 were not different between trials. Salivary cortisol and testosterone elevated from pre- to post-exercise [by 4.2

40 $\text{nmol} L^{-1}$ (cortisol) and 307 pmol L^{-1} (testosterone)], and 30 min post-exercise [by 160 pmol L^{-1} (testosterone) only].

41 Intraclass correlation coefficients for pre to peak post-exercise cortisol (0.89; *good*) and testosterone (0.53; 42 *moderate*) were calculated. This demonstrates the 55/80 induces reliable elevations of salivary cortisol and

43 testosterone when in a healthy state.

44

45 **Keywords:** Overtraining, Overreaching, Salivary cortisol, Salivary testosterone, endurance training.

46 Introduction

47 Individuals in high demand occupations (e.g. athletes) push the limits of their physical abilities. Athletes overload 48 the body physically by intensifying training stress, combining an elevation of volume, duration and intensity of 49 exercise (Wenger and Bell, 2012; Kraemer and Newton, 2000). This can lead to a physical performance decrement 50 for a limited period but following sufficient recovery of days to weeks a "supercompensatory" effect may occur, 51 with the athlete exhibiting an enhanced performance when compared to baseline levels termed "functional 52 overreaching" (Meeusen et al., 2013). Continued intensified training can move the athlete into a state of non-53 functional overreaching (NFOR) or the overtraining syndrome (OTS), reducing physical performance, which may 54 not recover for several weeks to years (Meeusen et al., 2013). Signs of overreaching have been reported to occur 55 within a period as short as 7 days of intensified training with limited recovery (Halson et al., 2002).

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Retrospective diagnosis of NFOR/OTS is common, given that a valid and reliable protocol or a definitive
diagnostic criterion are currently not available for use during the OTS progression (Meeusen et al., 2013).
Therefore, an appropriate diagnostic marker and/or protocol to warn practitioners that NFOR/OTS may occur
without a modification of training/competition, would be of benefit in practice. Especially, given rates of NFOR
can be considered high in some circumstances, with 30-60% prevalence reported in elite athletes, elite runners,
non-elite runners and adolescent swimmers (Birrer et al., 2013; Matos et al., 2011; Morgan et al., 1987).

64 Hormones associated with the hypothalamus and pituitary gland are suggested as possible markers of NFOR/OTS, 65 as hypothalamic pituitary disturbances are reported following periods of intensified training (Meeusen et al., 66 2004; Meeusen et al., 2010; Urhausen et al., 1998). Indeed, a short duration (30 min) cycling exercise bout, 67 referred to as the '55/80', has been developed, where a continuous 30 min cycle of alternating blocks of 1 min at 68 55% maximum work rate and 4 min at 80% maximum work rate are completed (Hough et al., 2011). The 55/80 69 demonstrated robust elevations in salivary cortisol (by \sim 7 nmol·L⁻¹ from pre to post 55/80) and salivary testosterone 70 (by \sim 400 pmol·L⁻¹ from pre to post 55/80) concentrations in athletes not in a state of NFOR/OTS (i.e. healthy) 71 (Hough et al., 2011; Hough et al., 2013; Hough et al., 2015). Blunted salivary cortisol (by ~70%) and salivary 72 testosterone (by ~30%) responses to the 55/80 have been reported in physically active males and male elite 73 triathletes following intensified training periods (i.e. possible suffering NFOR/OTS) (Hough et al., 2013; Hough 74 et al., 2015). These blunted hormonal responses to the 55/80 were found in unison with increased fatigue and 75 burnout scores measured by a psychological stress and recovery questionnaire (Hough et al., 2013; Hough et al., 76 2015). Consequently, the 55/80 was proposed as a useful tool to survey exercise-induced maladaptive cortisol and 77 testosterone responses when in an overreached state (Hough et al., 2013; Hough et al., 2015). At rest, it is known 78 that the intra-individual variability can be high for salivary cortisol (up to 51%) and testosterone (up to 30%) 79 (Hough et al., 2015). Therefore, it is important to examine the simple reliability of the 55/80 hormone responses 80 without the presence of NFOR/OTS pathologies as it is currently unknown. Knowing this reliability is important 81 as the previously reported blunted cortisol and testosterone responses to the 55/80 following intensified training, 82 could simple be due to an unreliable response of these hormones to the 55/80 bout. In measuring the reliability of 83 the hormonal responses to the 55/80, it is important to understand that these responses can be influenced by 84 physiological and psychological stress (Koolhass et al., 2011). Therefore a measure of psychological stress is 85 important while completing this measure of hormonal reliability to the 55/80. The Recovery-Stress Questionnaire 86 for Athletes (RESTQ) is a validated self-report of stress and recovery events that provides information on the 87 individual's state of well-being and predisposition to undertake physical activity (Kellmann and Kallus, 2001; 88 Tibbert et al., 2009). 89

90 Therefore, the current study aimed to establish the reliability of the responses of salivary cortisol and testosterone 91 concentrations to repeated 55/80 bouts across several days. A secondary aim was to examine the physiological and 92 perceptual strain experienced to repeated exposure to the 55/80 bout. It is hypothesised that salivary hormone 93 concentrations and physiological and perceptual strain experienced to the 55/80 will be similar across repeated 94 trials, within an experimental design constructed to avoid NFOR/OTS.

96 Materials and methods

97 Participants

Twenty-three healthy, regularly active males (means \pm SD; age: 21 \pm 3 years; body mass: 80.7 \pm 8.7 kg; height: 1.78 \pm 0.07 m; peak oxygen uptake ($\dot{VO}_{2\,peak}$): 50.9 \pm 7.6 ml·kg⁻¹·min⁻¹; maximum work rate: 304 \pm 49 W)

100 volunteered for this study. This study was completed on two different laboratory sites and therefore study 101 procedures were approved by the two local ethical advisory committees in line with the Helsinki Declaration.

102103 Experimental Design

- 104 A repeated measures study design was conducted. Each participant visited the laboratory on four separate 105 occasions. The protocol is presented in Figures 1 & 2 and in briefly comprises of an incremental, continuous $\dot{V}O_{2,prack}$
- 106 test in visit 1 with the remaining trials consisting of the completion of a 55/80 cycle. A written and verbal study 107 explanation was provided and written informed consent to take part was obtained from each participant before 108 testing began.
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110 Methodology

- 111 During the first laboratory visit a continuous, incremental $\dot{V}O_{2,med}$ test was completed on a mechanically braked
- 112 (Monark Ergomedic 894E, Vansbro, Sweden) or an electronically braked (Lode, Groningen, the Netherlands)
- 113 cycle ergometer depending on the laboratory site visited (Figure 1). Once allocated an ergometer, the same cycle
- 114 ergometer was used on each visit. Maximum work rate (\dot{W} max) was determined using the equation;
- 115 \dot{W} max = W_{final} + (t/T) W_{inc} where W_{final} = power output during the final stage completed, t = time (s) achieved
- 116 in uncompleted stage, T = duration of each stage (180 s), and W_{inc} = workrate increment (35 W). Power outputs
- equivalent to 55% and 80% of maximum work rate for each participant were calculated and used during the main
- 118 experimental trials.
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120 On all remaining laboratory visits, participants consumed a standard breakfast before 09:00 and at least 500 ml of 121 water on the trial mornings to help ensure they were in a euhydrated state (Sawka et al., 2007). When measuring 122 the reliability of the hormonal response to exercise there is a requirement to control for hydration status and time 123 of day of sample collection. Hypohydration is known to elevate cortisol concentrations when compared to a 124 euhydrated state (Judelson et al., 2008). The daily pattern of cortisol and testosterone concentration release into 125 circulation is an elevation in the morning leading to a plateau in the circulation concentrations a few hours after 126 awakening (Crofford et al., 1997; Walton et al., 2007). Therefore, all testing sessions took place at the same time 127 of day (11:30 – 13:00) to control for circadian rhythms in the hormones under examination. Participants remained 128 fasted from 09:00 until the end of each trial at ~13:00. All participants abstained from exercise, caffeine and 129 alcohol intake 24 h before each main trial and completed a food record diary. A similar diet was consumed 24 h 130 before each main trial. Mean energy intake prior to each trial was 10.3 ± 3.1 MJ with $53 \pm 13\%$ (carbohydrate), 131 $28 \pm 12\%$ (fat) and $18 \pm 6\%$ (protein). The participants drank water *ad libitum* during the trials except 10 min 132 before the collection of all saliva samples reducing risk of saliva dilution. 133

- 134 Each participant completed 3 main trials (Trial 1, Trial 2 and Trial 3) separated by at least 7 days (Figure 2). The 135 participant in each trial completed a 55/80 bout at 12:00. The 55/80 is a continuous 30 min cycle composed of 136 alternating blocks of 1 min at 55% Wmax and 4 min at 70% Wmax. Heart rate was collected in the final 30s of 137 each minute via short-range radio telemetry (Polar F2, Polar Electro Oy, Kempele, Finland) and ratings of 138 perceived exertion (RPE) using a 6-20 Borg scale were recorded in the final 30s of each alternating block. A 52-139 item RESTQ was completed at the beginning of each main trial. The RESTQ records the frequency of stress and 140 recovery events over a period of three days and nights and presents the participant's state of well-being and 141 predisposition to undertake physical activity (Kellmann and Kallus, 2001). A saliva sample was collected at pre-142 exercise, post-exercise and 30 min post-exercise (Figure 2). Saliva samples were unstimulated and collected by 143 passive drool into a 7 mL Bijou vial (Sterilin, Newport, UK) while seated with eyes open, head tilted slightly 144 forward and making minimal orofacial movement. The sample was collected for 2 min to allow for collection of 145 sufficient sample volume. The pre-exercise and 30 min post-exercise samples were collected following a 10 min 146 seated rest. The post-exercise samples were collected immediately following the 55/80. All samples were chilled 147 immediately after collection and were divided into aliquots within 30 min and stored at -80°C until further analysis. 148 For further detail on the 55/80 procedure please refer to Hough et al., (2011) or Hough et al., (2013).
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INSERT FIGURES 1 & 2 HERE

- The salivary cortisol and testosterone concentrations were determined using commercially available Enzyme Linked Immunosorbent Assay kits (Salimetrics, PA 16803, USA). Samples from each participant were analysed on the same plate and went through 1 freeze thaw cycle only. Each sample was measured in duplicate with the mean salivary cortisol and testosterone concentrations reported. The mean inter-assay CVs were 5.1% and 6.8% for cortisol and testosterone, respectively. The mean intra-assay CVs were 4.8% and 4.4% for cortisol and testosterone, respectively.
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159 Statistical Analyses

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161 All data in the text, tables and figures are presented as mean values \pm standard deviation and/or range (minimum 162 to maximum). Data were analysed using IBM[®] SPSS[®] 24.0 (IBM Corporation, Armonk NY USA). All data were 163 checked for normality using quantile-quantile plots. Where data was not normally distributed it was log 164 transformed and re-examined. Salivary cortisol and testosterone data were log transformed and deemed to be 165 normally distributed after transformation. For clarity we have presented whole salivary cortisol and testosterone 166 concentrations in the figures. All other data analyse was deemed to be normally distributed.

- 168 Linear mixed models were used to determine if there were any differences between trials (Trial 1; Trial 2 and Trial 169 3), time (Pre; Post and 30 min Post-Exercise) and any interactions between trial and time for absolute salivary 170 hormone data. For clarity, figures presenting salivary hormone data sets were collapsed when no significant trial 171 effects were found. Delta hormone values from pre-exercise to peak post-exercise were analysed with linear mixed 172 models to determine if there were differences between trials. Differences were determined between trials for urine 173 osmolality, averaged heart rate, ratings of perceived exertion, and RESTQ responses during each main trial visit. 174 Fixed and random effects for the linear mixed models were fit for each dependent variable (West et al., 2014). 175 Statistical significance was set at p < 0.05. Cohen's d effect sizes are provided to supplement significant effects 176 between trials or time. The magnitude of effect size was defined as trivial (d < 0.2), small ($d \ge 0.2$, < 0.5), medium 177 178 $(d \ge 0.5, <0.8)$, and large $(d \ge 0.8)$ (Cohen, 1988).
- Reliability was analysed using intra-individual coefficient of variations (CVi) for the salivary cortisol and
- 180 testosterone concentrations at each timepoint. The intra-individual mean concentrations (mean_i) and standard
- deviations (SD_i) were used to calculate the Intra-individual CV (CV = $(SD_i/mean_i)*100$). In addition, intraclass
- 182 correlation coefficients (ICC) were calculated for the delta pre-exercise to peak post-exercise concentrations.
- 183 These were calculated by hand using the ICC model 2,1 to measure relative reliability (Vincent and Weir, 2012).
- 184 ICC values of less than 0.50 indicate poor reliability, 0.50 0.75 indicate moderate reliability, 0.75 0.90 good
- reliability, greater than 0.90 indicates excellent reliability (Koo and Li, 2016).

186 **Results**

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187 Hydration status, Recovery-stress questionnaires

There was no difference in urine osmolality or REST-Q scores for all trials (Table 1).

190 Physiological and Perceptual Responses to Exercise

No differences were found in the average heart rate and ratings of perceived exertion responses during the 55/80 (Table 1). ICC values for heart rate and ratings of perceived exertion responses to the 55/80 were 0.83 and 0.75 respectively.

INSERT Table 1 near here

197 Salivary cortisol

The response of the salivary cortisol concentration to the 55/80 bouts were similar over the 3 trial days ($F_{2, 21.271} = 0.307, P = 0.739$). A time effect was found with an elevation of salivary cortisol in response to the 55/80 bouts ($F_{2, 22.011} = 13.949, P < 0.001$) (Figure 3a). Acute increases in the salivary cortisol concentrations were found from Pre 55/80 to Post 55/80 (P = 0.01; d = 0.8) with a return to baseline at 30 min Post 55/80 (P = 0.79). There was no interaction between trial and timepoint ($F_{4, 22.196} = 0.587, P = 0.675$).

Similarly, delta salivary cortisol pre to peak post-exercise were similar over the 3 trial days ($F_{2, 22} = 0.680$, P = 0.518). A good reliability in the responses of the salivary cortisol to the exercise was found with an ICC value 0.89 calculated for the responses to the exercise bout. The CVi calculations of the salivary cortisol concentrations at each time point fall in line with that expected and are presented in Table 2.

INSERT Table 2 near here

211 Salivary testosterone

The responses of the salivary testosterone concentrations to the 55/80 bouts were similar across the 3 trials (F₂, 213 $_{22.039} = 2.123 P = 0.144$) (Figure 3b). A time effect was found (F_{2, 21.328} = 70.914, *P* < 0.001) with acute increases 214 in the salivary testosterone concentrations found from Pre 55/80 to Post 55/80 (*P* < 0.001; *d* = 1.3) and 30 min 215 Post 55/80 (*P* < 0.001; *d* = 0.7) (Figure 3b). There was no interaction between trial and timepoint (F_{4, 21.698} = 1.474, 216 P = 0.245).

218 Delta changes pre to peak post-exercise were similar over the 3 trial days ($F_{2, 22} = 1.324$, P = 0.286). A moderate 219 ICC value for the pre to peak post-exercise delta change of 0.53 was calculated and the CVi calculations of the 220 salivary testosterone concentrations at each time point are presented in Table 2.

Insert Figure 3a&b near here

224 Discussion

225 This study aimed to establish the reliability of salivary cortisol, salivary testosterone, heart rate and ratings of 226 227 228 perceived exertion responses to a short duration, high-intensity cycle bout (55/80) to determine the usefulness of the 55/80 as an exercise test to highlight alterations in exercise induced salivary cortisol and testosterone responses that may occur during NFOR/OTS. No differences in the salivary cortisol and testosterone responses to the 229 repeated 55/80 trials were found. Therefore, the hypothesis that the salivary hormone responses to the 55/80 are 230 similar on repeated exposure can be accepted. A secondary aim of this study was to measure the physiological 231 (measured via heart rate responses) and perceptual (measured via ratings of perceived exertion scores) strain of 232 the 55/80. Similar strain across the trials were found which confirms that repeated exposure to the exercise bout 233 did not alter the strain experienced by the participants. This is important if the 55/80 is to be used as a physical 234 stress test to examine possible dysfunction in the responses of hypothalamic pituitary adrenal and hypothalamic 235 pituitary gonadal axes during periods of heavy training stress (i.e. NFORS/OTS). 236

237 The findings of robust elevations of salivary cortisol and testosterone from pre- to post-55/80 in the current study 238 corresponds with previous reports of cortisol and testosterone elevations to a 55/80 bout in a healthy state (i.e. not 239 in a state of NFOR/OTS)(Hough et al., 2013; Hough et al., 2015). The magnitude of elevation seen from pre to 240 peak post-exercise in the current study (~6 nmol L^{-1} for cortisol and ~315 pmol L^{-1} for testosterone) is in line with 241 that previously reported (~7 nmol L^{-1} for cortisol and ~400 pmol L^{-1} for testosterone) (Hough et al., 2011; Hough 242 et al., 2013; Hough et al., 2015). The effect sizes reported with these findings in the current study highlight a large 243 effect (> 0.8) of the 55/80 on both these hormones from pre- to post-exercise. Our analysis revealed no differences 244 in the hormonal responses to repeated 55/80 bouts. It also suggests that the reliability of salivary cortisol in 245 response to the 55/80 can be interpreted as good, however the reliability of the salivary testosterone response to

246 the 55/80 was moderate. This reliability indicates that any changes to these responses, for example when in a state 247 of NFOR/OTS, should be viewed cautiously. The blunted responses of salivary testosterone to the 55/80 previously 248 reported, following periods of intensified training, may have been due to the moderate reliability in the responses 249 of this hormone in saliva to the 55/80. Examining the responses further showed a hormonal variability within the 250 individuals of ~27% (salivary cortisol) and ~14% (salivary testosterone). This variability corresponds to that seen 251 in resting plasma samples previously reported (Maes et al., 1997; Walton et al., 2007). Keeping in mind that the 252 55/80 exercise bout has been reported to highlight a blunted response of cortisol (of ~70%) and testosterone (of 253 \sim 30%) following an intensified training period (i.e. when the athlete is in a state of possible NFOR/OTS) (Hough 254 et al. 2013; Hough et al. 2015). These blunted alterations are in excess of the intra-individual variability this current 255 study reports. This suggests that the blunted hormonal responses to the 55/80 following intensified training, found 256 previously, were not due to the intra-individual variability of the hormones measured and may be due to the 257 elevated physical stress during a period of heavy training (i.e. possible NFOR/OTS). To conclude, the data 258 suggests that salivary cortisol elevated in response to the 55/80 and this response has a good reliability. Therefore, 259 this may be a useful surveillance measure to complete during training periods to help to highlight states of 260 NFOR/OTS with the expectation that during these periods the salivary cortisol responses will be blunted as 261 previously reported (Hough et al., 2013; Hough et al., 2015) 262

The heart rate and ratings of perceived exertion analysis in the current study show that the physiological strain and the perception of exertion to the 55/80 do not differ across trials. The reliability analysis indicates a good reliability for the responses in both measures. If using this exercise stress test as a tool to highlight hormonal changes, our results indicate that hormonal alterations found are not due to changes in physiological strain or perception of exertion to the exercise bout.

269 Strengths and Limitations270

271 In measuring hormonal reliability, specific controls are required to help to remove external influences on the 272 hormones being analysed. The strength of the current study is the control of these important external influences. 273 Firstly, the RESTQ scores reported no significant disparities in stress or recovery scores within individuals during 274 the study. This confirms that participants completed the 55/80 bouts in a similar state of well-being and 275 predisposition to undertake physical activity (Kellmann and Kallus, 2001). It can be concluded from this that the 276 hormonal responses have not been influenced by a change in well-being in the participants. Additionally, hydration 277 status also influences cortisol and testosterone concentrations. Specifically, hypohydration (loss of ~5% body 278 mass) elevates circulating cortisol and decreases testosterone when compared to a euhydrated state (Judelson et 279 al., 2008). An indicator of euhydration is a urine osmolality value of < 700 mosmol kg⁻¹, with each participant 280 demonstrating an acceptable value $(274 - 382 \text{ mosmol·kg}^{-1})$ prior to completing the 55/80 (Sawka et al., 2007). 281 Therefore, hydration status likely did not influence the hormonal responses to the 55/80 reported in this study. 282

283 It should be noted that the measurement of salivary hormones, specifically testosterone, may be inflated if 284 measured by immunoassay when compared with another measurement technique for salivary hormone analysis 285 such as liquid chromatography tandem mass spectrometry (LC-MS/MS) (Welker et al., 2016). However, this 286 inflation found in immunoassay results compared with LC-MS/MS is most evident at low concentrations (< 35 287 pmol.L⁻¹). The concentrations reported in this current study were in excess of this low concentration value. 288 However, it is important to know that different salivary hormone analysis methods may report different 289 concentrations from the same samples. The relatively small sample size in the current research study must also be 290 addressed. It is important to examine the power of the analysis completed within this study. A post-hoc 291 computation of achieved power on two of the main variables in this research study was completed. These variables 292 were the delta salivary cortisol and testosterone pre to peak post-exercise measures. The analyses achieved a power 293 of 0.72 (cortisol) and 0.90 (testosterone). This finding details a 28% and 10% risk of committing type II errors (i.e. 294 missing an effect if it genuinely exists). It is commonly agreed a power level of 80% is credible to determine actual effects (Field, 2009). The reader should be aware of this higher risk of missing an effect found in the cortisol data 295 296 presented in this research study. 297

298 Conclusion

This study confirms that the 55/80 induces reliable elevations of salivary cortisol. It also highlights a moderate reliability when measuring salivary testosterone in response to the 55/80. This supports the use of the 55/80 to survey responses of salivary cortisol (and perhaps highlight their utility within NFOR/OTS cascades). Caution must be implemented if using the 55/80 to highlight alterations in salivary testosterone concentrations. The hormonal variability within individuals found in the current study (~27% cortisol and ~14% testosterone) are lower 55/80 bout) following periods of intensified training (reductions of ~72% in salivary cortisol and ~34% in salivary
testosterone following periods of intensified training when compared to before the training period (Hough et al.
2013; Hough et al. 2015). This finding further supports the potential use of the 55/80 as a tool for the surveillance
of hormonal adaptations which may occur during periods of heavy training (e.g. NFOR/OTS).

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412 413 **Figure Captions**

- 414 Figure 1 Schematic overview of the $\dot{V}O_{2peak}$ test.
- Figure 2 Schematic overview of experimental trials.
- 414 415 416 417 418 Figure 3 The collapsed (a) salivary cortisol and (b) salivary testosterone concentration responses to the 55/80
- 419 cycles in all trials.
- 420 * Different than Pre 55/80 values
- 421 To make conversion from Système International d'Unites (SI) units to gravimetric/conventional unit. To convert
- 422 salivary cortisol from nmol.L⁻¹ to ng.mL⁻¹ multiply by 0.3625. Conversion of salivary testosterone from pmol.L⁻¹
- 423 to ng.dL⁻¹ multiply by .0288.
- 424