

1 **Catalytic conversion of sucrose to 5-hydroxymethylfurfural in green aqueous and organic**
2 **medium**

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14 **Abstract**

15 **5-Hydroxymethylfurfural (HMF) is one of the promising chemicals, which can serve as a**
16 **platform chemical to produce various energy chemicals. HMF can be produced from different**
17 **lignocellulosic biomass derived sugars employing different catalytic processes. In this study, the**
18 **synthesis of HMF was investigated from excessively available sucrose using environment friendly**
19 **and cost-effective technology. Among the various solvents applied, dimethyl sulfoxide (DMSO)**
20 **was found as an efficient organic solvent medium. The results elucidated the combination of *p*-**

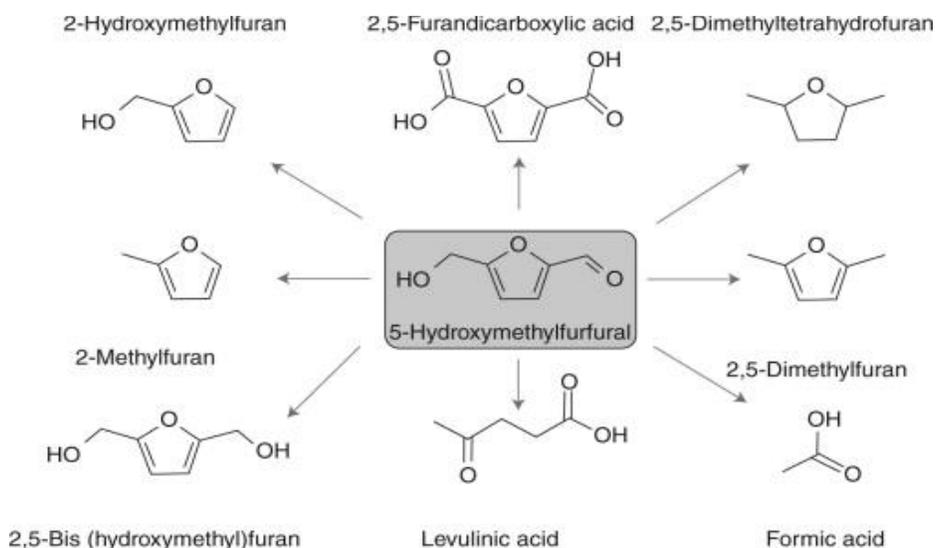
21 toluenesulfonic acid (*p*TSA) and chromium chloride ($\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$) as the best dehydrating catalyst.
22 A relatively higher HMF yield of 55% was achieved at a lower temperature (120 °C) while at 150 °C
23 (higher temperature) oxalic acid with higher concentration of $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ gave a maximum yield
24 of 59.21%. The time, temperature, and concentrations affected the yields. The positive impact was
25 found with a rise in temperature from 100 - 120 °C in *p*TSA while oxalic acid affected the yield
26 until 150 °C. HMF yields were reported in descending order as *p*TSA>oxalic acid> maleic acid>
27 malonic acid >succinic acid > blank at 120 °C. It was observed that the isomerization of glucose
28 to fructose is the major barrier to achieve the high process yield. Therefore, more efforts should
29 be made to achieve the high rate of isomerization of glucose (part of sucrose disaccharide) in order
30 to improve the HMF selectivity by depressing the humin formation.

31 **Keywords:** 5-Hydroxymethylfurfural (HMF), sucrose, dimethyl sulfoxide (DMSO), *p*-
32 toluenesulfonic acid (*p*TSA)

33 1. Introduction

34 The depletion of fossil fuels and their severe impacts on the environment (pollution and climate
35 change) compelled to develop sustainable green technology for cost-effective conversion of
36 renewable resources into high-value and energy chemicals [1–3]. One of the auspicious chemical,
37 which can serve as a platform specie is 5-hydroxymethylfurfural (HMF), which is identified by
38 the United States Department of Energy among the top 10 bio-based chemicals to produce from
39 biorefinery carbohydrates [4,5]. Based on the following reasons it is also term as a “sleeping giant”
40 in the field of intermediate chemicals (derivatives) from bio-based feedstock; (i) As a
41 multifunctional molecule comprised of a furan ring with alcoholic and aldehyde group at the same
42 time, (ii) it is a multipurpose intermediate, which could be further transformed into high value

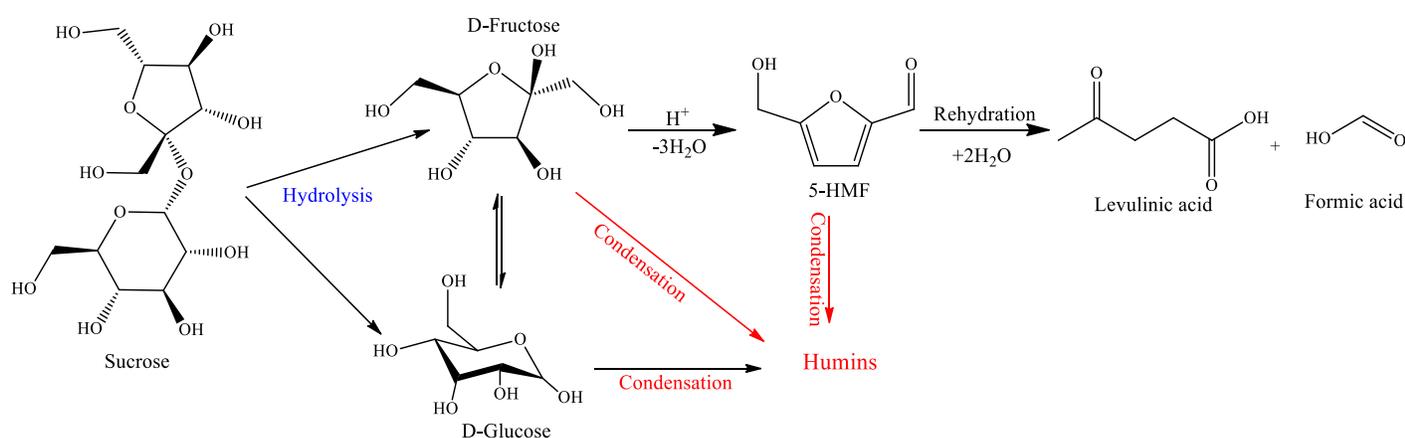
43 chemicals and biofuel products, such as 2,5- furan dicarboxylic acid, levulinic acid,
 44 dihydroxymethyl furan, dimethyl furan, and others, which are supposed to be favorable substitutes
 45 for corresponding petro-chemicals (Scheme 1) [6–8]. HMF plays a significant role among bio-
 46 derivatives due to its multi-functionality to derive high-value polymer precursors and fuel
 47 additives **as well as** potential chemicals feedstocks [9,10].



48
 49 **Scheme 1.** Potential chemical feedstock derived from 5-HMF [10].

50 Lignocellulosic biomass (LCB) attracted considerable attention to the production of bio-
 51 derivatives being a rich source of renewable carbon [11–13]. HMF has an outstanding positions
 52 among bio-derivatives due to its multi-functionality[9]. Many efforts have been devoted to its
 53 production from LCB sugars. Fructose has been studied thoroughly for the efficient manufacturing
 54 of HMF using the different catalytic systems and high HMF yield and selectivity have been
 55 achieved [14,15]. Glucose, an integrated hexose of LCB is considered the most valuable bio-
 56 derived carbon resource [16,17]. Successful conversion of glucose is vital for the efficient
 57 conversion of biomass to biochemicals and biofuels [18]. Several studies reported the dehydration
 58 of glucose to HMF applying homogeneous [17,19] and heterogeneous [20] catalytic systems along

59 with ionic liquids [21] and bifunctional resins [22]. However, the glucose dehydration process is
 60 still challenging and suffered from low HMF yield and selectivity [23]. It has been reported that
 61 glucose dehydration proceeded via isomerization to fructose [17,18]. Enzymatic isomerization
 62 produces equilibrium fructose yield (>50%) thermodynamically [24,25]. Whereas chemical
 63 isomerization using heterogeneous catalytic systems partially converts glucose to fructose and
 64 product solution consist of fructose with some glucose concentrations [26,27]. So enzymatic route
 65 is ideal to realize complete isomerization but is relatively inflated and time-intensive.



66
 67 **Scheme 2.** Acid-catalyzed conversion of sucrose to HMF.

68 Sucrose, a natural disaccharide, yields fructose and glucose on hydrolysis, as indicated in
 69 Scheme 2. The obtained sugar mixture can be used as a feedstock to synthesize HMF using acidic
 70 catalysts similar to pure sugars [9]. Sucrose is a universally available and cheap disaccharide as
 71 compared to fructose that can serve as an alternative feedstock to provide lignocellulosic-derived
 72 glucose and fructose on hydrolysis. However, the conversion of sucrose to HMF has not been
 73 investigated **extensively** [28]. Mostly, mineral acids were used for the transformation of sucrose
 74 into HMF. Steinbach et al.[9] discussed the sulfuric acid (0.005 M) catalyzed conversion of sucrose
 75 using high temperature (180 – 220 °C) and obtained 25% yield of HMF from 2 wt% feed of sucrose.
 76 Bowler et al.[29] reported only an 18% yield of HMF. Although the applied H₂SO₄ concentration

77 was 0.01 – 0.2 M and the temperature was almost similar (160–200 °C). High acid does affect the
78 reaction time, and the maximum yield was obtained **in a short time**. Similarly, Tan-Soetedjo et
79 al.,[29] observed 17% mass yield at 140 °C, applying moderate catalyst concentration (0.05 M
80 H₂SO₄). These results indicated that the high acid concentration is not favorable for HMF yield
81 when mineral acids were used as catalysts.

82 The kinetic study revealed that the proportions of unwanted side products increased
83 enormously with an increase in process temperature. When the reported carbon balance is
84 considered, the carbon percentage of waste products increased from 14.6% to 22.8% and 38.1%
85 when temperature increased from 180 °C to 200 °C and 220 °C, respectively [9]. Ionic liquid
86 improved the HMF yield and selectivity with added cost [30,31]. Improved catalytic efficiency
87 gave the high HMF yield at low temperatures when the combination of Lewis acids and ionic
88 liquids **was** used. Expending 1-ethyl-3-methylimidazolium-tetrafluoroborate ([EMIM]BF₄) gives
89 57% HMF yield from sucrose at 100 °C in 3 hours employing SnCl₄ catalyst [32]. This combination
90 was even more effective when the sugar was replaced as cellobiose, and a 65% yield was obtained
91 applying similar conditions[32].

92 Bronsted acids such as phosphoric acid, sulfuric acid, nitric acid, and hydrochloric acid, have been
93 well investigated extensively so far [33,34]. However, the impact of organic acid catalysts on
94 sucrose conversion has not been studied well. Moreover, the NH₄Cl-*p*TSA catalytic system has
95 also the benefits of eco-friendliness and low cost, as compared to metal-based Lewis acids[17].

96 Lewis acids are considered suitable for the conversion of glucose into fructose, whereas organic
97 acids were found efficient dehydration catalysts in the fructose conversion process[17,35].
98 Therefore, we hypothesized that as the sucrose is a disaccharide consist of two units (Glucose and
99 Fructose), it would work efficiently for the transformation of sucrose to HMF in the presence of

100 catalysts combination composed of Lewis acid and organic acid. The one-pot conversion would
101 save an immense amount of time and energy. Following this approach, we have compared the
102 effect of different Lewis acids and organic acids for sucrose conversion into HMF. Results
103 elucidated the addition of $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ as a co-catalyst improved the HMF yield when *p*-
104 toluenesulfonic acid (*p*TSA) and oxalic acids were used as the catalysts. The use of $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$
105 as a co-catalyst with *p*TSA and oxalic acid has the advantages of eco-friendliness as compared to
106 mineral acids and low cost as compared to solid acids and ionic liquids. Additionally, it is robust
107 and could be applied for large-scale commercial production of HMF. Therefore, here in this study,
108 few organic acids were used, particularly *p*TSA for the direct synthesis of HMF from the sucrose
109 in water (aqueous) and DMSO (organic) medium, separately. Further, based on the above-
110 mentioned features of *p*TSA, using it in its pure form as an organic acid catalyst in DMSO with
111 $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ as a co-catalyst may enable us to synthesize the appropriate yield of HMF from
112 sucrose, which would also provide decent literature, which has been severely lacking in this sector.
113 Because of the solid nature of *p*TSA at room temperature, it can be easily recovered from the
114 reaction system and reused, making it environmentally friendly. The system also contains Lewis
115 acids as co-catalysts, such as $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$, NH_4Cl , and AlCl_3 , which have been shown to be suitable
116 candidates for isomerization of glucose into fructose. According to the current study, the research
117 question is "Can sucrose be converted to HMF effectively using organic and aqueous media with
118 organic catalysts and Lewis acids as co-catalysts? The study's objective is to compare several
119 organic acids catalyzed reactions to produce HMF from sucrose. The obtained results may serve
120 as a strong tool for future sucrose to HMF conversion and will also serve as an optimized and
121 controlled process to maximize the yield of HMF and minimize production of by-products.

122 **2. Material and methods**

123 **2.1. Chemicals and materials**

124 Sucrose (99%), fructose (>99.5%), glucose (99.5%), oxalic acid (99.5%), malonic acid (97%),
125 *p*-toluenesulfonic acid (*p*TSA, 99.5%), maleic acid (99.5), and succinic acid (99.5%) were
126 purchased from Aladdin Biotechnology Co., Ltd. (Shanghai, China). While dimethyl sulfoxide
127 (DMSO, 99.8%), were obtained from Beijing chemical works (Beijing, China). The analytical
128 standards of sucrose, glucose, fructose, levulinic acid, 5-hydroxymethyl furfural (HMF), and
129 formic acid (FA) were also obtained from Aladdin Biotechnology Co., Ltd. (Shanghai, China).
130 Ultra-pure water having 18.25 Mega ohm (M Ω) resistivity was prepared in the lab.

131 **2.2. Experimental procedures**

132 Conversion of sucrose into HMF was conducted using 15 mL ACE glass pressure tubes
133 immersed in an oil bath. The required amount of sucrose was taken and a certain amount of catalyst
134 (based on the mole ratio of sucrose) was dissolved in a 5 mL reaction solvent consisting of organic
135 acids and H₂O at different volume ratios. Then, the pressure tube was placed in the preheated oil
136 bath. After the specified reaction time, the tube took out of the oil bath and instantly cooled in ice-
137 cold water to stop the reaction. The acquired sample was diluted and filtered via a 0.22- μ m syringe
138 filter and stored in the refrigerator for analysis. Later the prepared samples were subjected to HPLC
139 for further analysis.

140 **2.3. Analytical methods**

141 The concentration of sucrose, glucose, fructose, HMF, formic acid (FA), and levulinic acid
142 (LA) **found out** by HPLC analysis (SHIMADZU, Japan) supplied with an Aminex[®] HPX-87H
143 strong acid cation exchange resin column (300 mm \times 7.8 mm, Bio-Rad, USA) at 65 $^{\circ}$ C with RID-
144 10A DRID (Differential Refractive Index Detector). Sucrose, HMF, fructose, FA, and LA analyses
145 were conducted with the same column and 5 mM sulfuric acid solution as the eluent at a flow rate

146 of 0.8 ml/min. Before the analysis, all the samples were filtered via a 0.22- μm syringe filter and
147 diluted with ultra-pure water. The injection volume was 20 μl per analysis and an auto-injection
148 module was applied.

149 The HMF yield was calculated by the following equation:

$$150 \quad X_S = \left[1 - \frac{C_{S(t)}}{C_{S(0)}} \right] \times 100\% \quad (1)$$

$$151 \quad Y_P = \left[\frac{C_P}{2 \times C_{S(0)}} \right] \times 100\% \quad (2)$$

$$152 \quad S = \left[\frac{Y_{HMF}}{X_S} \right] \times 100 \quad (3)$$

153 When the sugar mixture was used for HMF production, the yield of HMF was defined as
154 follows: The term humin is applied to glyceraldehyde, disaccharides, and other unidentified
155 products formed during the process. These anonymous products are calculated by carbon balance
156 (CB) according to the equation 4 [9]. Whereas, X_S = Sucrose conversion, Y_P = Product yield, C_S =
157 concentration sucrose, (t) = time, (0) = initial time, C_P = Product concentration, S= selectivity,
158 Y_{HMF} = Yield of HMF.

$$159 \quad \text{CB} = [12 \times X_G - \{(6 \times Y_G) + (6 \times Y_F) + (6 \times Y_{HMF}) + (5 \times Y_{LA}) + (1 + Y_{FA})\}] \quad (4)$$

160 3. Results and discussion

161 3.1. Dehydration of sucrose to HMF in an aqueous system

162 Water is mostly preferred as a solvent for chemical transformation due to process economics,
163 environmental concerns, and versatile physio-chemical properties. Also, water has a higher
164 solubility of sugars without availability and prodigious financial matters [36]. Considering these

165 advantages, we applied water as the first-choice solvent for our sugar dehydration experiments.
 166 The dehydration method of sugar to HMF adapted by Sajid et al. [17, 35] was used as a model
 167 experiment for the screening of acid catalysts. The selected organic acids were used for sucrose
 168 conversion to HMF, and the result elucidated the catalytic superiority of *p*TSA and oxalic acid as
 169 a sugar dehydration catalyst (Table 1). Glass apparatus was used to perform the experiments, so
 170 any effect of metal ion leaching from the reaction system is eliminated. Numerous side products,
 171 such as formic acid (FA), levulinic acid (LA), glyceraldehyde, and disaccharides were detected.
 172 Quantitative analysis of the acids was performed using the HPLC system. The anonymous
 173 products (humin) are calculated by carbon balance equation 4 [9].

174
 175 **Table 1** Conversion of sucrose to HMF. Reaction conditions: 0.5 M sucrose and 1 M catalysts in
 176 50 ml of ultrapure water, system heated in an oil bath at 100 °C and stirred at 200 RPM.

Catalyst	Sucrose conversion (%)	Glucose yield (%)	Fructose yield (%)	LA yield (%)	HMF yield (%)	Time (h)
<i>p</i> TSA	100	55.3	32.2	9.3	10.1	4
Oxalic acid	100	60.5	47.2	3.7	10.1	6
Malonic acid	90	62.1	37.8	10.4	0.9	8

178 Complete hydrolysis of sucrose was observed when oxalic acid and *p*TSA were used as
 179 catalysts, as indicated in Table 1. It can be noted from the results that the hydrolyzed sugars
 180 converted to HMF preferably with *p*TSA, but surprisingly the obtained HMF yield was the same
 181 with both acid catalysts. However, the degradation of HMF was also recorded with both catalysts,
 182 and the LA yield observed with *p*TSA was 2.5 fold higher than oxalic acid. Higher acidity of *p*TSA

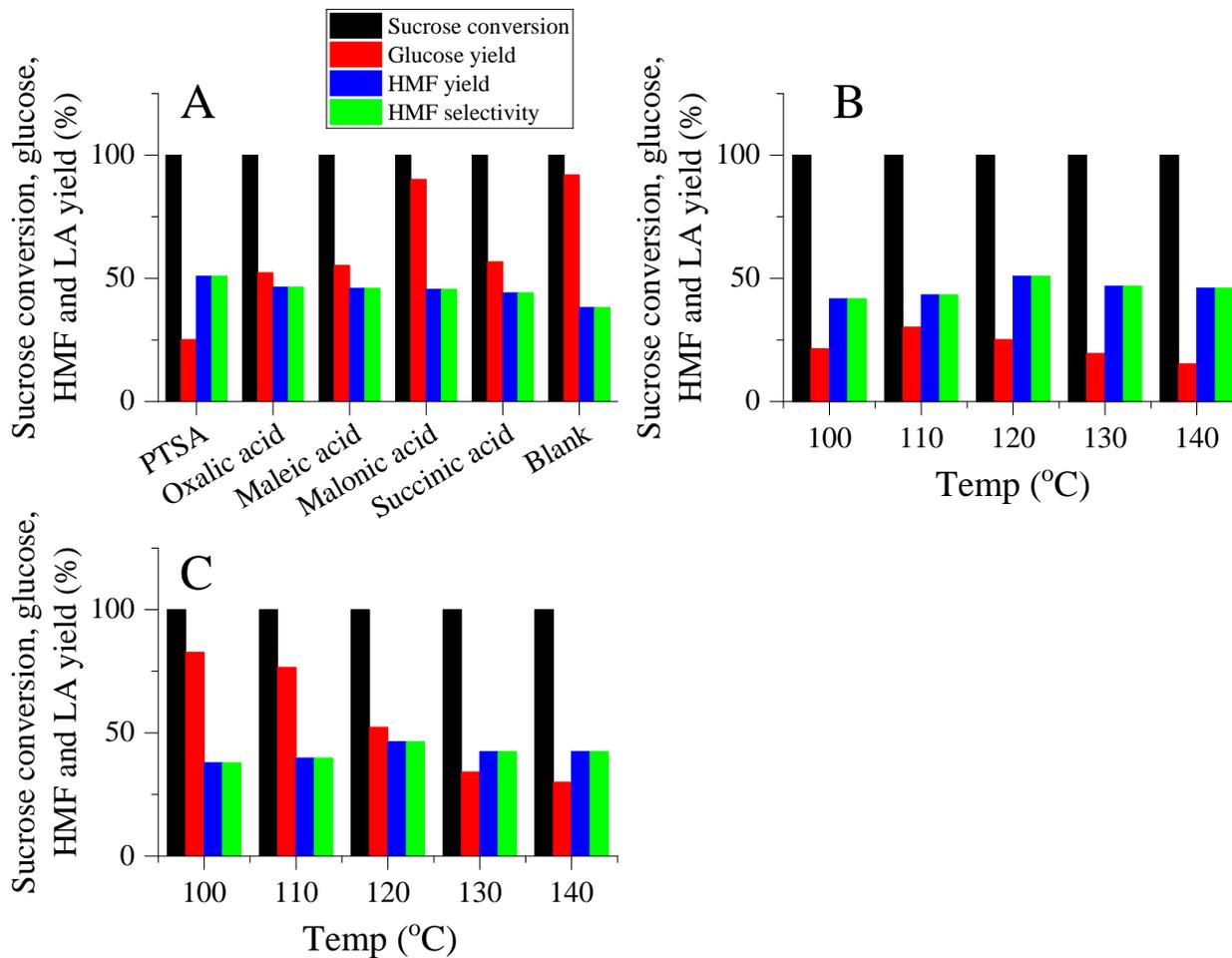
183 ($pK_{a1} = -2.8$) than oxalic acid ($pK_{a1} = 1.27$) is the most possible reason for this preeminence activity.
184 On the other hand, malonic acid-catalyzed sucrose hydrolyzed successfully (90%); however, the
185 dehydration process was suffered from lower potential, and the meager yield of HMF (<1%) was
186 noted. Hereafter, the use of malonic acid for the further experiment was terminated, and only *p*TSA
187 and oxalic acid were applied in succeeding experiments. 96.5% fructose conversion was reported
188 in aqueous at 200 °C and 87.2% HMF yield with a selectivity of 85.5% and 95.8% [37]. **It was**
189 **observed during the glucose dehydration reaction that a high conversion (98.7%) can be achieved**
190 **using *p*TSA and CrCl₃.6H₂O catalyst combination[17].** Ma et al., (2018) also achieved a complete
191 conversion of sucrose at 150 °C in methyl isobutyl ketone (MIBK) with KPO₄ in 3 h while
192 increasing the temperature to 170 °C the conversion time was reduced to 1.0 h [6]. The superiority
193 of *p*TSA is evident from the above studies that 100 % sucrose conversion could be achieved with
194 a lower temperature than the other catalysts. The superiority of *p*TSA is due to its more acidic
195 nature and stability at high temperatures [38]. These results direct the poor isomerization under
196 prevailing process parameters and necessitate the provision of some higher process environment
197 for the successful glucose isomerization to fructose. Considering the temperature and pressure
198 constraint, further application of water as a solvent for this process was replaced with an organic
199 solvent to attain the higher temperature maintaining the atmospheric pressure.

200 **3.2. Conversion of sucrose to HMF in an organic solvent medium**

201 Since oxalic acid and *p*TSA gave the HMF yields of 23% from fructose when applied in the
202 water medium, and 80 - 90% when applied in **the** DMSO phase[35]. Similarly, the *p*TSA-NH₄Cl
203 combination yields the HMF with 47% yield when applied in a glucose dehydration experiment in
204 the DMSO medium[17]. High boiling-point organic solvents used in fructose and glucose
205 experiments proved the solvation superiority of DMSO for the production of HMF from sugars

206 [17,35]. Therefore, DMSO was selected, keeping in view of the advantages of high HMF yield
207 from fructose and glucose, high-temperature stability, and better solvation properties toward
208 HMF[39]. Additionally, DMSO solvates the glucose moieties by distracting their intermolecular
209 hydrogen bonding[40]. These results in stabilized furan structure of glucose along with its good
210 dehydration capabilities by playing the role of acceptor/donor of lone pairs from its sulfur and
211 oxygen atoms, respectively. It is also ascertained that DMSO preferably solvates the HMF
212 carbonyl group and precludes its further degradation to humin and rehydration to LA/FA[41].
213 Because of these reasons, the selected solvent was used for the screening of organic acid catalysts.
214 Organic acids, *p*TSA ($pK_{a1} = -2.8$), oxalic acid ($pK_{a1} = 1.27$), maleic acid ($pK_{a1}=1.9$), malonic acid
215 ($pK_{a1}=2.83$) and succinic acid ($pK_{a1}=4.2$) were used, and results are shown in Figure 1 (A). Results
216 illuminated the catalyst superiority of *p*TSA, and a 50.9 % HMF yield was obtained in 60 minutes.
217 Maleic acid and malonic acid give the near equal yield of ~ 45%, and the obtained HMF yield was
218 in the order of *p*TSA>oxalic acid> maleic acid> malonic acid >succinic acid > blank.

219 On the other hand, oxalic acid gives a yield of 46.4% at an extended reaction time of 120
220 minutes (Figure 1 A). Both catalysts (*p*TSA and oxalic acid) exhibited comparable reactivity, so
221 both were applied in temperature optimization reactions.



222

223

224 **Figure 1** Conversion of 0.5 M sucrose to HMF with 1.0 M acid in 50 ml DMSO from 1 – 7 h with
 225 a string rate of 200 rpm. (A) Different acid catalysts at 120 °C; (B) *p*TSA at different temp; and
 226 (C) Oxalic acid at different temp.

227 **3.3. Influence of temperature on the conversion of sucrose to HMF.**

228 The rise in temperature affects positively from 100 - 120 °C, and the highest HMF yield was
 229 obtained at 120 °C (Figure 1 B and C)). When the temperature was further amplified from 120 °C
 230 to 140 °C, the inverse effect was observed for both catalysts. The overall result of an increase in
 231 temperature decrease in the processing time is also observed. On increasing temperature from 100 °C

232 to 110 °C, yield increased from 41.7% to 43.4%, and further increase to 120 °C gives the maximum
233 HMF yield of 50.9 % expending *p*TSA as a catalyst (Figure 1 B). On the rise beyond 120 °C, a
234 decrease in HMF yield can be observed. The reaction time was 120, 90, 60, 30, and 15 minutes at
235 100, 110, 120, 130, and 140 °C, respectively. Similarly, using oxalic acid as a catalyst, HMF yield
236 was increased from 37.8% to 39.8% when the temperature increases from 100 °C to 110 °C and a
237 maximum of 46.4% is recorded at 120 °C (Figure 1 C). However, after this temperature displayed
238 an inverse effect on product yield. The HMF peak was obtained after different time intervals
239 ranging from 1-3 hours.

240 These results clarified that 120 °C is the optimum temperature for both catalysts, *p*TSA as
241 well as oxalic acid. Therefore, 120 °C was applied for the screening of Lewis acids in proceeding
242 experiments.

243 **3.4. Comparison of different Lewis acid catalysts**

244 Following the optimized conditions of organic acid-catalyzed sucrose conversion to the HMF,
245 the experiment further proceeded to check the effect of different Lewis acids. Lewis acids play an
246 active role in glucose isomerization to fructose as compared to organic acids considering the results
247 obtained in the glucose dehydration experiments (Figure 1) [21]. NH₄Cl, AlCl₃, CrCl₃.6H₂O were
248 investigated as co-catalyst applying with *p*TSA and oxalic acid catalysts and obtained results are
249 available in Table 2.

250 Results revealed that CrCl₃.6H₂O gives the maximum HMF yield both with *p*TSA as well as
251 with oxalic acid catalyst (Table 2). When the pure glucose was used, the maximum HMF yield
252 was obtained with NH₄Cl however; here, NH₄Cl gives the lower yield than CrCl₃.6H₂O. The
253 highest HMF yield of ~55% was obtained with *p*TSA-CrCl₃.6H₂O (90 min) combination. Using

254 **oxalic acid**, the HMF yield reduces to approximately 49%. NH_4Cl gives the equal HMF yield with
255 both organic acids, however, surprisingly AlCl_3 gives the lower yield with *p*TSA than oxalic acid.
256 However, the reaction time was much shorter when *p*TSA was used as compared to oxalic acid,
257 most probably due to the higher acidity of *p*TSA than oxalic acid. Experimental results also vetted
258 this hypothesis as the rehydration of produced HMF was recorded (LA yield = 3.35-3.73%) in
259 *p*TSA catalyzed process. Therefore, the rehydration of produced HMF can be considered as the
260 main cause of lower HMF yield. Furthermore, the blackish-brown color of the product solution, in
261 the end, indicates the humin formation. Relatively lower LA yield was observed when oxalic acid
262 was applied as an organic acid in place of *p*TSA. Lewis acids worked well as co-catalysts with
263 *p*TSA and oxalic acid for the hydrolysis of sucrose, however, the effect on glucose dehydration
264 was different. *p*TSA-Lewis acid efficiently converted the glucose, as indicated by the low
265 concentration of glucose present in the reaction mixture. However, the oxalic-Lewis acid
266 combination was less active as compared to the *p*TSA-Lewis catalyst combination, and higher
267 glucose content was noted in the reaction mixture. The highest glucose yield (35.8%) was recorded
268 when NH_4Cl was used; however, it dropped to one half (only 17%) when $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ was applied
269 as a co-catalyst. This high glucose conversion contributed to the highest HMF yield. Overall the
270 observed catalytic efficiency of Lewis acid was $\text{CrCl}_3 \cdot 6\text{H}_2\text{O} > \text{NH}_4\text{Cl} > \text{AlCl}_3$ for both organic
271 acids.

272 **Table 2** Effect of Lewis acid addition on sucrose conversion to HMF; Reaction condition; Sucrose
273 0.5 M with 1 M organic acid and 0.1 M Lewis acid in 50 ml DMSO heated in an oil bath at 120 °C
274 with stirring rate of 200 RPM.

Organic acid	Lewis acid	Time (min)	Sucrose conversion (%)	Glucose yield (%)	HMF yield (%)	Levulinic acid yield (%)	HMF Selectivity (%)
<i>p</i> TSA	AlCl ₃	120	100	8.52	45.3	3.35	45.3
	NH ₄ Cl	90	96.9	18.6	48.1	3.35	49.6
	CrCl ₃ .6H ₂ O	90	100	14.8	54.8	3.7	54.8
Oxalic acid	AlCl ₃	120	100	28.9	48.32	1.5	48.3
	NH ₄ Cl	120	83.6	35.8	48.1	Traces	57.4
	CrCl ₃ .6H ₂ O	240	100	16.9	48.9	2.8	48.9

275

276 Fructose yield was meager and was challenging to detect in the product solution. In most of
277 the cases of Lewis acid co-catalyzed reactions, only traces of fructose were observed, so
278 quantitative results cannot be determined. Similar findings have been reported previously in the
279 literature[42,43]. Tian et al. used DMSO and GVL solvents with Lewis acids (AlCl₃, FeCl₃, and
280 ZnCl₂) alone and in combination with Brønsted acids. They found the AlCl₃ as the best catalyst
281 while converted sucrose to HMF and obtained a maximum yield of 47.5% at 160 °C. Their
282 findings are lower than the current results at high temperatures (160 °C) comparatively, which
283 means consumption of more energy than our study (120 °C). This might be due to *p*TSA is more
284 acidic, high acidity ensures the viability of protons for catalytic conversion [44]. Recovery and
285 reusability of *p*TSA are its important benefits because it is solid at room temperature; hence can
286 be recovered very easily by precipitation method which makes the process cheaper. Additionally,
287 no human toxicity has been reported [17]. The HMF yield of 53.5% using aqueous GVL solvents
288 catalyzed by AlCl₃ and the addition of salts (KCl or NaCl) at 160 °C has been reported, which is
289 still slightly lower than our findings. Similarly, Wrigstedt et al. (2015) examined the catalytic

290 activity of 16 metal halide catalysts in the production of HMF in a biphasic system from glucose
291 and found out that $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$, CrCl_2 , and AlCl_3 were the most capable catalysts among the
292 selected halides while producing the yields of 35%, 25%, and 23%, respectively. Whereas, the
293 lower HMF yield production of 1% and 2% were with catalysts FeCl_3 and ZnCl_2 , respectively.
294 Likewise, Rasrendra et al.[45] concluded slightly lower results than the present study, who
295 examined metal halides for the synthesis of HMF using glucose as substrate in DMSO and stated
296 that CrCl_3 and CrCl_2 presented almost the same HMF yield (54%), followed by AlCl_3 that resulted
297 into a yield of about 52%. However, our findings are still considered more suitable for the
298 commercial production of HMF because sucrose is a widely abundant and cheaper source as
299 compared to glucose. Like molasses, a by-product of sugar processing consists of approximately
300 50% of sucrose, which provides a reasonable source of carbohydrates for industrial use. The reuse
301 and recycling of leftover materials in a beneficial way is appealing to the modern era where the
302 situation of pollution is at an alarming level and the global population is increasing rapidly
303 enhancing the demands for food security and fossil fuels. According to Sajid et al.[17], the
304 dehydrated production of HMF from glucose using the same medium (DMSO and $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ as
305 co-catalyst) was found to lower HFM yield (36.3%) than the present study. This is mainly
306 attributed to the conversion of glucose to HMF needs the isomerization of glucose to fructose at
307 the first stage and then to HMF [46]. Ma et al. (2018)[6] applied varieties of catalysts in a water-
308 MIBK solvent medium. Although the addition of MIBK gave good results but MIBK has some
309 health concerns; hence not selective. Stout et al. (2008)[47] studied the exposure of mice to MIBK
310 and concluded it caused kidney and liver tumors in the animal. It is also evident from other studies
311 that spectrum of kidney lesions upon short-term exposures to MIBK[48,49]. In contrast, Hallare

312 et al., 2006 [50] and Chen et al., [51] studied DMSO's effects on zebrafish and found no toxic
313 effect or lower effect in zebrafish embryos [50,51].

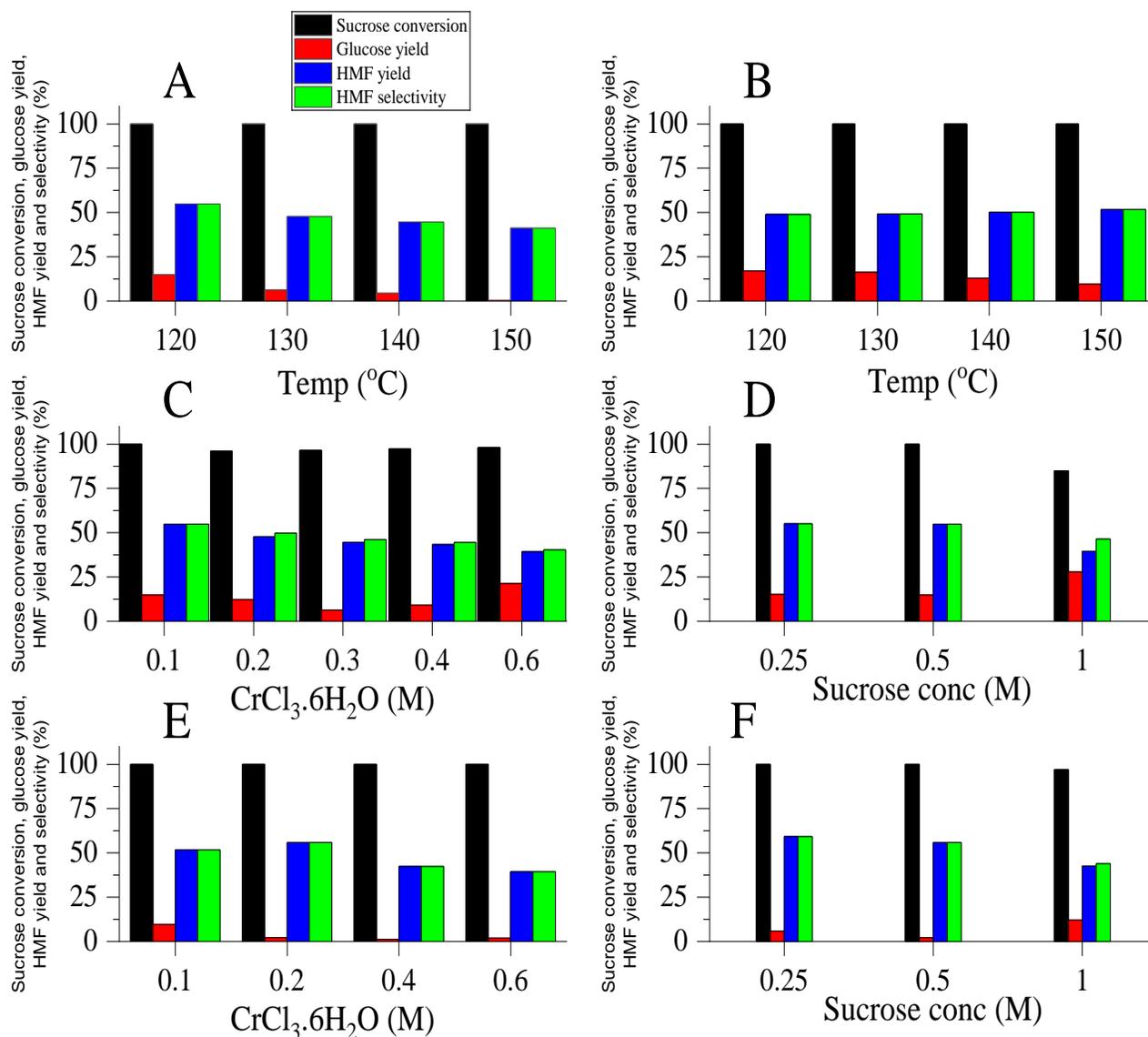
314 Our results explained the $\text{CrCl}_3 \cdot \text{H}_2\text{O}$ as the best Lewis acid co-catalyst; however, some
315 unreacted glucose is also present in the reaction solution (Table 2), which indicates the partial
316 conversion of glucose. To process this remaining glucose, reaction temperature and catalyst
317 concentration were further optimized. Even though the quantification of various side products is
318 challenging, color change from colorless to blackish-brown partially confirms the formation of
319 these unwanted side products.

320 3.5. Effect of temperature on conversion of sucrose to HMF

321 The temperature impact on the $p\text{TSA-CrCl}_3 \cdot 6\text{H}_2\text{O}$ catalyzed conversion of sucrose into HMF
322 was investigated applying a temperature span of 120-150 °C. As the 120 °C was the optimum
323 temperature in the pure organic acid-catalyzed process and a decrease in temperature decreased
324 the HMF selectivity (Figure 2 B and C) therefore, the lower temperature has not been investigated
325 further in this experiment.

326 When a mixed acids catalyst ($p\text{TSA-CrCl}_3 \cdot 6\text{H}_2\text{O}$) was applied, the temperature impact was
327 different from the sole $p\text{TSA}$ catalyzed process. HMF yield decreased with the increase in
328 temperature beyond 120 °C (Figure 2 A). The maximum HMF yield was again at 120 °C, similar
329 to the sole organic acid-catalyzed process. Hence, 120 °C was the optimum temperature for this
330 process. A different trend in temperature increase beyond 120 °C was noted by Ma et al. and Tian
331 et al.[6,44]. It is mainly attributed to the high acidic nature of $p\text{TSA}$ and with increase in
332 temperature may enhance side reactions of rehydration of HMF occurs[52].

333 Surprisingly, the synergy of oxalic acid and $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ catalyzed process was entirely
334 different from the *p*TSA-catalyzed process. As indicated in Table 2, unreacted glucose was present
335 largely (17% - 36%) in the reaction solution. The increase in temperature converts the glucose
336 successfully, and an incremental escalation in HMF yield was experienced. The maximum HMF
337 yield of 52% was obtained at 150 °C (Figure 2 B). Combine catalyst (oxalic acid and $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$)
338 proficiently dehydrated the glucose at elevated temperature, which moderately increased the HMF
339 yield. Side reactions were also stimulated with the rise of temperature. LA yield was nearly 7% at
340 150 °C in the oxalic acid-catalyzed process, whereas at 120 °C there was only 3%. Similarly, the
341 increasing viscosity and color darkness also indicate the increase in humin concentrations at
342 elevated temperatures [6,44]. It is might be due to the lower acidity of oxalic acid that needs a high
343 temperature to hydrolyze the glycosidic linkage [53].



344

345

346 **Figure 2** Conversion of sucrose to HMF; (A) 0.5 M Sucrose with 1 M *p*TSA and 0.1 M

347 CrCl₃·6H₂O at different temperatures; (B) 0.5 M Sucrose with 1 M oxalic acid and 0.1 M

348 CrCl₃·6H₂O at different temperatures; (C) 0.5 M Sucrose with 1 M *p*TSA and 0.1 – 0.6 M

349 CrCl₃·6H₂O at 120 °C; (D) 0.25 – 1.0 M Sucrose with 1 M *p*TSA and 0.1 M CrCl₃·6H₂O at 120 °C;

350 (E) 0.5 M Sucrose with 1 M *p*TSA and 0.1 – 0.6 M CrCl₃·6H₂O at 150 °C; (F) 0.25 – 1.0 M Sucrose

351 with 1 M oxalic acid and 0.2 M CrCl₃·6H₂O at 150 °C.

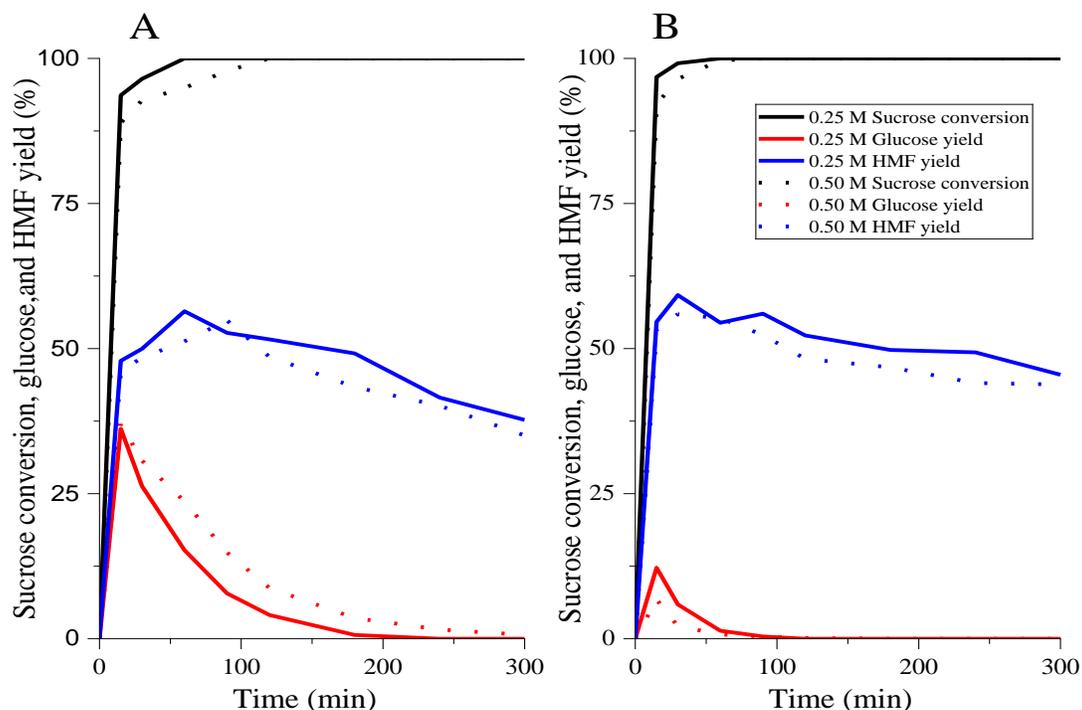
352 **3.6. Concentration effects on the conversion of sucrose to HMF**

353 The dependency of product yield on the catalyst quantity was investigated by navigating the
354 $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ concentration from 0.1 M to 0.6 M, and the results are displayed in Figure 2 (C and
355 E). Inverse effects were observed on HMF yield with an increase in $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ concentration
356 when *p*TSA was used (Figure 2 C). HMF yield reduces with an increase in catalyst concentration
357 under optimized temperature and organic acid concentration. Therefore, the results showed that
358 0.1 M is the optimized concentration of $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ for the *p*TSA catalyzed process. The substrate
359 concentration effect was explored by varying the initial sucrose contents between 0.25 – 1.0 M.
360 Small change in HMF yield was noted with variation in initial sucrose concentration, when sucrose
361 contents were amplified from 0.25 M to 0.5 M, HMF yield decreased to 54.8% from 55.1%.
362 However, a further increase from 0.5 M to 1.0 M decreased the product yield to 40% (Figure 2 D).
363 A small increase in the product yield was acquired with a lower sucrose concentration (0.5 M to
364 0.25 M sucrose). Still, the high level is beneficial for industrial applications and to obtain a high
365 production rate. Therefore, 0.5 M initial sucrose concentration is recommended. The pattern is
366 similar to the previous studies [6,53]. This is might be attributed to the side reactions between
367 HMF and sugars molecules under a high concentration of substrate and catalysts [6].

368 **3.7. Effect of time on the conversion of sucrose to HMF**

369 Conversion of sucrose into HMF was studied by performing experiments using optimized
370 parameters of *p*TSA as well as oxalic acid as catalysts for a prolonged reaction time of 5 h. Sucrose
371 conversion increased with time, and almost a complete transformation was achieved in 1 h for 0.25
372 M and in 2 h for 0.50 M at 120 °C which different than concluded Ma et al. and Tian et al. [6,44]
373 at 160 °C in 3 h, which indicates the catalytic superiority of *p*TSA, though the achieved a complete

374 conversion in 1 h at 170 °C, so, *p*TSA and CrCl₃.6H₂O combination is more suitable in term of
375 time and energy. However, the maximum HMF yield was achieved with different time intervals
376 and strongly depends on initial sucrose concentration. As shown in Figure 3 (A), conversion time
377 was increased from 60 minutes to 120 minutes when initial sucrose contents were increased from
378 0.25 M to 0.5 M using 1 M *p*TSA and 0.1 M CrCl₃.6H₂O concentrations. Fructose, released by the
379 hydrolysis of sucrose consumed rapidly by the reactive system, whereas initial glucose conversion
380 was shallow. The maximum glucose yield with almost equal concentration was observed in the
381 first 15 minutes of the reaction. Glucose expended gradually with the time and complete
382 conversion was observed between 3-5 h. Product yield reached a peak value of 55.1% in 60
383 minutes and 54.83% in 90 minutes with 0.25 M and 0.50 M sucrose concentrations, respectively,
384 and then a gradually decreasing trend was observed. An increase in concentration to two-fold
385 increased the reaction time; however, the incremental rise in HMF yield is not so large. 0.5 M
386 sucrose concentration is more selective because of the high production rate. However, a
387 comprehensive economic analysis is required to evaluate the comparative financial impact.



388

389

390 **Figure 3** Effect of time on transformation of sucrose into HMF. (A) 0.25 and 0.5 M Sucrose with
 391 1 M *p*TSA and 0.1 M CrCl₃.6H₂O at 120 °C; (B) 0.25 and 0.5 M Sucrose with 1 M oxalic acid and
 392 0.2 M CrCl₃.6H₂O at 150 °C.

393 Similar to the *p*TSA catalyzed process, complete sucrose conversion was achieved in less
 394 time using 0.25 M sucrose as compared to 0.5 M sucrose concentration applying oxalic acid
 395 catalyst, as indicated in Figure 3 (B). Glucose yield was maximum in the first 15 min; however,
 396 the level was quite low as compared to the *p*TSA catalyzed process because the CrCl₃.6H₂O
 397 contents were two-fold and the temperature applied was relatively high, i.e. 150 °C. Similar to the
 398 *p*TSA-catalyzed process, HMF yield reached a peak value of 59.21% and 55.9% in 30 min with
 399 0.25 M and 0.50 M sucrose concentrations, respectively. An increase in catalyst strength did not
 400 affect the reaction time. Oxalic acid-catalyzed conversion of sucrose to HMF is more selective

401 than *p*TSA catalyzed process, and high HMF yield is obtained in only 30 minutes. The reaction
402 time is much less as compared to *p*TSA catalyzed process due to rigorous process parameters, i.e.
403 the used $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ concentration was twice that *p*TSA-catalyzed process, and the applied
404 temperature was high (150 °C) as compared to the *p*TSA-catalyzed process (120 °C). The oxalic
405 acid-catalyzed process is more selective than *p*TSA catalyzed process in terms of reaction time
406 and process yield. However, the applied temperature and catalyst concentration is relatively high.
407 High temperature makes the process energy-intensive and energy-sensitive, so delicate control is
408 required.

409 4. Conclusions

410 Different media (aqueous and organic) and catalysts combinations were investigated to
411 evaluate the suitable biphasic system for the conversion of sucrose to HMF. It is concluded that
412 the *p*TSA- $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ and oxalic acid- $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ were more suitable. 100% conversions were
413 found using both the catalysts in organic medium with selected Lewis acids. Furthermore, the
414 *p*TSA- $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ combination was superior as compared to the latter one. The effects of
415 temperature and concentration were also noted. The optimum temperature was found to be 120 °C
416 while in the case of oxalic acid, the highest yield was obtained at 150 °C. Inverse effects were
417 observed on HMF yield with an increase in $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ concentration when *p*TSA was used and
418 0.1 M was reported as the ideal concentration of $\text{CrCl}_3 \cdot 6\text{H}_2\text{O}$ with a 0.5 M of the substrate.
419 However, the maximum HMF yield was achieved with different time intervals depending on initial
420 sucrose concentration. The kinetic analysis of the designed conversion scheme and investigation
421 of *p*TSA catalytic potential is recommended in order to explore the potential of organic acids as
422 future acidic catalysts with reduced environmental concerns.

423 **Declaration of Competing Interest**

424 The authors declare no conflict of interest.

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