Yau Tung On (Orcid ID: 0000-0002-3283-0370)

Hyperactive neutrophil chemotaxis contributes to anti-TNF α treatment resistance in inflammatory bowel disease

Tung On Yau^{1,2*}, Jayakumar Vadakekolathu^{1,2}, Gemma Ann Foulds^{1,2}, Guodong Du³, Benjamin Dickins^{1,2}, Christos Polytarchou^{1,2}, Sergio Rutella^{1,2*}

¹John van Geest Cancer Research Centre, School of Science and Technology, Nottingham Trent University, Nottingham, UK ²Centre for Health, Ageing and Understanding Disease (CHAUD), Nottingham Trent University, Nottingham, UK ³Department of Artificial Intelligence, Xiamen University, Xiamen, China *Corresponding Authors

Running Title: Anti-TNFα resistance in IBD

Keywords:

Diagnostic biomarkers, Inflammatory bowel diseases, IL13RA2, anti-TNFa, chemotaxis

ORCID

Tung On Yau: 0000-0002-3283-0370 Jayakumar Vadakekolathu: 0000-0002-2671-4285 Gemma Ann Foulds: 0000-0002-2053-7580 Guodong Du: 0000-0002-8277-387X Benjamin Dickins: 0000-0002-0866-6232 Christos Polytarchou: 0000-0002-1948-7934 Sergio Rutella: 0000-0003-1970-7375

Corresponding Author

Professor Sergio Rutella, MD PhD FRCPath John van Geest Cancer Research Centre School of Science and Technology Nottingham Trent University - Clifton Campus Nottingham, NG11 8NS United Kingdom Tel.: +44 (0) 115 848 3205 E-mail: <u>sergio.rutella@ntu.ac.uk</u>

Tung On Yau, MSc John van Geest Cancer Research Centre School of Science and Technology Nottingham Trent University - Clifton Campus Nottingham, NG11 8NS United Kingdom

E-mail: payton.yau@ntu.ac.uk

Abstract

Background & Aims

Anti-tumour necrosis factor-alpha (anti-TNF α) agents have been used for inflammatory bowel disease (IBD), however, it has up to 30% non-response rate. Identifying molecular pathways and finding reliable diagnostic biomarkers for patient response to anti-TNF α treatment are needed.

Methods

Publicly available transcriptomic data from IBD patients receiving anti-TNF α therapy was systemically collected and integrated. *In silico* flow cytometry approaches and MetaScape were applied to evaluate immune cell populations and to perform gene enrichment analysis, respectively. Genes identified within enrichment pathways validated in neutrophils were tracked in an anti-TNF α -treated animal model (with lipopolysaccharide (LPS)-induced inflammation). The receiver operating characteristic (ROC) curve was applied to all genes to identify the best prediction biomarkers.

Results

A total of 449 samples were retrieved from control, baseline and after primary anti-TNF α therapy or placebo. No statistically significant differences were observed between anti-TNF α treatment responders and non-responders at baseline in immune microenvironment scores. Neutrophils, endothelial and B cell populations were higher in baseline non-responders and chemotaxis pathways may contribute to the treatment resistance. Genes related to chemotaxis pathways were significantly up-regulated in LPS-induced neutrophils, but no statistically significant changes were observed in neutrophils treated with anti-TNF α . Interleukin 13 receptor subunit alpha 2 (*IL13RA2*) is the best predictor (ROC: 80.7%, 95% CI: 73.8% - 87.5%) with a sensitivity of 68.13% and specificity of 84.93%, and significantly higher in non-responders compared to responders (p < 0.0001).

Conclusions

Hyperactive neutrophil chemotaxis influences responses to anti-TNF α treatment, and *IL13RA2* is a potential biomarker to predict anti-TNF α treatment response.

Introduction

Inflammatory bowel disease (IBD) is an idiopathic and relapsing-remitting chronic inflammatory disorder characterised by a susceptible genetic background, causing immunological dysfunction and intestinal microbiome dysbiosis.¹ The long-standing mucosa inflammation destruct tight junctions, induces intestinal barrier injury and permeability and increases the incidence of colonic neoplasia.¹ It is estimated that the prevalence of IBD

exceeds 0.3% in North America, Oceania, and many countries in Europe.² With the incidence rising in the newly industrialised countries, including Brazil and Taiwan,³ thus, IBD places a large burden on public health services and healthcare economies.

Tumour necrosis factor-alpha (TNF α) is a pleiotropic cytokine that participates in several pathological processes in IBD and is recognised as a pro-inflammatory cytokine. The production of biologically active homotrimer TNF α originally from the precursor TNF α through TNF-converting enzyme (TACE) proteolysis from the soluble TNF α .⁴ TNF α activity is mediated through binding to the TNF receptors I and II (TNFRI and TNFRII).⁵ This binding activates immune cells response and pro-inflammatory cytokine and chemokine productions, such as IL-1, IL-6, IL-8 and RANTES. It also increases the expression of adhesion molecules, production of matrix metalloproteinase and induction of apoptosis.⁶ The use of anti-TNF α compounds such as full monoclonal IgG1 antibodies (infliximab and adalimumab), pegylated anti-TNF α F[ab']2 fragment (certolizumab) and IgG1 κ monoclonal antibody derived from immunising genetically engineered mice with human TNF α (golimumab) have been approved for IBD patients,⁷ including Crohn's disease (CD) and ulcerative colitis (UC) and IBD unclassified (IBD-U).⁸

Although CD and UC are the distinct subtypes of IBD, these diseases present a certain level of similarities, including symptoms, pathological features, immune response, risk factors and the biological pathways producing $TNF\alpha$.⁹ In addition, studies found that up to 3% of CD patients will be reclassified as UC and *vice versa* after their primary diagnosis, 5 – 15% of IBD patients classified as IBD-U and a small portion of UC patients is later changed to CD or IBD-U.¹⁰ More importantly, up to 30% of patients do not respond to anti-TNF α blockers^{1,11} and the use of vedolizumab (Anti-IL-12/23) and ustekinumab (anti-integrin), may be efficacious in many patients that failed anti-TNF α therapy.¹² Thus, there is a clear need to identify potential anti-TNF α treatment pathways in overall IBD patients with a view to better targeting anti-TNF α treatment effects.

Methods

Search strategy, data collection and integration

A searching strategy for publicly available datasets related to IBD patients received anti-TNFα therapy was designed for the NCBI Gene Expression Omnibus (GEO) database dated December 31, 2020, using the keywords, "TNF", "Tumor Necrosis Factor", "anti-TNF", "anti-Tumor Necrosis Factor", "Infliximab", "Adalimumab", "Golimumab", "inflammatory bowel disease", "IBD", "ulcerative colitis", "UC", "Crohn Disease" and "CD". The included datasets have to meet the following inclusion criteria: (1) colonic sample from IBD patients, (2) transcriptomic data, (3) raw data are available, (4) anti-TNF α treatment response status, (5) publicly accessible (6) each of the original studies obtained approval from their local ethics committee and had written, informed patient consent. Sample exclusion criteria were as follows: (1) subjects receive therapy other than anti-TNF α , (2) overlapped subjects, (3) colonic samples other than large intestine, (4) transcriptomic data other than Affymetrix, (5) the post-treatment time point being over three months.

The eligible raw microarray datasets were collected and subjected to background correction, normalisation, and summarisation using the Robust Multichip Average (RMA) algorithm using Affy package version 1.66.0 individually.¹³ Mean value of multiple probe sets representing the same gene was calculated. Next, the ComBat function from sva package version 3.36.0 was implemented on the datasets to eliminate the study-specific batch effects.^{14,15}

Composition of immune cells and immune-related scores evaluation

The evaluation of immune microenvironment scores and immune-stroma cells population are calculated using xCELL¹⁶ and ESTIMATE (Estimation of Stromal and Immune cells in MAlignant Tumor tissues using Expression data)¹⁷ algorithms. The immune cell types were evaluated from gene expression profile using 5 different algorithms, including CIBERSORT,¹⁸ EPIC,¹⁹ MCP-Counter,²⁰ xCELL and Deconvolution-To-Estimate-Immune-Cells (DTEIC)²¹. Each of the algorithms was developed using their in-house or publicly immune cells expression data and different statistical learning approaches. For instance, DTEIC utilised ε -support vector regression (ε -SVR) and CIBERSORT applied linear support vector regression (SVR)^{18,21}; MCP-Counter is a single sample scoring system, while xCELL requires heterogenous dataset^{16,20}; ESTIMATE utilises single-sample Gene Set Enrichment Analysis (ssGSEA) to rank samples on the expression of two different 141-gene sets, and xCELL is based on the sets of cells values calculated from its algorithm.^{16,17}

The scores/cells population from EPIC version 1.1, MCP-Counter version 1.2.0 and ESTIMATE version 1.0.13 were performed under R version 4.0.0 programming environment and DTEIC was operated under Python 3.7 programming environment. Both CIBERSORT and xCELL were calculated using their corresponding online tools. The source code can be found on the corresponding authors' GitHub page.

Functional Enrichment Analysis

Identification of differentially expressed genes (DEGs) between responders and nonresponders were calculated using limma package version 3.22.3,²² the threshold for the DEGs has a Benjamini-Hochberg adjusted *p*-value < 0.05 with absolute log 2-fold change \geq 0.75. EnhancedVolcano package version 1.6.0 was applied for Volcano plot.²³ Heatmap was generated by using pheatmap package version 1.0.12.²⁴ All the packages are applied within the R programming environment. The differentially over-expressed genes were utilised for the pathway enrichment analysis using Metascape (<u>http://metascape.org</u>),²⁵ a gene enrichment tool for understanding from previously pre-defined gene sets in different enriched biological themes, including GO terms, Kyoto Encyclopedia of Genes and Genomes (KEGG), Reactome, BioCarta and MSigDB. For each gene inputted into the server, the enrichment score was calculated and clustered to match biological signalling pathways. Visualisation of the selected pathways utilised Cytoscape version 3.8.0.

Lipopolysaccharide-induced inflammation in neutrophils

To further confirm the outcomes from the functional enrichment analysis, experimental neutrophils data from *Macaca mulatta* was applied. Briefly, neutrophils were collected from the target site (at approximately 130 days of gestation). Inflammation was subsequently induced at this site via lipopolysaccharide (LPS) treatment. Subjects were either treated or not treated with adalimumab at 3 hours and 1 hour before LPS, with samples taken at 16 hours post LPS.²⁶ The original study obtained approval from their local ethics committees. The raw count data retrieved from GSE145918 and normalised the values were calculated using per million reads mapped (CPM) from the count matrix used edgeR version 3.32.0 and were log₂ + 1 transformed under R programming environment.

Statistics

Statistical analysis was performed using R version 4.0.0. The pROC package version 1.16.2 in R programming environment was applied to conduct receiver operating characteristic (ROC) curve analysis to evaluate diagnostic accuracy. The statistical significance was evaluated using a Mann-Whitney test, Benjamini and Hochberg adjustment was applied for the IBD treatment data. Differences were considered statistically significant at a *p*-value of < 0.05, and < 0.05, < 0.01, < 0.001 and < 0.0001 are indicated with one, two, three and four asterisks respectively.

Results

Characteristics of studies included in the analysis

After the keyword searching, removal of ineligible and overlapped datasets from the total of 182 records, 5 transcriptomic data, including GSE16879: from the University Hospital of Gasthuisberg, Belgium with ClinicalTrials.gov number NCT00639821;²⁷ GSE23597: the multicentre, randomised, double-blind, placebo-controlled ACT-1 study between March 2002

and March 2005 with ClinicalTrials.gov number NCT00036439;²⁸ GSE52746: the colonic samples collected between November 2010 and November 2013 from the Department of Gastroenterology, Hospital Clinic of Barcelona, Spain;²⁹ GSE73661, UC samples collected from two phase III clinical trials of Vedolizumab (VDZ) - GEMINI I and GEMINI LTS at Leuven University Hospitals, Belgium, the dataset included patients received anti-TNFα blockers;³⁰ GSE92415: the PURSUIT golimumab study conducted in multi-centres, with ClinicalTrials.gov number NCT01988961.³¹ The five eligible microarray datasets were normalised, combined and batch effects corrected (**Supplementary Figure 1**). Eventually, a total of 374 samples, with 17,771 common gene symbols were included in this study (**Table 1**).

Immune microenvironment cells population are significantly higher in non-responders

The immune microenvironment scores from both ESTIMATE and xCELL identified the baseline anti-TNF α treatment non-responders are significantly higher in compared to the responders (ESTIMATE: p < 0.0001, xCELL: p = 0.0003) (Figure 1A-B). The TNF α treatment responders showed a significant drop after their treatments (ESTIMATE: p < 0.0001, xCELL: p = 0.0004) while no significant changes in the non-responders (ESTIMATE: p = 0.0650, xCELL: p = 0.11) (Figure 1A-B). The immune and stoma scores (the two calculation factors for the immune microenvironment) are also significantly higher in baseline anti-TNF α treatment non-responders compared to the responders (Supplementary Figure 2A-D).

Neutrophils, endothelial and B cells are significantly higher in non-responders

To further our understanding of the immune cell-type composition between the treatment responders and non-responders, five different *in silico* flow cytometry approaches, including CIBERSORT, xCELL, EPIC, MCP-Counter and DTEIC were applied (**Supplementary Data 1**). Across the algorithms, neutrophils (MCP-Counter: p < 0.0001; xCELL: p = 0.0084; CIBERSORT: p = 0.0021) (**Figure 2A-C**), endothelial cells (MCP-Counter: p = 0.0009; xCELL: p = 0.0183; EPIC: p = 0.0337) (**Figure 2D-F**) and B cells/B linage (MCP-Counter: p = 0.0042; xCELL: p = 0.0251; EPIC: p = 0.0042) (**Figure 2G-I**) are significantly higher in baseline treatment non-responders compared to the responders. The three-dimensional plots illustrated neutrophils, endothelial cells and B cell from both MCP-Counter and xCELL have positive Pearson correlations with each other using all the eligible data (**Figure 2J-K, Supplementary Figure 3A-B**).

Hyperactive chemotaxis contributes to anti-TNFα treatment resistance in inflammatory bowel disease

The pre-treatment anti-TNF α subjects (responder: n = 91 and non-responder: n= 73) were utilised for differentially expressed genes (DEGs) analysis and identified a total of 77

DEGs (upregulated genes = 64, and downregulated genes = 13) (**Figure 3A-B and Supplementary Data 2**). Principal component analysis (PCA) does not have a clear separation between responders and non-responders subjects in the DEGs (**Figure 3C**). The differently up-regulated genes compose of several gene families, including cytokines (*CCL2*, *CCL3*, *CCL4*, *CXCL13*, *CXCL5*, *CXCL6* and *CXCL8*), chemokines (*IL1B*, *IL6*, *IL11* and *IL24*), S100 protein family (*S100A8*, *S100A9* and *S100A12*), selectin (SELE and SELL), matrix metalloproteinases (*MMP1*, *MMP3* and *MMP10*) and formyl peptide receptors (*FPR1*, *FPR2*). Metascape pathways enrichment analysis on the 64 highly expressed genes revealed that GO terms with chemotaxis are commonly found from the outcomes and may have a critical role affecting anti-TNF α treatment (GO:0030595: leukocyte chemotaxis, GO:0002688: regulation of leukocyte chemotaxis, GO:1901623: regulation of lymphocyte chemotaxis and GO:0050918: positive chemotaxis) (**Figure 3D-E, Supplementary Data 3**).

TNFα blocker does not reduce chemotaxis in LPS-induced inflammation in neutrophils

To demonstrate our finding in chemotaxis, we used the RNA-sequencing data from an animal study.²⁶ Briefly, neutrophils isolated from chorio-decidua cells with LPS-induced inflammation were treated with or without adalimumab.²⁶ The list of genes from the four chemotaxis enrichment terms matched with the neutrophils data to get the mean expression values of each sample (GO:0030595: leukocyte chemotaxis [31 out of 44 genes], GO:0002688: regulation of leukocyte chemotaxis [18 out of 22 genes], GO:1901623: regulation of lymphocyte chemotaxis [13 out of 16 genes] and GO:0050918: positive chemotaxis [18 out of 21 genes]) (**Supplementary Data 4**). The data process workflow is in **Supplementary Figure 4**. All the enrichment terms related to chemotaxis were significantly higher in the LPS-exposed neutrophils, three out of the four enrichment terms do not have significant reduction in the anti-TNF α treated group (**Figure 4**).

Interleukin 13 receptor subunit alpha 2 is a diagnostic biomarker to predict $TNF\alpha$ treatment response

In order to find the best potential biomarker to predict anti-TNF α respond IBD patients, ROC curve analysis was applied to all the genes using a for-loop with pROC package under the R programming environment. Among them, *IL13RA2* has the AUC of 80.7% (95% confidence interval (CI): 73.8% - 87.5%) with the best sensitivity of 68.13% and specificity of 84.93% (**Table 2**, **Figure 5B**, **Supplementary Data 5**). *IL13RA2* was stand-alone from the volcano plot (log₂FC:1.678, adjusted *p* < 0.0001) (**Figure 2B**) and the expression of *IL13RA2* is significantly higher in pre-treatment non-responders compared to the pre-treatment responders (p < 0.0001). The responders showed a bigger drop after the treatment compared to the non-responders (Responder: p < 0.0001, Non-responder: p = 0.0037), and the responders restored the expression level to normal control after the treatment (mean ± standard deviation: control: 4.721±1.039, post-treatment, responder: 4.868±1.364; p = 0.4969) (**Figure 5A**).

Discussion

Treatment resistance of anti-TNF α is a critical issue in IBD patients. By integrating the existing raw data and increasing the statistical power, we revealed that immune microenvironment scores are higher in treatment resistance patients on baseline level (**Figure 1A-B**), indicating a higher inflammatory burden in anti-TNF α treatment non-responders. Further in-depth analysis uncovered neutrophils, endothelial and B cells contribute to the changes of the inflammatory burden (**Figures 3**). Next, a total of 64 up-regulated genes were identified (**Figure 4A-B**) and neutrophil chemotaxis (4 out of the top 12 enrichment terms) may contribute to anti-TNF α treatment resistance in IBD patients (**Figure 4D-E**). Utilising an animal study model, mean expression level (across samples) of genes matching the four chemotaxis GO terms are upregulated in LPS-induced neutrophils but no statistical changes in the adalimumab-treated group (**Figure 4**).

In a typical inflammatory response, immune cells such as macrophages, dendritic cells, natural killer cells, and T lymphocytes release TNF α proinflammatory cytokines, leading to the activation of endothelial cells and neutrophils.³² The activation of endothelial cells in colonic mucosa enhances vascular permeability and induces the recruitment of immune cells, leading to the activation of chemotaxis. The activation of neutrophils follows the tethering, rolling, crawling and transmigration process from the blood vessel into the inflamed colonic tissues.³³ When neutrophils engulf invasive gut microbiome, they release granule proteins and chromatin to form neutrophil extracellular traps (NETs) and secrete anti-microbial peptides to mediate extracellular killing of microbial pathogens.³⁴ However, hyperactive neutrophils trigger an unrestrained activity of the positive feedback amplification loops, leading to endothelial cells and the surrounding tissues damage, inducing resolution delay (IL6, TNF α , and IFN γ) and chemokines (IL8, CCL3, and CCL4), which further the recruitment of neutrophils, monocytes and macrophages to the inflamed sites.³⁵ The use of anti-TNF α blockers significantly suppress the infiltration of neutrophils and B cells population in the inflamed mucosa, and suppresses proinflammatory mediators, such as calprotectin (S100A8/A9), IL8,

IL6, and TNFα production,^{36,37} and matched with our finding only in responders (**Figure 2A-C and Figure 2G-I**). The unwanted immunogenicity, however, has a high level of B cells due to the presence of anti-drug antibodies (ADAs).³⁸ The presence of ADAs neutralise, interfere and/or alter the binding efficacy, as well as pharmaco-dynamic/-kinetic properties of anti-TNFα monotonical antibodies.³⁹

Several S100 calcium-binding protein family genes are highly expressed and previously studied in anti-TNF α treatment (**Figure 3A-B**). Calprotectin is a calcium-binding protein from the S100A8 and S100A9 monomers, representing up to 40% of neutrophil cytosolic proteins and constantly released from the inflamed region(s).⁴⁰ *S100A12*, also known as calgranulin C, is released from neutrophils⁴⁰ and participates in proinflammatory process via the activation of the NF- κ B.⁴¹ A Small-scale study reported that the faecal calprotectin test (commonly used to distinguish between irritable bowel syndrome (IBS) and IBD) and *S100A12* may predict relapse after one year of infliximab treatment⁴² while another faecal calprotectin study did not find the difference.⁴³

IL13RA2 is stand alone in the volcano plot with the highest fold change and the lowest p-value (Figure 3B, Supplementary Data 4), and has the best AUC (80.7%, 95% CI: 73.8% - 87.5%) outcome (Table 2, Figure 5B, Supplementary Data 5). Early studies uncovered *IL13RA2* is active in mucosal biopsies on the UC or CD anti-TNFα treatment non-responders compared to the responders.^{44,45} A small scale study demonstrated soluble IL13RA2 protein cannot be detected in serum, and tissue expression of IL13RA2 could predict anti-TNFa treatment in CD patients.⁴⁶ IL13RA2 is a decoy receptor enable to bind IL13 cytokine, diminishes its JAK1/STAT6-mediated effector functions and activates activator protein 1 (AP-1) to induce the secretion of TGF- β .^{47,48} The IL13 pathway is also dependent on the production of TNFa. Several IL13 targeting drugs have been tested to inhibit hyperactive immune response on Th2-driven inflammatory diseases.⁴⁸ However, insufficient protection was demonstrated by the phase IIa Anrukinzumab (an IL13 monoclonal antibody) clinical trial on UC patients.⁴⁹ Thus, blocking the IL-13 pathway via IL13RA2 could be a new approach for treating IBD patients. IL13RA2 knock-out mice in DSS induced acute colitis model showed a better recovery rate compared to the wide-type mice, and negatively regulate epithelial/mucosal healing.⁵⁰ By neutralising IL13RA2 in DSS induced IBD murine model using a monoclonal antibody, it presented a speedy recovery compared to the control group.⁴⁷

The study here identified many strengths but should be considered in the context of shortcomings. Firstly, we only focused on data from large intestine and eliminated ileum data from the Arijs *et al.* study due to the low number of ileum samples that can be integrated,²⁷

and also reduce the gene expression variation between two different organ sites for downstream analysis. Secondly, our comparison does not include studies from vedolizumab and ustekinumab as it has limited datasets available online. Thirdly, the anti-TNFα response criteria and the determination time points are slightly different between studies. As we can only rely on the information provided by the authors, and thus our study has to accept the potential bias. Fourthly, anti-TNFα is broadly used in colitis-based diseases with a high percentage of treatment failure, and the diagnosis criteria of UC/CD/IBDU on each of the included studies may be slightly different with a certain percentage of misclassification.¹⁰ Therefore, our priority is to find the common patterns to minimise the treatment resistance rate in this study. Last but not least, the animal study in neutrophils is not from the colonic tissue sites and some of the chemotactic factor markers such as IL8/CXCL8 and CSF3 have a significant reduction after the adalimumab treatment.²⁶ We believed that some single markers may not represent a whole picture of chemotaxis. Thus, in the early future, IBD subtypes analysis and more in-depth study in the relation to hyperactive chemotaxis are needed.

In conclusion, pre-anti-TNF α treatment non-responder patients presented a higher population of neutrophils, endothelial and B cells compared with the responders and the responders suppressed the activity of the immune cells. *IL13RA2* is a potential biomarker to predict anti-TNF α treatment response.

Accepte

Ethics approval

No ethical clearance required because this study retrieved and synthesised the data from already published studies, and researchers of each of the original studies obtained approval from their local ethics committee.

Consent to participate

Not available

Consent for publication

Not available

Availability of data and materials

All the transcriptomics data were retrieved from publicly available datasets from the NCBI Gene Expression Omnibus (GEO) database. All the *in silico* cell sorting algorithms used in this study were based on the default settings recommended by the corresponding authors, either from web-based or retrieved from the corresponding authors' GitHub page for academic research purposes.

Conflict of interest

The authors have no conflicts of interest to declare.

Funding

This research received no specific grant from any funding bodies. The article publication charges supported by the Wiley's Jisc agreement.

Authors' contributions

Study conception and design: Tung On Yau; Development of methodology: Tung On Yau; Data acquisition and analysis: Tung On Yau, Guodong Du; Data interpretation: Tung On Yau, Jayakumar Vadakekolathu, Sergio Rutella. Writing - original draft preparation: Tung On Yau; Writing - review and editing: Jayakumar Vadakekolathu, Gemma Ann Foulds, Benjamin Dickins. Administrative, technical or material support: Benjamin Dickins, Christos Polytarchou, Sergio Rutella. Study supervision: Christos Polytarchou, Sergio Rutella.

Acknowledgement

Not applicable

References

- 1. Bocchetti M, Ferraro MG, Ricciardiello F, Ottaiano A, Luce A, Cossu AM, et al. The Role of microRNAs in Development of Colitis-Associated Colorectal Cancer. Int J Mol Sci [Internet]. 2021 Apr 12;22(8). Available from: http://www.ncbi.nlm.nih.gov/pubmed/33921348
- 2. Alatab S, Sepanlou SG, Ikuta K, Vahedi H, Bisignano C, Safiri S, et al. The global, regional, and national burden of inflammatory bowel disease in 195 countries and territories, 1990–2017: a systematic analysis for the Global Burden of Disease Study 2017. Lancet Gastroenterol Hepatol [Internet]. 2020 Jan;5(1):17–30. Available from: https://linkinghub.elsevier.com/retrieve/pii/S2468125319303334
- 3. Ng SC, Shi HY, Hamidi N, Underwood FE, Tang W, Benchimol EI, et al. Worldwide incidence and prevalence of inflammatory bowel disease in the 21st century: a systematic review of population-based studies. Lancet [Internet]. 2017 Dec;390(10114):2769–78. Available from: https://linkinghub.elsevier.com/retrieve/pii/S0140673617324480
- Kalliolias GD, Ivashkiv LB. TNF biology, pathogenic mechanisms and emerging therapeutic strategies. Nat Rev Rheumatol [Internet]. 2016 Jan;12(1):49–62. Available from: http://www.ncbi.nlm.nih.gov/pubmed/26656660
- 5. Gottlieb AB. Tumor necrosis factor blockade: mechanism of action. J Investig dermatology Symp Proc [Internet]. 2007 May;12(1):1–4. Available from: http://www.ncbi.nlm.nih.gov/pubmed/17502861
- 6. Gerriets V, Bansal P, Goyal A, Khaddour K. Tumor Necrosis Factor (TNF) Inhibitors [Internet]. StatPearls. 2020. Available from: http://www.ncbi.nlm.nih.gov/pubmed/29494032
- 7. Berns M, Hommes DW. Anti-TNF-α therapies for the treatment of Crohn's disease: the past, present and future. Expert Opin Investig Drugs [Internet]. 2016 Feb 8;25(2):129–43.
 43. Available from:

http://www.tandfonline.com/doi/full/10.1517/13543784.2016.1126247

- 8. Ashton JJ, Mossotto E, Ennis S, Beattie RM. Personalising medicine in inflammatory bowel disease-current and future perspectives. Transl Pediatr [Internet]. 2019 Jan;8(1):56–69. Available from: http://www.ncbi.nlm.nih.gov/pubmed/30881899
- 9. Lee SH, Kwon J eun, Cho M-L. Immunological pathogenesis of inflammatory bowel disease. Intest Res [Internet]. 2018;16(1):26. Available from: http://irjournal.org/journal/view.php?doi=10.5217/ir.2018.16.1.26
- 10. Lamb CA, Kennedy NA, Raine T, Hendy PA, Smith PJ, Limdi JK, et al. British Society of Gastroenterology consensus guidelines on the management of inflammatory bowel disease in adults. Gut [Internet]. 2019;68(Suppl 3):s1–106. Available from: http://www.ncbi.nlm.nih.gov/pubmed/31562236
- 11. Ben-Horin S, Kopylov U, Chowers Y. Optimizing anti-TNF treatments in inflammatory bowel disease. Autoimmun Rev [Internet]. 2014 Jan;13(1):24–30. Available from: https://linkinghub.elsevier.com/retrieve/pii/S156899721300102X
- 12. Singh S, Fumery M, Sandborn WJ, Murad MH. Systematic review with network metaanalysis: first- and second-line pharmacotherapy for moderate-severe ulcerative colitis. Aliment Pharmacol Ther [Internet]. 2018 Jan;47(2):162–75. Available from: http://doi.wiley.com/10.1111/apt.14422
- Gautier L, Cope L, Bolstad BM, Irizarry RA. affy--analysis of Affymetrix GeneChip data at the probe level. Bioinformatics [Internet]. 2004 Feb 12;20(3):307–15. Available from: https://academic.oup.com/bioinformatics/articlelookup/doi/10.1093/bioinformatics/btg405
- 14. Johnson WE, Li C, Rabinovic A. Adjusting batch effects in microarray expression data using empirical Bayes methods. Biostatistics [Internet]. 2007 Jan 1;8(1):118–27. Available from: https://academic.oup.com/biostatistics/article/8/1/118/252073
- 15. Leek effrey T, Johnson WE, Parker HS, Fertig EJ, Jaffe AE, Zhang Y, et al. sva: Surrogate Variable Analysis. R package version 3.36.0 [Internet]. 2020. Available from:

https://bioconductor.org/packages/release/bioc/html/sva.html

- 16. Aran D, Hu Z, Butte AJ. xCell: digitally portraying the tissue cellular heterogeneity landscape. Genome Biol [Internet]. 2017 Dec 15;18(1):220. Available from: https://genomebiology.biomedcentral.com/articles/10.1186/s13059-017-1349-1
- 17. Yoshihara K, Shahmoradgoli M, Martínez E, Vegesna R, Kim H, Torres-Garcia W, et al. Inferring tumour purity and stromal and immune cell admixture from expression data. Nat Commun [Internet]. 2013 Dec 11;4(1):2612. Available from: http://www.nature.com/articles/ncomms3612
- 18. Newman AM, Liu CL, Green MR, Gentles AJ, Feng W, Xu Y, et al. Robust enumeration of cell subsets from tissue expression profiles. Nat Methods [Internet]. 2015 May 30;12(5):453–7. Available from: http://www.nature.com/articles/nmeth.3337
- 19. Racle J, de Jonge K, Baumgaertner P, Speiser DE, Gfeller D. Simultaneous enumeration of cancer and immune cell types from bulk tumor gene expression data. Elife [Internet]. 2017 Nov 13;6. Available from: https://elifesciences.org/articles/26476
- 20. Becht E, Giraldo NA, Lacroix L, Buttard B, Elarouci N, Petitprez F, et al. Estimating the population abundance of tissue-infiltrating immune and stromal cell populations using gene expression. Genome Biol [Internet]. 2016 Dec 20;17(1):218. Available from: http://genomebiology.biomedcentral.com/articles/10.1186/s13059-016-1070-5
- 21. Chiu Y-J, Hsieh Y-H, Huang Y-H. Improved cell composition deconvolution method of bulk gene expression profiles to quantify subsets of immune cells. BMC Med Genomics [Internet]. 2019 Dec 20;12(S8):169. Available from: https://bmcmedgenomics.biomedcentral.com/articles/10.1186/s12920-019-0613-5
- Ritchie ME, Phipson B, Wu D, Hu Y, Law CW, Shi W, et al. limma powers differential expression analyses for RNA-sequencing and microarray studies. Nucleic Acids Res [Internet]. 2015 Apr 20;43(7):e47–e47. Available from: http://academic.oup.com/nar/article/43/7/e47/2414268/limma-powers-differential-expression-analyses-for
- 23. Blighe K, Rana S, Lewis M. Publication-ready volcano plots with enhanced colouring and labeling [Internet]. 2020. Available from: https://bioconductor.org/packages/release/bioc/html/EnhancedVolcano.html
- 24. Kolde R. pheatmap: Pretty Heatmaps [Internet]. 2019. Available from: https://cran.rproject.org/web/packages/pheatmap/index.html
- 25. Zhou Y, Zhou B, Pache L, Chang M, Khodabakhshi AH, Tanaseichuk O, et al. Metascape provides a biologist-oriented resource for the analysis of systems-level datasets. Nat Commun [Internet]. 2019 Dec 3;10(1):1523. Available from: http://www.nature.com/articles/s41467-019-09234-6
- 26. Presicce P, Cappelletti M, Senthamaraikannan P, Ma F, Morselli M, Jackson CM, et al. TNF-Signaling Modulates Neutrophil-Mediated Immunity at the Feto-Maternal Interface During LPS-Induced Intrauterine Inflammation. Front Immunol [Internet]. 2020 Apr 3;11. Available from: https://www.frontiersin.org/article/10.3389/fimmu.2020.00558/full
- 27. Arijs I, De Hertogh G, Lemaire K, Quintens R, Van Lommel L, Van Steen K, et al. Mucosal gene expression of antimicrobial peptides in inflammatory bowel disease before and after first infliximab treatment. PLoS One [Internet]. 2009 Nov 24;4(11):e7984. Available from: http://www.ncbi.nlm.nih.gov/pubmed/19956723
- 28. Toedter G, Li K, Marano C, Ma K, Sague S, Huang CC, et al. Gene expression profiling and response signatures associated with differential responses to infliximab treatment in ulcerative colitis. Am J Gastroenterol [Internet]. 2011 Jul;106(7):1272–80. Available from: http://www.ncbi.nlm.nih.gov/pubmed/21448149
- 29. Leal RF, Planell N, Kajekar R, Lozano JJ, Ordás I, Dotti I, et al. Identification of inflammatory mediators in patients with Crohn's disease unresponsive to anti-TNFα therapy. Gut [Internet]. 2015 Feb;64(2):233–42. Available from: http://www.ncbi.nlm.nih.gov/pubmed/24700437
- Arijs I, De Hertogh G, Lemmens B, Van Lommel L, de Bruyn M, Vanhove W, et al. Effect of vedolizumab (anti-α4β7-integrin) therapy on histological healing and mucosal gene expression in patients with UC. Gut [Internet]. 2018;67(1):43–52. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/27802155

- 31. Telesco SE, Brodmerkel C, Zhang H, Kim L (Lee-L, Johanns J, Mazumder A, et al. Gene Expression Signature for Prediction of Golimumab Response in a Phase 2a Open-Label Trial of Patients With Ulcerative Colitis. Gastroenterology [Internet]. 2018 Oct;155(4):1008-1011.e8. Available from: https://linkinghub.elsevier.com/retrieve/pii/S001650851834719X
- 32. Balamayooran G, Batra S, Fessler MB, Happel KI, Jeyaseelan S. Mechanisms of neutrophil accumulation in the lungs against bacteria. Am J Respir Cell Mol Biol [Internet]. 2010 Jul;43(1):5–16. Available from: http://www.ncbi.nlm.nih.gov/pubmed/19738160
- 33. Wéra O, Lancellotti P, Oury C. The Dual Role of Neutrophils in Inflammatory Bowel Diseases. J Clin Med [Internet]. 2016 Dec 17;5(12):118. Available from: http://www.mdpi.com/2077-0383/5/12/118
- 34. Schmidt EP, Lee WL, Zemans RL, Yamashita C, Downey GP. On, Around, and Through: Neutrophil-Endothelial Interactions in Innate Immunity. Physiology [Internet]. 2011 Oct;26(5):334–47. Available from: https://www.physiology.org/doi/10.1152/physiol.00011.2011
- 35. Mortaz E, Alipoor SD, Adcock IM, Mumby S, Koenderman L. Update on Neutrophil Function in Severe Inflammation. Front Immunol [Internet]. 2018 Oct 2;9. Available from: https://www.frontiersin.org/article/10.3389/fimmu.2018.02171/full
- Zhang C, Shu W, Zhou G, Lin J, Chu F, Wu H, et al. Anti-TNF- α Therapy Suppresses Proinflammatory Activities of Mucosal Neutrophils in Inflammatory Bowel Disease. Mediators Inflamm [Internet]. 2018 Nov 22;2018:1–12. Available from: https://www.hindawi.com/journals/mi/2018/3021863/
- 37. Timmermans WMC, van Laar JAM, van der Houwen TB, Kamphuis LSJ, Bartol SJW, Lam KH, et al. B-Cell Dysregulation in Crohn's Disease Is Partially Restored with Infliximab Therapy. Richard Y, editor. PLoS One [Internet]. 2016 Jul 28;11(7):e0160103. Available from: https://dx.plos.org/10.1371/journal.pone.0160103
- 38. Vaisman-Mentesh A, Rosenstein S, Yavzori M, Dror Y, Fudim E, Ungar B, et al. Molecular Landscape of Anti-Drug Antibodies Reveals the Mechanism of the Immune Response Following Treatment With TNFα Antagonists. Front Immunol [Internet]. 2019;10:2921. Available from: http://www.ncbi.nlm.nih.gov/pubmed/31921180
- 39. De Groot AS, Scott DW. Immunogenicity of protein therapeutics. Trends Immunol [Internet]. 2007 Nov;28(11):482–90. Available from: http://www.ncbi.nlm.nih.gov/pubmed/17964218
- 40. Tardif MR, Chapeton-Montes JA, Posvandzic A, Pagé N, Gilbert C, Tessier PA. Secretion of S100A8, S100A9, and S100A12 by Neutrophils Involves Reactive Oxygen Species and Potassium Efflux. J Immunol Res [Internet]. 2015;2015:1–16. Available from: http://www.hindawi.com/journals/jir/2015/296149/
- 41. van de Logt F, Day AS. S100A12: a noninvasive marker of inflammation in inflammatory bowel disease. J Dig Dis [Internet]. 2013 Feb;14(2):62–7. Available from: http://www.ncbi.nlm.nih.gov/pubmed/23146044
- 42. Boschetti G, Garnero P, Moussata D, Cuerq C, Préaudat C, Duclaux-Loras R, et al. Accuracies of serum and fecal S100 proteins (calprotectin and calgranulin C) to predict the response to TNF antagonists in patients with Crohn's disease. Inflamm Bowel Dis [Internet]. 2015 Feb;21(2):331–6. Available from: http://www.ncbi.nlm.nih.gov/pubmed/25625487
- 43. Laharie D, Mesli S, El Hajbi F, Chabrun E, Chanteloup E, Capdepont M, et al. Prediction of Crohn's disease relapse with faecal calprotectin in infliximab responders: a prospective study. Aliment Pharmacol Ther [Internet]. 2011 Aug;34(4):462–9. Available from: http://www.ncbi.nlm.nih.gov/pubmed/21671970
- 44. Arijs I, Li K, Toedter G, Quintens R, Van Lommel L, Van Steen K, et al. Mucosal gene signatures to predict response to infliximab in patients with ulcerative colitis. Gut [Internet]. 2009 Dec 1;58(12):1612–9. Available from: http://gut.bmj.com/cgi/doi/10.1136/gut.2009.178665

- 45. Arijs I, Quintens R, Van Lommel L, Van Steen K, De Hertogh G, Lemaire K, et al. Predictive value of epithelial gene expression profiles for response to infliximab in Crohn's disease‡. Inflamm Bowel Dis [Internet]. 2010 Dec;16(12):2090–8. Available from: https://academic.oup.com/ibdjournal/article/16/12/2090-2098/4628294
- Verstockt B, Verstockt S, Creyns B, Tops S, Van Assche G, Gils A, et al. Mucosal IL13RA2 expression predicts nonresponse to anti-TNF therapy in Crohn's disease. Aliment Pharmacol Ther [Internet]. 2019;49(5):572–81. Available from: http://www.ncbi.nlm.nih.gov/pubmed/30663072
- 47. Karmele EP, Pasricha TS, Ramalingam TR, Thompson RW, Gieseck RL, Knilans KJ, et al. Anti-IL-13Rα2 therapy promotes recovery in a murine model of inflammatory bowel disease. Mucosal Immunol [Internet]. 2019;12(5):1174–86. Available from: http://www.ncbi.nlm.nih.gov/pubmed/31308480
- 48. Hoving JC. Targeting IL-13 as a Host-Directed Therapy Against Ulcerative Colitis. Front Cell Infect Microbiol [Internet]. 2018;8:395. Available from: http://www.ncbi.nlm.nih.gov/pubmed/30460209
- 49. Reinisch W, Panés J, Khurana S, Toth G, Hua F, Comer GM, et al. Anrukinzumab, an anti-interleukin 13 monoclonal antibody, in active UC: efficacy and safety from a phase Ila randomised multicentre study. Gut [Internet]. 2015 Jun;64(6):894–900. Available from: http://www.ncbi.nlm.nih.gov/pubmed/25567115
- 50. Verstockt B, Perrier C, De Hertogh G, Cremer J, Creyns B, Van Assche G, et al. Effects of Epithelial IL-13Rα2 Expression in Inflammatory Bowel Disease. Front Immunol [Internet]. 2018;9:2983. Available from: http://www.ncbi.nlm.nih.gov/pubmed/30619339

Accepted



Figure 1. Microenvironment scores are significantly higher on baseline non-responders compared to the responders. Immune microenvironment scores evaluated via (A) ESTIMATE and (B) xCELL algorithms. NR: non-responder; R: responder. The y-axes are the relative immune microenvironment scores from the corresponding algorithms. P-value determines by Mann-Whitney test with Benjamini and Hochberg



Figure 2. Neutrophils, endothelial cells and B cells are significantly higher on the baseline anti-TNF α treatment non-responders compared to responders. Immune cells population evaluated in five in silico flow cytometry, and (A-C) B cells, (D-F) endothelial cells and (G-I) neutrophils can be recognised in three out of five algorithms. B cells, endothelial cells and neutrophils cells' populations are higher on baseline anti-TNF α non-responders compared to responders. The three-dimensional plots illustrated (J-K) neutrophils, endothelial cells and B cell populations from MCP-Counter and xCELL algorithms have positive correlations with

each other. The y-axes are the relative immune cells population abundance from the corresponding algorithms. NR: non-responder; R: responder. P-value determines by Mann-Whitney test. Asterisks denote statistically significant differences (*p < 0.05, **p < 0.01, ***p < 0.001, ***p < 0.001).

This article is protected by copyright. All rights reserved.



Figure 3. Hyperactive chemotaxis may be involved in anti-TNF α treatment resistance in inflammatory bowel disease. To identify the molecular mechanisms between anti-TNF α blocker responders (n = 91) and nonresponders (n= 73), global gene expression analysis was applied from 5 combined and normalised microarray datasets. The differentially expressed genes were identified and presented using (A) heatmap (the relative expression values were z-score transformed), (B) volcano plot and (C) principal component analysis from a total of 64 up-regulated and 13 down-regulated genes based on the adjusted p-value < 0.05 with absolute log 2-fold change \geq 0.75. (D) The significantly up-regulated genes were utilised for Metascape pathway enrichment analysis from the previously pre-defined gene set. Enriched terms related to the chemotaxis-related pathways are underlined. The y-axis represents the

top 20 gene sets category, the xaxis represents -log10 p-value, the colour intensity of the bar represents the number of genes identified in each hallmark category. (E) The four subsets of enriched terms under the chemotaxis-related pathways were selected and visualised using Cytoscape.

Figure 4. anti-TNF α blocker does not reduce chemotaxis in lipopolysaccharide-induced inflammation in neutrophils. Mean expression level (across samples) of genes matching indicated GO term. LPS, lipopolysaccharide. TNF α , anti-Tumour necrosis factor-alpha. P-value determines by Mann-Whitney t-test. Asterisks denote statistically significant differences (*p < 0.05).

Accel

Figure 5. Interleukin 13 receptor subunit alpha 2 (IL13RA2) can be a diagnostic biomarker to predict TNF α treatment Response. (A) The expression of IL13RA2 is significantly higher in the pre-TNF α non-responders compared to the pre-TNF α responders. (B) The expression of IL13RA2 has an AUC of 80.7% (73.8% -87.5%) with a sensitivity of 68.13% and specificity of 84.93%. NR: non-responder; R: responder; AUC: area under the curve, Sp: Specificity, Se: Sensitivity. Asterisks denote statistically significant differences (**p < 0.01, ***p < 0.001, ***p < 0.001). Statistical significance was determined by 2-tailed Student's ttest.

Accepted

GSE No.	Affymetrix Platform	Anti- TNFα	Study Location	IBD	Pre- Treatment		Post- Treatment		Control	Time Point
	16879	Human Genome			infliximab	Belgium	UC	8	16	8
32	U133 Plus 2.0			CD	12	7	11	7	1	
23597 ³³	Human Genome U133 Plus 2.0	infliximab	USA	UC	25	7	20	7	-	8
52746 34	Human Genome U133 Plus 2.0	Infliximab or adalimumab	Spain	CD	6	1	7	5	17	12
73661 35	Human Gene 1.0 ST	infliximab	Belgium	UC	8	15	8	15	12	6/12
92415 36	HT HG-U133+ PM	Golimumab	USA	UC	32	27	29	21	21	8
Over-all = 374					73	65	65	59	56	
					18	8	18	12		
				Total	91	73	83	71		

 Table 1. Summary of the included transcriptomic studies from large intestinal tissues in IBD patients

CD: Crohn's Disease; UC: Ulcerative Colitis; R: responder; NR, non-responder. TNFα, tumour necrosis factor alpha.

Accepted A

Rank	Gene	AUC (95%CI)	Threshold	Best	Best	LR+	LR-
	Name			Specificity	Sensitivity		
1	IL13RA2	0.807 (0.738-0.875)	6.263	0.849	0.681	4.510	0.222
2	ADGRE2	0.786 (0.716-0.857)	6.079	0.795	0.703	3.429	0.292
3	ADGRL2	0.771 (0.698-0.843)	6.013	0.753	0.736	2.980	0.336
4	HGF	0.768 (0.695-0.841)	5.598	0.753	0.725	2.935	0.341
5	TLR1	0.764 (0.69-0.838)	5.95	0.836	0.637	3.884	0.257
6	NCF2	0.757 (0.683-0.831)	7.696	0.671	0.769	2.337	0.428
7	RGS5	0.757 (0.682-0.832)	7.105	0.767	0.681	2.923	0.342
8	FPR1	0.756 (0.682-0.83)	6.947	0.836	0.626	3.817	0.262
9	TMTC1	0.754 (0.68-0.828)	6.085	0.849	0.593	3.927	0.255
10	CCL4	0.754 (0.679-0.829)	7.955	0.781	0.659	2.304	0.434
11	PDE4B	0.754 (0.679-0.829)	7.681	0.671	0.758	3.009	0.332
12	IGFBP5	0.754 (0.678-0.829)	7.185	0.712	0.725	2.517	0.397
13	SRGN	0.753 (0.678-0.828)	9.849	0.808	0.615	3.203	0.312
14	PAPPA	0.751 (0.675-0.827)	5.946	0.603	0.835	2.103	0.475
15	TMEM71	0.749 (0.674-0.824)	5.672	0.849	0.615	4.073	0.246

Table 2. The top 15 genes to predict $TNF\alpha$ treatment response

* AUC: area under the curve; CI, confidence interval; LR+, positive likelihood ratio; LR-, negative likelihood ratio.

Accepted A