



24 **1 Introduction**

25

26 The increasing number of environmental disasters between 1950s and 1970s has raised public  
27 concerns about the environmental impact of anthropogenic activities. This forced governments to  
28 take action and, as a result, they pushed for sustainable development initiatives in various areas  
29 including construction which accounts globally for 34% of energy use (IIASA 2012), 19% of  
30 greenhouse gas emissions (IPCC 2014), and along with the demolition of buildings 36% of waste  
31 production (UNEP 2015). After first attempts to build sustainably, it was clear that some sort of  
32 measurement is required to evaluate the level of success in achieving sustainability goals. An  
33 ability to quantify the sustainability performance of given structures aids the decision-making  
34 process and limits arbitrary choices on the path to achieve a desired level of sustainability (AlWaer  
35 et al. 2008, Yudelson 2008). The best method for assessing the sustainability level of different  
36 structures, including buildings, is to use sustainability rating systems (Haapio and Viitaniemi  
37 2008). There are currently numerous sustainability assessment tools developed worldwide to  
38 address this challenge, and a review of some of these methods is provided in the following section.  
39 In Kazakhstan, several strategies, concepts, and memorandums aim to support the sustainability  
40 and these initiatives have also led to the establishment of Kazakhstan’s Green Building Council  
41 (KazGBC) – a member of World Global Building Council (WGBC). KazGBC, in cooperation with  
42 the United Nations Development Programme (UNDP), aims to introduce green construction  
43 standards and to motivate construction companies to certify buildings under BREEAM and LEED  
44 systems. Although the number of certified buildings is growing, the certification rate is too low to  
45 meet the 2030 target set by KazGBC (ITE Build & Interiors 2016). The progress by the green  
46 building initiative in Kazakhstan is relatively low due to following reasons: inadequate

47 consideration given to green building principles by the outdated construction standards and  
48 regulations, a limited participation among construction industry members in green projects mostly  
49 due to their overall higher cost, and insufficient academic and research background on the  
50 sustainable buildings in the context of Kazakhstan. These root causes of overall poor sustainability  
51 practices, among others, are related to a lack of respective policies and regulations, guidelines,  
52 methodologies, practical examples, and technologies as well as low levels of awareness among the  
53 general public and the construction industry (UNDP 2013). Moreover, all certified buildings have  
54 only been constructed within the last decade which comprises only a small portion of the whole  
55 building stock in the country, whereas the sustainability of the existing buildings remains  
56 unassessed. In order to have a better understanding of the situation regarding these buildings, there  
57 is a need to develop a quick and effective sustainability assessment method tailored to  
58 Kazakhstan's context which would be used for numerous types of buildings while at the same time  
59 without inquiring large resources.

60 Including the stakeholders in the development process of an assessment methodology is key for  
61 achieving solutions that are environmentally, functionally, aesthetically, and economically viable  
62 for all involved (Bal et al. 2013, Stephan and Menassa 2015). In general, the stakeholders are  
63 defined as people who have interests in, can influence, or be influenced by a company or  
64 organization (Freeman 1984, Freeman et al. 2007, Freeman et al. 2010). A few studies assert the  
65 importance of stakeholders' engagement in construction (Mathur et al. 2008, Bal et al. 2013,  
66 Herazo and Lizarralde 2016). In particular, Mathur et al. (2008) pointed out three distinct  
67 approaches for conceptualizing stakeholder engagement in construction projects which relate to  
68 viewing stakeholder engagement as a management technique, an ethical requirement, or a forum  
69 for dialogue to facilitate mutual social learning. The benefits of using all these methods are clear

70 and the opinions of stakeholders are critical in the proper assessment and analysis of requirements  
71 (Bryson 2004, Boecker et al. 2009, Gan et al. 2015). The involvement of multiple stakeholders  
72 plays a pivotal role in achieving sustainability goals.

73 The sustainability of buildings in Central Asia and in particular in Kazakhstan has yet to be studied  
74 in detail. To the authors' knowledge, the only published work on the subject covering the  
75 construction sector in Kazakhstan has been recently performed by Akhanova et al. (2020). The  
76 authors developed a Kazakhstan's Building Sustainability Assessment Framework (KBSAF) using  
77 the stepwise weight assessment ratio analysis (SWARA) technique for estimating weights of the  
78 system's categories and indicators, however; the framework focuses on assessing the sustainability  
79 performance of commercial buildings only, including office and retail buildings. Furthermore, the  
80 system involves a total number of 200 items to assess and requires extensive data collection for  
81 proper sustainability assessment, which the authors strive to achieve through BIM technologies.  
82 The method of data acquisitions along with the focus on the assessment of commercial buildings  
83 creates an approach to the evaluation of buildings' sustainability that is completely different from  
84 the one discussed in the present paper.

85 The present research aims to develop a systematic approach using stakeholders' perceptions and  
86 opinions for evaluating building sustainability: Rapid Sustainability Assessment Method (RSAM).  
87 It then specifically aims to obtain a snapshot of the current level of sustainability of existing  
88 residential buildings in Nur-Sultan (formerly Astana), Kazakhstan by the application of RSAM to  
89 selected buildings. RSAM can also be used for the assessment of buildings erected in the second  
90 half of the 20<sup>th</sup> century in other contexts directly (e.g. cities of post-Soviet and Eastern Bloc  
91 countries with very similar building characteristics and construction practices) as well as indirectly  
92 following minor modifications (e.g. cities of other developing countries).

93

94 **1.2 Review of existing sustainability assessment methods**

95

96 In the past, various sustainability tools with distinct goals and scopes have been introduced. These  
97 include environmental impact assessment (focusing on the impact of a project based on its  
98 localization on various modules of environment e.g. fauna, flora, communities, etc.), life cycle  
99 assessment/analysis (overall impact of a product over its lifetime), total quality assessment  
100 (focusing on all pillars of sustainability i.e. environmental, economic, and social), cumulative  
101 energy demand (focusing on energy consumption), and building assessment tools which is the  
102 focus of the following discussion. According to Reijnders and van Roekel (1999), the assessment  
103 tools can be roughly classified as either qualitative tools (that are based on criteria and scoring)  
104 and quantitative ones (which use life-cycle approach and quantitative input and output data of  
105 matter and energy flows). Two of the most commonly used qualitative tools are BREEAM  
106 (Building Research Establishment Environmental Assessment Method) and LEED (Leadership in  
107 Energy and Environmental Design), whereas tools based on life-cycle assessment (LCA) approach  
108 include EcoEffect, EcoQuantum, Environmental Load Profile (ELP), BEES (Building for  
109 Environmental and Economic Sustainability), BEAT (Building Environmental Assessment Tool,  
110 Denmark), and ITACA (Forsberg and von Malmberg 2004, Asdrubali et al. 2015). A similar  
111 classification as provided by Ali and Al Nsairat (2009) that classifies existing building assessment  
112 methods as either life-cycle assessment-based or multicriteria-based. The most notable multi-  
113 criteria rating systems that acquired worldwide recognition in the last decades include but are not  
114 limited to BREEAM (UK), LEED (USA), CASBEE (Comprehensive Assessment System for Built

115 Environment Efficiency, Japan), and Green Star (Australia, New Zealand, South Africa) (Zhang  
116 et al. 2017).

117 In BREEAM, the overall sustainability score of the building is calculated by evaluating the number  
118 of credits for each of its ten categories, multiplying them by weighting factor based on the  
119 category's importance, and summing them up (Gou and Xie 2017). LEED, on the contrary, awards  
120 points in its nine categories based on the number of requirements satisfied which are then added  
121 up to 110 total points (Castro-Lacouture et al. 2009). Green Star has adopted many of the features  
122 presented in LEED but has adjusted them to the regional context. CASBEE utilizes a completely  
123 different approach to score calculation: the system evaluates the building's sustainability  
124 performance using "Building Environmental Efficiency (BEE)" which is a ratio of "Building  
125 Environmental Quality and Performance (Q)" to "Building Environmental Loadings (LR)"  
126 (Banani et al. 2013). All of these sustainability assessment tools consider the building's various  
127 stages including its design, construction, and operation where CASBEE further investigates the  
128 deconstruction phase of the building's lifecycle.

129 Due to differing approaches these methods utilize, the same building might be given different  
130 performance scores depending on the rating system used. To address this issue, Asdrubali et al.  
131 (2015) proposed a methodology to normalize the overall sustainability performance scores which  
132 they apply to two buildings in Central Italy evaluated using LEED and ITACA, respectively. They  
133 identified the differences between the methods, established key categories (or "macro-areas") (site,  
134 water, energy, indoor environment quality, and materials) based on common indicators, reassigned  
135 new scores based on the new macro-areas, and compare the resultant values. A similar approach  
136 has been employed in the present study: a simplified normalization procedure of the four rating  
137 systems was performed to compare their agendas in sustainability performance evaluation (Figure

138 1) (JSBC 2005, GBCA 2009, USGBC 2009, BRE 2011). The present study established five key  
139 categories (“sustainable sites”, “energy”, “water”, “materials and resources”, and “indoor  
140 environment quality (IEQ)”) common to all of the examined sustainability assessment methods  
141 and the importance of each key category was determined in percentages. All discussed rating  
142 systems have distributed some credits outside of the identified key categories, but, for the sake of  
143 comparison, the credits attributed to these five key categories were assumed to comprise 100% of  
144 the total score.

145 Although the sustainability assessment tools selected for the present discussion share a consensus  
146 on their basic structures, the approach to achieving sustainability goals in these categories that  
147 each rating system demonstrates is unique. For example, LEED and Green Star consider the key  
148 category as “energy”, awarding the highest amount of points – 40% and 33.3%, respectively.  
149 CASBEE, on the other hand, awards an equal amount of credits (28.5%) to both “energy” and  
150 “IEQ”, the former being the most essential category within “LR” and the latter being the lead key  
151 category of “Q”. Meanwhile, BREEAM chooses “materials and resources” category as the most  
152 essential one allocating 29.5% of the credits to this key category and only 22.5% to “energy”  
153 category. An agreement is observed between LEED, BREEAM, and Green Star for “IEQ”  
154 category as they award 19-21.3% of credits to this category, which is considerably less compared  
155 to CASBEE. The least significant key category in all rating systems is “water” category: Green  
156 Star – 13.3%, LEED – 13%, BREEAM – 10%, and CASBEE awarding the least number of points  
157 – 3%. There is also a discrepancy amidst the methods on how they approach “sustainable sites”  
158 category: whilst CASBEE awards as high as 21.5% in this category, LEED restricts the number of  
159 achievable credits to 12% of the maximum score. The basis of these four sustainability assessment  
160 tools is built upon the discussed five key categories, but the differences in importance levels these

161 methods allocate to the key categories, not to mention the indicators left outside of the comparative  
162 (sensitivity) analysis, demonstrate a general lack of agreement on how the global building sector  
163 should approach sustainability.

164 Banani et al. (2013) performed a comparative analysis of five different SA tools including  
165 BREEAM, LEED, Green Star, and CASBEE; which shed a light on how the tools assess important  
166 indicators falling outside of the scope of five key categories. All four tools recognize the  
167 contribution of buildings to the global pollution problem; but BREEAM, Green Star, and CASBEE  
168 assess pollution as an individual category whereas LEED distributes restrictions to emissions  
169 across several other categories. In addition, BREEAM and Green Star consider management as  
170 well as transportation as separate categories whereas LEED and CASBEE choose to distribute  
171 these parameters across different assessment categories. Moreover, BREEAM, LEED, and Green  
172 Star reward innovative approaches in achieving sustainability goals, whereas CASBEE does not  
173 include this criterion in evaluation, instead choosing to consider region-specific parameters such  
174 as “earthquake resistance” and “restriction of wind damage”. This comparative analysis along with  
175 the differences between the key categories addressed here show that the discussed methods have  
176 a common perspective on components of sustainable building but approach the evaluation process  
177 in different fashions best fitting their country of origin.

178 Issues with the applicability of global sustainability assessment methods to certain regions has led  
179 to numerous studies attempting to adapt international tools such as LEED and BREEAM to  
180 country-specific conditions and to propose their own model for sustainability assessment. Ali and  
181 Al Nsairat (2009) developed a green building assessment tool for residential buildings in Jordan  
182 tailored to various domestic regions considering variances in climate and geography within the  
183 country. Al-Jebouri et al. (2017) proposed a sustainability assessment system which can be further

184 customized for different types of buildings in Oman by reviewing existing international and  
185 regional sustainability rating systems, identifying categories and indicators distributed among five  
186 pillars of sustainability (environmental, economic, social, cultural, and governance), and  
187 evaluating their relative weights. They claim that Middle Eastern countries (UAE in particular)  
188 admit the importance of regional context and culture in achieving sustainability and therefore  
189 regard them as a fourth pillar and include these in their sustainability-rating systems known as  
190 UAE Estidama. Following the example of UAE in developing their own domestic system, Banani  
191 et al. (2016) compared five major green building assessment tools to establish a framework for  
192 sustainability assessment of non-residential buildings, despite the adoption of the U.S. LEED  
193 sustainability rating system by the Saudi Green Building Council as its official tool for  
194 sustainability performance evaluation. They claimed that, at that moment, the country lacked  
195 specific assessment methods that would address the unique economic, social, and cultural aspects  
196 of Saudi Arabia. Mahmoud et al. (2019) addressed the issue of the inapplicability of contemporary  
197 methods outside of their country of origin and developed a sustainability assessment tool for  
198 existing buildings with a weighting system based on Fuzzy Hierarchal Process Method that can be  
199 used globally while demonstrating how regional variations affect the sustainability assessment  
200 process. These studies acknowledge and confirm the need for substantial effort arising whenever  
201 a sustainability rating tool is adapted to the country-specific conditions.

202 Although existing buildings provide multiple challenges regarding urban sustainability, the  
203 sustainability assessment tools designed for them are limited. Amidst all phases of the building's  
204 lifecycle (i.e. raw materials extraction and processing, production of construction materials,  
205 construction of the building, operation, maintenance, and demolition), the operation and  
206 maintenance stage (involving: electricity use in the outlets, HVAC and lighting, heat in ventilation

207 and conduction, materials in internal surfaces and HVAC services, and the use of water and  
208 wastewater) accounts for 45-75% of the total environmental impact (Seppo 2004). This underlines  
209 the importance of evaluating the sustainability level of existing buildings and suggesting a way to  
210 reduce their adverse impact on the pillars of sustainability.

211 As there are numerous sustainability assessment tools for building sustainability assessment, there  
212 are also some rating systems focusing specifically on the evaluation of existing buildings. Two  
213 important examples of these commercial tools are “LEED for Existing Buildings: Operations and  
214 Maintenance (LEED-EBOM)” (USGBC 2014) and “Green Star South Africa – Existing Building  
215 Performance (SA EBP)” (GBCSA 2014). The scope of LEED-EBOM involves the certification of  
216 sustainability levels of ongoing operations at existing institutional buildings, including offices,  
217 retail and service establishments, institutional buildings, hotels, and residential buildings of four  
218 or more habitable stories. It aims to provide the individual rating of a whole building, whether  
219 owner-occupied, multi-tenant, or multiple-building campus projects. Moreover, the rating system  
220 encourages the implementation of sustainable practices and reduction in the environmental impacts  
221 of existing buildings over their functional life cycles. It addresses exterior building site  
222 maintenance programs, water and energy use, environmentally preferred products and practices  
223 for cleaning and alterations, sustainable purchasing policies, waste stream management, and  
224 ongoing indoor environmental quality. There is a slight variation between weighting systems of  
225 LEED-EBOM and LEED for New Construction: e.g. the former accounts for solid waste  
226 management but the latter does not. This leads to differing environmental footprints addressed by  
227 each rating system (USGBC 2014).

228 Green Star SA EBP was developed based on the Green Star system proposed by the Green Building  
229 Council of Australia by tailoring its sustainability assessment criteria relevant to the South African

230 context. It covers the same environmental categories addressed in the Green Star: new building  
231 tools which are management, indoor environment quality, energy, transport, water, materials, land  
232 use and ecology, emissions, and innovation. However, the focus is on the operations and  
233 management stage of the building's lifecycle to optimize its performance. The scope of the rating  
234 system spans from commercial buildings including office buildings, retail buildings, public  
235 assembly buildings, and low-risk industrial buildings to institutional and multi-unit residential  
236 buildings; addressing effectively relationships between buildings' landlords and tenants (GBCSA  
237 2014).

238 Since the proposed LEED-EBOM and Green Star SA EBP both focus on the existing structures  
239 only, they share a relatively similar structure except for differences mainly due to the regional  
240 context (Table 1). Both sustainability assessment tools recognize the importance of the efficient  
241 use of energy and allocate a large weighting to this key category correspondingly. However,  
242 LEED-EBOM promotes the use of both on-site and off-site renewable energy sources which is  
243 completely overlooked by Green Star SA EBP. Another striking difference between the methods  
244 is that Green Star SA EBP treats transportation, emissions, and management as separate categories  
245 whereas LEED-EBOM distributes these parameters among other categories. There is a  
246 considerable similarity in how these tools evaluate IEQ category: they award almost equal amounts  
247 of credits to this category which assesses indoor air quality, lighting, acoustic and thermal comfort,  
248 daylight, and views. Both methods also promote building-scale metering and monitoring,  
249 sustainable land use, landfill diversion, efficient water use, control of refrigerants leaking, green  
250 cleaning practices, green procurement and purchasing, as well as innovations in sustainable  
251 solutions. They also give more credits to the existing building that has been certified with their  
252 ratings before and has accredited professionals consulting the owner or the building's management

253 team. In summary, LEED-EBOM and Green Star SA EBP share more similarities than differences  
254 in evaluation of existing structures' sustainability performance.

255

### 256 **1.3 Identified gaps of current sustainability rating tools**

257

258 The sustainability assessment methods discussed above as well as other established rating systems  
259 share some common implementation issues. One of the major drawbacks of the tools is the  
260 complexity of their structure resulting from attempts to make the assessment framework as  
261 comprehensive as possible. The current state of many sustainability assessment methods requires  
262 a substantial amount of data and time, and any attempt to simplify procedures may result in the  
263 consideration of less indicators important for overall sustainability rating (Taisch et al. 2013,  
264 Alhumaidi 2016).

265 Another limitation of the existing methods is that most of them have a unique set of objectives or  
266 a certain niche they were designed for forcing their users to utilize a combination of different  
267 methods for a complete sustainability assessment of one project (Taisch et al. 2013). Moreover,  
268 the absence of a commonly agreed scientific way to develop a weighting system for criteria of  
269 varying significance leaves space for subjectivity and, therefore, possible misinterpretation of the  
270 actual sustainability level of the building (Alhumaidi 2016).

271 Due to global variations in geography, climate, economics, history, culture, and government  
272 regulations, tailored sustainability assessment tools have been generated for a number of countries  
273 (e.g. Asdrubali et al. 2015, Kridlova Burdova and Vilcekova 2015, Banani et al. 2016), since the  
274 assessment methods developed for one country/region may not be fully applicable to others (Cole  
275 1999, Darus et al. 2009, Banani et al. 2013, Alhumaidi 2016). Contemporary sustainability

276 assessment tools vary in aspects of their assessment models including indicators and weighting  
277 systems due to unique regional context involving climate and geographical features, level of  
278 development, priorities established by the governments, public awareness etc. (Banani et al. 2013).  
279 The origin of a specific tool determines the importance of different aspects of sustainability, and  
280 therefore, their inclusion in the assessment criteria (Todd and Geissler 1999). Moreover, the lack  
281 of consensus on how to calculate weights for each indicator and the subsequent emergence of  
282 different approaches to developing weighting systems also defined by the country of origin  
283 contributes to a globally inapplicability of these tools (Ding 2008). Mateus and Bragança (2011)  
284 state that the global tools require prior adaptation which needs time. These inconsistencies among  
285 the established sustainability tools lead to sophisticated and, thus, time-consuming and resource-  
286 intensive process of their adaptation to the regions outside of their origin.

287 In building sustainability assessment tools, occupants' involvement in assessments is either not  
288 considered at all or is optional accompanied by a minor weight in the overall assessment score.  
289 However, the opinions of residents can be used to provide a valuable basis that reflects the  
290 sustainability level of existing buildings. Residents living in a building are in a particularly good  
291 position to effectively evaluate different aspects of that building's performance as they spend the  
292 highest amount of time there and have a great interest in improving their experience and comfort  
293 levels. For example, Green Star SA EBP has an indicator called "occupant comfort survey" which  
294 facilitates the inclusion of householders in the sustainability assessment of the building they  
295 occupy and gives an insight of overall comfort levels. The survey is basically a 7-point scale  
296 questionnaire that assesses the respondent's satisfaction with acoustic comfort, thermal comfort,  
297 lighting, indoor air quality and ventilation, and building management (cleanliness, odors, etc.).  
298 However, conducting occupant surveys is not mandatory and is awarded only up to two points out

329 of 110; based on population coverage, occupants' satisfaction level, improvement compared to  
330 previous survey (if applicable), and development of correction plan (GBCSA 2014).

331 A similar survey is a part of LEED-EBOM rating system, however; the tool only awards one point  
332 out of 110 if a survey is conducted covering at least 30% of the building's occupants; assessing  
333 the occupants' comfort including aspects such as thermal comfort, acoustics, indoor air quality,  
334 lighting levels, and building cleanliness. The tool requires, though, developing corrective actions  
335 plan based on the survey results (USGBC 2014). To conclude, LEED-EBOM and Green Star SA  
336 EBP seem to include the householders' opinions into the assessment of the building performance;  
337 however, this is optional and at an insignificant level.

338 The present research aims to develop a new sustainability rating system, RSAM, using  
339 stakeholders' perceptions and opinions for evaluating buildings. The rating tool uses the opinions  
340 of residents identified through questionnaires tailored to their level of knowledge of the building  
341 and expertise in sustainability providing rapid, low-cost, and dependable data to assess the  
342 building's sustainability performance. Although such a method might lack some of the  
343 comprehensiveness of existing and yet time-consuming and resource-intensive methods, it  
344 prioritizes the occupants' perspectives on the sustainability performance of the building with  
345 which they are quite familiar. It then specifically aims to obtain a snapshot of the current level of  
346 sustainability of existing residential buildings in Nur-Sultan, Kazakhstan by the application of  
347 RSAM to selected buildings. RSAM can also be directly used for assessment of buildings erected  
348 in the second half of the 20<sup>th</sup> century in other contexts (e.g. in cities of post-Soviet and Eastern  
349 Bloc countries with very similar building characteristics and construction practices) as well as  
350 indirectly following minor modifications (e.g. in cities of other developing countries).

351

## 322 **2 Methodology**

323

324 The RSAM method covers three pillars of sustainability (environmental, economic, social and  
325 functional) employing several assessment parameters hierarchically subcategorized into factors,  
326 then to indicators, and finally to sub-indicators. It measures stakeholder opinions on the existing  
327 applications of the building's structural elements and provided service systems. Specific weights  
328 have been assigned to calculate scores with a bottom-up approach based on the judgments of  
329 stakeholders. The model along with the indicator weights can be easily modified as a basis for  
330 evaluating buildings in other contexts. A graphical summary of the proposed method is given in  
331 Figure 2 and a detailed method (MethodsX) file is provided.

332 The three factors covered by RSAM are represented by the abbreviations: ENV - Environmental  
333 factor, S&F - Social and Functional factor, and ECO - Economic factor. Subsequently, indicators  
334 and sub-indicators of any factor are presented as level numbers following the corresponding factor  
335 abbreviation, e.g. ENV4.3, ECO2.1, or S&F3.2 (Table 3).

336

### 337 **2.1 Identification of factors and indicators**

338

339 The indicator selection stage has been completed via activities falling into two domains: people  
340 and knowledge resources (Figure 2). Information from stakeholders (people) and research  
341 (literature review) were utilized. Stakeholders (n = 68) between 19 and 57 years old from the  
342 following groups (Table 2) have been interviewed: “Public” (with a relevant engineering  
343 background, graduate degree (PG) and undergraduate degree or with high-school degree (PU)),  
344 “Academy” (sustainability expert academicians (AC)), and “Construction industry” (office

345 workers (CO) and field workers (CF)). As a result, a total 12 indicators and 39 sub-indicators have  
346 been identified (Table 3).

347

## 348 **2.2 Quantification of priorities and weights**

349

350 Weights were collected from stakeholders via interviews and surveys. Likert rating scale (1-5) (i.e.  
351 “not important at all” (1), “not important” (2), “neutral” (3), “important” (4), and “very important”  
352 (5)) was used. The average values of all responses were calculated and used in the model as score  
353 multipliers (weights) (Table 3). After collecting the weights, a three-step statistical approach was  
354 conducted for further evaluation via SPSS 25.0 software. Firstly, the reliability of the considered  
355 data was tested using Cronbach’s Alpha test. It is a common measure of the internal consistency  
356 of a set of items in a survey to gauge its reliability (Cronbach 1951, George and Mallery 2003). If  
357 Cronbach’s alpha is as low as 0.50-0.60, then the data set is appropriate only for exploratory  
358 research, while 0.70 is generally perceived as well acceptable (Nunnally 1967, Hair et al. 2010).  
359 The result confirmed that the survey outputs are reliable.

360 Shapiro-Wilk normality test was used to identify the nature of the collected data ( $p < .05$  for all  
361 stakeholder opinions on 51 indicators (Table 4)). It showed that the collected data can be analyzed  
362 using non-parametric statistical techniques. Kruskal-Wallis test is a non-parametric test, and its  
363 dependency on fewer assumptions leads to more reliable results (Reimann et al. 2008). It was used  
364 for identifying the differences in opinions between various stakeholder groups. Some other similar  
365 studies used the Analysis of Variance (ANOVA) for analysis of multiple sample means (e.g. Toor  
366 and Ogunlana 2009, Mascarenhas et al. 2014, Heravi et al. 2015). However, since the sample size

367 of the collected surveys was not very large and the normality of the data was under question, the  
 368 Kruskal-Wallis test was more appropriate to use for the present study.  
 369 Finally, ranking using Mean Score Analysis (MS) was used to indicate the overall respondents’  
 370 perception of the indicators. It is commonly used (e.g. Makuei and Oladapo 2014, Aigbavboa et  
 371 al. 2017) to assess respondents’ understanding of sustainable construction practices and  
 372 prioritization of all the indicators measured with Likert scales (Ojoko et al. 2018). MS can be  
 373 calculated as follows:

$$374 \quad MS = \frac{5n_5 + 4n_4 + 3n_3 + 2n_2 + 1n_1}{(n_5 + n_4 + n_3 + n_2 + n_1)} \quad (1)$$

375 Where,  $n_1$  to  $n_5$  are the number of respondents who choose the options: 1 (“not important at all”),  
 376 2 (“not important”), 3 (“neutral”), 4 (“important”), 5 (“very important”); respectively.

377

### 378 **2.3 Ten points scaling (TPS) system and RSAM score**

379

380 RSAM performs a quantitative assessment based on a TPS assessment. The main aim of assigning  
 381 points in this method was to reveal exact implementations that were better or superior to the  
 382 average or common applications in the context of the selected city. The measurement for each sub-  
 383 indicator should support the rapid assessment based on householder opinion i.e. it should not be  
 384 complicated or highly technical. After reviewing the existing literature along with the feedback  
 385 from the stakeholders, householder opinion/information-based measurement methods were  
 386 suggested for all sub-indicators (further details presented in the methods (MethodsX) file).

387 The weighted RSAM scores for objective sets: indicators, factors, and overall, are calculated as:

$$388 \quad I_j = \frac{\sum_{i=1}^n W_i \times S I_i}{\sum_{i=1}^n W_i}, F_k = \frac{\sum_{j=1}^m W_j \times I_j}{\sum_{j=1}^m W_j}, S = \frac{\sum_{k=1}^3 W_k \times F_k}{\sum_{k=1}^3 W_k},$$

389 Where  $n$ ,  $m$ , and  $k$  are the number of sub-indicators, indicators, and factors belonging to those  
390 objective sets, respectively;  $W_{i,j,k}$  is the related weights; and  $I_j$ ,  $F_k$ , and  $S$  are the RSAM scores of  
391 the indicators, factors, and overall, which are the weighted averages of all objective sets,  
392 respectively. All ratings are between 0 and 10.

393

### 394 **3 Results and Discussion**

395

#### 396 **3.1 Stakeholder opinions on sustainability indicators**

397

398 The descriptive statistics of all stakeholder groups' perception of the indicators denotes a mean  
399 value of 4.22, variance of 0.11, and a standard deviation of 0.33. The analyses showed that the  
400 coefficient of variation is not high (<8%). The reliability test of the various stakeholder groups'  
401 opinions about the presented indicators reveals that the Cronbach's alpha score is 0.84, which is  
402 >0.70 – the threshold value for considering whether data have a good internal consistency  
403 (Nunnally 1967; Hair et al. 2010). A further investigation using the Kruskal-Wallis test was  
404 performed to find significant differences between stakeholder groups on the indicators. It showed  
405 that various groups (PG, PU, AC, CO, and CF) have a significant difference in opinion on  
406 sustainability indicators ( $p < 0.05$ ) (Table 5), all stakeholder groups' opinions on the considered  
407 indicators showed significant differences.

408 In order to elaborate and further discuss the main differences in the stakeholders' opinions, the  
409 average weights of the groups for Level 1 parameters (“environmental”, “economic”, and “social  
410 and functional”) are illustrated in Figure 3. The economic factor was rated as the second important  
411 factor at around 4.5 in all groups except for “field workers”, who assigned equal weights (4.6) to

412 all three factors. Meanwhile, the most and the least important factors vary significantly between  
413 the remaining groups. Both “graduate degree holders” and “office workers” gave priority to “social  
414 and functional factor”, whereas “academy” and “university or high school diploma holders” rated  
415 it as the least important factor. A substantial difference in the weights was observed in the opinions  
416 of the graduate degree holders against the other groups since they are the only group to rate the  
417 environment factor as the least important one (at 4.3). Despite some disagreement among the  
418 stakeholder groups on the order of priorities given to Level 1 parameters, the range of the weights  
419 of these parameters are slim i.e. spanning only from 4.2 to 4.7 out of 5, and the stakeholder groups  
420 assign them similar significance in the overall assessment.

421 The results of Mean Score Analysis (Table 6) showed that in “environmental” category (ENV),  
422 respondents rated both “water” and “energy” indicators as the most important and relevant ones,  
423 whereas “soil use and biodiversity” was perceived as the least important. More specifically, “heat  
424 loss/insulation” and “water consumption” are considered as the most important sub-indicators by  
425 not only the study stakeholder groups but also the residents of Nur-Sultan. This can be explained  
426 by harsh winters and relative water scarcity due to extreme continental climate of the region  
427 (characterized by long winters and relatively dry summers). Typically, occupants were not  
428 satisfied with the drops in room temperature when windows are opened for ventilation purposes.  
429 Energy provider companies in Nur-Sultan also highlight decreasing heat losses throughout the city  
430 as their operational priority, though Nur-Sultan has significantly lower heat losses (13.6%)  
431 compared to Almaty (20%), the second major city in Kazakhstan (ESMAP 2018). The local  
432 population finds the hardness of the city’s tap water too high to drink without any further treatment;  
433 therefore, the majority prefers filtered (obtained by installing a filtering device in the apartment)  
434 or bottled water (ordered from local suppliers or bought in stores) for drinking purposes (Lee

435 2016). Filtering tap water is appreciated significantly by “AC” stakeholder group (comprised  
436 mostly of citizens of other countries), who uses mainly bottled water for drinking and finds its  
437 delivery timing issues problematic.

438 During the evaluation of “economic” category (ECO), which encourages an integrated design  
439 process optimizing building performance, the stakeholders of all groups voted for “operational  
440 costs” of the building. The main costs which influence “operational costs” and represent  
441 sustainability of the building are “energy” and “water consumption” costs. This result (rank 9,  
442 Table 6) clearly indicates that the residents are more concerned with the costs on energy and water  
443 consumption in Nur-Sultan mainly due to their income levels. Kazakhstan has a developing  
444 economy with a GDP recently moved up into the level of middle-income country. Generally,  
445 average-income households are able to pay for energy and water, yet low-income population has  
446 issues with paying these bills. For this reason, heat tariffs are highly subsidized and thus kept  
447 artificially low (at about one-fifth of the actual cost), but the energy and water costs cannot be  
448 lowered further without substantial financial support from the state (ESMAP 2018). Although  
449 Kazakhstan has initiated water and energy efficiency programs (“Energy Efficiency 2020”,  
450 “Integrated Water Resources Management and Water Efficiency up to 2025”), the population is  
451 still concerned about the costs on consumption of water and energy.

452 Among the stakeholders' ratings, “social and functional” category (S&F) parameters, “indoor air  
453 quality” indicator was considered as the most critical issue for Nur-Sultan’s residents since all  
454 survey respondents identified this indicator as the highest priority (rank 1, Table 6). As respondents  
455 are aware that poor indoor air quality causes numerous health issues, the need for better indoor air  
456 quality monitoring to combat health risks and enhance occupants’ comfort becomes more apparent.  
457 Moreover, it is important to use the right combination of strategies of passive design and active

458 measures (e.g. cooling, heating, solar energy, electric ventilation). The survey showed that the  
459 population rated “mobility plan” and “passive systems” as the least important indicators, whilst  
460 “ventilation” and “temperature” were given 2<sup>nd</sup> and 4<sup>th</sup> priority levels after “indoor air quality”.

461

### 462 **3.2 Classification and assessments of residential buildings (case studies)**

463

464 Nur-Sultan, as the new capital of Kazakhstan since 1998, is a rapidly developing city with the  
465 greatest construction output in the country. With a nearly three-fold population growth over the  
466 last two decades since the city was appointed as the new capital, Nur-Sultan has previously  
467 struggled to provide sufficient housing stock to meet the growing demand. The government has  
468 been continuously providing substantial financial support to tackle this issue, which has led to a  
469 notable construction boom and has made the construction sector one of the leading industries (Cole  
470 1999, Kridlova Burdova and Vilcekova 2015). At present, the city has a diverse residential  
471 building stock ranging from Soviet-era buildings to the ones built after the establishment of the  
472 newly independent government in 1991 until 1998, and finally, the new generation buildings built  
473 after the Kazakh government appointed Nur-Sultan as the capital city in 1998.

474 The previous research by the authors has investigated the sustainability level of residential  
475 buildings in Kazakhstan and has pointed out that the level of sustainability has a correlation with  
476 the building’s age and comfort levels (Tokbolat et al. 2018). The study classified residential  
477 buildings as (a) “old”: panel and brick multistory buildings depending on materials and  
478 components used for construction, and houses typical single-standing dwellings; and, (b) “new”:  
479 buildings built using mainly concrete, different filling materials, and bricks, subcategorized as  
480 ‘economy, comfort, business, and premium’ class buildings based on their comfort level. The latter

481 category covers nearly two-thirds of the housing needs of the city's population (Tokbolat et al.  
482 2018).

483 The present study uses RSAM to assess the sustainability of residential buildings and complexes  
484 in Nur-Sultan using a slightly different classification than Tokbolat et al. (2018). The building-  
485 related information about the case studies was gathered mainly from the buildings' residents  
486 whereas a small amount of additional data acquired from other stakeholder groups such as building  
487 management and construction companies. For example, "old" buildings were classified in two  
488 categories: (1) buildings constructed in the period between industrialization in 1950-60s and the  
489 dissolution of the Soviet Union in 1991, (2) buildings constructed in the period from the  
490 independence of Kazakhstan in 1991 to the appointment of Nur-Sultan as the capital city in 1998.  
491 At the same time, "new" buildings were not further categorized based on their comfort levels but  
492 were rather considered as one group, since dividing buildings by their comfort level is a  
493 predominantly commercial initiative developed by the construction companies for marketing  
494 purposes, which may be biased. Therefore, the present study used a third generalized category -  
495 (3) "new buildings". Categories (1) and (2) had one sample building per category and category (3)  
496 included ten buildings selected from various districts of Nur-Sultan. The greater prevalence of new  
497 buildings in the samples pool is due to (a) the interest in new buildings as they will be in service  
498 longer than the older buildings, (b) their ever increasing share in the city's building portfolio, and  
499 (3) a larger availability of data which supports sustainability assessment. Householders' data were  
500 collected from a minimum five randomly selected samples per building, and results were reported  
501 and discussed based on the average values.

502 The assessment scores of all case studies are summarized in Figure 4 where first two bars present  
503 the overall and weighted factor contributions of the "old buildings", while the following three bars

504 illustrate the lowest, average, and best scores of the “new buildings”, respectively. In general, the  
505 assessment results have shown that the “Case 1” building (built before 1991) has the lowest  
506 sustainability performance score overall as well as in each factor individually. “Case 2” building  
507 (built between 1991-1998) presents a rather unexpected and competitive sustainability level  
508 compared to some of the more recent structures – “new residential buildings” (“Case 3” and “Case  
509 4”), mainly due to its great performance in the economical aspect. Another significant finding of  
510 the assessment is that the environmental parameter scores are either low or not satisfactory across  
511 all building categories, and yet there seems to be a gradual improvement in the environmental  
512 aspect of buildings’ sustainability over time. Moreover, to validate the occupants’ assessment of  
513 the building in “Heat loss/Insulation” sub-category, the authors estimated R-value, the thermal  
514 resistance of the wall materials (the greater the R-value – the better the insulating properties of the  
515 building), of the case study buildings and compared them to the responses. The findings suggest  
516 that there is an as strong correlation between estimated R-values and the assessment of the  
517 building’s insulation done by occupants. The subsections below present the details of the selected  
518 case studies and their assessment results.

519

### 520 ***3.2.1 Case 1: residential building built before 1991***

521

522 A typical residential building representative of the structures built before 1991 is selected from a  
523 suburban area in Nur-Sultan. The neighborhood where the building is located, including the  
524 building itself, was constructed at the end of 1980s (relatively new as a Soviet-era building, and  
525 thus comparable to other buildings) in accordance with the construction standards and regulations  
526 of the Soviet Union. The neighborhood was initially planned as a ‘residential district’ consisting

527 of similar buildings, and now contains two schools, two kindergartens, one clinic, and several  
528 grocery and convenience stores. The area has many large trees which enhances the overall image  
529 of the neighborhood. Currently, the average price of the apartments of this kind of buildings is  
530 relatively low due to unfavorable conditions (e.g. old elevators or none, inconvenient floor plans,  
531 smell from basement, old pipes that break often, limited parking space) and the unwillingness of  
532 city residents to live in old buildings. The assessed building has four floors with 126 apartments  
533 in total. The average monthly energy consumption is 133 kWh per person, which is higher than  
534 the average energy consumption by the city's residents (101 kWh per person) (ESMAP 2017).  
535 This can be explained by the age of the building, associated losses through the building's envelope  
536 (R-value of the external wall components is calculated as 14.7 W/m<sup>2</sup>K), and inefficient household  
537 equipment. Nevertheless, the building received a relatively high score of 5.3 in S&F factor  
538 improved by the location of the building in a vibrant and socially comfortable area. The fact that  
539 the building is surrounded by rich vegetation and trees native to the region has also contributed  
540 positively to the S&F score. However, results show that ENV score of the building is very low  
541 (2.3). The overall RSAM score for the building is 3.9, which corresponds to a low sustainability  
542 performance level and can be explained by the age of the building and the poor/non-existing  
543 sustainability agenda at the time of its design and construction.

544

### 545 ***3.2.2 Case 2: residential building built from 1991 to 1998***

546

547 A residential building typical to this category was selected among the buildings constructed prior  
548 to Nur-Sultan becoming a capital in 1998. The area consists of several dozens of multistory  
549 residential buildings built starting from 1997 and located on the embankment of Ishim River. It

550 also has a wide range of commercial amenities such as convenience stores, development centers,  
551 beauty salons, and flower shops among others, which are usually located in the buildings’  
552 basement or ground floor. The notable difference of this neighborhood from the one described in  
553 the previous case is a yard with various children’s playgrounds and football and basketball courts.  
554 Currently, apartments in these buildings are privately owned or rented out. The location of the  
555 neighborhood on the river’s bank as well as in the geographic center of the city makes this  
556 residential area attractive to city residents. The case study building has 16 floors and a total of 64  
557 apartments. The average energy consumption is around 120 kW/h per person, which is higher than  
558 the average energy consumption in Nur-Sultan, but lower than that of “Case 1” building. The R-  
559 value for the building materials used for wall construction was calculated to be 17.2 W/m<sup>2</sup>K. The  
560 best performing category is ECO with an exceptionally high score of 8.0 which is the maximum  
561 achieved score in this category among all assessed buildings. The overall RSAM score of this  
562 residential building is 5.5 which is an impressive result given the average performance score (5.3)  
563 of the supposedly more sustainable new-generation buildings evaluated in the present study.

564

### 565 ***3.2.3 Case 3: new residential building (lowest sustainability score)***

566

567 A building built in 2004 was selected from a residential area of Nur-Sultan located in a wealthier  
568 part of the city. This area has been constructed in order to provide accommodation for the fast-  
569 growing population of Nur-Sultan after its appointment as the capital city. However, not all the  
570 new buildings were good quality construction. This, in the past, has been evident after strong wind  
571 events during which façade materials of buildings of poor-quality build got damaged easily. Such  
572 residential areas are quite common and at present, they usually provide accommodation for people

573 working in nearby governmental agencies. The case study building consists of seven floors and a  
574 total of 114 apartments. The average monthly energy consumption was 98 kWh per person, which  
575 is slightly lower than the city-wide average. Overall, the building’s sustainability performance was  
576 rated low in many sub-categories. The lowest score category-wise was obtained in ENV category  
577 (2.9), it is possible that sustainability in general, as well as environmental aspects, have not been  
578 considered in the building’s design at that time. Despite the proximity to various amenities, the  
579 occupants expressed dissatisfaction with the accessibility of amenities, and social and functional  
580 dimensions of the residential area. More specifically, S&F5 indicator (“facilities”) was estimated  
581 to be only 4.1. Another significantly lower score of 2.5 was scored in ECO2 (“local economy”)   
582 indicator indicating the absence of affordable solutions for householders. However, the building  
583 scored high results in indicators such as, for example, S&F4 (“space flexibility and adaptability”)   
584 and S&F1 (“user’s health and comfort”). These results suggest that such buildings would tend to  
585 be more attractive for higher-income occupants. All in all, the overall RSAM score of the building  
586 is 4.4, which is lower than the score of “Case 2” building built much earlier.

587

#### 588 ***3.2.4 Case 4: new residential building (highest sustainability score)***

589

590 A representative complex from the newest generation buildings group which received high scores  
591 was selected from one of the favorable areas of the city near the entertainment center Khan-Shatyr.  
592 The selected residential complex is built close to an artificial lake and is positioned as a green  
593 neighborhood with the integration of renewable energy technologies such as solar panels, wind  
594 turbines, and piezoelectric energy harvesting devices mainly used to supply outdoor lighting  
595 devices. The apartments are privately owned by individuals or rented out. The location of the

596 complex is convenient in terms of transport accessibility, presence of various outdoor amenities,  
597 and proximity to business and cultural areas of the city. The case study residential complex  
598 contains buildings with 8, 10, 12, and 15 floors with a total number of 620 apartments. The average  
599 monthly energy consumption is 110 kWh per person and the calculated R-value of the exterior  
600 wall for the assessed buildings is 8.7 W/m<sup>2</sup>K. The complex showed outstanding results in S&F  
601 category by scoring 7.9 points. ECO factor is another area where the building performed well by  
602 scoring 7.2. In addition, the residential complex is one of the first buildings in the country that  
603 implemented a wide set of green building measures. For example, it is estimated that the complex  
604 saves up to 19% of energy due to various energy-efficient solutions and passive design. Moreover,  
605 the complex collects and reuses greywater achieving reduction up to 32% in water consumption  
606 compared to conventional buildings in Nur-Sultan. However, the residents rated ENV1 (“energy”)  
607 and ENV4 (“water”) poorly resulting in poor performance of the complex in ENV factor (3.7),  
608 which is still the best score among all assessed residential buildings. Overall, “Case 4” building  
609 complex achieved a higher level of sustainability in two out of three main areas of RSAM  
610 assessment, and its sustainability performance was assessed as 6.3.

611

### 612 **3.4 Comparison of RSAM structure with established methods for existing buildings**

613

614 Two well-established sustainability rating systems dedicated to the assessment of existing  
615 buildings, LEED-EBOM (USGBC 2014) and Green Star SA EBP (GBCSA 2014), were compared  
616 to the resultant structure of the RSAM framework. All three methods recognize the importance of  
617 the efficient use of energy and allocate correspondingly great weighting to this category. However,  
618 neither LEED-EBOM nor Green Star SA have incorporated heat loss and insulation into their

619 assessment framework, while RSAM emphasizes its essence due to the considerable negative  
620 impact of coal-powered combined heating and power systems widespread in Kazakhstan on the  
621 environment. Moreover, LEED-EBOM and RSAM promote the use of renewable energy sources  
622 which is overlooked by Green Star SA. Nonetheless, RSAM considers only green energy produced  
623 on-site, whereas LEED-EBOM takes into account the use of off-site renewable energy, too. All  
624 three methods encourage the building's owner or management to support and protect the site's  
625 ecology as well as favor previously built areas. Moreover, all sustainability assessment tools  
626 include solid waste management (i.e. waste separation and storage) and water use efficiency into  
627 the sustainability level evaluation process. Though they all require proper collection and  
628 management of stormwater (e.g. use it for irrigation purposes), only RSAM considers the recycling  
629 and reuse of greywater with its separation from black water.

630 One key difference between RSAM and the existing two methods is that the former accounts for  
631 the economic aspect of sustainability by measuring initial and operational costs of the building and  
632 promoting the use of local goods and services which helps to balance the evaluation outcome  
633 between the pillars. Another considerable difference between RSAM and the available methods is  
634 that the proposed rating system encourages the use of natural ventilation, but LEED-EBOM and  
635 Green Star SA do not differentiate between mechanical and natural ventilation systems as long as  
636 the desired level of indoor air quality is achieved. The toxicity levels of interior spaces seem to be  
637 a major concern for all of the methods, as well as the use of natural light and the thermal and visual  
638 comfort of occupants. However, only RSAM encourages the incorporation of passive systems and  
639 considering the layout and orientation of the building for minimizing the need for cooling, heating,  
640 and mechanical ventilation.

641 LEED-EBOM, Green Star SA EBP, and RSAM all emphasize the importance of the availability  
642 of alternative transport options, but RSAM performs a thorough assessment of sustainability  
643 performance of the building by including occupant safety, accessibility, availability of social areas  
644 for bringing people together, and space optimization and flexibility in the equation. What RSAM  
645 does not include, in comparison to LEED-EBOM and Green Star SA EBP, is the evaluation of  
646 parameters such as green cleaning, sustainable purchasing, innovative approach to sustainability,  
647 and refrigerants management – concepts which are still new to Kazakhstan, therefore, might  
648 compromise the survey speed and quality as they may require detailed explanations for  
649 householders and if not understood may lead to poor quality answers. All in all, there are numerous  
650 similarities along with some important differences between the established rating systems and the  
651 proposed method mainly due to three reasons: (1) the RSAM method aims to cover the pillars of  
652 sustainability evenly, (2) a few indicators are left out as they cannot be effectively evaluated via  
653 occupant surveys, and (3) the method’s content is significantly affected by the regional context, in  
654 the present case, of Kazakhstan.

655

656 **4 Conclusions and Implications**

657

658 A fast and resource-efficient sustainability assessment method, Rapid Sustainability Assessment  
659 Method (RSAM), has been designed based on stakeholders’ perceptions and opinions evenly  
660 covering the three pillars of sustainability (environmental, economic, social and functional). Then,  
661 it has been used to rate the sustainability performance of selected existing residential buildings  
662 representative of different eras in Nur-Sultan (formerly Astana), Kazakhstan. The assessments  
663 were based on the responses of the buildings’ occupants to questionnaires. It has identified key

664 differences in the sustainability performances of buildings of three different generations (built  
665 during the Soviet era i.e. prior to 1991, built between 1991 and 1998 following Kazakhstan's  
666 independence, and built after 1998 when Nur-Sultan city has become the country's capital). Out  
667 of three main sustainability categories, the environmental aspect of the residential building sector  
668 has the lowest performance rating, which nonetheless has gradually improved over the years. For  
669 further improvement, adopting the developed methodology will allow the construction sector and  
670 governmental agencies to understand the sustainability condition of individual residential  
671 buildings in the city or country for a relatively low cost. The method can also be modified to  
672 expand the assessment to non-residential buildings. These, in combination, would further enable  
673 the use of assessment results for decision-making at governmental level for the improvement of  
674 building sustainability performances for new constructions in the future.

675 There were certain limitations to the present research. First, the sustainability research in  
676 Kazakhstan in general and sustainability of buildings in particular is limited to only a few studies  
677 (Tokbolat and Calay 2015, Tokbolat et al. 2018, Akhanova et al. 2020). Furthermore, the domain  
678 of sustainability is new to the general public requiring some on-site education on the subject prior  
679 to the survey. This, along with the subjectivity of responses to certain sub-indicator questions (e.g.  
680 perceived average temperature) made the data collection and analysis a labor-intensive process. In  
681 the case of older buildings, the data were often less elaborate and required additional processing  
682 due to the absence of measuring devices (meters) or unavailability of records, preventing the  
683 residents from reporting accurate data such as energy or water consumption.

684 One of the most important features of RSAM framework is its flexibility allowing modifications  
685 on the structure (addition or omission of any indicator or sub-indicator) and the weighting system  
686 (assigning weights acquired for a specific region or context). This flexibility gives an opportunity

687 to re-purpose the framework to either include wide range of buildings or focus on a particular type  
688 depending on the goals of such sustainability assessment.

689 Given the relatively young age of the capital city and very limited construction before the second  
690 half of 20th century, traditional and historic buildings in Nur-Sultan are rare. Moreover, the current  
691 state of construction sector in the city favors new construction which is much more profitable than  
692 renovating old buildings. However, if the framework is to be applied to an older city (e.g. Almaty,  
693 the cultural center of Kazakhstan with over a century-long history), it can be adjusted to account  
694 for cultural, social, and other benefits that renovating traditional and historical buildings brings.  
695 The existing structure of the framework already favors reusing old buildings with an inclusion of  
696 sub-indicators like “reuse of previously built or contaminated areas”, but it may omit other  
697 significant factors. Some of the suggested major aspects of adaptive reuse of old historic buildings  
698 include “heritage preservation” and “appropriateness of the new scope” of the building, which can  
699 be easily added as indicators or sub-indicators to social and functional factor (S&F). Other aspects  
700 such as “the contribution of the building to revitalization of the area” and “increased tourism” may  
701 also be important (Misirlisoy and Gunce 2016), but they might pose a challenge in finding rapid  
702 and easy ways to rate these aspects. On the contrary, some sub-indicators including the initial costs  
703 of construction might have to be changed or overlooked in order to assess renovation costs. These  
704 kinds of adjustments would require iterating the process of framework development starting from  
705 choosing appropriate indicators and sub-indicators as well as ways to measure them and ending  
706 with developing a new weighting system derived from stakeholders’ opinions adding a great  
707 prospect in RSAM improvement in the future.

708 RSAM has the potential to become a good alternative to elaborate and resource-intensive  
709 international sustainability certification tools. The recommended future work includes (1) the

710 development of a user-friendly online tool with an easy-to-navigate structure (to make the adoption  
711 of RSAM easier for stakeholders), (2) building a city-wide sustainability map with the help of  
712 stakeholders and governmental agencies to access larger quantities of building information (to aid  
713 the decision-making process of the municipality in improving urban sustainability), and (3) to  
714 develop a causality model for RSAM parameters which can measure householders' loyalty and  
715 satisfaction levels for housing developers (to understand the correlation between various  
716 sustainability-related variables and the clients' satisfaction, to view subsequent changes in  
717 satisfaction levels after making adjustments to building-related variables during design and  
718 construction phases).

719

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721

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723

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879 Table 1. Comparison of sub-indicators of Rapid Sustainability Assessment Method (RSAM) with  
880 LEED - Existing Buildings: Operations & Maintenance (EBOM) and Green Star for Existing  
881 Building Performance (SA EB)

<b>Sub-indicators of RSAM</b>	<b>LEED - EBOM</b>	<b>Green Star SA EB</b>
ENV1.1: Primary energy consumption/area (or volume) (Energy efficiency rating)	X	X
ENV1.2: Heat loss/Insulation		
ENV1.3: Local energy production	X	
ENV2.1: Layout optimization		
ENV2.2: Soil sealing		
ENV2.3: Reuse of previously built or contaminated areas	X	X
ENV2.4: Ecological protection of the site	X	X
ENV2.5: Rehabilitation of the surrounding	X	
ENV2.6: Use of native plants		
ENV3.2: Reused products and recycled materials	X	X
ENV3.3: Waste separation and storage	X	X
ENV4.1: Water consumption	X	X
ENV4.2: Recycling and reuse of grey water		
ENV4.3: Rain and storm water collection and use	X	X
ENV4.4: Separation of black water		
ECO1.1: Initial costs (cost of the building)		
ECO1.2: Operational costs (e.g. energy and water consumption costs)		
ECO2.1: Hiring local goods and services		
S&F1.1: Natural ventilation		
S&F1.2: Toxicity of finishing materials	X	X
S&F1.3: Thermal comfort	X	X
S&F1.4: Visual comfort	X	X
S&F1.5: Acoustic comfort		X
S&F1.6: Indoor air quality	X	X
S&F1.7: Natural light	X	X
S&F2.1: Layout and orientation		
S&F2.2: Passive systems (e.g. no electric ventilation, cooling and heating, etc.)		
S&F3.1: Occupant safety		
S&F3.2: Accessibilities		
S&F4.1: Availability and accessibility to social areas		
S&F4.2: Space optimization, flexibility and adaptability		
S&F5.1: Accessibility to public transport	X	X
S&F5.2: Local amenities		
S&F5.3: Low impact mobility	X	X
S&F5.4: Building management and availability of services		X

882 Table 2. Data on participants belonging to one of the three stakeholder groups (n = 68)

	<b>Stakeholder groups</b>	<b>Description of participants</b>
<b>Public</b>	PG: People with graduate degree (n = 15)	Specialists, managers, graduate students, engineers (civil, environmental, mechanical, electrical), research assistants, teaching assistants
	PU: People with undergraduate degree and/or with high school degree (n = 20)	Accountants, auditors, students, high school graduates, businessmen, teachers, doctors
<b>Academy</b>	AC: University professors (n = 15)	Professors at various levels
<b>Construction industry</b>	CO: Office workers (n = 9)	Architects, computing engineers, project managers, structural engineers, pumping engineers, electrical engineers
	CF: Field workers (n = 9)	Foremen, chief managers, project managers, technical document specialists, project group specialists, chief engineers, site engineers

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885 Table 3. Hierarchical structure of RSAM framework with weight summaries

Level 1 (Factors)	Weights [1-5]	Level 2 (Indicators)	Weights [1-5]	Level 3 (Sub-indicators)	Weights [1-5]		
<b>ENV:</b> Environmental	4.57	<b>ENV1:</b> Energy	4.65	<b>ENV1.1:</b> Primary energy consumption/area (or volume) (Energy Efficiency rating)	4.47		
				<b>ENV1.2:</b> Heat loss/insulation	4.56		
				<b>ENV1.3:</b> Local energy production	4.08		
		<b>ENV2:</b> Soil use and biodiversity	3.99	<b>ENV2.1:</b> Layout optimization	4.03	<b>ENV2.2:</b> Soil sealing	4.05
						<b>ENV2.3:</b> Reuse of previously built or contaminated areas	3.51
						<b>ENV2.4:</b> Ecological protection of the site	4.20
						<b>ENV2.5:</b> Rehabilitation of the surrounding	3.95
						<b>ENV2.6:</b> Use of native plants	3.71
						<b>ENV3:</b> Materials and Solid Waste	4.15
		<b>ENV4:</b> Water	4.58	<b>ENV4.1:</b> Water consumption	4.53		
						<b>ENV4.3:</b> Rain and storm water collection and use	3.53
						<b>ENV4.4:</b> Separation of black water	3.79
						<b>ECO:</b> Economic	4.41
		<b>ECO1.2:</b> Operational costs (e.g. energy and water consumption costs)	4.63				
<b>ECO2:</b> Local Economy	4.13	<b>ECO2.1:</b> Hiring local goods and services	4.13				
	4.51		4.53	<b>S&amp;F 1.1:</b> Natural ventilation	4.61		

Level 1 (Factors)	Weights [1-5]	Level 2 (Indicators)	Weights [1-5]	Level 3 (Sub-indicators)	Weights [1-5]
<b>S&amp;F: Social and functional</b>		<b>S&amp;F1:</b> User's health and comfort		<b>S&amp;F 1.2:</b> Toxicity of finishing materials	4.61
				<b>S&amp;F1.3:</b> Thermal comfort	4.61
				<b>S&amp;F1.4:</b> Visual comfort	4.31
				<b>S&amp;F1.5:</b> Acoustic comfort	4.53
				<b>S&amp;F1.6:</b> Indoor air quality	4.69
				<b>S&amp;F1.7:</b> Natural light	4.43
		<b>S&amp;F2:</b> Passive design	3.86	<b>S&amp;F2.1:</b> Layout and orientation	3.92
				<b>S&amp;F2.2:</b> Passive systems (e.g. no electric ventilation, cooling and heating, etc.)	3.73
		<b>S&amp;F3:</b> Mobility plan	3.86	<b>S&amp;F3.1:</b> Occupant safety	4.64
				<b>S&amp;F3.2:</b> Accessibilities	4.28
		<b>S&amp;F4:</b> Space flexibility and adaptability	3.88	<b>S&amp;F4.1:</b> Availability and accessibility to social areas	4.16
				<b>S&amp;F4.2:</b> Space optimization, flexibility and adaptability	4.09
		<b>S&amp;F5:</b> Facilities	4.09	<b>S&amp;F5.1:</b> Accessibility to public transport	4.23
				<b>S&amp;F5.2:</b> Local amenities	3.93
				<b>S&amp;F5.3:</b> Low impact mobility	3.71
				<b>S&amp;F5.4:</b> Building management and availability of services	4.12

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888 Table 4. Shapiro-Wilk normality test performed on the collected data from the participants

<b>Stakeholder group</b>	<b>Shapiro-Wilk test parameters</b>		
	<b>Statistic</b>	<b>df</b>	<b>Sig.</b>
<b>AC</b>	0.934	51	0.006
<b>CF</b>	0.934	51	0.007
<b>CO</b>	0.915	51	0.001
<b>PG</b>	0.943	51	0.015
<b>PU</b>	0.945	51	0.019

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891 Table 5. Kruskal-Wallis test on overall score of stakeholder groups' opinion on all indicators

<b>Levels</b>	<b>df</b>	<b>H value</b>	<b>Critical value</b>	<b>P value</b>	<b>Conclusion</b>
<b>Level 3 - Sub-indicators</b>	4	3644237.8	0.7	<0.000	Not all group medians are equal. Differences between some of the medians are statistically significant. Rejection of null hypothesis.
<b>Level 2 - Indicators</b>	4	138402.8	0.7	<0.000	
<b>Level 1 - Factors</b>	4	106709.7	0.7	<0.000	

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894 Table 6. Indicator priorities by all stakeholder groups

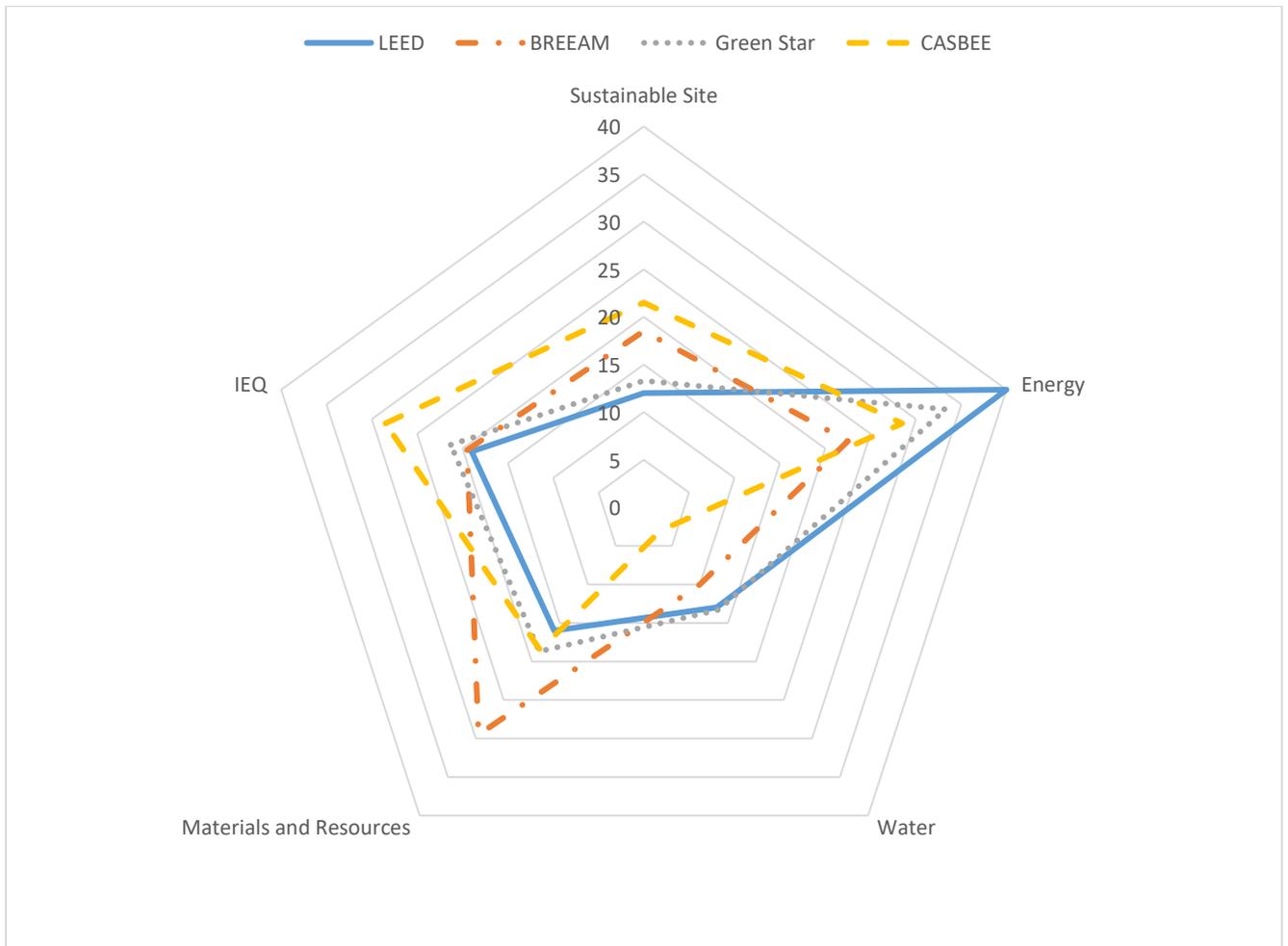
Parameters	Number of responses and priority ranking						
	1	2	3	4	5	MS	Rank
<b>A. LEVEL 3 PARAMETERS</b>							
Indoor air quality	0	2	6	17	49	335	1
Natural ventilation	0	1	6	25	40	320	2
Heat loss/Insulation	0	2	2	26	40	314	3
Thermal comfort	0	0	2	33	35	313	4
Acoustic comfort	0	2	5	22	41	312	5
Toxicity of finishing materials	0	1	3	20	44	311	6
Water consumption	0	2	8	13	46	310	7
Natural light	0	4	8	23	37	309	8
Occupant safety	0	2	3	19	44	309	8
Primary energy consumption	0	2	5	23	38	301	9
Operational costs	0	0	2	20	43	301	9
Visual comfort	0	3	10	27	31	299	10
Accessibilities	0	5	6	34	27	299	10
Waste separation and storage	0	2	7	27	32	293	11
Availability and accessibility to social areas	0	5	8	38	21	291	12
Accessibility to public transport	0	4	9	30	26	285	13
Initial costs (cost of the building)	1	3	5	22	35	284	14
Ecological protection of the site	0	3	10	24	30	282	15
Space optimization, flexibility and adaptability	0	4	14	30	22	280	16
Reused products and recycled materials	0	5	8	32	23	277	17
Building management and availability of services	0	2	11	32	21	270	18
Local Energy production	1	4	11	23	27	268	19
Construction waste	1	6	11	27	22	263	20
Use of native plants	3	9	19	30	13	260	21
Recycling and reuse of grey water	2	4	13	29	19	258	22
Local amenities	0	4	12	33	16	256	23
Soil sealing	0	2	16	19	24	248	24
Separation of black water	3	6	16	27	16	248	25
Layout and Orientation	0	3	11	37	12	247	26
Rehabilitation of the surrounding	2	5	11	28	17	240	27
Reuse of previously built or contaminated areas	6	7	21	22	14	235	28
Passive Systems	3	5	15	24	15	226	29
Rain and storm water collection and use	3	6	20	26	9	221	30
Heat island effect	3	7	15	19	14	205	31

Low impact mobility	1	5	19	16	9	176	32
<b>B. LEVEL 2 PARAMETERS</b>							
User's health and comfort	0	2	6	20	43	317	1
Water	0	1	6	22	41	313	2
Cost of Building	0	2	4	28	36	308	3
Energy	0	1	5	15	46	307	4
Materials and Solid Waste	2	1	15	27	26	285	5
Local Economy	0	2	12	29	25	281	6
Facilities	0	2	6	41	16	266	7
Space flexibility and adaptability	0	3	17	38	11	264	8
Passive design	0	4	14	32	13	243	9
Mobility plan	0	4	19	30	10	235	10
Soil use and biodiversity	0	1	14	32	12	232	11
<b>C. LEVEL 1 PARAMETERS</b>							
Environmental	0	4	7	18	45	326	1
Economic	0	1	4	31	36	318	2
Social and functional	0	1	6	28	34	302	3

895 \* 1 – Not at all important, 2 - Not important, 3 - Neutral, 4 – Important, 5 - Very important

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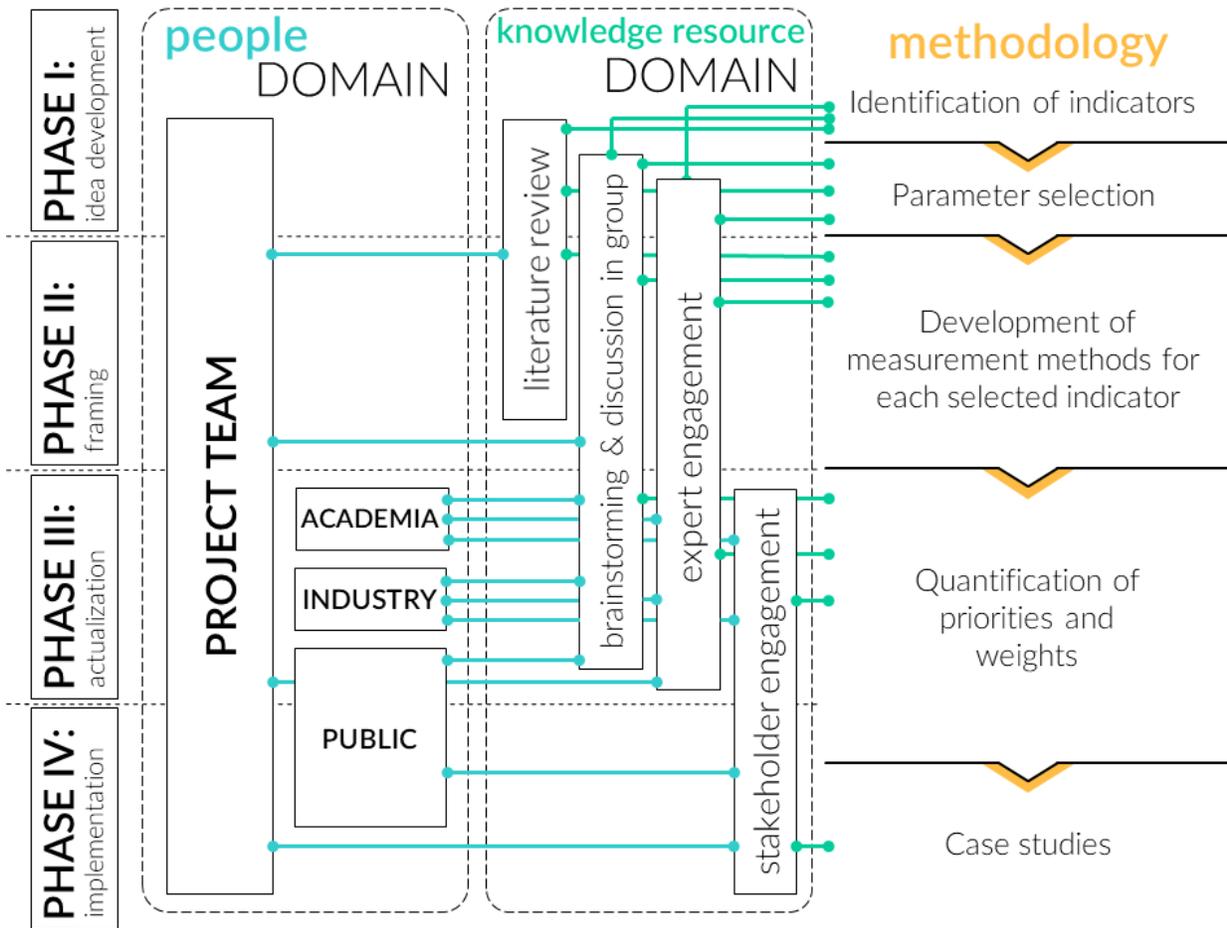
897 Figure 1. Comparative (sensitivity) analysis for LEED, BREEAM, Green Star, and CASBEE in  
898 terms of major key categories



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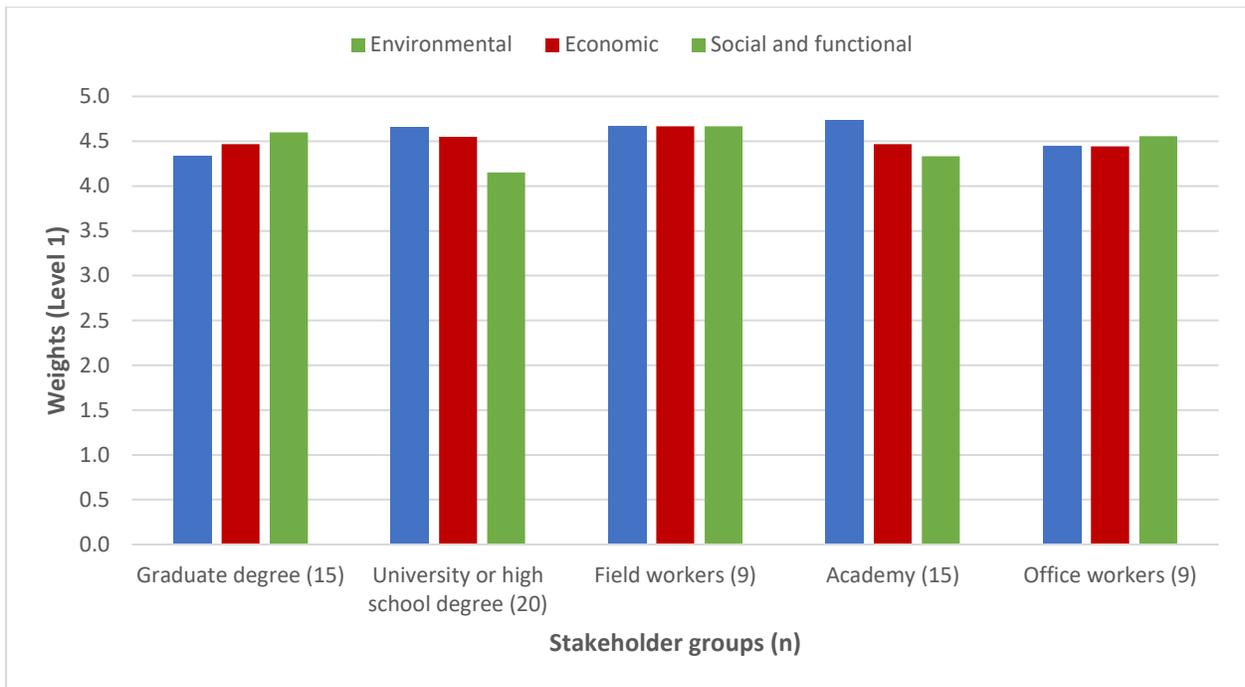
901 Figure 2. Development methodology for Rapid Sustainability Assessment Method (RSAM)



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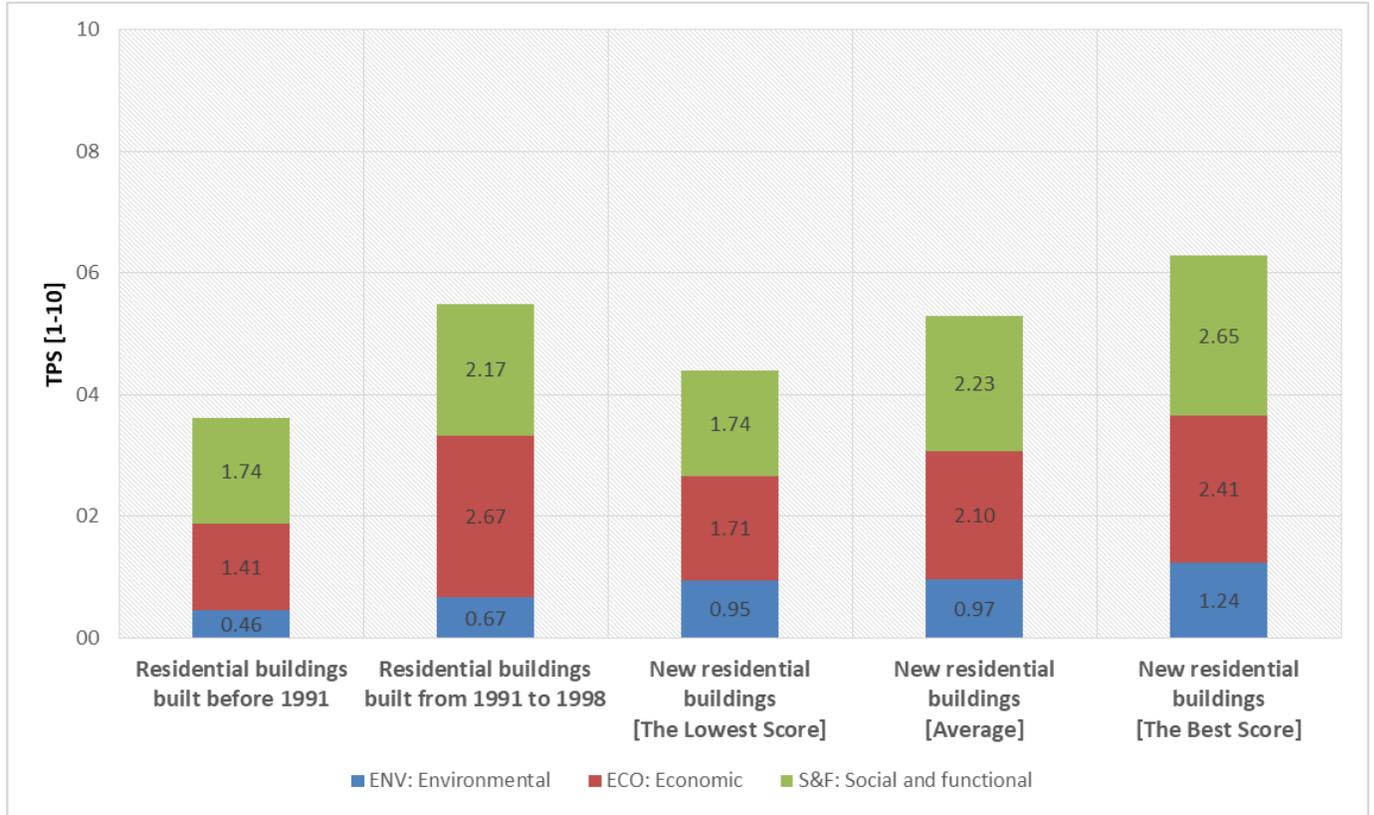
904 Figure 3. Stakeholder groups' weights for Level 1 parameters



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907 Figure 4. Overall RSAM scores of all case studies



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