

1 **The contribution of visual information to the perception of speech in noise**  
2 **with and without informative temporal fine structure**

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## 1 **Abstract**

2 Understanding what is said in demanding listening situations is assisted greatly by looking at  
3 the face of a talker. Previous studies have observed that normal-hearing listeners can benefit  
4 from this visual information when a talker's voice is presented in background noise. These  
5 benefits have also been observed in quiet listening conditions in cochlear-implant users,  
6 whose device does not convey the informative temporal fine structure cues in speech, and  
7 when normal-hearing individuals listen to speech processed to remove these informative  
8 temporal fine structure cues. The current study (1) characterised the benefits of visual  
9 information when listening in background noise; and (2) used sine-wave vocoding to  
10 compare the size of the visual benefit when speech is presented with or without informative  
11 temporal fine structure. The accuracy with which normal-hearing individuals reported words  
12 in spoken sentences was assessed across three experiments. The availability of visual  
13 information and informative temporal fine structure cues was varied within and across the  
14 experiments. The results showed that visual benefit was observed using open- and closed-set  
15 tests of speech perception. The size of the benefit increased when informative temporal fine  
16 structure cues were removed. This finding suggests that visual information may play an  
17 important role in the ability of cochlear-implant users to understand speech in many everyday  
18 situations. Models of audio-visual integration were able to account for the additional benefit  
19 of visual information when speech was degraded and suggested that auditory and visual  
20 information was being integrated in a similar way in all conditions. The modelling results  
21 were consistent with the notion that audio-visual benefit is derived from the optimal  
22 combination of auditory and visual sensory cues.

23 *Keywords:* audio-visual; visual speech; temporal fine structure; sine-wave vocoding; cochlear  
24 implants

25

# 1. Introduction

Speech perception in normal-hearing listeners is very resilient to distortions in the auditory signal and the presence of background noise. In contrast, understanding speech in background noise is difficult for adults with hearing impairment (Davis, 1989; Kramer *et al.*, 1998) and is particularly problematic for users of cochlear implants (CI) whose device degrades the spectral and temporal information in speech (Schafer and Thibodeau, 2004; Wolfe *et al.*, 2009; Fu *et al.*, 1998; Skinner *et al.*, 1994). Shannon and colleagues (1995) showed that when signals were presented in quiet, listeners with normal hearing were able to tolerate a dramatic reduction in the amount of spectral and temporal information present in the speech signal before there was any appreciable effect on performance. The ‘noise-vocoding’ technique used by Shannon *et al.* (1995) involved: (1) dividing the speech signal into a limited number of frequency bands; (2) extracting the slow amplitude modulations or ‘temporal envelope’ within each frequency band; and (3) using these envelopes to modulate a wide-band random-noise carrier signal which was then filtered by the same filters used in stage (1). The use of a random-noise carrier has the effect of replacing the informative high-rate fluctuations in frequency near the centre-frequency of each band with non-informative fine structure. As the first two stages of this process mimic the processing stages implemented by a speech processor of a cochlear implant, vocoders have been widely used to investigate the difficulties experienced by users of cochlear implants.

The inability of cochlear implants to convey informative temporal fine structure cues has severe consequences for the ability of cochlear-implant users to perceive speech in the presence of background noise (e.g. Schafer & Thibodeau, 2004), and this difficulty has been replicated using noise-vocoding in normally-hearing individuals (Qin and Oxenham, 2003; Ihlefeld *et al.*, 2010, Rosen *et al.*, 2013). Qin and Oxenham (2003) investigated speech perception in noise with 4-, 8-, and 24-channel vocoders. Normal-hearing listeners were presented with IEEE sentences, and the signal-to-noise ratio (SNR) at which performance was 50% correct (known as the Speech Reception Threshold,  $SRT_{50}$ ) was estimated by varying the relative levels of speech and noise. When speech was unprocessed and presented in single-talker background noise, participants could achieve 50% correct performance at an SNR of -10.3dB. When speech was then processed by an 8-channel vocoder, listeners required the level of the speech to be 6.4-dB higher than the noise to reach the same performance level. The addition of more spectral channels improved performance with the

1 vocoder but a positive SNR (+0.7dB) was still required to report 50% of keywords correctly  
2 even in the 24 channel condition. Qin and Oxenham (2003) concluded that the reduction of  
3 pitch cues found in the temporal fine structure and low frequency harmonics of speech may  
4 be responsible for this performance detriment. Somewhat lower levels of susceptibility to the  
5 presence of noise have been reported for speech processed using a ‘sine-wave vocoder’ in  
6 which the informative temporal fine structure is replaced with sine waves rather than noise  
7 (Whitmal *et al.*, 2007). There is some evidence that sine-wave vocoders match the percept of  
8 cochlear-implant users more closely than noise-band vocoders (e.g. Dorman *et al.*, 1997) and  
9 are better at preserving the envelope fluctuations present in speech (e.g. Whitmal *et al.*, 2007;  
10 Dau *et al.*, 1999).

11 Although the impact of removing informative temporal fine structure cues has been studied  
12 extensively for audio-only situations, its impact on the audio-*visual* perception of speech in  
13 noisy conditions has received little attention, despite this being the more ecologically relevant  
14 problem. Sumbly and Pollack’s (1954) seminal work with normal-hearing adults showed that  
15 word recognition improved considerably under audio-visual conditions compared to listening  
16 to the audio alone. In fact, the addition of visual speech information was found to be  
17 equivalent to increasing the signal-to-noise ratio by +15dB compared with audio-only  
18 presentation. It is perhaps not surprising therefore that people with impaired hearing and  
19 users of cochlear implants gain considerable benefit from being able to see the faces of  
20 talkers (Erber, 1975; Kaiser *et al.*, 2003; Tyler *et al.*, 1997).

21 Kaiser *et al.* (2003) tested audio-only, visual-only, and audio-visual recognition of  
22 monosyllabic English words in both normal-hearing listeners and cochlear-implant users.  
23 Normal-hearing listeners were presented with words at -5dB SNR, and cochlear-implant  
24 users were presented with words in quiet. The results showed that both groups of listeners  
25 performed best in the audio-visual condition in which word recognition scores were similar in  
26 both groups. There was some evidence that cochlear-implant users made better use of visual  
27 information when listening conditions were more difficult, such as when they were required  
28 to identify lexically difficult words (low frequency words with many phonetic neighbours,  
29 Luce & Pisoni, 1998). More recent studies have added support to the idea that people with  
30 cochlear implants may be better at integrating auditory and visual information than normal-  
31 hearing listeners (Rouger *et al.*, 2007; Desai *et al.*, 2008).

1 A number of previous studies have found that benefits from visual speech information  
2 depend on the nature of the auditory signal. Grant *et al.* (1985, 1991, 1994) investigated the  
3 way in which different sorts of degraded speech signals combined with visual speech cues.  
4 More recently, McGettigan *et al.* (2012) demonstrated greater benefits from visual speech  
5 information for speech lacking in auditory clarity, such that visual speech information  
6 boosted performance more for 2- and 4-channel noise-vocoded speech than it did for 6-  
7 channel vocoded speech.

8 These studies lead logically to the idea that the value of any sensory input is not fixed, but  
9 can depend of the value or nature of another sensory input; i.e. the visual signal is of greater  
10 value when the auditory input is degraded. This is consistent with the ‘Principle of Inverse  
11 Effectiveness’ (Lakatos *et al.*, 2007, Tye-Murray *et al.*, 2010) which asserts that the value of  
12 one modality will increase as the value of another declines. A number of models have been  
13 proposed to try to explain the nature of multisensory integration (Massaro, 1987; Blamey *et*  
14 *al.*, 1989; Braida, 1991; Grant *et al.*, 1998; Kong and Carlyon, 2007; Rouger *et al.*, 2007;  
15 Micheyl and Oxenham, 2012). Models can be broadly categorised as to whether information  
16 is integrated in some raw sensory form before any decision is made (‘pre-labelling’) or after  
17 decision processes are applied separately to each modality (‘post-labelling’; Braida, 1991;  
18 Peelle and Sommers, 2015).

19 Recently, Micheyl and Oxenham (2012) proposed a pre-labelling model based on Signal  
20 Detection Theory (SDT) to explain the capacity of normal-hearing listeners to integrate  
21 vocoded information in one ear with low-frequency acoustic information in the other ear.  
22 Their model and those applied in other similar studies suggested that the benefits of  
23 integrating electric and acoustic information can be explained as an additive interaction  
24 (Seldran *et al.*, 2011; Micheyl and Oxenham, 2012, Rader *et al.*, 2015) of the raw sensory  
25 information prior to any decision. Rouger *et al.* (2007) applied a post-labelling model to  
26 examine the properties of audio-visual integration, which assumes that decisions are made  
27 about individual cues prior to integrating these to make an overall decision. Their model is an  
28 extension of the ‘probability summation model’ (Treisman, 1998), which states that the  
29 probability of answering correctly is equal to the probability that either one or both of the  
30 modalities presented individually would result in the correct answer. Interestingly, Rouger *et*  
31 *al.*’s implementation of this model on their data suggested that integration across modalities  
32 operated differently in cochlear implantees and normal hearing subjects listening to noise-  
33 vocoded speech.

1 The current project systematically investigates the perception of sine-wave vocoded speech  
2 (labelled as ENV speech) at a range of SNRs, and compares this with performance in ‘clear’  
3 speech conditions where informative temporal fine structure cues remain (labelled as TFS  
4 speech). The primary question of interest is whether the size of the benefit received from  
5 visual speech information depends on the presence of informative temporal fine structure  
6 information. This question was addressed using both open-set and closed-set tests of speech  
7 perception as we might expect to find differences between different types of speech tests (see  
8 Lunner *et al.*, 2012). Not only were we interested in whether any numeric improvement in  
9 performance with the addition of visual information depended on the presence of TFS, but  
10 also whether any observed differences implied a difference in the underlying integration  
11 process. Three experiments are presented below; in the first participants completed an open-  
12 set sentence test using a between participants design, the second reports an open-set sentence  
13 test using a mixed participants design, and the third reports a closed-set sentence test using a  
14 mixed participants design. Background noise consisted of multi-talker babble. In each  
15 experiment we expected to find that visual speech information contributed more to  
16 understanding vocoded speech in background noise than to understanding clear speech in  
17 background noise. These results were interpreted within the framework of a SDT model.

18

## 19 **2. General methods**

### 20 **2.1 Apparatus**

21 The presentation of stimuli and collection of responses was achieved using the EPrime  
22 software (Version 2.0, Psychology Software Tools Inc., Sharpsburg, US). Acoustic stimuli  
23 were presented over HD280pro headphones (Sennheiser, Wedemark, Germany) via a custom  
24 built digital-to-analogue converter. The presentation level of the acoustic stimuli was  
25 calibrated to achieve an average presentation level between 70-73 dB sound pressure level  
26 (SPL). Calibration was performed by coupling the headphones to an artificial ear (Brüel &  
27 Kjær Type 4153) using a flat-plate adaptor. Calibration measurements were made using a  
28 0.5-inch pressure field microphone (Type 4192) connected to a sound level meter (Type  
29 2260). Visual stimuli were presented on a computer-controlled visual display unit measuring  
30 25.4cm high by 44.5cm wide positioned approximately 0.5m away from the participants and  
31 at head height.

1

## 2 **2.2 Signal processing**

3 Audio-visual sentence materials (IEEE sentences, IEEE, 1969) were processed using the  
4 Matlab programming environment (Mathworks, Nantick MA). The desired signal-to-noise  
5 ratio (SNR) was achieved by attenuating the stimulus (for negative SNRs) or a multi-talker  
6 babble (for positive SNRs) and summing before normalising the RMS of the composite  
7 signal. The composite signal was then band-pass filtered into 8 adjacent frequency bands  
8 spaced equally on an equivalent rectangular bandwidth frequency scale between 100 Hz and  
9 8 kHz (Glasberg and Moore, 1990) using Finite Impulse Response filters. In experimental  
10 conditions that included informative temporal fine structure (TFS), the auditory stimuli were  
11 constructed by summing the output of the eight band-pass filters. In all other conditions  
12 (referred to as ENV), the temporal envelope of each filter output was extracted using the  
13 Hilbert transform and used to modulate a sine wave at the centre frequency of the filter and  
14 with alternating phase. The eight sine waves were then summed to form an auditory stimulus  
15 with uninformative TFS. This processing method ensured that the temporal envelopes were  
16 similar regardless of whether the fine structure was informative (TFS conditions) or  
17 uninformative (ENV conditions) (Eaves *et al.*, 2013).

18

## 19 **2.3 Procedure**

20 Participants sat in a quiet room in front of the computer-controlled visual display unit. On  
21 each trial, a stimulus was selected randomly from the corpus of audio-visual sentence  
22 materials and the acoustic stimulus was presented over headphones while the visual display  
23 unit remained blank. In audio-visual conditions, a video showing the animated face of the  
24 talker uttering the same sentence was displayed simultaneously with the acoustic stimulus.

25 Four experimental conditions were defined by whether or not the processing preserved  
26 informative TFS (*processing* manipulation) and whether visual information was presented or  
27 not (*modality* manipulation). Stimuli were presented at a range of SNRs in each condition.  
28 The specific range of SNRs in any particular condition was chosen according to the stimulus  
29 materials used and the type of signal processing applied based on pilot testing in order to span  
30 the widest possible range of performance levels. The order of trials within each condition was  
31 randomised so that the SNR varied unpredictably from trial to trial.

1 A summary performance level was calculated for each SNR within each condition. The  
2 method of calculating the summary performance level varied across the experiments  
3 according to the materials used. A three- or four-parameter logistic function was fit to each  
4 participant's data using Matlab to describe the relationship between SNR and accuracy:

$$f(SNR) = a_{min} + \frac{(a_{max} - a_{min})}{1 + e^{-\frac{(SNR - x_0)}{b}}}$$

5 Where  $a_{max}$  and  $a_{min}$  are the asymptotic values of the function,  $x_0$  is the mid-point of the  
6 function, and  $b$  is the slope of the function. For Experiments 1 and 2,  $a_{min}$  was always set to 0  
7 to reflect the open-set nature of the speech perception task that was used. As we show in  
8 Section 6.2, performance in visual-only conditions is non-zero but very poor. The relatively  
9 small total number of key-words for each participant at each SNR (experiment 1: 50;  
10 experiment 2: 25) mean that small percentage differences cannot be resolved. In addition  
11 allowing the  $a_{min}$  parameter to vary to fit the data results in poorer fits. The fitted function  
12 was used to determine the SNR at which the participant achieved an accuracy of 50% correct  
13 (the Speech Reception Threshold,  $SRT_{50}$ ), as follows:

$$SRT_{50} = x_0 - \ln \left( \frac{(a_{max} - a_{min})}{(0.5 - a_{min})} - 1 \right)$$

14

### 15 **3. Experiment 1**

16 This experiment used an open-set test of speech understanding to test the hypothesis that the  
17 benefit from visual speech when listening in noise is larger when informative temporal fine  
18 structure is not available, such as in those who hear using a cochlear implant alone, compared  
19 to when informative TFS is available.

20

#### 21 **3.1 Methods**

##### 22 *3.1.1 Participants*

23 Twenty-eight students (9 male, age range 18-29 years) from the Nottingham Trent University  
24 took part. All reported having normal hearing, normal or corrected-to-normal vision, and

1 spoke English as their first language. Ethical approval was granted by the Nottingham Trent  
2 University.

3

4

### 5 *3.1.2 Stimulus materials*

6 The audio-visual materials were 80 IEEE sentences spoken by a single male talker with a  
7 British accent. Each sentence contained 5 key words. An example sentence with the key  
8 words underlined is “The slang name for all alcohol is booze.” The auditory stimulus had a  
9 sample rate of 44100 Hz with 16-bits of quantization. The corresponding video stimulus was  
10 recorded at 25 frames per second and measured 19cm high by 24cm wide on the visual  
11 display unit. Each sentence was approximately 3 seconds long.

### 12 *3.1.3 Procedure*

13 Each participant completed one of the four experimental conditions defined by the factorial  
14 combination of *processing* and *modality* manipulations, resulting in seven participants per  
15 condition. Pilot testing had indicated that the full range of performance levels could be  
16 spanned in most conditions by presenting the sentences at SNRs between -20 dB and +8 dB  
17 in 4-dB intervals. In the condition with auditory-only presentation and ENV speech, the range  
18 was adjusted as pilot testing indicated that participants required more favourable SNRs to  
19 achieve highly-accurate performance levels. In that condition, auditory stimuli were  
20 presented between -12 dB and +16 dB, with the first three participants being presented with  
21 stimuli between -16 and +12 dB. On each trial, participants were instructed to listen carefully  
22 to the sentence and repeat any words they could hear out loud. The experimenter recorded  
23 which words were correctly identified and participants initiated the next trial. A total of 10  
24 sentences were presented at each SNR with each containing 5 key words. Performance at  
25 each SNR was summarised as the percentage of the 50 key words that were identified  
26 correctly.

27

## 28 **3.2 Results and discussion**

1 Figure 1 (Panel A) shows the percentage of key words identified correctly as a function of  
2 SNR in the four conditions of the main experiment, with three-parameter logistic functions fit  
3 to the average data. The pattern of the data confirmed that the experiment had been successful  
4 in spanning the full range of performance levels and also that the data were well-described by  
5 a sigmoidal function. As expected, the location of the function varied as a function of the  
6 availability of TFS and visual information. Figure 2 (Panel A) shows the  $SRT_{50s}$  for all  
7 conditions. Participants were able to report 50% of key words correct (the  $SRT_{50}$ ) at highly-  
8 adverse SNRs when both visual speech and TFS information were available (mean -8.8 dB,  
9 s.d. 1.8) but required more favourable SNRs to achieve the same performance level when  
10 neither type of information was available (mean 3.4 dB, s.d. 3.2).

11 [INSERT FIGURE 1]

12 The average  $SRT_{50s}$  were subjected to an analysis of variance with between-subject factors of  
13 *processing* (TFS vs. ENV) and *modality* (auditory only vs. audio-visual). The analysis  
14 confirmed that the SNR required to reach an accuracy of 50% correct was influenced by the  
15 presence of both visual information ( $F(1,24)=48.19$ ,  $p<.001$ ,  $\eta_p^2=.69$ ) and informative TFS  
16 ( $F(1,24)=66.16$ ,  $p<.001$ ,  $\eta_p^2=.73$ ). The presence of visual speech information improved  
17 performance by a similar magnitude as the presence of TFS information, with an overall  
18 difference of 5.6dB between audio-visual and audio-only conditions, and an overall  
19 difference of 6.6dB between TFS and ENV conditions (Table 1).

20 [INSERT TABLE 1]

21 The benefit gained from the addition of visual speech in each condition is shown in Figure 3.  
22 The data did not support the hypothesis that visual information is more valuable when  
23 informative TFS is not available as no significant interaction was observed ( $F(1,24)=3.07$ ,  
24  $p=.092$ ,  $\eta_p^2=.11$ ). An analysis of the gradients of the fitted sigmoidal functions revealed no  
25 significant main effect of *processing* and no interaction, but slopes were marginally steeper in  
26 the audio-only conditions (mean slope at the 50%-correct point 19.6%/dB, s.d. 22.0) than in  
27 the audio-visual conditions (mean slope at the 50%-correct point 8.3%/dB, s.d. 2.8) ( $F(1, 24)$   
28  $= 3.99$ ,  $p=0.057$ ,  $\eta_p^2=.14$ ).

29 The results are compatible with the idea that seeing the face of the talker provides additional  
30 cues that can aid speech understanding when acoustic information is degraded, whether by  
31 the presence of a background noise or by the unavailability of informative TFS. However, the

1 lack of a significant interaction meant that the results did not support the hypothesis that  
2 visual benefit when listening in noise is larger for those listeners who do not have access to  
3 informative TFS information such as cochlear-implant users.

4 Post-hoc power analyses indicated that the experiment had sufficient power to detect the  
5 main effects of *processing* and *modality* (power > .99) but may have been underpowered to  
6 detect the interaction effect (power = .27). An additional experiment was therefore conducted  
7 which was powered prospectively to detect the interaction effect using a mixed experimental  
8 design in which the effect of modality was assessed within rather than between participants.

9

## 10 **4. Experiment 2**

11 This experiment sought to replicate the main effects of manipulating the availability of  
12 informative TFS and visual information observed Experiment 1 but was prospectively  
13 designed and powered to detect an interaction between the two manipulations. The  
14 experiment therefore tested the hypothesis that visual information is more beneficial in the  
15 absence of informative TFS than when it is present

16

### 17 **4.1 Methods**

#### 18 *4.1.2 Power calculation*

19 An analysis of the results of Experiment 1 suggested that the size of the interaction effect,  
20 expressed in terms of number of standard deviations, was 0.38. Presuming a within-subjects  
21 correlation between auditory-only and audio-visual performance of 0.5, detecting an  
22 interaction effect of this size in a mixed experimental design with a power of .80 and  $\alpha=.05$   
23 would require 16 participants (Faul *et al.*, 2007).

#### 24 *4.1.3 Participants*

25 Sixteen students from the Nottingham Trent University, who had not participated in  
26 Experiment 1 (3 male, age range 18-23 years) took part. All reported having normal hearing,  
27 normal or corrected-to-normal vision, and spoke English as their first language.

#### 28 *4.1.4 Procedure*

1 The procedure was similar to that of Experiment 1. The SNR of the sentences was varied  
2 between -20 and +8 dB in 4-dB intervals except in the condition without either informative  
3 TFS or visual information, in which the SNR was varied between -12 dB and +16 dB in 4-dB  
4 intervals for all participants. Participants were presented with 5 sentences at each SNR rather  
5 than 10 as used in Experiment 1. The factorial combination of *processing* (TFS vs ENV) and  
6 *modality* (auditory-only vs audio-visual) defined four conditions. The modality of the stimuli  
7 was varied within participants while the type of processing applied was varied across two  
8 groups of eight participants. The scoring of responses and analysis of performance was  
9 identical to that used in Experiment 1.

## 10 **4.2 Results and discussion**

11 The overall pattern of results was found to be very similar to that of Experiment 1 (Figure 1,  
12 panel B). The manner in which average performance varied as a function of SNR was well-  
13 described by a sigmoidal function, whose place was similarly affected by both the type of  
14 processing applied to the auditory stimulus and the availability of visual information. An  
15 analysis of variance on  $SRT_{50s}$  (Figure 2) confirmed a significant effect of both *modality*  
16 ( $F(1,14)=100.21, p<.001, \eta_p^2=.88$ ) and *processing* ( $F(1,14)=105.30, p<.001, \eta_p^2=.88$ ). As in  
17 Experiment 1, visual speech information and TFS cues impacted on  $SRT_{50s}$  to a similar  
18 degree (Table 1).

19 [INSERT FIGURE 2]

20 Unlike in Experiment 1, the interaction term was found to be significant ( $F(1,14)=5.30,$   
21  $p=0.038, \eta_p^2=.27$ ; Figure 2). Inspection of the data confirmed that the effect of providing  
22 visual information was larger when informative TFS was not available (Figure 3).  $SRT_{50}$   
23 decreased from -5.6 dB to -9.2 dB with the provision of visual information in the TFS  
24 condition (mean change 3.5 dB, s.d. 1.7), and from 1.3 dB to -4.4 dB with the provision of  
25 visual information in the ENV condition (mean change 5.7 dB, s.d. 2.0). An analysis of the  
26 gradients of the logistic functions revealed no significant main effects or interactions.

27 [INSERT FIGURE 3]

28 The results of Experiment 2 supported the hypothesis that the benefits of visual information  
29 are larger when speech is lacking in informative TFS. This finding is compatible with the  
30 idea that visual information may be more beneficial for those who listen exclusively through

1 a cochlear implant. When listening in noise, the absence of informative TFS can hinder the  
2 ability to identify the target talker based on vocal characteristics and also to segregate speech  
3 from background noise based on cues such as periodicity (Moore, 2008). Listeners who  
4 cannot access TFS cues experience severe difficulties with understanding speech in noise are  
5 therefore more likely to benefit from exploiting the additional information and redundancy  
6 provided through visual cues.

7

## 8 **5. Experiment 3**

9 Using an open-set test of speech perception, Experiments 1 and 2 demonstrated that the  
10 visual information provided by a talker's face can aid speech perception both when speech is  
11 degraded by background noise and when it is processed to remove informative TFS cues. It is  
12 possible that the contribution of TFS and visual speech cues may vary between open and  
13 closed-set tests due to differences in the predictability of the target stimuli. For example,  
14 Lunner *et al.* (2012) found larger benefits from TFS information for their young normal-  
15 hearing participants when they were presented with open-set tests of speech perception than  
16 when they completed a closed-set test. Therefore, the current experiment sought to establish  
17 whether the effects observed in Experiments 1 and 2 generalise to a closed-set test of speech  
18 perception using stimuli recorded by a different talker.

19

### 20 **5.1 Method**

#### 21 *5.1.2 Power calculation*

22 No data were available with which to conduct a power calculation to determine how many  
23 participants would be required to detect the interaction between modality and processing on a  
24 closed-set test. The previous power calculation for experiment 2 indicated that 16 participants  
25 would be required for an open-set test where the effect size for the interaction was estimated  
26 to be 0.38. As it was unclear whether this effect size would be larger or smaller for a closed-  
27 set test, twenty participants were recruited which was sufficient to detect an effect as small as  
28 0.34 with a power of .80 and  $\alpha=.05$ .

#### 29 *5.1.3 Participants*

1 Twenty students (2 male, age range 18-25 years) from the Nottingham Trent University took  
2 part. All reported having normal hearing, normal or corrected-to-normal vision, and spoke  
3 English as their first language.

#### 4 *5.1.4 Stimulus materials*

5 The closed-set materials were 160 sentences from the GRID corpus produced by the  
6 University of Sheffield (Cooke *et al.*, 2006). Each sentence took the form “Put Colour at  
7 Letter Number now.” An example sentence is “Put Blue at G 9 now”. A single female talker  
8 with a northern British accent was selected from the set of available talkers; this talker was of  
9 average intelligibility according to the audio-only intelligibility tests carried out by Cooke *et*  
10 *al.* (2006). The auditory stimulus was recorded at a sample rate of 25,000Hz with 16-bits of  
11 quantization. The corresponding video stimulus was recorded at 25 frames per second. Each  
12 sentence was approximately 3 seconds long. The 160 sentences selected incorporated the 10  
13 most difficult letter words to identify based on pilot testing.

#### 14 *5.1.5 Procedure*

15 The procedure was similar to that of Experiments 1 and 2. The SNR of the sentences was  
16 varied between -24 and +4 dB in 4-dB intervals except when neither visual information nor  
17 informative TFS was available. In that condition, the SNR was varied between -16 and +12  
18 dB to avoid floor effects at multiple SNRs. Ten sentences were presented at each of the 8  
19 SNRs providing 80 trials in both the auditory-only and audio-visual conditions. After a set of  
20 10 practice trials, participants were presented with the 160 sentences in a random order. The  
21 type of processing (TFS or ENV) was varied between two groups of 10 participants.

22 On each trial, participants were instructed to listen carefully to the sentence and to use a  
23 computer mouse to select the correct letter word from a matrix of possible options. The  
24 matrix was shown on the visual display unit after the stimulus had ended. They were also  
25 asked to identify the number word from 5 alternatives. Pilot testing had indicated that  
26 performance on this secondary task approached ceiling and it was included to ensure that  
27 participants were attending and listening to the sentences throughout. The experiment took  
28 approximately 20 minutes to complete. Performance was summarised as the percentage of  
29 sentences on which the correct letter word was identified at each SNR.

30

## 1 5.2 Results and discussion

2 In general terms, the results of Experiment 3 were similar to those of Experiments 1 and 2.  
3 Figure 1(Panel C) shows the average performance at each SNR for the auditory-only and  
4 audio-visual materials in the TFS and ENV groups. An analysis of variance on  $SRT_{50s}$   
5 confirmed the main effects of *modality* ( $F(1,18)=16.61$ ,  $p<.001$ ,  $\eta_p^2=.48$ ) and *processing*  
6 ( $F(1,18)=34.80$ ,  $p<.001$ ,  $\eta_p^2=.66$ ) but the interaction failed to reach significance  
7 ( $F(1,18)=3.63$ ,  $p=.073$ ,  $\eta_p^2=.17$ ). Table 1 shows that the overall difference between audio-  
8 visual and audio-only conditions was numerically smaller (2.5dB) than the difference  
9 between TFS and ENV conditions (4.36dB). While performance in all conditions was well-  
10 described by a logistic function, as in Experiments 1 and 2, the slope of the function was less  
11 steep in conditions where visual information was provided (mean audio-visual slope  
12 6.3%/dB, s.d. 5.6; mean auditory-only slope 16.7%/dB, s.d. 20.6) ( $F(1,18)=7.59$ ,  $p<.05$ ,  
13  $\eta_p^2=.30$ ). Further analyses of the function gradients revealed no other main effects or  
14 interactions.

15 The contribution of TFS and visual speech information was calculated individually for each  
16 of the 10 letter words participants were presented with. Data were collapsed across -16 to +4  
17 dB SNRs (as these were used in all conditions) in order to give the overall proportion of letter  
18 words correct. The top panel of Figure 4 shows that TFS information benefitted the  
19 recognition of all the letter words, with particularly large benefits for ‘D’, ‘G’, ‘L’, and ‘Z’. A  
20 10 (*letter word*) x 2 (*processing*) mixed ANOVA on overall performance in the Auditory-  
21 Only condition revealed a significant main effect of *letter word* ( $F(9,162)=15.13$ ,  $p<.001$ ,  
22  $\eta_p^2=.46$ ) confirming that some words were easier to identify than others, a main effect of  
23 *processing* ( $F(1,18)=86.98$ ,  $p<.001$ ,  $\eta_p^2=.83$ ) such that overall performance was better with  
24 informative TFS, and a marginally significant interaction ( $F(9,162)=1.93$ ,  $p=.051$ ,  $\eta_p^2=.097$ ).  
25 Post-hoc t-tests with a False Discovery Rate (FDR) correction for multiple comparisons  
26 revealed that performance was better in the TFS condition for all letter words except ‘I’, ‘N’,  
27 and ‘Q’.

28 [INSERT FIGURE 4]

29 The bottom panel of Figure 4 shows the visual benefit for each letter word in TFS and ENV  
30 conditions. For the TFS condition, there was significant visual benefit for ‘J’ and ‘N’, while  
31 for the ENV condition there was significant visual benefit for ‘D’, ‘I’, ‘J’, ‘S’, and ‘U’. A 10

1 (*letter word*) x 2 (*processing*) mixed ANOVA on visual speech benefit revealed a significant  
2 main effect of *letter word* ( $F(9,162)=4.40$ ,  $p<.001$ ,  $\eta_p^2=.20$ ) confirming that some words  
3 benefitted more from visual speech than others, a main effect of *processing* ( $F(1,18)=4.42$ ,  
4  $p<0.05$ ,  $\eta_p^2=.20$ ) such that there was overall more benefit from visual speech for the ENV  
5 condition, and a marginally significant interaction ( $F(9,162)=1.90$ ,  $p=.055$ ,  $\eta_p^2=.096$ ). Post-  
6 hoc t-tests with FDR correction revealed that the only significant difference in visual speech  
7 benefit between TFS and ENV was for the letter word “L”, where performance was poorer  
8 with visual speech information in the TFS condition.

9 The results of Experiment 3 were broadly similar to the previous experiments in confirming  
10 the beneficial nature of visual information and informative temporal fine structure when  
11 reporting words embedded in sentences spoken in the presence of background noise. The  
12 benefit from visual information was also found to be numerically greater in ENV than in TFS  
13 conditions. To examine the consistency of this interaction effect and to better estimate the  
14 true size of the additional benefit of visual information without informative TFS, the results  
15 from the three experiments were subject to a random-effects meta-analysis. The analysis  
16 indicated that heterogeneity, expressed in terms of the ratio between the total heterogeneity  
17 and total variance, was low ( $I^2=0\%$ ) and not significant (Cochran’s  $Q(2)=0.16$ ,  $p>.05$ ),  
18 indicating that the size and variability of the effect was similar across the three experiments.  
19 The pooled estimate of the size of the additional benefit that visual information provides in  
20 the ENV compared to TFS condition was 2.3 dB and was found to be significantly greater  
21 than zero (95% confidence interval 1. to 3.6 dB; Figure 5). This meta-analysis suggests that  
22 visual information contributes significantly more to speech understanding in noise when  
23 informative TFS information is not available, akin to the input to cochlear-implant users,  
24 compared to when informative TFS cues are available as in normal-hearing listeners.

25 [INSERT FIGURE 5]

26

## 27 **6. Modelling the audio-visual interaction**

28 The meta-analysis of Experiments 1 to 3 suggests that there is a modest but consistent  
29 increase in benefit from visual information when acoustic signals are degraded: introducing  
30 visual information lowers (improves)  $SRT_{50s}$  to a greater degree when informative TFS  
31 information is not available compared to when it is available. One possible explanation for

1 the increased utility of visual information when auditory information is degraded is that  
2 listeners integrate information more efficiently in some way under these adverse conditions.  
3 An alternative explanation is that performance differences arise naturally from the way that  
4 the two sources of information are combined. The plausibility of these differing explanations  
5 was explored by re-analysing the data from Experiments 1 to 3 using two different types of  
6 decision models based on signal detection theory, and a model based on probability-  
7 summation.

8

## 9 **6.1 Methods**

10 Signal detection theory (SDT) considers that a sensory decision must be made on the basis of  
11 one or more noisy sensory variables (Green and Swets, 1966). In SDT, the discriminability of  
12 two different signals depends on the both the mean difference between sensory variables for  
13 the two stimuli and the trial-to-trial variability (or ‘noise’). The proportion of correct trials  
14 that an observer will achieve when presented with stimuli in a single modality can be  
15 expressed as a function of the *overall discriminability*,  $d'$ , of the  $m$  different stimulus  
16 categories that are presented:

17

$$18 \quad P = \int_{-\infty}^{+\infty} \phi(z - d') \Phi^m(z) dz \quad (\text{eqn. 1})$$

19 where  $\phi(\cdot)$  is the standard normal probability density function and  $\Phi(\cdot)$  is the cumulative  
20 standard normal function. This approach can be extended to multiple sources of information  
21 such as auditory and visual speech used in the present experiments. There are many ways  
22 information could be combined. Here we adopt a previously described model for combining  
23 such information (see Micheyl and Oxenham, 2012).

24 In SDT, the variability of the sensory representation is in part considered to be due to  
25 ‘internal’ noise. In the case of multiple sources of information, noise can arise both before  
26 (‘independent noise’) and after (‘late noise’) integration (but still prior to any decision; i.e.  
27 pre-labelling). These different sources of noise affect the integration process in different  
28 ways. The equation below assumes that raw sensory information is combined prior to arriving  
29 a decision (Braida 1991), and that noise arises in the observer’s internal representation of

1 both the auditory and visual stimuli independently before the sources of information are  
2 integrated (Michey and Oxenham, 2012)<sup>1</sup>:

$$3 \quad P = \int_{-\infty}^{+\infty} \phi \left( z - \sqrt{(d'_A)^2 + (d'_V)^2} \right) \Phi^m(z) dz \quad (\text{eqn. 2) independent noise model}$$

4 where  $d'_A$  and  $d'_V$  represent the overall discriminability of the auditory and visual stimuli  
5 respectively. An alternative assumption is that noise arises in the observer's internal  
6 representation of the audio-visual stimulus after the information in the two modalities has  
7 been combined (the so-called 'late noise' model). This 'late noise' model can be expressed  
8 through a further revision of Equation 2, as follows:

$$9 \quad P = \int_{-\infty}^{+\infty} \phi(z - (d'_A + d'_V)) \Phi^m(z) dz \quad (\text{eqn. 3) late noise model}$$

10 Following Michey and Oxenham (2012), Equations 2 and 3 represent the extreme cases  
11 where one source of internal noise dominates; i.e. all noise is assumed to arise before  
12 (Equation 2) or after (Equation 3) integration. Following previous studies that have suggested  
13 that open set speech perception is best modelled as dependent on vocabulary size (Musch and  
14 Buus 2001), the value of  $m$  in Experiments 1 and 2 was set to 8000. For Experiment 3,  $m$  was  
15 set to 10 to reflect the number of possible response options on the closed-set test of speech  
16 discrimination.

17 To examine the capacity of the SDT noise models to explain the pattern of performance  
18 observed across the three experiments, Equations 2 and 3 were used to generate predictions  
19 for performance in the AV conditions. Predictions with and without informative TFS  
20 information were generated at each SNR and independently for each experiment. As  
21 equations 2 and 3 require data on Visual-only (VO) performance, an additional 10  
22 participants (age range 21-71 years, 7 male) from the MRC Institute of Hearing Research  
23 were recruited in a supplemental experiment. They completed both the open-set sentence test  
24 (from Experiments 1 and 2) and the closed-set test (from Experiment 3) in an order  
25 counterbalanced across participants. For the open-set test, participants were asked to attend

---

<sup>1</sup> We chose this model because it is often superior to the alternative late-integration ('post-labelling') models, whereby a decision of sorts is arrived at for each modality independently, and then subsequently combined for a final decision. We will also only consider the case where sensory variables from the two modalities are only combined additively. In other words, a decision will be made on the basis of a linear (potentially weighted) sum of the noisy sensory variables from both modalities.

1 carefully to each sentence and report any words they could perceive. Participants were  
2 presented with 80 IEEE sentences, leading to a total of 400 key-words per participant. For the  
3 closed-set test, participants were presented with 80 GRID sentences, which incorporated 8 of  
4 each of the 10 consonant sounds that were used.

5 The value of the parameters  $d'_A$  and  $d'_V$  in Equations 2 and 3 were therefore computed  
6 directly from the AO and VO conditions using Equation 1, with the performance level  $P$  at a  
7 particular SNR set to the observed mean performance level in the data. The ability of one  
8 model to generate accurate predictions of AV performance within a single experiment could  
9 be interpreted as evidence that a particular model of audio-visual integration better reflects  
10 the underlying decision processes adopted by listeners. Performance intermediate to the two  
11 models would suggest a mix of unisensory and crossmodal noise sources. Performance  
12 outside of the extremes of the two models would imply either a supra-additive, or sub-  
13 additive combination of sensory information.

14 The results were also modelled using Rouger *et al.*'s (2007) extension of the 'probability  
15 summation model' (Treisman, 1998). The probability summation model states that the  
16 probability of answering correctly is equal to the probability that either one or both of the  
17 modalities presented individually would result in the correct answer. Formally this can be  
18 written:

$$19 \quad P = P_{AO} + P_{VO} - P_{AO}P_{VO} \quad (\text{eqn. 4})$$

20 where  $P_{AO}$  and  $P_{VO}$  are the probability of answering correctly in the AO and VO conditions.  
21 Rouger *et al.* generalised this model to one in which there were an arbitrary number of  
22 independent unisensory 'cues' and that overall probability of answering correctly was equal  
23 to the probability that  $T$  or more of those cues would be correctly identified. The case where  
24  $T=1$  corresponds to equation 4, and provides the lower bound for this kind of model. They  
25 term this the 'minimal integration' model since it assumes that auditory and visual  
26 information are evaluated as independent single sources of information. This family of  
27 models fall into the post-labelling category since integration is modelled as the combination  
28 of the probability of correct decisions. Note that this model cannot work with a closed set.  
29 For eqn. 4, in Experiment 3 chance performance is 10% and it predicts 19.9%.

30 The goodness of fit of each model to each experiment was assessed using a  $\chi^2$  test between  
31 the data and each of the models (Table 2). To indicate whether the data was significantly

1 different from a resulting model, we performed bootstrap simulations of a simple version of  
2 the fitted model (Langeheine *et al.* 1996). In a single simulation, for each AV condition  
3 (SNR, TFS vs. ENV), numbers were drawn from a binomial distribution with a probability  
4 corresponding to the fitted model value and sample size corresponding to that point in the  
5 data. From the number of correct and incorrect trials in each condition we computed  $X^2$  of  
6 these simulated values against the mean model output. This gave the goodness of fit for a  
7 single simulated run of the model against mean model values. Repeating this simulation of  
8 the model many (5000) times yielded a distribution of  $X^2$  values, and the likelihood (i.e. p-  
9 value) of observing a given goodness of fit under the assumption that the model was correct.  
10 From this were able to compute the likelihood of observing the data if the model were  
11 correct.

12 [INSERT TABLE 2]

13

## 14 **6.2 Results and discussion**

15 The average visual-only performance for the open-set IEEE test was 2.85% key-words  
16 correct (s.d. 3.20), and was 10.8% (s.d. 3.5) letter-words correct in the closed-set GRID test.

17 The two variants of SDT models were evaluated by their ability to predict the AV condition,  
18 given the performance in the AO and VO conditions. The results of applying the models  
19 revealed that the observed AV performance for ENV and TFS conditions in Experiments 1  
20 and 2 lay between the ‘independent’ and ‘late’ noise SDT models (Figure 6, Panels A and B,  
21 see Table 2 for mean signed errors and  $X^2$ ). The Rouger model, applied directly to the data  
22 with no fitting of the parameters ( $T=6$ , as in Rouger *et al.* 2007), provided a reasonable  
23 qualitative fit to all the conditions in Experiments 1 and 2.

24

[INSERT FIGURE 6]

25 Both models under predicted AV performance in Experiment 3 for both the ENV and TFS  
26 conditions by ~8% (Figure 6, Panel C and Table 2). This result stemmed from the fact that  
27 performance in the VO condition of Experiment 3 did not exceed chance levels. Therefore,  
28 no further evaluation of modelling Experiment 3 was conducted (see discussion).

29 Figure 2 shows the fits of the models to the data in terms of  $SRT_{50s}$ . Table 2 provides  $X^2$   
30 goodness of fit and estimates of the likelihood of the model being correct. Both SDT models

1 are significantly different from the data, implying an intermediate model would be required to  
2 explain both TFS and ENV data. Thus, the data in both TFS and ENV conditions appear to be  
3 consistent with the optimal combination of auditory and visual information, and may result  
4 from a mixture of independent and late noise sources. The visual benefit varied from -0.6dB  
5 to -3.1dB (see Table 2) and the size of the observed visual benefit did not exceed that  
6 predicted by the purely-additive SDT models of integration. The data are also reasonably  
7 consistent with the post-labelling model proposed by Rouger *et al.*, even using the exact same  
8 model parameters as they did, although this model is nevertheless not a perfect fit to the data  
9 ( $p < 0.05$ , Table 2). Thus, overall no models can account completely for the data. However,  
10 qualitatively they suggest that the way in which acoustic and visual information is combined  
11 is similar for acoustic input with and without informative TFS, whether assessed in the light  
12 of pre-labelling or post-labelling models.

13

## 14 **7. General discussion**

15 The current series of experiments investigated the benefits obtained from visual speech  
16 information when listening to degraded speech in background noise. The results show that the  
17 availability of visual speech information improves the understanding of speech with and  
18 without informative TFS; i.e. listeners were able to tolerate more noise in the signal when  
19 visual speech information is present. In addition, the present results suggest that the size of  
20 the benefit from visual speech information is greater, by roughly double the amount, when  
21 informative TFS is not available. This pattern of results was found to be consistent across  
22 different experimental designs (between or mixed groups), speech tasks (open vs closed set),  
23 and stimuli.

24

### 25 **7.1 Effects of visual speech and TFS information**

26 In the open-set experiments reported in Experiments 1 and 2, the size of the benefit received  
27 from TFS and visual speech information are similar in magnitude. In Experiment 1, when  
28 combined across AV and AO modalities, the  $SRT_{50}$  was 6.6dB lower for TFS than for EVV  
29 speech. This compares with a difference of 5.6dB between audio-visual and audio-only  
30 conditions when combined across TFS and ENV speech types. For Experiment 2 the speech

1 processing difference was 5.8dB compared with 4.6dB for the modality difference. These  
2 figures reinforce the importance of visual speech information when processing speech in  
3 background noise. The difficulties faced by cochlear-implant users are well documented, and  
4 many studies have demonstrated the poor performance of normal-hearing participants when  
5 TFS information is removed in vocoder simulations, especially when listening in background  
6 noise (Qin and Oxenham, 2003; Ihlefeld *et al.*, 2010, Rosen *et al.*, 2013). However, the  
7 importance of visual speech information when listening to degraded speech in background  
8 noise has received little investigation. Therefore, in order to truly reflect the performance of  
9 listeners in demanding situations, the role of visual speech information needs to be taken into  
10 account.

11 A strength of the current series of experiments is that we have demonstrated similar effects of  
12 visual speech and TFS information across open- and closed-set tests of speech perception.  
13 This is important as some research (e.g. Lunner *et al.*, 2012) has shown that the importance of  
14 TFS information may vary according to the type of speech test used. Consistent with the  
15 predictions from Lunner *et al.* (2012) we did find numerically smaller benefits of visual  
16 speech information and TFS cues in Experiment 3, where the choices presented to  
17 participants reduced uncertainty, and perhaps also reduced the usefulness of TFS cues and  
18 visual speech information.

19 The closed-set test also allowed us to look more closely at which stimuli in particular  
20 benefitted from visual speech and TFS information, with some letter words being more  
21 affected than others. Specific letter words that benefitted from TFS information included ‘D’,  
22 ‘G’, ‘L’, and ‘Z’, and the letter words ‘J’ and ‘N’ benefitted most from visual speech  
23 information. However, due to limitations in the nature of the stimuli (being letter words and  
24 not consonant sounds), a full phonetic analysis was not possible. Future research with  
25 consonant sounds would allow an information transfer analysis (Miller & Nicely, 1995) to be  
26 performed, which would enable an analysis of the extent to which different speech sounds  
27 (e.g. place, manner, and voicing) were transmitted to the listener. This would reveal further  
28 insights into the way in which visual speech and TFS cues interact for different features  
29 under noisy speech conditions that were not possible to perform using data from the current  
30 study.

31

## 32 **7.2 Visual-only performance**

1 Visual-only (VO) performance was also tested for the open-set IEEE sentences used in  
2 Experiments 1 and 2, and for the closed-set GRID test used in Experiment 3. The average VO  
3 performance was 2.85% keywords correct for the IEEE sentences and was 10.8% consonants  
4 correct for the GRID sentences. The average performance levels for the IEEE sentences  
5 demonstrates the fact listeners were on average able speechread some information from the  
6 sentences, although to a limited extent. Altieri, Pisoni, and Townsend (2011) found much  
7 higher levels of performance for a group of young normal-hearing participants when given  
8 the CUNY sentence test (Boothroyd *et al.*, 1988); participants reported an average of 12.4%  
9 of words correct (standard deviation 6.67%). Higher levels of performance are however to be  
10 expected for CUNY sentences as they are semantically and syntactically more predictable  
11 than IEEE sentences. The average VO performance of 10.8% on the closed-set GRID  
12 sentences reflects the fact that participants were not able to lipread the target letters at a level  
13 above chance (given that there were ten response options). Part of the difficulty with these  
14 tasks is that visual speech reading performance is challenging and participants may well have  
15 struggled to maintain motivation. In all experiments VO conditions were performed as a  
16 separate block. For the open-set task, verbal responses were recorded by an experimenter  
17 present in the sound booth, and we can be sure that the participants were engaged  
18 appropriately in the task. For the closed-set task, responses were made via a computer in  
19 isolation in a sound booth, making it difficult to monitor task engagement. Motivation was  
20 less likely to be a problem in AO or AV conditions, since the overall performance was  
21 higher. Consistent with this interpretation, asymptotic performance at the lowest SNRs in the  
22 AV conditions was considerably higher than chance, whilst AO conditions were not.

23

### 24 **7.3 The nature of multisensory integration**

25 Although there is a significant numerical advantage of visual speech information for ENV  
26 speech, this advantage is consistent with models which assume that visual information is  
27 integrated in a consistent way and regardless of whether TFS is available or not.

28 The results from the SDT models are consistent with previous research that has modelled the  
29 advantages that arise from receiving combined electrical and residual acoustic stimulation  
30 (Seldran *et al.*, 2011, Micheyl and Oxenham 2012, Rader *et al.*, 2015). In fact, the diversity in  
31 the balance between independent and late noise is also seen across other experiments  
32 (Micheyl and Oxenham 2012). In addition, using Braida's (1991) pre-labelling model of

1 integration, Grant *et al.* (2007) showed that normal-hearing and hearing-impaired listeners  
2 exhibited a similar degree of integration efficiency of auditory and visual information. These  
3 findings therefore imply that the larger body of data on audio-visual integration in conditions  
4 of normal, undegraded speech (e.g. Tye-Murray *et al.*, 2010; Sumbly and Pollack, 1954), and  
5 studies of audio-visual integration in hearing impaired listeners (e.g. Grant *et al.*, 1998; Grant  
6 *et al.*, 2007) may well apply to degraded speech conditions and perhaps to users of cochlear  
7 implants.

8 Our data for both ENV and TFS speech were also well explained by the model used by  
9 Rouger *et al.* (2007). The finding that Rouger *et al.*'s model fit our data for the vocoded  
10 speech condition is inconsistent with their data which suggested that compared with cochlear-  
11 implant users, normal-hearing participants integrated sub-optimally when listening to noise-  
12 vocoded speech. However, given that Rouger's model fits our data well, it is clear that the  
13 differences in conclusions reflect differences between their data and ours; while the normal-  
14 hearing participants who listened to vocoded speech integrated sub-optimally in Rouger's  
15 study, our normal-hearing participants displayed optimal integration of auditory and visual  
16 information.

17 The models failed to predict the data for Experiment 3. However, performance in the VO  
18 condition here was very close to chance. Since  $d' \sim 0$ , we would not expect *any* model of  
19 integration to predict the AV performance, which was improved over AO conditions, albeit  
20 only slightly overall. This could indicate some fundamental limitation of such models.  
21 However we think it more likely that it reflected poor motivation for the AO conditions in  
22 Experiment 3, as discussed above.

23 Finally, we note that although our data are consistent with a mixed noise source additive-SDT  
24 model, we do not know of an analytical equation similar to Equations 2 and 3 that can  
25 parameterise such a mix of noise sources, which would allow a quantitative fit to the data to  
26 be assessed. The lack of a more precise fit of the SDT models cannot be taken as evidence in  
27 favour of post-labelling models such as proposed by Rouger *et al.* We refer the reader to  
28 Micheyl and Oxenham (2012) for a discussion of the theoretical merits of different models.

29

#### 30 **7.4 Limitations & future research**

1 The current work provides a starting point for investigations of the benefits obtained through  
2 visual speech information when listening to degraded speech in noise, and there are several  
3 avenues through which the work can be extended upon. One such avenue is to consider the  
4 type of background noise which is used. We have used multi-talker babble here, but it is  
5 possible that maximum visual speech benefit will occur with only a few competing talkers  
6 (e.g. 2, 4), when informational masking causes difficulties for speech perception (Freyman *et*  
7 *al.*, 2004; Brungart *et al.*, 2009). These are situations when additional listening strategies such  
8 as ‘dip-listening’ are possible and TFS cues might be particularly important (Lorenzi *et al.*,  
9 2006; Moore, 2014; see also Bernstein *et al.*, 2009). Thus, it is difficult to predict whether  
10 estimates from the current experiment will generalize to situations with small numbers of  
11 background talkers. However, it should be noted that Rosen *et al.* (2013) found very small  
12 effects of the number of masking talkers when the speech and noise were both noise vocoded.  
13 It should also be acknowledged that only a single talker recorded the speech materials in  
14 Experiments 1 and 2, and a different talker was used in Experiment 3. Extending this work to  
15 different talkers is important as the utility of visual speech cues may differ according to the  
16 individual characteristics of different talkers (see Yakel *et al.*, 2000).

17 One question arising is to what extent degrading the speech stimuli generally led to a greater  
18 reliance on the visual signal, rather than the removal of information in the stimulus TFS *per*  
19 *se*. Two audio manipulations were used in these experiments: variation in SNR and removal  
20 of cues from the stimulus TFS. All the variants of models presented here are relatively  
21 successful in accounting for both of these manipulations. They assume that the interaction  
22 with the visual stimulus is exactly the same whether TFS or SNR are manipulated. Thus the  
23 modelling suggests that, at least for these two manipulations, it is intelligibility that matters  
24 and not the nature of the degradation. This could be logically tested further with, for example,  
25 manipulations of the spectral resolution, or stimuli that preserve TFS cues at the expense of  
26 ENV cues.

27 Limitations of vocoding as a simulation of the performance of cochlear-implant users also  
28 need to be acknowledged. The acoustic simulation used here simulates only the consequences  
29 of removing TFS from the speech signal and filtering the speech into a discrete number of  
30 frequency bands. Many other factors, such as the spread of electrical current along and across  
31 the cochlea (Cohen *et al.*, 2003), are not simulated, and the primary sources of stochasticity  
32 (normal hearing: inner haircell/auditory nerve synapse, Sumner *et al.* 2003; cochlear implant:  
33 spiral ganglion cell excitability, Horne *et al.* 2016) are very different. Thus, the encoding of

1 speech on the auditory nerve is expected to be very different between electrical and tone-  
2 vocoded inputs. One potential difference in the nature of encoding has been highlighted  
3 recently by Shamma and Lorenzi (2013), who applied a model of early auditory processing  
4 explain the auditory nerve responses to Amplitude Modulated (AM) and Frequency  
5 Modulated (FM) vocoded speech. The AM conditions were the same as the ENV condition  
6 described here; the FM component was replaced by a tone with frequency equal to the central  
7 frequency of the analysis band. Shamma and Lorenzi's (2013) modelling suggested that  
8 regardless of vocoder manipulations, both ENV and TFS cues are expressed in the auditory  
9 nerve for vocoded speech, and both of these cues contribute to speech intelligibly. Thus, they  
10 argue that processing the speech to filter out TFS or ENV cues is not reflected in auditory  
11 nerve responses to these speech stimuli. They argue further that this is contrary to the  
12 auditory nerve responses for users of cochlear implants. It is therefore important to make the  
13 distinction between ENV and TFS cues present in the stimulus, which are similar for tone  
14 vocoding and cochlear implants, and the nature of the encoding on the auditory nerve which  
15 for the numerous reasons outlined is likely to be very different.

16 Another concern is that vocoder simulations in normal-hearing listeners cannot account for  
17 any adaptation to electrical stimulation over extended periods of time. Therefore, one must  
18 exercise caution in generalising the current findings related to the effects of informative TFS  
19 in normally-hearing listeners to users of cochlear implants. Future work with users of  
20 cochlear implants will establish whether the same pattern of results is observed. In addition,  
21 testing users of cochlear implants with the ENV conditions will allow us to test whether this  
22 manipulation introduces distortions that are additional to those attributable to their implants.

23

## 24 **7.5 Conclusion**

25 Visual information appears to be integrated in a similar way whether or not TFS cues are  
26 present in speech. However in practice this results in slightly better SNR advantages in the  
27 absence of TFS cues. Regardless, it suggests that visual information is at least as valuable  
28 when the auditory signal is degraded and this corresponds to a very valuable gain (4-7dB  
29 advantage in SNR). The results from the current studies suggest that the role of visual speech  
30 information needs to be given greater emphasis when evaluating people's ability to  
31 understand speech in noise, especially when faced with degraded speech input.

1

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2

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1 Table 1: Average SRT<sub>50s</sub> for each of the experiments, including the overall differences in SRT<sub>50s</sub> according to modality and processing; for  
 2 *modality* the Audio-visual and Audio-only SRT<sub>50s</sub> have been averaged across both types of processing (TFS and ENV) and for *processing* the  
 3 TFS and ENV SRT<sub>50s</sub> have been averaged over both modalities (Audio-visual and Audio-only). All values show dBs, and standard deviations  
 4 are shown in brackets.

5

	<b>Experiment 1</b>	<b>Experiment 2</b>	<b>Experiment 3</b>
<b>Audio-visual</b>	-6.3 (3.2)	-6.8 (3.0)	-9.1 (2.9)
<b>Audio-only</b>	-0.6 (4.8)	-2.2 (3.6)	-6.6 (3.9)
<b><i>Modality Difference</i></b>	<i>5.7</i>	<i>4.6</i>	<i>2.5</i>
<b>TFS</b>	-6.7 (2.6)	-7.4 (2.4)	-10.0 (1.6)
<b>ENV</b>	-0.1 (4.4)	-1.6 (3.2)	-5.7 (3.2)
<b><i>Processing Difference</i></b>	<i>6.6</i>	<i>5.8</i>	<i>4.3</i>

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1 Table 2: For each experiment the results of fitting the different models. The goodness of fit is expressed as the  $X^2$  statistic between the AV data  
 2 conditions and model,  $p$  represents that probability that these are indistinguishable, and the mean signed error (in % correct) between the data  
 3 and model indicates where the real performance is greater than or less than the models. The bottom row gives the SRT advantage of adding  
 4 visual information for the ENV condition over the TFS condition.

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	Experiment 1			Experiment 2			Experiment 3	
	Ind. noise	Late noise	Rouger model	Ind. noise	Late noise	Rouger model	Ind. noise	Late noise
$\alpha$	0	1	-	0	1	-	0.29	1
g.o.f ( $X^2$ )	1042	1072	339	385	1626	137	220	141
$p$	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001
M.S.E. (%)	11.86	-5.34	2.66	7.44	-9.48	-1.87	0.82	7.7
AV SRT advantage ENV-TFS	-0.7dB	-3.1dB	-1.9dB	-0.6dB	-1.4dB	-1.1dB		

1 **Figure captions**

2

3 Figure 1: Speech perception performance (in % correct) as function of Signal-to-Noise ratio.  
4 The plots on the left show data for ENV speech, while the plots on the right show  
5 performance for TFS speech. The filled triangles show data from the Audio-visual conditions,  
6 and the open triangles show Audio-only performance. Error bars indicate sample 95%  
7 confidence intervals. Sigmoidal curves have been fit to the averaged data. The red dashed line  
8 shows 50% correct performance.

9

10 Figure 2: Speech Reception Thresholds: The Signal-to-Noise ratio at which performance was  
11 50% correct. Calculated from 3-parameter sigmoidal functions fit for each participant. Error  
12 bars indicate sample 95% confidence intervals. The dashed and dotted lines show the three  
13 models' (SDT Independent Noise, SDT Late Noise, and Rouger *et al.*'s model) predictions of  
14 the audio-visual (AV) data.

15

16 Figure 3: Visual speech benefit. The benefit (in dB) gained from the addition of visual speech  
17 information. For Experiment 1, this is calculated from the overall difference in SRT50s  
18 between the Audio-visual and Audio-only conditions for Vcoded and Clear Speech, and  
19 therefore represent the between-groups effect. For Experiments 2 and 3, the benefit was  
20 derived by averaging the difference between Audio-visual and Audio-only SRTs for each  
21 participant, and therefore represent the within-groups effect. Error bars indicate 95%  
22 confidence intervals; the confidence for Experiment 1 are expected to be wider than the  
23 confidence intervals for Experiments 2 and 3 as they include both within and between-subject  
24 variance.

25 Figure 4: Proportion of letter words correct. The top panel shows auditory-only accuracy for  
26 TFS and ENV conditions, and the bottom panel shows Visual Benefit. Error bars indicate  
27 95% confidence intervals.

28

1 Figure 5: Meta-analysis of size of the additional visual benefit observed when information  
2 TFS was not available compared to when it was available across Experiments 1, 2, and 3.  
3 Filled circles plot the effect size (in dB) in each individual experiment and error bars plot the  
4 95% confidence intervals for the effects. The filled diamond represents the pooled effect size  
5 across the three experiments from a random-effects meta-analysis.

6

7 Figure 6: The results of fitting the independent late noise models, along with Rouger *et al.*'s  
8 model to the three experiments. The points show the observed data, and the dotted and  
9 dashed lines show the predictions from models. Shaded regions show the standard errors for  
10 the data.

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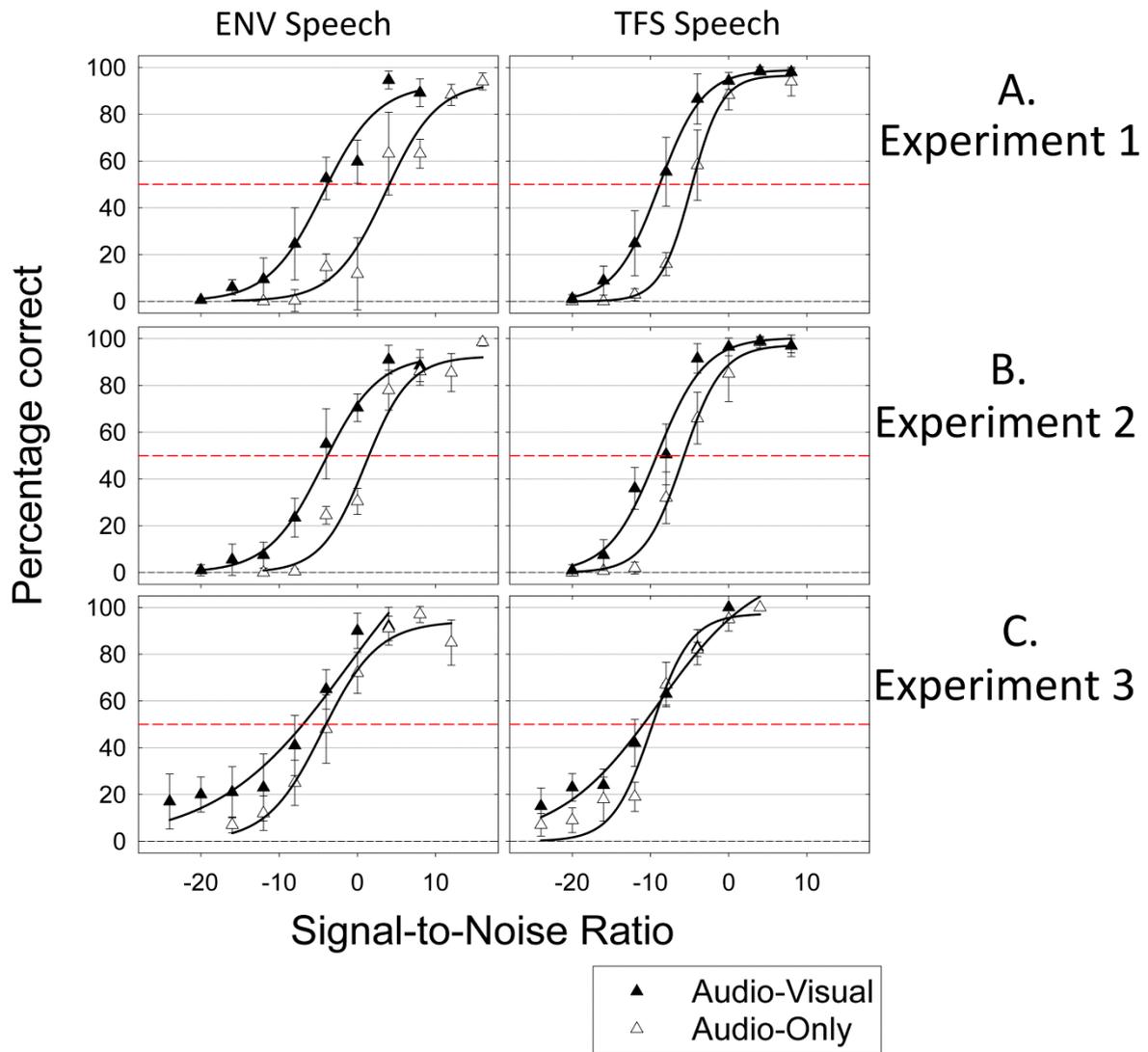
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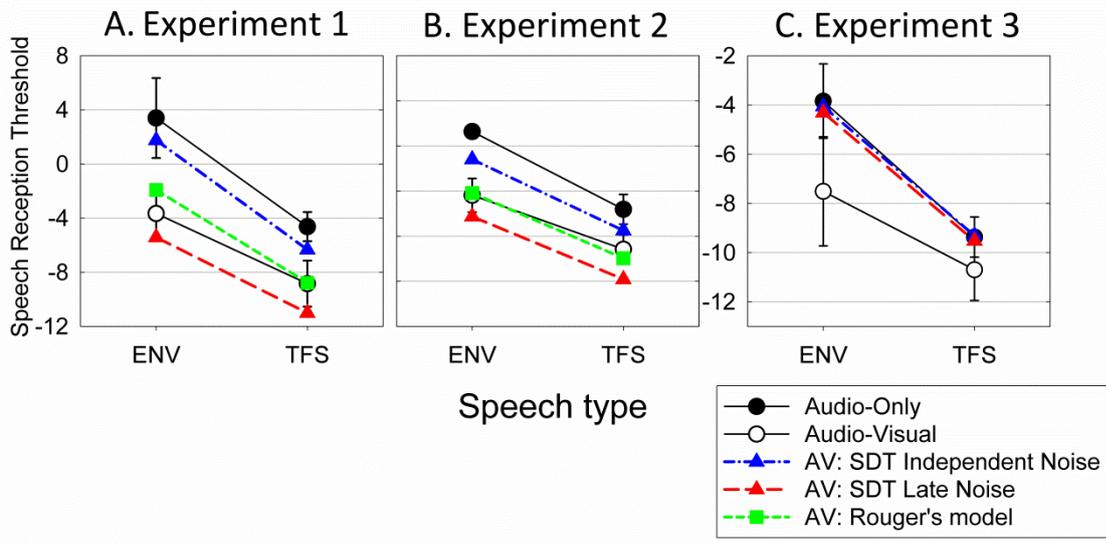
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1 **Figure 1**



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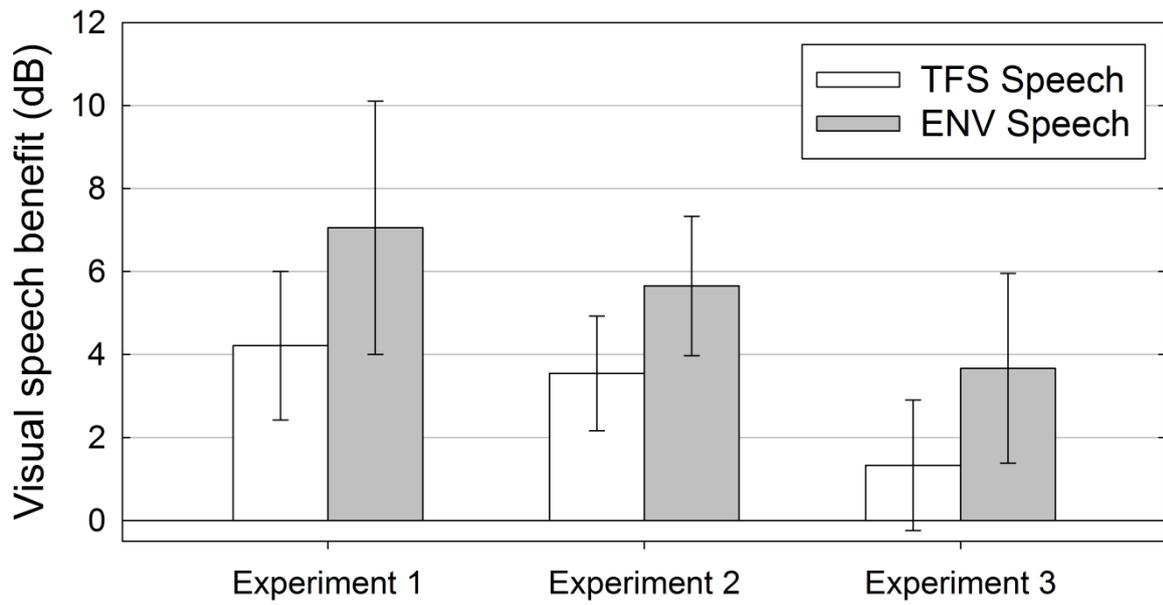
1 **Figure 2**



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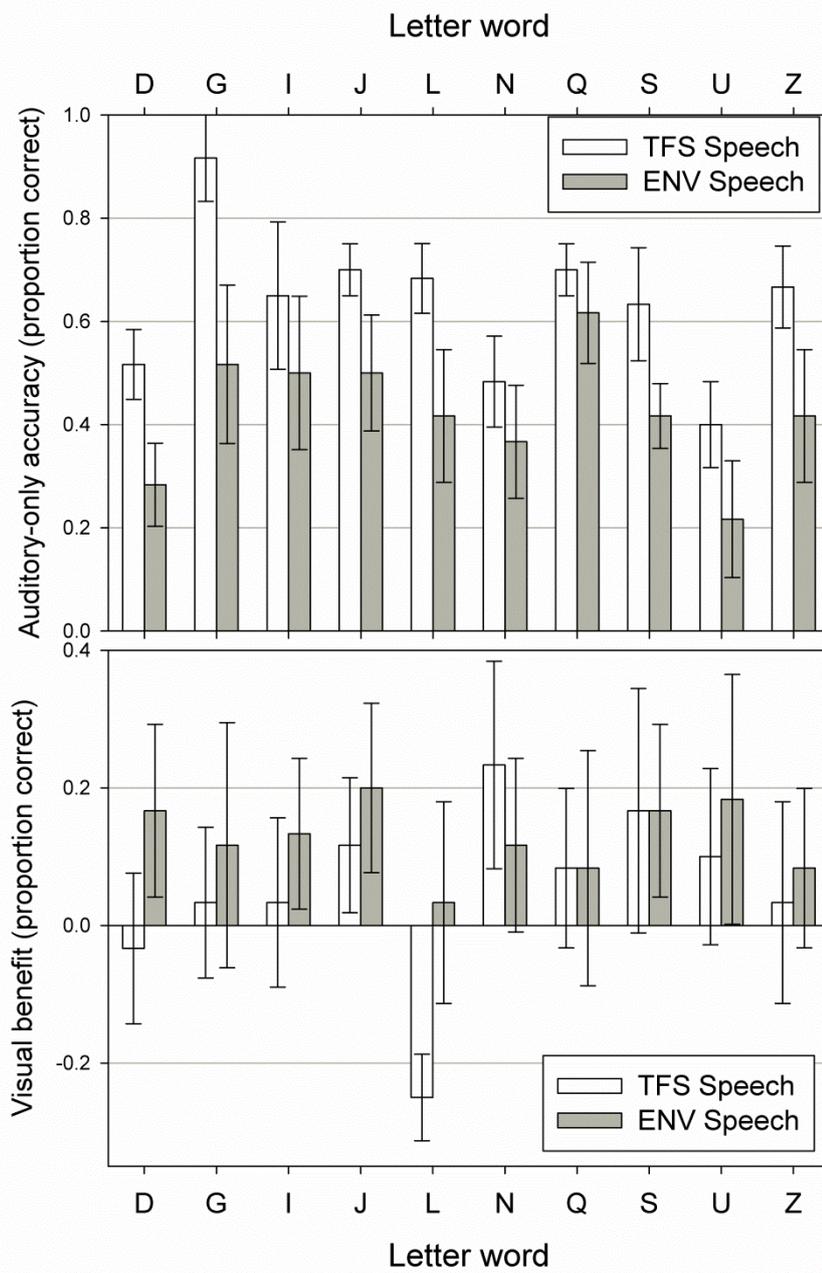
1 **Figure 3**



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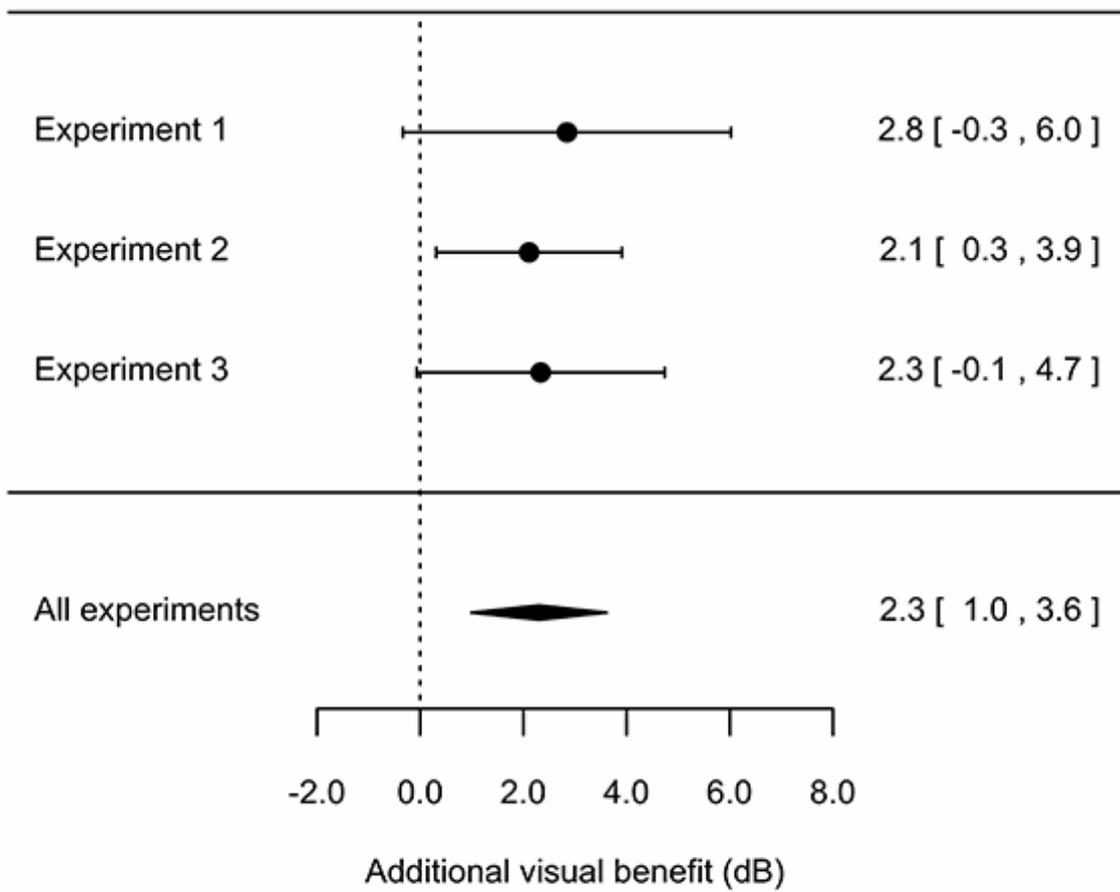
1 **Figure 4**



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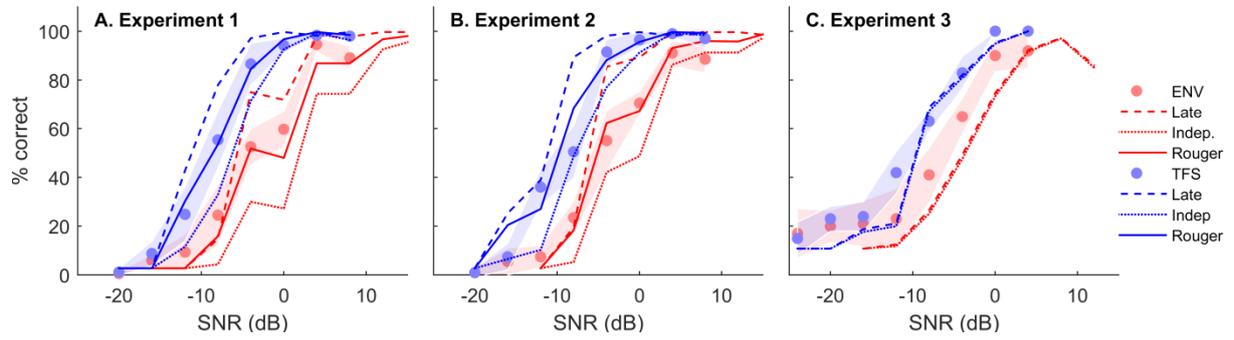
1 Figure 5



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1 **Figure 6**



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