The Ecosystem Approach and the Search for An Objective and Content for the Concept of Holistic Ocean Governance

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Abstract

Although the need for holistic ocean governance has been widely accepted and although some efforts to implement it have been made, there is still a significant lack of understanding or agreement as to its content, or primary objective(s). While both principled and process based approaches have been proposed as providing possible objectives or content for the holistic approach, both have flaws. In this paper an approach that combines both principle and process - the ecosystem approach is assessed and the degree to which it can provide and does already provide such content and objective(s) is explored.

Keywords: ecosystem approach, ocean governance, holism, integrated governance, integrated decision-making
I. Introduction

That the need for a holistic approach to ocean governance has gained widespread acceptance warrants little debate.¹ Though concerns may continue to exist as to the practicality of a holistic approach (for example, that it might make decision-making too slow and cumbersome), there are examples of it being put into practice such as the European Union’s Marine Strategy Framework Directive² which requires the EU member States to consider those parts of the oceans under their jurisdiction as an integral unit.³ Nevertheless, there is a significant gap in the understanding of holistic ocean governance and that is as regards the content of such an approach. While numerous treaties and statutes may be assumed to incorporate the concept or to be relevant to holistic ocean governance, each may present a slightly different interpretation of how to apply the holistic approach. These variations reflect the fact that the objectives of each of these treaties and statutes are also many and varied. There is, therefore, a need to develop a clear understanding of what is meant by the concept of a holistic approach. A good starting point would be to agree on a common objective or objectives. To provide such content and objective(s) for law as a whole would be a daunting and perhaps impossible task. The focus of this article is on a possible principal objective or objectives for holistic ocean governance and possible content for that approach. It is suggested that the ecosystem approach may be used to set objectives for holistic ocean governance and to provide some content to the concept. The degree to which the ecosystem approach is already present in ocean governance instruments is, therefore, assessed to determine the feasibility of relying on this approach to provide the principal objective(s) and content for holistic ocean governance.
II. Ocean Governance Objectives

Numerous possible objectives for ocean governance can be gleaned from a review of the oceans related treaties. These range from ensuring clarity as to the rights and obligations of States in relation to the oceans to enable States to enjoy those rights equitably without interfering with the rights of others;\(^4\) to ensuring cooperation in the management of high seas fisheries;\(^5\) to the detailed objectives for the various sectors of activity that take place within the oceans. For example, in fisheries a key objective is to ensure the maximum sustainable yield of fisheries.\(^6\) In biodiversity conservation the objectives are to ensure the continuing diversity amongst and within species, the sustainable use of biodiversity, and fair and equitable sharing of the benefits of its use.\(^7\) In relation to pollution control the objective is to ensure that pollution levels are not so high as to cause harm to the environment or to humans.\(^8\) In relation to minerals and hydrocarbons, the objective may be described as being to secure the rights of States to use these resources.\(^9\) But these objectives are not necessarily complementary and may instead compete to some extent or at least may interfere with each other. For example, the rights of States to exploit non-living resources may lead to significant pollution of the marine environment such as that seen following the BP Deepwater Horizon blow out in the Gulf of Mexico.\(^10\) The pollution in turn may lead to harm to fisheries and to the interests of the fishing industry as well as harm to biodiversity. There is, therefore, a need for a principal objective or set of objectives to guide interactions between these various treaty-based objectives. Without one there is the potential for protracted disputes following particular incidents,\(^11\) for such disputes to impact adversely on future decision-making (perhaps making decision-makers more risk adverse than they need be)\(^12\) and for controversy and delay to dog plans for new activities or infrastructure.\(^13\)
A principal objective might be to secure the rights of States, but such an objective again leaves the question of what hierarchy should exist amongst those rights should they come into competition. For example, a decision may have to be made as to whether the production of energy should be prioritised over the protection of fisheries, or non-polluting activities prioritised over polluting. Taking such a principled approach may not, however, lead to the best outcome for ocean governance. There may be occasions where priorities should be reversed and yet a hierarchy of rights would not permit this to happen. An alternative is, therefore, required and there are a number of possible contenders for the title of principal objective. One is the concept of ecological integrity. This has been proposed as a grundnorm for international environmental law, but it could equally apply within ocean governance. Indeed, Kim and Bosselmann’s suggestion for a principal objective grew from the appreciation that the current lack of one for international environmental law leads to inconsistencies as between international treaties and to treaty regimes undermining each other. They propose the adoption of ecological integrity as the principal objective of the international environmental law system. And they demonstrate that the concept of ecological integrity has been accepted in a number of treaties and soft law instruments.

While this suggested approach is appealing, it has at least one problem and it is one that is shared across this type of objective setting approach in general. Kim and Bosselmann’s suggestion is centred upon a very conservative approach to the environment. As they describe ecological integrity, Kim and Bosselmann contend that a baseline for measuring whether or not ecological integrity has been achieved or maintained is necessary and that biophysical conditions that existed in that part of the Holocene that occurred prior to industrialisation should be the
baseline indicators.\textsuperscript{16} This suggests that they are focussed not so much on maintaining ecological integrity as in maintaining the conditions in which humans can thrive.

Although Kim and Bosselmann’s approach has much to commend it – no one can argue against the idea of unpolluted seas, with abundant fish and a broad biodiversity – it does not account for human impact on the environment prior to the industrial revolution, nor for one of the innate characteristics of ecosystems and societies – that they evolve over time.\textsuperscript{17} And these issues say nothing of the difficulties of determining the constituents of the ecosystem prior to industrialisation.

The failure to take account of the evolutionary characteristic of ecosystems is particularly problematic since ecosystems rely for their resilience upon the ability to evolve. Removing that ability removes the resilience of the ecosystems.\textsuperscript{18} While it is recognised that a conscious choice may be made to preserve an ecosystem in a particular state, that conscious choice and the outcomes ought to be recognised as such. Yet, the adoption of baseline conceptions may obscure the choice that is being made and instead suggest that the ecosystem would naturally exist in a steady state, but for the interference of humans. Secondly, society has changed enormously since pre-industrial times. The world population has grown, for example, from an estimated less than 1 billion people pre-industrialisation to over 7 billion now and it is still growing. It is, therefore, unlikely that returning the oceans to anything like their previous state is possible and so adopting such an approach as an objective appears doomed to failure and to be perceived as a failure.

The problem of a conservative focus also affects other potential contenders for the title of principal objective. For example, one might choose sustainable development as the principal objective. As the literature indicates, however, the most popular conceptions of sustainable development have tended to be rooted in paradigms relating to economic development.\textsuperscript{19} This is
apparent in, for example, the original definition of sustainable development from the Brundtland Report: “[s]ustainable development is development that meets the needs of the present without compromising the ability of future generations to meet their own needs.” This remains also in more recent enumerations of the concept such as that of the International Law Association (ILA) New Delhi Declaration. Such rooting of any objective in an existing paradigm is problematic where it prevents the governance regime from tackling problems with the current perception and use of the oceans. For example, one issue to be addressed is overfishing. The Food and Agriculture Organisation (FAO) biennial reports on the state of the world’s fisheries point to significant problems of overfishing for some species, such as Japanese anchovy and Northwest Pacific and Chilean jack mackerel. The most recent report also indicates that while the total volume of wild caught fish has remained relatively stable for much of this century, a part of this stability may be due to improved reporting of fisheries statistics. This suggests that overfishing may be greater than was previously appreciated. Even if this is not the case, the recent report indicates clearly that the maximum capacity for marine capture fisheries has been reached and even exceeded in relation to several species of fish. There are a number of causes of overfishing such as, illegal, unregulated and unreported (IUU) fishing which it may, or may not, be possible to attribute to the objectives of the legal system. The concept of maximum sustainable yield used in fisheries, for example, could be interpreted with a focus on biological sustainability. It is, however, interpreted to take account of socio-economic concerns with less emphasis on biological conceptions of sustainability than might be necessary to end overfishing. If overfishing is to be tackled then a new objective may be necessary. Similarly, while there have been many measures adopted to reduce pollution, problems remain. The increasing urbanization of the coastal zone around the world brings with it the threat of increasing marine
pollution from land-based activities, which are already the major source of the pollution in the oceans. Thus there are, for example, problems of eutrophication leading to loss of biodiversity, changes in sediment mobility, changing habitats around the coast, and problems such as the increasing accumulation of litter in the oceans. The latter is causing significant problems for wildlife – whether that is fish caught in ghost fishing nets, or whales killed by eating plastic sheeting from agriculture, which has been lost to sea during storms, or other marine life being choked by debris at sea. While these problems may be seen as concerning the conservation of biodiversity, they also represent significant problems for fisheries, reducing the capacity of species to breed and increasing mortality amongst target and non-target species. Whilst arguably these weaknesses do not prevent the objectives outlined above forming a basis for holistic ocean governance, they do suggest that such a marriage is unlikely to address the problem that holistic ocean governance is designed to address, that is, the declining state of the oceans.

A possible solution might be to adopt a more proactive principle such as the precautionary principle or precautionary approach, which addresses decision-making in the context of scientific uncertainty, erring on the side of prevention of harm. Besides the problem that the precautionary approach has yet to be fully accepted as a general principle of customary international law, practice shows that its lack of precision makes implementation problematic. For example, the London Dumping Convention, as amended by the 1996 Protocol, requires a precautionary approach to be taken to dumping, yet, its parties permitted the sequestration of carbon dioxide in the seabed before concrete standards for the regulation of this activity had been adopted contrary to scientific advice. Similarly, Articles 5 and 6 of the 1995 Fish Stocks Agreement require the adoption of a precautionary approach by States cooperating in the management of the stocks addressed by it. Yet, the state of the world’s fish stocks suggests that
this requirement is not being adhered to. It seems unlikely then that adoption of a proactive approach such as the precautionary approach would make any significant difference to ocean governance at this stage.

III. Process-Based Holistic Governance

It is perhaps because of the problems associated with a principled approach to ocean governance that process-based solutions for holistic governance have been suggested. One aspect of the appeal of these solutions is that they avoid the need for a principal objective. Instead, concepts such as “good ocean governance”\(^{37}\) set out processes by which the oceans might be better managed. For example, good ocean governance requires that certain procedural requirements are met in decision-making: the rule of law is to be complied with; participatory decision-making is to be provided for; there should be transparency in the decision-making process; decisions should be based on consensus; decision-makers should be subject to accountability; the system should provide for equity and inclusiveness in the governance of the oceans; and the system should be responsive and coherent.\(^{38}\)

Reliance on process-based concepts alone to guide ocean governance may, however, prove problematic. The problems of overuse and pollution described above suggest that decision-makers are unable to respond to the scale of the problems faced in the oceans. Thus, while there may be examples of good practice,\(^{39}\) Hardin’s “tragedy of the commons”\(^{40}\) can clearly be seen in oceans governance. The problems referred to earlier in the paper indicate that the current systems and processes are not working. It is unclear if this is due to the processes per se (they may, for example, allow particular advocacy coalitions\(^{41}\) to exert undue influence\(^{42}\) or to other problems. For example, there is a relative lack of scientific data on the oceans,\(^{43}\) which may lead to imperfect decisions regardless of the process followed or principles applied. Even
without such problems, process-based solutions provide no way to tackle one of the problems identified earlier – problematic existing paradigms. These may well need to be addressed to ensure a particular outcome (for example, that fisheries are preserved at sustainable levels). Yet process-based systems cannot guarantee, for example, that the concept of maximum sustainable yield is reinterpreted to take greater account of the interactions of species or the impact of fishing activities on biodiversity. Nor can they ensure that principles such as the precautionary principle are interpreted and applied in particular ways. Process-based approaches alone are, therefore, unlikely to provide the solution needed to the problems that holistic governance is aimed at tackling.

What is required is an approach that combines a process-based approach to decision-making with an objective, or set of objectives, to guide that decision-making process. It would be possible to create such an approach by developing the arguments presented earlier and so to combine, for example, ecological integrity with good governance. But to do so would equate to the introduction of a new concept and the introduction of any new concept or process brings with it the need to overcome the path dependent or autopoietic nature of all legal regimes. It would be better then to look to a tool that is already available and accepted (at least to some degree) to establish whether or not it might point to a suitable objective, or objectives, and decision-making process for holistic ocean governance. The particular tool focussed on in this article is the ecosystem approach.

IV. The Ecosystem Approach and Holistic Ocean Governance

A. The Ecosystem Approach

There is no agreed definition of the ecosystem approach. The Report of the Secretary-General to the U.N. General Assembly has noted that: “[t]he concept is generally associated with
management based on the ‘best understanding of the ecological interactions and processes necessary to sustain ecosystem structure and function’. It has also been described as an integrated approach to decision-making, which applies appropriate science and a particular methodological framework for supporting decision-making taking account of socio-economic factors as well as focussing management of ecosystems on the ecosystems processes rather than on the constituent elements of the ecosystem. This description suggests that the ecosystem approach is again focussed on process, but, in reality the ecosystem approach goes further. A better understanding of the approach can be gained by turning to what may be termed the constitutional documents of the approach. While there are a number of variants on the approach, such as fisheries based large marine ecosystem approach, it is possible to glean some common elements. These are most clearly enunciated through the decisions of the Conference of Parties (COP) to the Biodiversity Convention, in particular Decision V/6. The explanation of the approach provided in Decision V/6 indicates that it combines both process with objectives. Paragraph 1 describes the ecosystem approach as “a strategy for the integrated management of land, water and living resources.” But, it is a strategy with particular objectives. The objectives are the promotion of “conservation and sustainable use in an equitable way.” These twin elements of the ecosystem approach are further elaborated in the 12 principles set out in Decision V/6. While the first principle appears to suggest that the objectives of ecosystem management are left to each society to decide (so threatening a rather weak set of provisions) the principles that follow makes it clear that such perception is misplaced. The choice of conservation and management objectives is to be made within the framework of principles provided for in COP Decision V/6.
Three of the principles are focussed on the process to be used in decision-making addressing both who ought to be involved in decision-making and the type of information to be taken into account:

- management of ecosystems should be decentralised and take place at the lowest appropriate level;
- all forms of information and knowledge should be drawn upon in decision making including scientific, indigenous and local knowledge; and
- the ecosystem approach should involve all relevant sectors of society and scientific disciplines.

A further four address the types of issues to be taken into account in decision-making. In so doing they set some parameters within which decisions should be made:

- the transboundary effects of management decisions on neighbouring ecosystems should be considered by those managing the ecosystem;
- the approach must be applied at the appropriate spatial and temporal scales;
- ecosystems should be managed in an economic context i.e. taking account of externalities either that impact on the ecosystem or that are created by its management and incentives should be created to promote its conservation; and
- an appropriate balance between conservation and use of biodiversity should be struck.

These four principles also suggest that the Parties to the CBD had certain objectives in mind when establishing the ecosystem approach. This impression is reinforced by the last four principles:

- a priority is to maintain ecosystem services by conserving the functioning of ecosystems or their structures;
• “ecosystems must be managed within the limits of their functioning;”\textsuperscript{52}

• long-term objectives should be set to take account of the variability of ecosystems across time; and

• management must recognize that change is inevitable.

These last four principles have the potential to provide a set of principal objectives for holistic ocean governance. And while it might appear at first that these four principles provide for something similar to the concept of ecological integrity suggested by Kim and Bosselmann,\textsuperscript{53} there are some fundamental differences. One key difference is that the final two expressly recognise that change and variability are normal characteristics in ecosystems whereas ecological integrity, as expressed by Kim and Bosselmann, is based upon the assumption that ecosystems respond to disturbance by returning to a function and structure previously identified as normal for that system.\textsuperscript{54} It is this focus on a steady state that makes their concept of ecological integrity conservative. By contrast the acknowledgement in the ecosystem approach of the natural phenomenon of change in ecosystems enables this approach to pay more attention to current and future issues and states.

The prospective focus of the ecosystem approach can also be seen in other discussions. For example, while the U.N. Secretary-General has described the ecosystem approach in terms that at first appear to be quite conservative:

The goal of the ecosystem approach is to restore and sustain the functions of ecosystems, based on their health, productivity and biological diversity, and the overall quality of life through management systems that are fully integrated with social and economic goals, for the benefit of current and future generations.\textsuperscript{55}

It goes on to present a more forward-looking vision of the ecosystem approach, which takes account of future needs:
the goal of the ecosystem approach to fisheries is to plan, develop and manage fisheries in a manner that addresses the multiplicity of societal needs and desires, *without jeopardizing the options for future generations to benefit from a full range of goods and services provided by marine ecosystems.*\(^5^6\) (emphasis added)

A second distinction is that the ecosystem approach focuses largely on preserving ecosystem functions, structures and services, which may appear to be a lower standard than that called for in preserving ecological integrity. However, this perception is based on a misapprehension of what it means to preserve ecosystem functions and structures. Ecosystem functions may be described in terms of the interactions of the structural components of the ecosystem.\(^5^7\) The structural components refer to the living organisms as well as the mediums (soil, water, atmosphere) in which these organisms are found. Thus, the requirement to preserve the functions and structures of ecosystems is not necessarily any lower a standard than the standard of preserving ecological integrity. The only distinction is that when taken as a whole the ecosystem approach clearly provides for account to be taken of the intrinsic variability of ecosystems across time.

This combination of goals makes it clear that the ecosystem approach is less prescriptive than the idea of ecological integrity provided by Kim and Bosselmann and less rooted in a conservative approach.

In relation to concepts such as sustainable development and the precautionary principle, the ecosystem approach also has the advantage that it places certain limitations upon the use of ecosystems. These limitations may avoid the possibility of interpreting the approach to suit the status quo. Thus, while, for example, popular interpretations of sustainable development have emphasised the importance of development and meeting socio-economic needs,\(^5^8\) more attention must be paid to ecological needs under the ecosystem approach because of the requirement that decisions are to be constrained by the limits of ecosystem function and by the need to preserve services and functioning. In other words, there appears to be less possibility of trading
environmental benefits for economic or social benefits if such a trade will damage ecosystem functioning.

It is this combination of advantages that leads to the ecosystem approach providing a suitable set of principal objectives and processes to use to provide content to the concept of holistic ocean governance. Advocating this, however, comes with a health warning. If this approach is adopted it may require a fundamental change in how humans engage with the environment. The tolerance for pollution, for example, may have to be lowered. Given the problems of overfishing and the problems created by pollution in the seas at present, such a fundamental shift may be no bad thing, indeed it may be absolutely necessary if these problems are to be tackled. The question then is: just how radical a shift would be required? The next section proffers an answer to this question by considering the degree to which the ecosystem approach is already incorporated in international agreements.

**B. The Ecosystem Approach and Ocean Governance**

The ecosystem approach may address many of the deficiencies found in other approaches that are or could be used in ocean governance. There are, however, some potential weaknesses with the ecosystem approach. A major concern is that the way some of the principles are described in CBD COP Decision V/6 leaves much to be decided at a later stage. For example, the statement that management should take place at the lowest appropriate level, leaves determining that level to later decision-makers. While the rationale for the principle indicates that the lowest appropriate level is determined by the ecosystem to be managed, that still begs the question of how decisions are to be made as to which ecosystem should be focussed on. For example, if focussing on managing fisheries or renewable energy off the coast of Scotland near Edinburgh, a variety of ecosystems could be chosen as the basis for decision-making. These include the
ecosystem in the Firth of Forth, the North Sea ecosystem or the Northeast Atlantic ecosystem. Which ecosystem is chosen as the locus for management decisions will determine factors such as which stakeholders will be involved in decision-making, what type of information should be included, and where transboundary impacts may be experienced. The possible combinations of these variations in the application of the ecosystem approach lead to a wide variety of possible outcomes from the decision-making process. While this delegation of decisions on how the ecosystem approach is to be applied could be viewed as problematic in that it leads to uncertainty as to how the approach is to be applied, it is also a potential strength. The lack of prescription as to precisely how the ecosystem approach is to be implemented allows for adaptation in response to the needs of particular ecosystems or societies. Moreover, a framework for its application is provided through the four principal objectives identified above. The key in this context is that the level chosen must be capable of ensuring that the functions and structure of ecosystems are maintained. Thus, the lowest appropriate level for decision-making may be determined by considering what types of decisions need to be made to enable preservation of the function and structures and whether those decisions should be made at the local level (for example, in relation to the daily management of coastal wetlands), at the regional level (for example, in relation to planning decisions, or allocations of rights to take) or at the national or international levels.

Secondly, the fact that the ecosystem approach was developed in the context of protection of biodiversity may appear to limit its applicability to other areas of ocean governance. It was first adopted in the 1980 Convention for the Conservation of Antarctic Marine Living Resources.\textsuperscript{60} However, a closer examination of ocean governance demonstrates that the application of the ecosystem approach is not confined to the protection of biodiversity. As noted in the 2006 Report of the U.N. Open-Ended Informal Consultative Process, a number of treaties
and soft law agreements contain measures that may be equated with at least parts of the ecosystem approach. For example, UNCLOS and the 1995 Fish Stocks Agreement contain some elements of integrated decision-making. Both provide that decisions on fisheries are to take account of environmental, scientific, economic and social factors and to take account of the impact on associated or dependent species when establishing conservation measures. The 1995 Fish Stocks Agreement goes further in, for example, also requiring States to take account of the transboundary impacts of their decisions. The FAO Code of Conduct for Responsible Fisheries goes further still in both reiterating and strengthening these provisions and, for example, calling on parties to take account of the appropriate spatial scale. Similarly, the Convention on Antarctic Marine Living Resources (CCAMLR) requires States to have regard to the “maintenance of the ecological relationships between, harvested, dependent and related populations” while fishing. It also takes account of the need to consider the appropriate spatial scale for decision-making in providing first that it applies to the area of the globe south of 60° latitude and secondly that it also applies to activities north of that line of latitude if they have a negative impact on the ecosystem within the jurisdiction of the CCAMLR. The CCAMLR also provides for account to be taken of the relationships between dependent, harvested, and related species and that fisheries management decisions are to be aimed at ensuring sustainability of the fish stocks.

Similarly, UNCLOS and the 1972 Dumping Convention note the need to control pollution to prevent interference with other uses of the seas and to prevent harm to marine life. UNCLOS also notes the need to prevent the transfer of pollution from one medium to another and the 1972 Dumping Convention and its 1996 Protocol are designed to address precisely this problem.
The 2001 Stockholm Convention on Persistent Organic Pollutants\textsuperscript{72} is based on the premise that the use of chemicals, such as pesticides, should take account of the long-term impacts of such chemicals as well as their immediate impact on the environment. It is specifically designed to tackle the problems of bio-accumulation of these chemicals across time and so takes account of the need for planning to take place at the appropriate temporal scale. It also provides other elements of the ecosystem approach, such as making provision for involvement of various sectors of society in decision-making.\textsuperscript{73} Similarly the Global Programme of Action\textsuperscript{74} is based upon the premise that “the sustainable use of the oceans depends on the maintenance of ecosystem health, public health, food security and economic and social benefits, including cultural values.”\textsuperscript{75}

These examples point to the acceptance of the ecosystem approach across a range of issues in ocean governance, but they also indicate that such acceptance is incomplete in that none of the instruments incorporate all aspects of the ecosystem approach. In part this is due to the fact that some of the instruments were adopted before the ecosystem approach had been fully developed; in part it indicates a less than complete acceptance of the approach. A second issue is that most of these treaties and instruments, like the Convention on Biodiversity, are based on a sectoral approach to ocean or environmental governance. Only UNCLOS could be described as taking a truly integrated approach and even there questions exist as to just how integrated the approaches provided for in UNCLOS are in that each sector is addressed in separate parts of UNCLOS.

Similar issues are seen in other treaties. For example, a review of implementation of the ecosystem approach within the UNEP Regional Seas Programmes demonstrates that aspects of the ecosystem approach have been adopted in these programmes too, but while few of these
regimes address all sectors of ocean governance, many are designed to take a more integrated approach to ocean governance than the regimes described above.

Different regional seas emphasise different aspects of the ecosystem approach in the measures they have promoted. For example, the Convention on the Protection of the Marine Environment of the Baltic Sea (Helsinki Convention) is based upon the notion of preserving the ecological balance of the Baltic Sea. This leads then to the question of whether the Convention reflects the ecosystem approach or a more conservative approach, such as pursuing ecological integrity. Article 15 of the Helsinki Convention expressly refers to the adoption of measures to preserve biodiversity and ecological processes. This phrase is similar to the term “ecosystem functions” found in the ecosystem approach, suggesting that the regime reflects that approach. Other elements of the ecosystem approach are also evident in the Helsinki Convention. For example, Article 3 provides for account to be taken of the transboundary impacts of activities within the Baltic Sea on areas beyond its jurisdiction. Similarly Article 17 provides for the involvement of stakeholders in decision-making through the provision of information to the public. Similar provisions are found in other regimes, such as the Barcelona Convention, applicable in the Mediterranean, and the Nairobi Convention applicable to the eastern Africa region.

These regimes go some way towards addressing the requirement that all relevant sectors of society should be involved in the ecosystem approach. The provision of information may be viewed only as a first step in such involvement, particularly if one equates engagement with public participation. Some regimes do go a little further, but even where they do, the treaty provisions are weak. For example, the Protocol Concerning Protected Areas and Wild Fauna and Flora in the Eastern African Region to the Nairobi Convention mentions in Article 12 the need
to take account of traditional activities in areas that are to become protected areas, but makes no mention of the need to involve indigenous peoples in the establishment or management of the areas. Thus, it may be enough simply to gather information on such activities for use in decision-making rather than actually involving the local population as a relevant sector of society. The soft law provisions attached to regimes also provide an opportunity for an expansion or development of provisions found in the treaties. Thus, for example, the provisions on involving various sectors of society in decision-making have been expanded upon in a number of regimes. For example, the Parties to the Nairobi Convention have entered into memoranda of understanding with a number of global and regional non-governmental organizations (NGOs), such as the World Wildlife Fund (WWF), the Western Indian Ocean Marine Science Association (WIOMSA), BirdLife International and the Wildlife Conservation Society (WCS). While engagement with NGOs may not fully satisfy the requirement in the ecosystem approach to involve all relevant sectors of society and scientific disciplines it is a move in the right direction in that it broadens the range of actors involved in decision making and moves away from government alone.

The Parties to the Barcelona Convention have also made provision to draw a range of actors into implementation through a partnership agreement established under the Mediterranean Action Plan Phase II. But even where rights to participate are provided under soft law instruments, these do not go so far as to give non-State actors an equal say in decision-making with States. Non-State actors may be invited to participate in implementation processes as they are in, for example, the Helsinki Convention. Alternatively, they may be invited to attend meetings as observers and may have a right to present information to the State Parties, as they are in, for example, the CCAMLR. One is still left questioning whether these rights are
sufficient to satisfy the requirement of involving all relevant sectors in the ecosystem approach or whether fuller engagement is required.

The use of information in decision-making is also addressed in a number of regimes such as the Helsinki Convention and the Barcelona Convention. Article 4 of the Barcelona Convention requires, for example, that the Parties carry out environmental impact assessments before undertaking new activities that are likely to have a significant adverse impact on the marine environment. Article 12 provides for monitoring. These provisions provide mechanisms to acquire the information necessary for effective decision-making under the ecosystem approach, but once again may not provide sufficiently robust mechanisms to ensure that “all forms of information and knowledge [can] be drawn upon in decision making”. Once again, however, further details on the acquisition and use of information are found in some of the soft law instruments attached to some regimes. For example, HELCOM has adopted ecological quality objectives (EQOs) and established monitoring and research programmes. The 1992 Convention for the Protection of the Northeast Atlantic’s (OSPAR Convention) Biological Diversity and Ecosystems Strategy makes provision for the establishment of EQOs, but adds the assessment and monitoring of threatened species, the establishment of marine protected areas and assessment of human activities that may adversely impact on the ecosystem such as dredging and dumping.

The CCAMLR also points to the use of other mechanisms to implement the ecosystem approach in its soft law instruments. Various measures of relevance have been adopted such as on the establishment of marine protected areas and

seabird by-catch mitigation measures, regulations on mesh size, a bottom-trawl prohibition around South Georgia and by-catch limits for several elasmobranch species. Compliance with MARPOL is promoted, in particular its annex V on garbage.
There are a number of regional fisheries management organisations with measures that incorporate ecosystem considerations into their management measures.\textsuperscript{95} Most of these measures relate to limitations on by-catch whether of fish species or of other species.

Perhaps of greatest significance is that some of the soft law measures adopted have been used to adopt a more integrated approach to ocean governance. Thus, soft law underpins the cooperation between the North-east Atlantic Fisheries Commission (NEAFC)\textsuperscript{96} and OSPAR\textsuperscript{97} and to coordinate fisheries activities and the protection of the marine environment in the Northeast Atlantic. NEAFC has also closed off seamounts to fishing to protect deep-water habitats so integrating protection of biodiversity and fisheries activities. In addition the parties have adopted amendments to incorporate the ecosystem approach into the founding treaty.\textsuperscript{98}

V. Conclusions

There are a number of points that can be drawn from this brief review of practice in ocean governance. The first is that the majority of examples discussed point to the continuing use of sectoral approaches to marine governance. While such approaches may be perceived to ensure efficiency in decision-making, there is growing evidence of a more integrated approach being adopted. This change reflects an understanding that efficient decision-making may be better achieved by ensuring that decisions are coordinated across the full range of issues that impact on each other, as it should remove much of the need to take reactive measures to problems that arise from interactions that have not been considered where issues are regulated individually. This is most clearly seen in the increasing cooperation between international organisations, though it is also beginning to be addressed by higher level activities such as the cooperation between the FAO and the UNEP Global Programme of Action for Marine Pollution from Land-based Activities.\textsuperscript{99} In addition, while the majority of examples are drawn from regimes focussed on the
conservation or management of biodiversity and living marine resources, there is also evidence of the ecosystem approach being applied in regimes addressing other issues, such as marine pollution. For example, the Arctic Council has developed an Arctic Marine Strategic Plan to, *inter alia*, implement the ecosystem approach in relation to the control of pollution. There is also evidence of account being taken of the transboundary impacts of activities across ecosystems. For example, the Benguela Current Large Marine Ecosystem Commission cooperates with a number of other organisations such as the South East Atlantic Fisheries Organisation in the provision of scientific information. This growing integration points to improved implementation of the ecosystem approach and augurs well for its application at the national level.

Secondly, few, if any of the noted regimes provide for the application of all elements of the ecosystem approach. One of the areas highlighted has been that little attention has been paid to ensuring that all sectors of society are engaged in decision-making. While many of the regimes incorporate elements that focus on improving the quality of information upon which decisions are based, less attention is paid to fuller forms of engagement such as co-decision-making or delegated decision-making. In addition, engagement often focuses upon NGOs or similar bodies which may not represent all sectors of society. One of the challenges with engaging all sectors of society in decision-making is that it may slow decisions and if taken to the extreme could cause paralysis in decision-making systems. There is, therefore, a balance to be struck between engagement and efficiency. That need for balance is evident in the ecosystem approach in the call for decisions to be made at the appropriate level, but a greater understanding of the forms that engagement could take and of the types of decisions that best lend themselves to engagement activities would be beneficial. For example, it may be appropriate to engage a
variety of sectors of society in planning certain types of activities, for example, with individual permitting decisions made largely by governments once detailed plans are in place. There is then a need for further analysis of decision-making in practice and for further testing of a variety of approaches to engaging society in decision-making.

Thirdly, even where provision is made to incorporate an element of the ecosystem approach, often that incorporation is limited. For example, while there tend to be provisions on the acquisition of a range of information to feed into decision-making, often the emphasis remains on the gathering of scientific data, with less attention being paid to other forms of information.

Despite these weaknesses, it is possible to point to the growing acceptance and application of the ecosystem approach and it is this growing acceptance that indicates that this approach provides fertile ground for the development of integrated principal objectives and processes for ocean governance. The existing gaps in implementation of the ecosystem approach do, however, point to the need for considerable progress to be made before it is possible to say that the ecosystem approach as currently defined fulfils that role. There is also a need to consider its application in the context of other activities besides those reviewed here, activities such as the production of renewable energy or the extraction of minerals and hydrocarbons. Once these issues have been more fully resolved clearer conclusions can be drawn as to the degree to which the ecosystem approach provides greater content to the concept of holistic ocean governance or (the elusive) integrated principal objectives and process.


5 See for example, Behring Sea Fur Seals Case (USA v. UK) (1898) 1 Moore’s *Int. Arbitration Awards* 755 reprinted in 1 *Int. Env. L. Reps* (1999) 43; Icelandic Fisheries Case (United Kingdom v Iceland) [1974] *I.C.J. Rep.* 3; and UNCLOS, supra note 4, Parts V and VII.

Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks, 2167 *U.N.T.S.* 3.

7 Convention on Biological Diversity, 1760 *U.N.T.S.* 79, Articles 1 and 2.

8 See for example, UNCLOS, supra note 4, Articles 194(3) and 196.

9 See for example, *ibid.*, Parts V and VI.


12 See for example, the decision of the German government to stop all use of nuclear fuel following the Fukushima disaster. “Germany: Nuclear power plants to close by 2022” *BBC News*, 30 May 2011, at <www.bbc.co.uk/news/world-europe-13592208>.


15 Ibid.

16 Ibid., at 307.

17 As recognised in, for example CBD Conference of the Parties (COP), Decision V/6 “Ecosystem Approach”, available on the CBD website at <www.cbd.int> and see Ecosystems and Human Well-being: Biodiversity Synthesis, (World Resources Institute, Washington, DC, 2005), at 1. For a review of the ecological history of one marine ecosystem see: T.C. Smout and Mairi Stewart The Firth Of Forth: An Environmental History (Birlinn, Edinburgh, 2012).

18 See for example, the account of changes to the Grand Banks ecosystem in Kenneth T. Frank, Brian Petrie, Jonathan A. D. Fisher and William C. Leggett “Transient Dynamics of an Altered Large Marine Ecosystem” (2011) 477 Nature 86 and the account of damage to Jamaican coral reefs following a chain of events which started with over fishing, provided in Andrew Zolli and Ann Marie Healy, Resilience – Why Things Bounce Back (Simon & Schuster, 2012).

19 See for example, Duncan French, International Law and Policy of Sustainable Development (Manchester University Press, 2005), particularly at 16 and Andrea Ross, Sustainable Development Law in the UK: From Rhetoric to Reality? (Earthscan, 2012).


22 See for example, Food and Agriculture Organization, (FAO), The State Of World Fisheries and Aquaculture 2012 (Rome 2012).

23 Ibid., at 19 et seq.
24 See UNCLOS, supra note 4, Parts V and VII.


27 See United Nations Environment Programme (UNEP), "Protecting the Ocean From Land-Based Activities: Land-based sources and activities affecting the quality and uses of the marine, coastal and associated freshwater environment" (GESAMP Reports and Studies No 71, 2001), at 31.


30 See Giles Tremlett, “Spanish Sperm Whale Death Linked to UK supermarket Supplier's Plastic: Sperm Whale on Spanish Southern Coast Had Swallowed 17kg of Plastic Waste

31 Ecosystems and Human Well-being, supra note 17, passim.

32 See for example, the Rio Declaration on Environment and Development, U.N. Conference on Environment and Development, U.N. Doc. A/CONF.151/5, Principle 15, which notes that “where there are threats of serious and irreversible change, lack of full scientific certainty shall not be used as a reason for postponing cost-effective measures to prevent environmental degradation.” See also, the World Summit on Sustainable Development Plan of Implementation, UN A/Conf.199/20, 4 September 2002, paragraphs 22 and 103.


34 Dumping Convention Protocol, supra note 26, Articles 3 and 4.


36 Fish Stocks Agreement, supra note 6, Articles 5 and 6.
See Yen-Chiang Chang, "Good Ocean Governance" (2009) 23 Ocean Yearbook 89.

Ibid., at p. 91 et seq.

See for example, the modest increase in total allowable catch of Flemish Cap cod and Grand Banks redfish stocks under the regulatory authority of the Northwest Atlantic Fisheries Organization (NAFO) following conservation measures. EU Press release, “The EU welcomes NAFO’s unprecedented precautionary measures for the conservation of international fish stocks,” 27 September 2010, at <ec.europa.eu/fisheries/news_and_events/press_releases/270910/index_en.htm>.

Garrett Hardin, “The Tragedy of the Commons” (1968) 162 no. 3859 Science 1243-1248.


W. Brian Arthur, “Competing Technologies, Increasing Returns, and Lock-In by Historical


46 The ecosystem approach is one of a number of terms, including the ecosystem-based approach, ecosystem management approach and integrated ecosystem management, that are focused upon conservation and management activities that are holistic and scientifically grounded.


48 See for example, CBD COP, Decision VII/11, Ecosystem Approach,” preamble, available on the CBD website, supra note 17.

49 See for example, Lawrence Juda, “Considerations in Developing a Functional Approach to the Governance of Large Marine Ecosystems” (1999) 30 Ocean Development & International Law, 89-125 on the similar, fisheries focussed, concept of large marine ecosystems.

50 CBD COP Decision V/6, supra note 17.

51 Ibid., “Principles of the Ecosystem Approach.”

52 Ibid., Principle 6.

53 Kim and Bosselmann, supra note 14, at p. 305 et seq.
54 Ibid., at pp288-289.

55 “Report of the Secretary-General,” supra note 47, para. 118 (footnotes deleted)

56 Ibid.


58 French, supra note 19, at p.16 and Ross, supra note 19, at p.3.


62 UNCLOS, supra note 4, Article 61 and Fish Stocks Agreement, supra note 6, Article 5.

63 Ibid., Articles 61 and 119 and Fish Stocks Agreement, supra note 6, Article 5.

64 Fish Stocks Agreement, supra note 6, Article 7.


66 Convention on Antarctic Marine Living Resources, supra note 60, Article 2(3)(ii).

67 Ibid., Articles I and XI.

68 Ibid., Article II.

69 UNCLOS, supra note 4, Article 194 and London Dumping Convention, supra note 26, Article I.

70 Ibid., Article 195.


Ibid., Article 10.

Global Programme of Action for the Protection of the Marine Environment from Land-based Activities, available on the UNEP Regional Seas Programme website at <www.unep.ch/regionalseas>.

"Report of the Secretary-General," supra note 47, para. 128 referring to the Global Plan of Action.


See ibid.

83 See Strategic Partnership for the Mediterranean Sea Large Marine Ecosystem at <www.themedpartnership.org>.


86 Helsinki Convention, supra note 76, Article 24.

87 Barcelona Convention, supra note 77, Article 4.

88 Principle 11 CBD COP Decision V/6 supra note 17.

89 See Baltic Sea Action Plan, 2007, on the Helcom website, supra note 84.


92 SOURCE ?? Ibid – same sources as note 91. I suggest deleting this note.

93 See CCAMLR Conservation Measures 91-01 to 91-04, available on the CCAMLR website, supra note 85.

94 “Report of the Secretary-General,” supra note 47, para. 177 (footnotes omitted) referring to several CCAMLR Conservation Measures.

95 See ibid., para. 176.
96 Convention on Future Multilateral Co-operation in North-East Atlantic Fisheries, is the basic document for the North-east Atlantic Fisheries Commission, see the NEAFC website at <neafc.org>.

97 Memorandum of Understanding between the North-East Atlantic Fisheries Commission and the OSPAR Commission, 2008, on the NEAFC website, *ibid*.


