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# Dual Wavelength (Ultraviolet and Green) Photodetectors Using Solution Processed Zinc Oxide Nanoparticles

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KEYWORDS: persistent photoconductivity; ZnO nanoparticles; photolithography; imaging photodetectors; defects.

## ABSTRACT

Narrow-band photoconductivity with a spectral width of 0.16 eV is obtained from solution-processed colloidal ZnO nanocrystals beneath the band-edge at 2.25 eV. A new model involving electron transfer from deep defects to discrete shallow donors is introduced to explain the narrow spectrum and the exponential form of the current rise and decay transients. The defects are tentatively assigned to neutral oxygen vacancies. The photocurrent responsivity can be enhanced by storage in air and this correlates with the formation of carbonate surface species by capture of carbon dioxide during storage. This controllability is exploited to develop a low-cost and scalable photolithographic approach to pixelate photodetectors for applications such as object discrimination, sensing etc. The spectral response can be spatially patterned so that dual (ultraviolet and green) and single (ultraviolet only) wavelength detecting ZnO pixels can be produced on the same substrate. This presents a new sensor mode with applications in security or full colour imaging.

### 1. Introduction

Solution processable ZnO is a promising electronic and optoelectronic material because of its compatibility with low-cost and highly scalable manufacturing for the next generation of smart products for the internet of things, wearable technologies, mobile medical devices, security etc. These applications require device technologies such as transistors, light-emitting diodes, photodetectors, sensors etc. Solution processed ZnO is well studied as the semiconducting material for thin-film transistors.<sup>1</sup> From the optoelectronic perspective, it is often combined with organic materials to improve electron injection or collection in hybrid organic light-emitting diodes, photovoltaics and photodiodes.<sup>2,3,4,5</sup> There is also an extensive literature on ultraviolet

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3 photoconductivity (PC) from solution processed ZnO.<sup>6,7,8,9</sup> For example, core-shell nanorods of  
4 ZnO/CdS were used to make a self-powered dual wavelength photodetector ZnO CdS operating  
5 in both the ultraviolet and blue-green spectral regions.<sup>10</sup> Such multispectral photodetectors are  
6 interesting for applications such as discrimination of objects, lab on a chip, environmental and  
7 biological sensing. Low-cost, electronic and optoelectronic materials must be easily patterned in  
8 order to achieve the promise of the disruptive technologies mentioned above, but there is limited  
9 work to date on the patterning of ZnO. Thin ZnO seed layers are often used to seed the growth of  
10 vertical ZnO nanowires by solution processing or chemical vapour deposition and the growth can  
11 be patterned by masking the seed layers with patterned photoresist.<sup>11</sup> Alternatively the seed  
12 layers can be patterned photolithographically or by inkjet printing.<sup>12</sup> Zinc oxide vertical  
13 nanowire arrays have also been sculpted to form 3-dimensional patterns using photolithography.  
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<sup>13</sup> A photolithographic approach was used to pattern ZnO, deposited by spray pyrolysis, to modify the electron injection barrier of a gold/organic semiconductor interface and so improve the efficiency of a light-emitting, organic field-effect transistor.<sup>14</sup>

The conductivity,  $\sigma$ , of a semiconductor is given as  $\sigma = (ne\mu_e + pe\mu_h)$  where  $n$  and  $p$  are the density of electrons and holes respectively and  $\mu_n$  and  $\mu_p$  their respective mobility. PC results in a change of conductivity by irradiation with light normally as a result of the increase in  $n$  and/or  $p$ . No energy is generated and the current is provided by the external circuit via the contacts, reducing to zero as the applied field goes to zero. ZnO shows PC with response times in the nanoseconds regime determined by electron-hole recombination,<sup>15,16</sup> but there are also many examples of persistent PC.<sup>6,16,17</sup> ZnO is an n type semiconductor with a very deep valence band so it is generally assumed that the persistent photocurrent is carried by excess electrons. The

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3 generally agreed mechanism is that a low conductivity depletion region is generated at the  
4 surface of ZnO in the dark because electrons are trapped by the adsorption of gaseous oxygen  
5 according to the reaction  $O_{2(g)} + e^- \rightarrow O_2^-$ . Free electrons and holes are photogenerated by  
6 absorption of light with above band-gap energy. The holes migrate to the ZnO surface along the  
7 potential gradient produced by band bending where they release the adsorbed oxygen according  
8 to the reaction  $O_2^- + h^+ \rightarrow O_{2(g)}$ . The persistent photocurrent build-ups over the timescale of the  
9 electron lifetime, which may be seconds or longer. This is determined by trapping or scavenging  
10 via surface electrochemical reactions etc. A photoconductive gain (equal to the number of  
11 electrons generated per photon) greater than one is often observed as the electrons pass multiple  
12 times across the circuit.<sup>18</sup> The PC signal may also have a contribution from the reduction of the  
13 injection barrier at the Schottky contacts due to the trapping of photogenerated holes in the  
14 depletion layers.<sup>16,18</sup> There are reports of nominally undoped ZnO showing PC below band-edge  
15 and in the visible spectral range. The visible PC is spectrally broad and is attributed to transitions  
16 between various defect states and the conduction or valence band.<sup>17,19</sup>

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19 In this paper we discuss narrow-band persistent PC below the band-edge of colloidal ZnO  
20 nanocrystals. The transitions observed in the green spectral region are sharp, which means that  
21 charge transfer occurs between discrete states rather than to the broad conduction or valence  
22 band. This is different to most sub-band gap PC, which occurs over a broad spectral range,  
23 resulting from optical transitions between defect states and the conduction or valence band. We  
24 propose a model based on defect and shallow donor states to explain the spectral and temporal  
25 dependence of the photocurrent. We show how device processing and storage can be controlled  
26 to increase the density of defects and so enhance PC in the green. The photoconductive thin films

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3 are solution processable and we demonstrate that they can be patterned using photolithography to  
4 obtain a dual wavelength photodetector. A multi-step photolithography allows different pixels to  
5 have different spectral responses; one is sensitive to both green and ultraviolet light whilst the  
6 green response is suppressed in another.  
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## 17 **2. Experimental Section**

### 18 *2.1 Preparation of the ZnO NPs:*

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24 A ZnO nanoparticle (NP) solution was prepared using literature methods with some  
25 modification.<sup>20,21</sup> Zinc acetate ( $\text{Zn}(\text{OAc})_2$ , 0.8182 g, 4.46 mmol) and 0.25 ml of water were  
26 added into a three-neck flask containing 42 ml of methanol. The solution was heated to 60 °C  
27 and then a solution of potassium hydroxide (0.4859 g, 7.22 mmol) in methanol (23 ml) was  
28 dropped slowly into the flask. The reaction took place at 60 °C for 2 hours. White ZnO NPs were  
29 obtained after centrifuging and washing with methanol twice. These were dried in air for 30 min  
30 and then dissolved in a solution of chloroform (6.2 mL) containing a small amount of octylamine  
31 (0.2 mL). Finally, a clear ZnO nanoparticle solution was obtained after filtering through a 0.45  
32  $\mu\text{m}$  PTFE filter.  
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### 49 *2.2 Device fabrication and characterization:*

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52 A ZnO NP solution of concentration 2.5 % by weight in chloroform was spin cast through a  
53 0.45  $\mu\text{m}$  polytetrafluoroethylene (PTFE) syringe filter onto a patterned glass substrate at 2000  
54  $\text{rpm}^{-1}$  for 30 sec. The substrate, purchased from Ossila, was pre-patterned with indium tin oxide  
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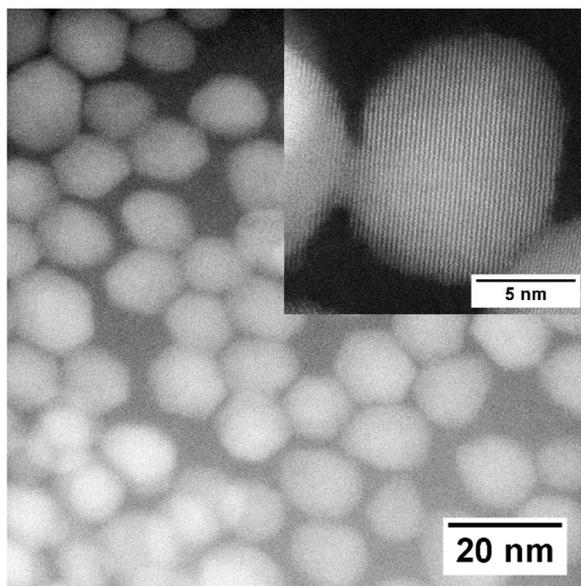
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3 fingers ( $20 \Omega/\text{square}$ ) of overall channel dimensions of  $30 \text{ mm} \times 50 \mu\text{m}$ . The resulting ZnO layer  
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5 thickness was around  $120 \text{ nm}$  and the sample was baked at  $100 \text{ }^\circ\text{C}$  for  $10 \text{ min}$ . Samples were  
6  
7 then annealed on a hot plate using various temperatures and annealing atmospheres as discussed  
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9 in the text. The photocurrent characteristics of the photodetector device were tested on excitation  
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11 with a  $150\text{W}$  Xenon light source equipped with a  $5\text{nm}$  bandwidth monochromator and a set of  
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13 lenses to give a rectangular shaped light beam covering the active device. The beam intensity  
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15 was typically  $0.31 \text{ mW cm}^{-2}$  and  $0.5 \text{ mW cm}^{-2}$  at  $360 \text{ nm}$  and  $550 \text{ nm}$  respectively. Photocurrent  
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17 transients were also measured in a nitrogen filled glove box using a laser diode with wavelength  
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19 of  $532 \text{ nm}$  and power  $0.15 \text{ mW}$ . The optical system was interfaced to the Keithley 2400 source  
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21 meter using BenWin<sup>+</sup> software to measure the photocurrent density as a function of wavelength  
22  
23 and time. Optical absorption spectra were measured using Thermo Scientific (EVOLUTION  
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25 220) spectrophotometer over the wavelength range from  $300 \text{ nm}$  to  $700 \text{ nm}$ . High resolution  
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27 TEM was recorded using a Cs aberration-corrected JEOL 2100F microscope at  $200 \text{ kV}$ . X-ray  
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29 photoelectron spectroscopy (XPS) measurements were performed on a Kratos AXIS HSi  
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31 spectrometer equipped with a charge neutralizer and monochromated Al  $K\alpha$  excitation source  
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33 ( $1486.7 \text{ eV}$ ), with energies referenced to adventitious carbon at  $284.6 \text{ eV}$ . Spectral fitting was  
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35 performed using CasaXPS version 2.3.15. Samples were not sputtered prior to analysis to avoid  
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37 perturbing the surface composition, and stored and handled in air.  
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46 A Bruker IFS 66/S Fourier transform infrared (FTIR) spectrometer equipped with a water-  
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48 cooled glowbar source, potassium bromide beam splitter and deuterated triglycine sulphate  
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50 detector was used to measure the transmittance,  $I/I_o$ , of the samples at room temperature.  $I$  is the  
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52 intensity of the transmitted light through the sample and semi-insulating gallium arsenide (GaAs)  
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54 substrate and  $I_o$  is the intensity of the transmitted light through the GaAs substrate only. The  
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3 GaAs substrate spectrum was taken under the same conditions as each sample measurement in  
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5 order to eliminate any inherent artifacts. The resolution was  $4\text{ cm}^{-1}$  and 1000 scans were  
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7 recorded, co-added and then averaged for each spectrum. The sample compartment was  
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9 continuously purged with dry air to reduce absorptions from atmospheric water vapor and carbon  
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### 18 3. Results and Discussions

#### 19 3.1. Physical characterization



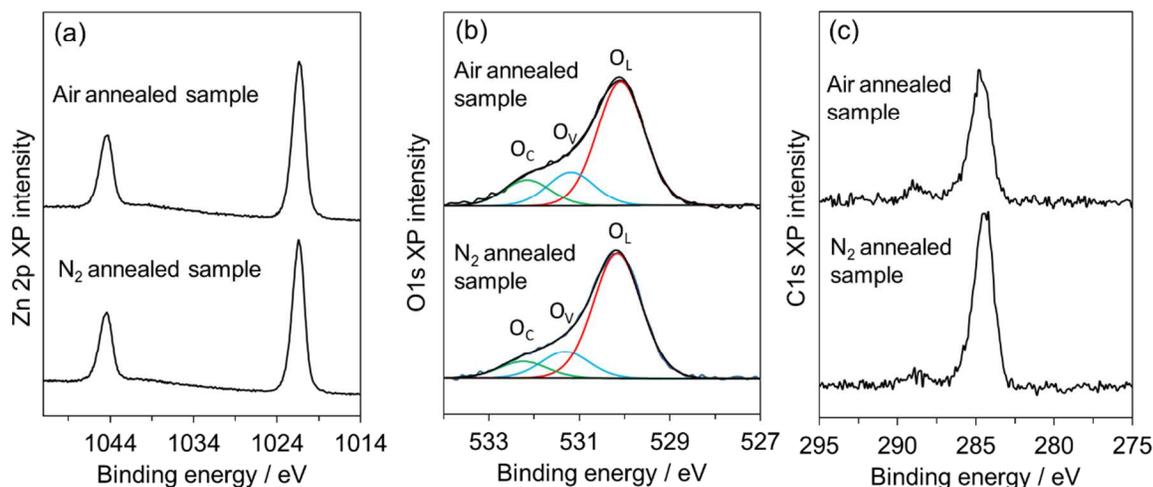
47 **Figure 1.** TEM dark field image of the as synthesized ZnO NPs. The inset shows a dark field  
48 high-resolution TEM image of a crystalline ZnO NP.  
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53 Transmission electron microscopy (TEM) results (Figure 1) show that the ZnO NPs are  
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55 crystalline with an average diameter of  $11.8 \pm 1.7\text{ nm}$  (based upon analysis of  $>100$  particles)  
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57 and a d-spacing of  $0.26\text{ nm}$  for the (0 0 2) lattice plane, see Figure 1 inset. Figure S1 of the  
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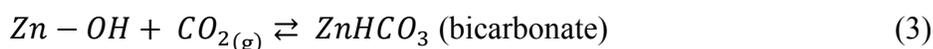
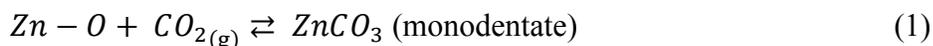
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3 supporting information shows an X-ray diffraction scan, confirming the wurtzite phase of the  
4 ZnO NPs. Figure 2(a-c) show the O 1s and C 1s and Zn 2p XPS spectra of ZnO NPs. The  
5 samples were spin coated on Si substrates, and then annealed at 350 °C in N<sub>2</sub> or air. The Zn:O  
6 atomic ratio was essentially the same for annealing under both environments at ~0.65, somewhat  
7 lower than expected for stoichiometric ZnO indicating the presence of oxygen vacancies. In  
8 contrast, the C:O atomic ratio was higher for the sample prepared and annealed in N<sub>2</sub> compared  
9 to air (0.86 versus 0.65 respectively). The N<sub>2</sub> annealed sample also contained trace surface  
10 nitrogen, together suggesting incomplete decomposition of the octylamine stabilizer during  
11 nitrogen thermal processing. Zn 2p XPS spectrum (Figure 2(a)) exhibited a single chemical  
12 environment at 1021.4 eV binding energy consistent with Zn<sup>2+</sup> in ZnO.<sup>22,23</sup> The O 1s spectra  
13 (Figure 2(b)) exhibited three distinct chemical environments which were fitted according to  
14 Zhang et al to:<sup>24</sup> lattice oxygen lattice (O<sub>L</sub>) in ZnO at 530.14 eV, oxygen vacancies (O<sub>V</sub>) at  
15 531.24 eV, and either a dissociated oxygen species (O<sub>C</sub>) or surface hydroxyls (which possess a  
16 higher intensity following annealing in air) at 532.2 eV.<sup>25</sup> The ratio of (O<sub>L</sub>) : (O<sub>V</sub>) : (O<sub>C</sub>)  
17 components for the air annealed sample was 1.0 : 0.24 : 0.14 while that for the N<sub>2</sub> annealed  
18 sample was extremely similar at 1.0 : 0.27 : 0.21, indicating a similar surface concentration of  
19 oxygen vacancies following both pretreatments. The C1s XPS spectra (Figure 2(c)) of both  
20 samples exhibit two distinct chemical environments, a strong feature at 284.4 eV consistent with  
21 an aliphatic hydrocarbon chain arising from the octylamine stabilizer, and a weak high binding  
22 feature at 288.9 eV indicative of a carboxylate<sup>26</sup> arising from either partial decomposition of the  
23 acetate precursor, or adsorption of atmospheric CO<sub>2</sub> during sample storage and handling in air.  
24 Since the ZnO NPs were annealed to 350 °C prior to XPS analysis, sufficient to fully decompose  
25 the zinc acetate precursor,<sup>27</sup> the former possibility can be discounted, with carbonate formation  
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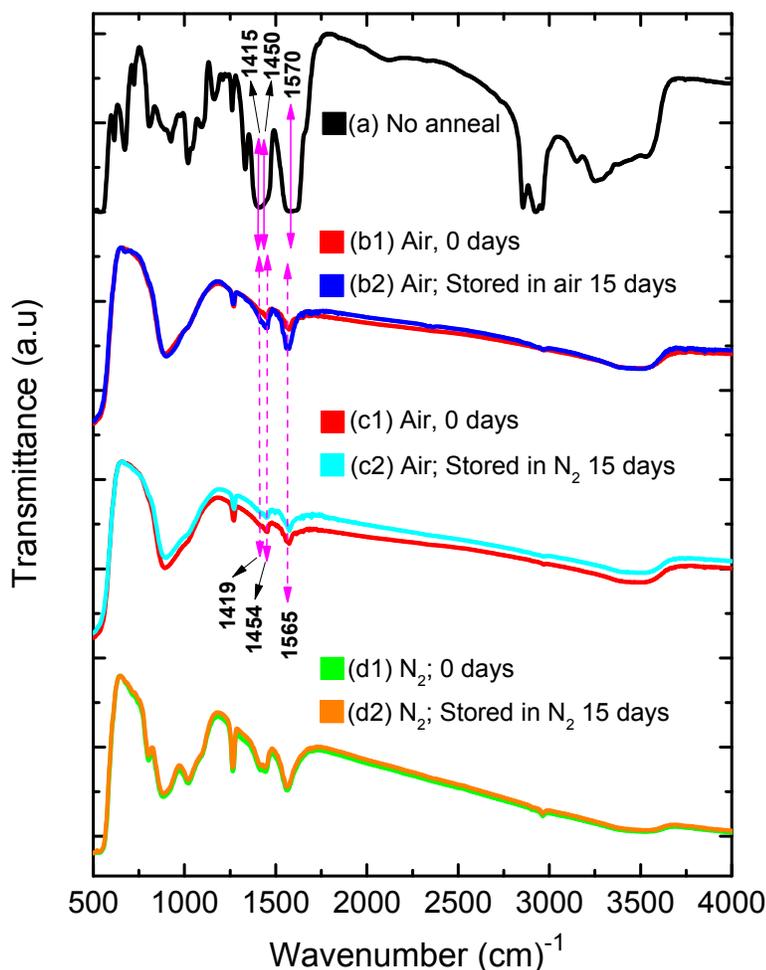
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3 simply reflecting atmospheric CO<sub>2</sub> adsorption. Figure 3(a) shows the FTIR spectrum of a thin  
4 film of ZnO without annealing. The ZnO backbone transitions are observed about 500 cm<sup>-1</sup>.  
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6 There are a number of transitions relating to the acetate ligand groups (from the starting  
7 precursor) chelated with zinc atoms on the surface of nanocrystals and the added octylamine  
8 ligands.<sup>7,28,20,29</sup> A thick sample was used, so some of these transitions are saturated. Annealing in  
9 air at 350 °C, see Figure 3(b1), removes significantly the octylamine and the acrylate groups in  
10 agreement with the XPS data. This is shown by the large reduction in the stretch C-O transitions  
11 between 1000 and 1150 cm<sup>-1</sup>, the C-H bends between 620 cm<sup>-1</sup> and 950 cm<sup>-1</sup> and the sharp  
12 stretching C-H transitions between 2850 and 2950 cm<sup>-1</sup>. Note the saturated unidentate transitions,  
13 C=O at about 1570 cm<sup>-1</sup> and C-O doublet at 1415 cm<sup>-1</sup> and 1450 cm<sup>-1</sup>, from the precursor acetate  
14 groups are nearly completely removed on annealing in air, as shown in Figure 3(b1) and 3(c1).  
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16 However transitions at similar wavelengths, i.e. at 1565 cm<sup>-1</sup>, 1419 cm<sup>-1</sup> and 1454 cm<sup>-1</sup>, increase  
17 significantly after storage of the thin films in air for 15 days, see Figure 3(b2). Only a very small  
18 increase in these transitions is observed when the sample is stored in a nitrogen environment  
19 with O<sub>2</sub>, H<sub>2</sub>O < 1.5-2 PPM, see Figure 3(c2), for the same time. This is consistent with CO<sub>2</sub>  
20 adsorption by ZnO from the atmosphere to form surface carbonate. A comparison of Figure 3(c)  
21 and 3(d) shows that an oxidizing air rather than a nitrogen annealing environment assists the  
22 removal of the precursor acetate ligands from the ZnO thin film. The FTIR spectrum of the  
23 nitrogen annealed sample does not change significantly following storage in nitrogen for 15  
24 days. The broad absorbance band around 3500 cm<sup>-1</sup>, originating from adsorbed OH groups, is  
25 present in air and nitrogen samples, and is of higher intensity for the former as expected due to  
26 greater surface hydroxylation consistent with the O 1s XPS spectra. The interaction of ZnO  
27 nanoparticle with atmospheric CO<sub>2</sub> can lead to a variety of adsorbed species depending on the  
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presence or absence of humidity in the gas atmosphere. Possible adsorbed species include monodentate and bidentate carbonate species formed by the direct interaction of gaseous carbon dioxide ( $\text{CO}_{2(\text{g})}$ ) with surface oxide anions and oxygen vacancies (v) in the absence of water (Equations 1 and 2) and bicarbonate from the interaction of  $\text{CO}_{2(\text{g})}$  with surface hydroxyl groups present under humid environments (Equation 3).<sup>30</sup> The strong IR absorption bands at  $\sim 1565 \text{ cm}^{-1}$ , in addition to those at  $1419$  and  $1454 \text{ cm}^{-1}$  suggest that both bidentate and monodentate adsorbed carbonate are formed.



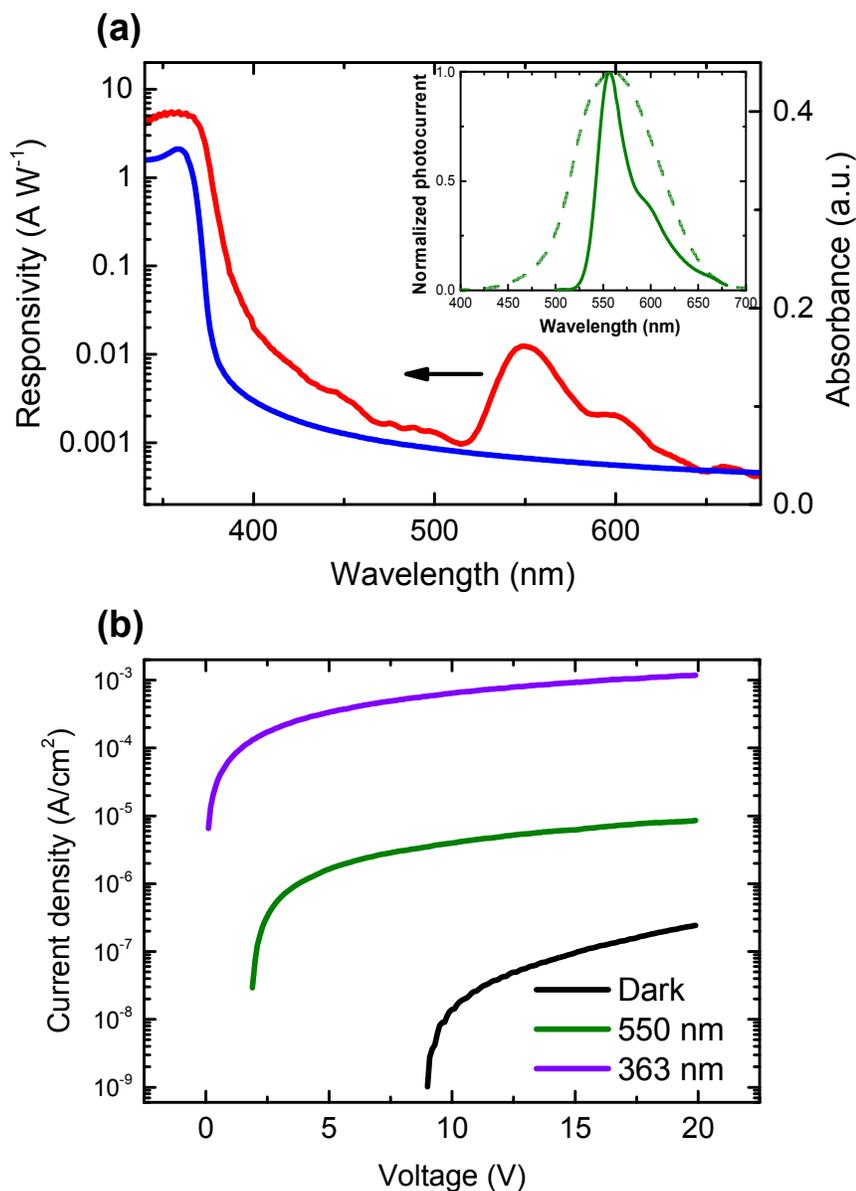
**Figure 2.** High resolution (a) Zn 2p (b) O 1s and (c) C 1s XPS spectra of ZnO NPs, which were spin-coated prior to annealing either in N<sub>2</sub> or air at 350 °C.





**Figure 3.** FTIR spectrum of thin films of ZnO drop-cast onto a semi-insulating GaAs substrate using different preparation and storage conditions: (a) Sample was baked at 100 °C to remove solvent; (b1) Sample annealed at 350 °C in air for 1 hour, measured immediately and (b2) after storage in air for 15 days; (c1) Sample annealed at 350 °C in air for 1 hour, measured immediately and then (c2) after storage in nitrogen for 15 days; (d1) Sample annealed at 350 °C in nitrogen for 1 hour, measured immediately and (d2) after storage in nitrogen for 15 days. The spectra are shifted vertically for clarity. The vertical lines and labelled transitions clarify the differences in wavenumber between the C-O transition in the unannealed sample and following annealing and storage in air.

## 3.2. Photoconductivity



**Figure 4.** (a) Semi-log plot of absorbance and photocurrent responsivity spectra from a thin film of ZnO NPs following annealing at 350 °C in air for 2 hours. The inset shows that the visible photocurrent spectrum (solid line), measured on application of a voltage 20 V across a planar device length of 5  $\mu m$ , and the green colour matching function (dashed line) of the CIE colour

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3 system. (b) Current density versus applied field in the dark and on application of light of  
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5 intensity of  $0.31 \text{ mW cm}^{-2}$  and  $0.5 \text{ mW cm}^{-2}$  at a wavelength of 363 nm and 550 nm respectively.  
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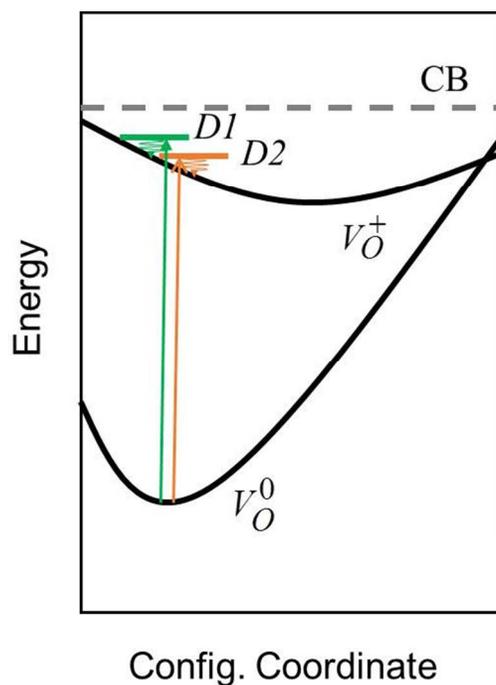
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9 Figure 4(a) shows semi-log plots of the optical absorption and photocurrent spectra of ZnO  
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11 film. The strong excitonic absorption peak around 360 nm shows a small quantum confinement  
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13 effect compared with the peak of bulk ZnO at about 370 nm. A weak absorption tails decays into  
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15 the visible region of the spectrum, which is attributed to below band-edge defect states. The  
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17 responsivity of the photocurrent peaks at  $5.3 \text{ A W}^{-1}$  in the UV. The expected tail in the visible is  
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19 accompanied by a remarkable narrow-band photocurrent with a peak responsivity of  $10.2 \text{ mA}$   
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21  $\text{W}^{-1}$  at 550 nm and a shoulder at 596 nm. Noting that the responsivity of ZnO photodetector  
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23 depends on defect concentration, the UV responsivity reported here is comparable to that  
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25 reported by others, e.g.  $61 \text{ A W}^{-1}$  for colloidal NPs by Greenham et al.<sup>18</sup> and  $1.1 \text{ A W}^{-1}$  for a  
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27 sol-gel ZnO film by Chen et al.<sup>31</sup> The reduced responsivity in the visible spectrum is compatible  
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29 with the literature. For example, ZnO nanowires show a UV responsivity of  $2 \times 10^{-2} \text{ A W}^{-1}$ ,  
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31 whilst the corresponding broad-band responsivity in the visible is  $10^{-5} \text{ A W}^{-1}$ .<sup>32</sup> The inset of  
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33 Figure 4(a) shows the visible PC spectrum on a linear scale. Its full width at half maximum  
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35 (FWHM) is about 40 nm with some sample dependent variation in the relative heights of the  
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37 peak and shoulder. There is no corresponding visible feature in the absorption spectrum,  
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39 indicating that a low density of the defect states is associated with the PC, which must have a  
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41 much higher photoactivity than the defects associated with broadband visible absorption. As the  
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43 inset shows, the green PC spectrum is completely contained within the green colour matching  
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45 function of the CIE colour system, showing its applicability for green imaging devices. Figure  
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47 4(b) shows the UV and green photocurrent as well as the dark current as a function of voltage.  
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49 The photocurrent is linear with voltage in both cases. We note also the dark current and green  
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3 photocurrent curves are offset from zero, indicating a low level of charge separation or charge  
4 trapping. The time dependence of the photocurrent in the UV and green is shown using a semi-  
5 log plot in Figure S2. The UV signal has a rise time of less than 0.5 s and this increases as the  
6 intensity of the irradiation decreases.  
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### 15 3.3. Origin of green photoconductivity

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18 There is a fundamental difference between the visible PC reported here and reports in the  
19 previous literature,<sup>17,33,34,35,36,19</sup> which show PC over a broad spectral range, with typical spectral  
20 width  $\gg 100$  nm. These are attributed to optical transitions between defect states and the  
21 conduction or valence band. For example, Kavitha et al.<sup>37</sup>, and others<sup>38,39</sup> studied the ZnO  
22 photoconductivity in the visible and assigned it to the electron transitions between sub-band gap  
23 ionized energy levels introduced by the oxygen vacancies and the conduction band. The visible  
24 photocurrent spectrum shown in the inset of Figure 4(a) cannot involve transitions to a  
25 continuous band because the two transitions, peak at 550 nm and shoulder at 596 nm, are  
26 spectrally narrow. Therefore discrete states must be involved. In suggesting a model to explain  
27 the origin of PC, we are hampered by the huge variation in attribution of defect states to spectral  
28 transitions in the literature. Therefore our proposed model can only be tentative. For example,  
29 the green luminescence in ZnO has been linked to transitions involving Zn vacancies,<sup>40</sup> oxygen  
30 vacancies,<sup>19,41</sup> etc. Jannotti and Van de Walle calculate the transition energies of various  
31 defects. These are the Fermi energies at which the formation energies of two charge states are the  
32 same.<sup>40</sup> They, and others,<sup>42</sup> show that, the Zn vacancy is a deep acceptor with a low formation  
33 energy. It exists in its double ionised state,  $V_{Zn}^{2-}$  in  $n$  doped ZnO, since the transition from the -  
34 to 2- charge state occurs at a Fermi energy of 0.87 eV above the valence band maximum. It is  
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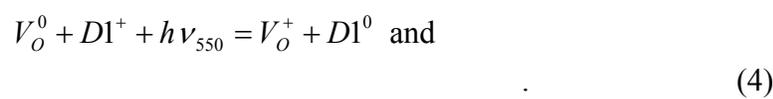
often passivated by hydrogen substitution, since strong O-H bonds are formed. Oxygen vacancies have deep donor states in ZnO and can exist in one of three different charge states. The neutral state,  $V_O^0$  contains two electrons, which may be removed to form  $V_O^+$  and  $V_O^{2+}$  respectively. In  $n$  type conditions, the Fermi level is near the conduction band, so that the neutral state is stable with a calculated energy about 2.0 eV below the conduction band minimum. The concentration of defects has an inverse exponential dependence on their formation energy.<sup>40</sup> The calculations predict that oxygen vacancies have a high formation energy and so exist at low concentrations. However, the surface density of oxygen vacancies in ZnO NPs should be much higher than in bulk because of their large surface area.



**Figure 5.** Illustration of proposed model to describe discrete PC transitions. The minimum energy of the oxygen vacancy defect occurs at different values of the lattice configurational

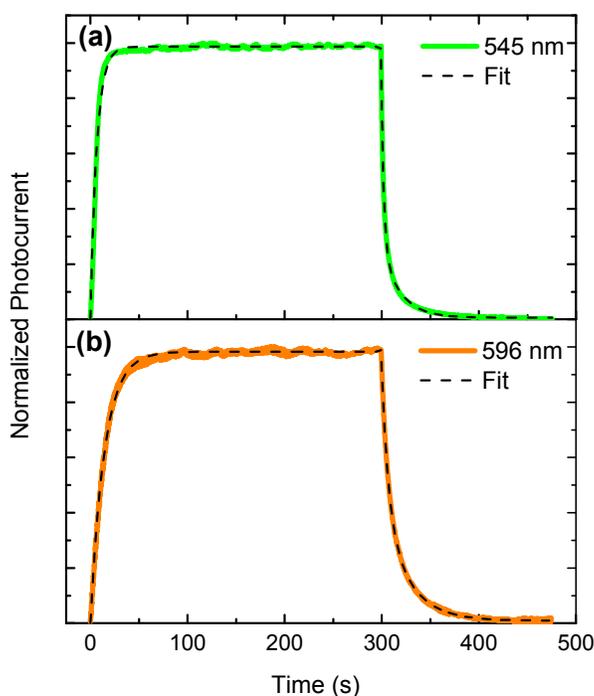
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4 coordinate for the  $V_O^0$  and  $V_O^+$  states. The electron is transferred from the  $V_O^0$  state to a nearby  
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6 ionised donor according to equation 4. Two discrete donors,  $D1$  and  $D2$ , account for the PC peak  
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8 at 550 and shoulder at 596 nm respectively. The ionized  $V_O^+$  state then relaxes by lattice  
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10 deformation to its equilibrium position.  
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19 We now discuss a model to explain PC at the two discrete wavelengths of 550 nm and 596 nm  
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21 below the band-gap of ZnO. We assume that electron transfer comes from the  $V_O^0$  defect,  
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23 because of the good match of its energy to theory and the non-stoichiometric Zn and O ratio of  
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25 the NPs, as shown by XPS. However a similar model would be relevant for the  $V_{Zn}^{2-}$  defect. The  
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27 model is illustrated in Figure 5. PC arises by electron exchange between the vacancy and two  
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29 local and independent donors as follows:  
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46 The subscript in the photon energy term refers to the peak wavelength of each of the two  
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48 transitions. The photon energy matches the energy difference between that of the neutral vacancy  
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50 and the ionized shallow donor  $D^+$ , which gains an electron. First principle calculations show  
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52 that the  $V_O^+$  state is thermodynamically unstable.<sup>40,43,39</sup> However, as illustrated in the  
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54 configuration coordinate diagram of Figure 5, it is stabilized by lattice interaction (i.e.  
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displacement of nearest neighbor Zn atoms from their equilibrium positions) into a metastable state, the minimum energy of which occurs at a completely different lattice coordinate to that of the  $V_O^0$  state. This means that there is a significant activation barrier for decay of the metastable  $V_O^+$  state to reform the  $V_O^0$  state. Interestingly, electron paramagnetic resonance and Hall experiments with ZnO show the presence of two distinct shallow donors, one of which is associated with hydrogen.<sup>44</sup>



**Figure 6.** Normalised photocurrent as a function of time in response to incident light pulse at (a) 545 nm and (b) 596 nm and length 300s. Both wavelengths have the same intensity of  $0.52 \pm 0.03 \text{ mW cm}^{-2}$ . The rising photocurrent part of the transients is fitted to the monoexponential function given in equation 5. The decay part of both curves is fitted to a biexponential function given in equation 6.

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3 Let us now consider the temporal response to support the existence of two independent donors,  
4 *D1* and *D2*. As discussed above, it is generally assumed that photocurrent in ZnO is carried by  
5 excess electrons. Persistent photocurrent transients in ZnO have long time constants, which can  
6 vary from seconds to hours, depending on the lifetime of the excess electrons.<sup>33,36,45,46</sup> Electrons  
7 can decay by recombination, trapping or by electrochemical reactions with surface groups or  
8 atmospheric species. The transients are mostly non-exponential because different traps have  
9 different trapping times and saturation effects. Figure 6 shows the normalized temporal response  
10 of the photocurrent at (a) 545 nm and (b) 596 nm in response to an optical pulse of length 300 s.  
11 The rising transient of the current is well described by a single exponential, as shown by the  
12 fitted lines in Figure 6 using the following equation:  
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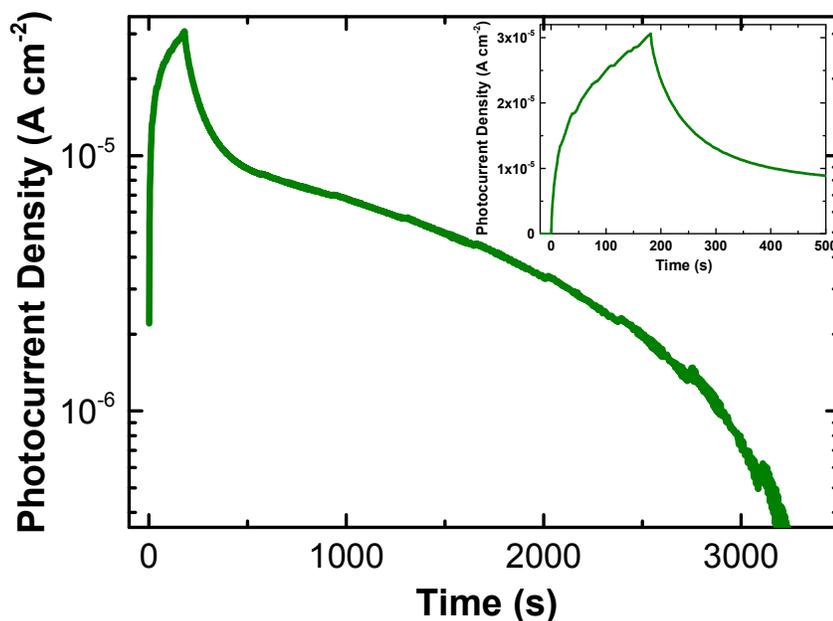
$$J(t) = J_0 + A_1 \left[ 1 - \exp\left(-\frac{t}{\tau_1}\right) \right] \quad (5)$$

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31 The time constant  $\tau_1$  is 6.5 s and 14.5 s for the transitions at 545 nm and 596 nm respectively.  
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33 The mono-exponential fits, with a different time constant for each transition, indicates that  
34 electron transfer occurs by a single but different route in each case.  
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$$J(t) = J_0 + A_1 \exp\left(-\frac{t}{\tau_1}\right) + A_2 \exp\left(-\frac{t}{\tau_2}\right) \quad (6)$$

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43 The time constant is a measure of the lifetime of the transferred electron. Unlike the mono-  
44 exponential rising transient, a double exponential function is required to fit the decay curve at  
45 both 545 nm and 596 nm. This indicates that the carrier lifetime is different in the dark than in  
46 the presence of light, suggesting that photochemical reactions remove electrons. At 545 nm, the  
47 time constants are  $\tau_1 = 2.5$  s and  $\tau_2 = 18.8$  s respectively, whilst they are longer,  $\tau_1 = 6.6$  s and  
48  $\tau_2 = 25.6$  s, for excitation at 596 nm. The two time constants for each wavelength of excitation  
49 show that there are at least two independent decay mechanisms. One of these decay times may  
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3 reflect the time taken for transfer from the dopant to the conduction band, which would be longer  
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5 for the deeper dopant  $D_2$ , and its subsequent decay time. The second time constant may indicate  
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7 the timescale of surface reactions at the dopant site. The visible PC decay transient measured at  
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9 532 nm in a nitrogen environment is non-exponential. At short times ( $< 500$  s) only, its decay  
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11 can be approximated by equation 6 with two distinct timescales,  $\tau_1=17.7$  s and  $\tau_2=96.3$  s  
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13 (calculated over the same temporal range as in Figure 6) both much longer than those obtained  
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15 with measurement in air, see Figure 7. This suggests that the removal of electrons from the  
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17 neutral dopant and/or conduction band in air involves reaction with an atmospheric species, such  
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19 as oxygen, water or carbon dioxide. The increase in response time when measuring in nitrogen  
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21 compared to air also happens with ZnO UV photoconductors. For example, high quality ZnO  
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23 nanorods show relatively long UV current recovery times of 2.5 min in the presence of air and 6  
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25 min in pure nitrogen. <sup>47</sup>  
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3 **Figure 7.** Semi-log plot of photocurrent from air annealed ZnO photoconductor tested in  
4 nitrogen. The sample was excited at a wavelength of 532 nm for duration of 180 s. The linear  
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6 plot in the inset is taken over the same temporal range as in Figure 6.  
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### 10 11 12 13 *3.4. Variations of defect/dopant densities with processing conditions*

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17 We found large variations in the magnitude of the PC at 545 nm depending on device  
18 processing, storage conditions and storage environment. Here we attribute these variations to the  
19 density of defects/dopants and correlate these measurements to changes in the FTIR  
20 spectroscopic transitions of surface species in the ZnO thin films.  
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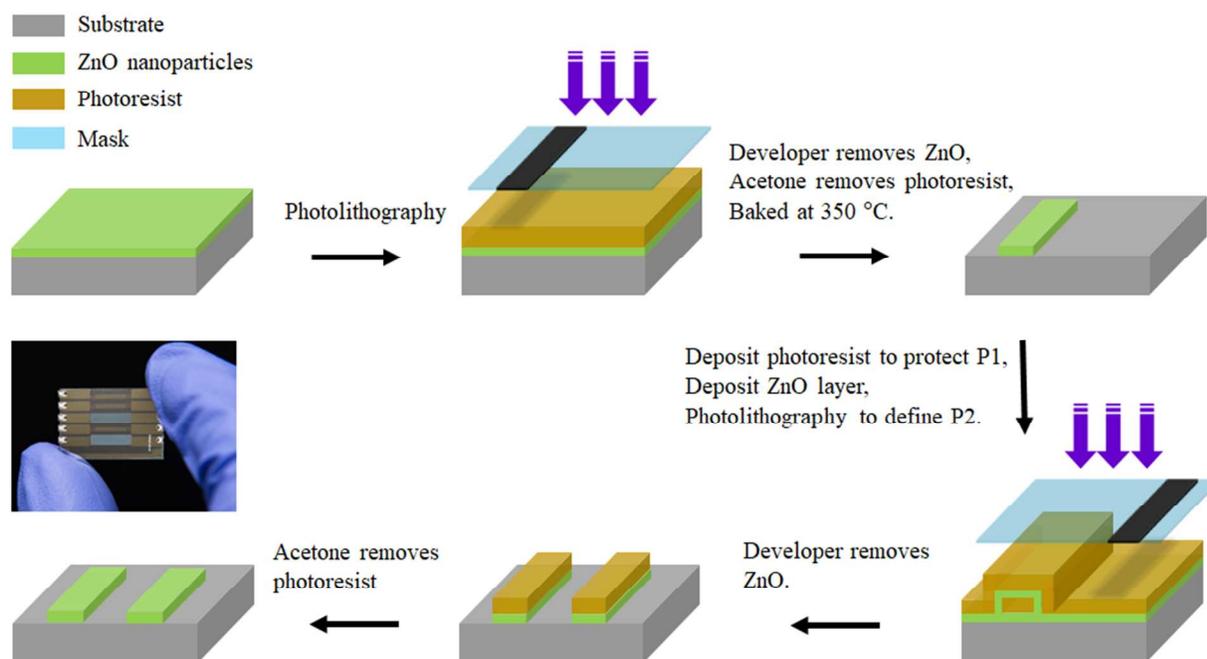
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3 Figure 8(a) shows the dependence of the PC peak at 550 nm on annealing temperature and  
4 atmosphere. The highest photocurrent was observed following annealing in air at 350 °C for 2  
5 hours. However annealing at the same temperature in a nitrogen environment significantly  
6 reduces the visible light conductivity. A correlation of these observations with FTIR results  
7 given in Figure 3 suggest that there is enhanced PC from samples where the precursor acetate  
8 groups are efficiently removed. Possibly the removal of the acetate ligands creates oxygen  
9 vacancies thus increasing the density of defect states,  $V_O^0$ . Alternatively, or even simultaneously,  
10 the oxidising annealing environment may remove traps, which reduce the electron lifetime.  
11 Figure 8(b) shows that storage in air after annealing enhances photoconductivity, but very little  
12 enhancement is observed on storage in nitrogen. For the former, the signal increases by  $\approx 25$  with  
13 storage before saturation. There are also significant differences between the FTIR spectroscopic  
14 C=O and C-O signals at  $1565\text{ cm}^{-1}$ ,  $1419$  and  $1454\text{ cm}^{-1}$  on storage in air (Figure 3(b)) and  
15 nitrogen (Figure 3(c)), both following annealing in air. The intensity of these signals is  
16 approximately doubled on storage in air but hardly changes when stored in nitrogen. This is  
17 consistent with the capture of  $\text{CO}_2$  from air, as discussed above. It may be a coincidence that  
18 enhanced photoconductivity is associated with carbonate formation. Alternatively it may suggest  
19 that shallow donor states local to the vacancies are associated with the adsorbed carbonates. A  
20 possible model for this is now discussed. Vacant oxygen interstitial surface sites (VOISSs) exist  
21 at the surface of ZnO NPs in addition to the neutral oxygen vacancy defect,  $V_O^0$ . However the  
22 former defect is not charged in its neutral state.<sup>48</sup> It is suggested that photo-excitation can result  
23 in electron transfer from  $V_O^0$  to VOISS.<sup>48</sup> This is a similar reaction to that given in equation 4  
24 with VOISS as  $D^+$  and  $(\text{VOISS})^-$  as  $D^0$ . Furthermore, it is suggested that  $(\text{VOISS})^-$  facilitates  
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3 the adsorption of CO<sub>2</sub> in the first step of methanol synthesis, so it may be stabilized here by the  
4 capture of free CO<sub>2</sub> to form the adsorbed carbonate CO<sub>2</sub><sup>-</sup>. A careful examination of the FTIR  
5 spectra before and after long storage in air, see Figure S3, shows the development of transitions  
6 from neutral CO<sub>2</sub> at 673 cm<sup>-1</sup>, 2332 cm<sup>-1</sup> and 2362 cm<sup>-1</sup> after storage.<sup>49,50</sup> We do not believe  
7 that water and oxygen in the atmospheric air are responsible for the narrow- band PC of ZnO  
8 NPs in the green. Water, for instance, is found to increase the electrical conductivity of ZnO by  
9 the protonic conduction.<sup>51</sup> On the other hand, it is well known that oxygen is associated with PC  
10 of ZnO NPs in the UV, particularly when the surface to volume ratio is high<sup>52,53</sup>. We show in a  
11 forthcoming publication that PC in the UV also changes in its intensity with storing, but the  
12 changes do not correlate with those of the green signal, shown in Figure 8(b). Hence narrowband  
13 PC in the green is not associated with oxygen.  
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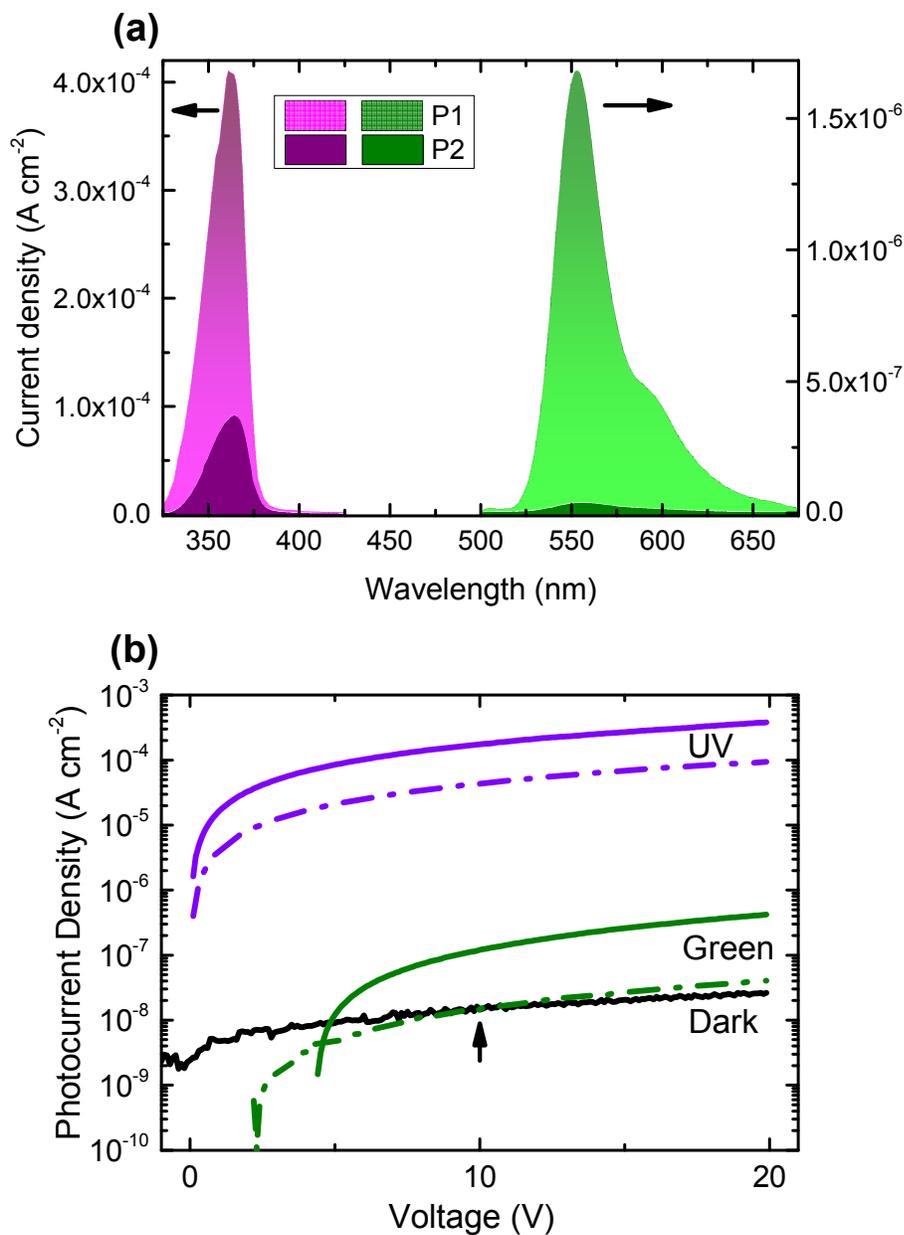
### 3.5. Pixelated dual wavelength detector

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35 We now present a low-cost and scalable approach to the fabrication of pixelated ZnO thin film,  
36 with different pixels showing different spectral responses. A two pixel device is produced where  
37 one pixel shows both a UV and green photoresponse, whilst the green response is suppressed in  
38 the second pixel. A photolithographic process using the positive photoresist AZ5214  
39 (Microchemicals GmbH) is used to define the pixels. ZnO is insoluble in the solvent, acetone,  
40 which dissolves the photoresist. The photoresist developer dissolves both the exposed photoresist  
41 and ZnO. Figure 9 illustrates the key device processing steps. A solution-processed thin film of  
42 ZnO on pre-patterned ITO coated electrode is processed photolithographically to define a single  
43 pixel P1. This is annealed in air at 350 °C to optimise the efficiency of PC in the green spectral  
44 region. A similar photolithography step is used to mask P1 with an overlying photoresist layer  
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3 before spin-casting a second thin film of ZnO over the complete substrate. The second pixel is  
4 photolithographically patterned and developed. The substrate is finally washed with acetone to  
5 remove the photoresist overlying P1 and P2. A full description of all the fabrication steps is  
6 given in the supporting information.  
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43 **Figure 9.** Key steps in processing a two pixel device, where the left-hand pixel 1, P1, is  
44 optimised for green light photodetection. An image of the two pixel device is also shown.  
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**Figure 10.** Photocurrent from two pixels on the same substrate. The pale and darkly shaded areas show data from pixels P1 and P2 respectively. (a) Photocurrent spectrum showing UV and green signals. (b) Photocurrent versus voltage for both pixels. The arrow indicates the voltage at which the green signal equals the dark current in P2. Note the dark current is smaller than that shown in Figure 4(b), because of differences in the processing conditions.

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Figure 10 (a) shows the spectrum from both pixels excited under the same conditions. P1 has the greater current density in the UV and green. The UV current density is decreased by a factor of 4.5 in P2 compared to P1, whilst the green PC in P2 is a factor of 44 less than that of P1. Figure 10 (b) shows that the photocurrent can drop below the dark current. This may result from photocatalytic reactions which remove groups, such as adsorbed  $-OH$ , which contribute to the dark current, so that the dark current is lowered for some time after photoexcitation. Figure 10 (b) also shows that the voltage to the device can be adjusted, here to below about 10 V as indicated by the arrow in the figure, so that the green photocurrent drops to a value below the dark current. Under these circumstances, P1 can act as a dual wavelength photoconductor whilst P2 only detects the UV light. Note that the current density in the UV is over two orders of magnitude greater than that of the green. They can be equalised by coating the device with a UV absorbing thin film.

#### 4. Conclusion

Normally sub-band gap PC extends over a broad spectral range and is attributed to optical transitions between defect states and the conduction or valence band. Here we introduce a model involving electron transfer between deep defect states and discrete shallow donors to account for the unusually spectrally sharp PC observed in the green spectral region of ZnO nanocrystalline photoconductors. The PC is enhanced by annealing and storing the photoconductor in air and the enhancement is correlated to the surface capture of carbon dioxide. We exploit this control of PC to make low-cost dual wavelength photodetectors. The green PC response can be spatially patterned by multi-step photolithography so that dual (ultraviolet and green) and single (ultraviolet only) wavelength detecting pixels can be produced on the same substrate. Hence, a

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3 2D detector array can be fabricated with a spatial response which varies with wavelength. This is  
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5 interesting for security and imaging applications. A similar approach could be used for full  
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7 colour imaging, where gold or aluminium NP-doped ZnO pixels could be used to obtain blue and  
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9 red PC via plasmonic effects.<sup>54,55</sup>  
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### 16 **Acknowledgements**

17  
18 The Authors gratefully acknowledge the Iraqi Ministry of Higher Education and Scientific  
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20 Research for partly funding this work. The EPSRC is also thanked for partial funding under grant  
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### 26 **Supporting information**

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28 XRD of ZnO NPs, Current transient in the visible and UV, FTIR spectra of ZnO sample after  
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30 storing in air for 15 days and full photolithography steps followed to pixelate the photodetector  
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32 device.  
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## Table of Contents graphic

$$V_O^0 + D^+ + hv_{green} = V_O^+ + D^0$$

