TITLE:

Electrophysiological study of the Violence Inhibition Mechanism in relation to callousunemotional and aggressive traits

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Highlights:

- Uncaring traits were associated with poorer face processing (N170)
- Physical aggression was associated with poorer motor extinction (Stop-P300)
- Anger-specific N170 augmentation might be a function of task priming

1 ABSTRACT

2	The violence inhibition mechanism (VIM) proposes that observing another's distress
3	inhibits responses that can lead to violent behaviour. Dysfunction of this system is
4	associated with disorders characterised by aggressive and callous-unemotional
5	traits, such as psychopathy. This study examines electrophysiological indices of face
6	processing and motor extinction, in the context of aggressive and callous-
7	unemotional traits. Fifty-four participants completed the inventory of callous and
8	unemotional traits, the aggression questionnaire, and a Facial Affect Stop-Go task
9	whereby facial distress was used as stop signals. Uncaring traits inversely
10	associated with N170 amplitude across all facial expressions and aggressive traits
11	inversely associated with Stop-P300 amplitude to facial distress. The N170 and
12	Stop-P300 might provide useful electrophysiological markers for deficits across face
13	processing and motor extinction stages of the VIM, respectively.
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15	Keywords: distress processing; healthy adults; motor extinction; electrophysiology;
16	aggression; callous-unemotional traits
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1 INTRODUCTION

Robert Stelmack's work has improved understanding of the biological bases of
personality, and how individual variation might be reliably indexed through
electrophysiology. Noteworthy, is his work evidencing differences in cortical activity
between introverts and extroverts (Stelmack, 1990); a personality spectrum
associated with both pro- and anti-social behaviours. The current investigation
delineates electrophysiological variation as a function of aggression and
psychopathy-related traits.

The ability to suppress a planned or ongoing motor response in reaction to socially relevant information is important for adaptive behaviour (Huster et al., 2014). The violence inhibition mechanism (VIM) (Blair, 1995, 2001) comprises at least two stages of affect perception (including empathy) and motor extinction, whereby organisms typically learn to modulate aggression through perceiving expressions of distress in others. Dysfunction of this system is implicated in the development of psychopathy, and reflected through callous, unemotional, and uncaring (CU) traits (Blair, 1995, 2001).

CU and aggressive traits are associated with atypical categorisation of, and
responses to, facial distress (Marsh & Blair, 2008; Seidel et al., 2013; Wilson et al.,
2011). Although inconsistent findings exist (Eisenbarth et al., 2008; Glass &
Newman, 2006), meta-analysis supports the presence of face processing deficits in
affective psychopathy not specific to expressions of distress nor explained fully by
aggressive behaviour (Dawel et al., 2012).

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In regards to motor extinction proficiency, deficits in VIM-related executive function (e.g. error monitoring, response inhibition) have been reported in violent offenders (Vilà-Balló et al. 2014) and in association with trait aggression (Pawliczek et al. 2013). However, to our knowledge, no investigation has used facial affect as an inhibitory stimulus. Such research is important to better understand the motor extinction stage of the VIM.

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To establish processing deficits across the aforementioned stages of the VIM, precise temporal measurements are required. Electroencephalography (EEG) reflects gross post-synaptic cortical activity of neuronal clusters with high temporal resolution, and offers a useful tool for distinguishing the time-course of neurological responses elicited during face processing (Gow et al., 2013) and motor extinction (Sumich et al., 2008). Time-locked EEG, to stimulus presentation or behavioural response, is termed the event-related potential (ERP).

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Face stimuli elicit several well-documented ERPs including the N170 (150-200 ms post-stimulus) and P300 (300-500 ms post-stimulus). The N170 is best observed over temporo-parietal sites and is typically larger over the right hemisphere (Hinojosa et al., 2015). The N170 purportedly represents the conjoined processing of face identity and expression, and larger N170 amplitudes have been observed to angry and fearful (but not sad) faces, possibly as a function of their biological significance and representation of potential threat (Hinojosa et al., 2015).

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74 N170 attenuation (lower amplitudes) has been observed in non-clinical cohorts 75 presenting low emotional expressivity (Meaux et al., 2014) and high fearless 76 dominance (Almeida et al., 2014); arguably linked to uncaring traits and a lack of 77 concern for oneself and others (Kimonis et al., 2013). In contrast, N170 78 augmentation (larger amplitudes) has been related to cold-heartedness, possibly 79 indicating a need for greater cortical effort when processing facial affect (Almeida et 80 al., 2014). Cold-heartedness is associated with callousness (i.e. disregard for the 81 feelings of others) and unemotional (i.e. blunted affect) traits (Patrick, 2010). Thus, 82 qualitatively different CU traits might differentially modulate the N170. Whilst no 83 difference in N170 amplitude has been reported in antisocial personality disorder 84 (Eisenbarth et al., 2013; Pfabigan et al., 2012), measurement of the N170 in these 85 studies was from atypical electrode sites and so direct comparison with findings of 86 other studies is limited. Accordingly, the relationship between N170 responses to 87 facial affect and individual differences in aggression (investigated in regards to face 88 processing but not on an electrophysiological level) and CU traits remains unclear.

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The P300 is associated with attention orientation and stimulus evaluation (Polich,
2007). Lower P300 amplitudes are considered a common characteristic across
externalising behaviours (e.g. alcohol and drug dependence, antisocial behaviour;
Hicks et al., 2007), and have been associated with reactive aggression in offender
(Barratt et al., 1997; Bernat et al., 2007) and non-offender (Bartholow et al., 2006;
Gerstle et al., 1998) cohorts.

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97 During tasks that require inhibiting a motor response, the P300 is characterised by 98 an anterior topography thought to reflect inhibitory control and motor extinction 99 efficiency (Kok et al., 2004). Lower P300 amplitudes evoked during response 100 inhibition have been reported in relation to delinguency (Meier et al., 2012) and in 101 individuals with psychopathic traits (Kim & Jung, 2014). However, one investigation 102 reported larger inhibitory P300 amplitude in violent offenders (Munro et al., 2007), a 103 finding in line with intact (Patrick, 2008) or even augmented (Carlson & Thái, 2010; 104 Flor et al., 2002) P300 responses, evoked to non-inhibitory stimuli, in relation to CU 105 traits.

106

The current study investigates face processing and motor extinction stages of the VIM using a distress-cued motor extinction task in relation to CU traits and physical aggression. Specifically, N170 responses to facial stimuli and P300 responses to stop signals cued by facial expressions of distress (fear, sadness) were investigated. N170 amplitude was hypothesised to be [1] larger to fearful and angry, but not sad and neutral facial stimuli, and show [2] a positive association with callous and

113 unemotional traits, but [3] an inverse relationship with uncaring and aggressive traits.

114 Stop-P300 amplitude was hypothesised to be [4] inversely associated with

115 aggressive and CU traits.

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117 2 METHODS

118 2.1 Participants

119 Fifty-four psychology students (aged 19.06 ± 1.25 years, 61% female) provided

120 written informed consent. No participants reported psychiatric disorders or

121 medication that might affect electrophysiology. Participants were compensated for

122 their time with research credits.

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124 2.2 Measures

125 2.2.1 Aggression

The Aggression Questionnaire version 2 (AQ-2) (Buss & Warren, 2000) measures
the propensity to aggress and comprises five subscales: physical aggression, verbal

aggression, anger, hostility, and indirect aggression. The current investigation

reports on physical aggression (Cronbach's α = .80). Following standard scoring

130 procedures, scores were transformed according to age and sex. Higher scores

131 indicate greater aggression.

132

133 2.2.2 CU traits

134 The Inventory of Callous–Unemotional Traits (ICU) (Frick, 2003) measures the

135 occurrence and intensity of CU traits and comprises three subscales: callousness,

136 uncaring, and unemotional. Higher scores indicate greater CU traits.

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138 2.2.3 The Facial Affect Stop-Go Task (FAST)

139 The FAST (Figure 1) was created by the researchers and presented in two blocks using OpenSesame v3.0 (Mathôt et al., 2012). Stimuli consisted of neutral, angry, 140 141 sad, fearful, and surprised expressions (open mouths) from 17 identities (IDs 01, 03, 142 05, 06, 07, 08, 09, 10, 20, 21, 23, 25, 26, 32, 34, 35, 36; MacBrain NimStim Face 143 Stimulus Set [Tottenham et al., 2009]). Each block began with a 4000 ms lead-in, 144 followed by 136 trials. Each trial involved the presentation of a pair of facial stimuli 145 (800 ms; 100 ms jitter) separated by black screen (160 ms; 40 ms jitter), with a red 146 fixation cross presented between trials (1800 ms; 200 ms jitter) (see Figure 1). Facial 147 stimuli pairings were divided across Background (Fear-Fear; Sad-Sad; Neutral-Sad; Neutral-Fear), Go (Anger-Anger; Anger-Surprise), and Stop (Anger-Fear; Anger-148 149 Sad) conditions, with each pairing presented 17 times per block. Participants were 150 instructed to move their right index finger from a red key to an adjacent green in 151 response to go stimuli (angry faces [stimulus 1]); but to interrupt their response and 152 return their finger to the red key, following stop stimuli (i.e. sad/fearful faces [stimulus 153 2]). No response was required if stimulus one was not an angry face. Twenty 154 practice trials were delivered using two additional identities (IDs 13, 38).

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156 2.3 Electrophysiological acquisition, signal processing and analysis

EEG was recorded using an active-electrode, 64-channel Active-Two acquisition
system and ActiView v.6.05 software (BioSemi, Amsterdam, Netherlands), sampled
at 2048 Hz and digitised at 24-bits. An average reference was calculated online.
Impedance was maintained below 5 kΩ.

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162 Vertical and horizontal electrooculography artefacts were corrected (Jung et al., 163 2000). A band-pass filter of .01–35 Hz and a notch filter of 50 Hz were applied. Trials 164 were baseline corrected before averaging (-200 ms). The N170 was average-165 referenced to avoid ERP attenuation at temporo-parietal sites (Joyce & Rossion, 166 2005) and the P300 was re-referenced to linked mastoids to minimise spatial 167 distortion (Luck, 2005). Average temporo-parietal (left: P7, PO7, O1, PO3 - right: P8, PO8, O2, PO4) N170 responses (130 to 200 ms) were recorded for each emotion 168 169 (background stimuli). Average bilateral and midline anterior (F5, Fz, F6) P300 170 responses (300 to 450 ms) were measured to stop stimuli (successful trials only). 171 Following artefact rejection, the average number of accepted stop trials was 22 and 172 26 for fearful and sad stimuli, respectively.

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174 Analyses of variance (ANOVA) were computed for the N170 and P300 using

175 emotion (N170 [anger, fear, sadness, neutral]; P300 [fear, sadness]) and

176 *hemisphere* (N170 [left, right]; P300 [left, midline, right]) as within-subjects factors.

177 Hemisphere was included to better characterise the ERP across emotion. Interaction

178 effects were computed. *Post hoc t*-tests delineated statistically significant

179 interactions. Pearson correlations were computed between ERP amplitude (across

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181 physical aggression). Hochberg correction accounted for multiple comparisons.

182 Additive inverse values were used for the N170.

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184 3 RESULTS

- 185 Means and standard deviations for psychometric measures were: *physical*
- 186 aggression (52.98 [*t*-transformed] ± 8.61), callousness (5.98 ± 2.97), uncaring (8.00

187 \pm 3.33), and *unemotional* (8.16 \pm 3.06).

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189 3.1 Behavioural Analysis

190 Motor extinction success (successfully extinguishing a go response following a stop

signal) was greater for sad (77.29 \pm 15.94 %) than fearful (64.87 \pm 16.48 %) stimuli (*t*

192 [53] = 6.37, p < .001, padj < .001), and stop reaction times were shorter to sad

193 (763.29 \pm 203.10 ms) than fearful (822.13 \pm 230.08 ms) stimuli (*t* [53] = 4.20, *p* <

194 .001, *padj* < .001).

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196 Uncaring traits negatively associated with successful motor extinction to fearful faces

197 (r = -.341, p = .012, padj = .18) and positively associated with stop reaction times to

198 fearful (r = .281, p = .039, padj = .507) and sad (r = .365, p = .007, padj = .112)

199 faces. No correlation survived multiple comparison correction.

200

201 3.2 ANOVA

202 3.2.1 N170

N170 amplitude (2.10 \pm 3.16 μ V) varied with *emotion* after correcting degrees of 203 204 freedom using Greenhouse-Geisser sphericity estimates ($\varepsilon = .74$, F[2.23, 118.03] = 5.76, p = .003, $\eta_p^2 = .10$). N170 amplitudes were larger to angry (1.69 ± 2.79 μ V) 205 than fearful (2.11 ± 2.81 μ V; t [53] = 2.51, p = .02), sad (2.21 ± 2.92 μ V; t [53] = 3.00, 206 207 p < .01), and neutral stimuli (2.40 ± 2.55 µV; t [53] = 5.76, p < .001). N170 amplitude 208 did not vary between fearful and sad, fearful and neutral, or sad and neutral stimuli. 209 Although the N170 appeared larger over the right hemisphere (Figure 2), neither a 210 main effect of hemisphere nor interaction between hemisphere and emotion was 211 observed.

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213 3.2.2 P300

Four participants were excluded from P300 analysis as they failed to stop on more than 50% of trials ([fear: 28.68 ± 6.06 %]; [sad: 41.91 ± 16.35 %]).

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217 P300 amplitude varied with *hemisphere* after correcting degrees of freedom using Greenhouse-Geisser sphericity estimates ($\epsilon = .86$, F[1.71, 83.97] = 11.64, p < .01, 218 η_{p}^{2} = .19). P300 amplitudes were larger over the midline (1.42 ± 2.33 µV) than left (-219 220 $.91 \pm 2.97 \,\mu\text{V}; t \,[49] = 4.33, \, p < .001$) or right (-.93 $\pm 3.12 \,\mu\text{V}; t \,[49] = 5.05, \, p < .001$) 221 hemispheres (Figure 3). P300 amplitude varied with emotion (F[1, 49] = 10.21, p =.002, $\eta_p^2 = .17$), with larger amplitudes to sad (.43 ± 1.74 µV) than fearful stimuli (-.72 222 223 $\pm 2.39 \,\mu\text{V}$; t [49] = 3.21, p < .01). There was no interaction between hemisphere and *emotion* (F [1, 49] = 1.49, p = .24, η_p^2 = .06). 224

226 3.3 Pearson Correlations: ERP

227 The left N170 response to sad (r = -.47, p < .001, padj < .001) and neutral (r = -.37, p 228 = .007, *padj* = .049) expressions exhibited negative, moderately strong correlations 229 with *uncaring* traits (Figure 4). Similar correlations were observed to fearful (r = -.28, 230 p = .038, padj = .19) and angry expressions (r = .29, p = .032, padj = .19) but did not 231 survive multiple comparison correction. Partial correlations confirmed an inverse 232 association between the left N170 response to sad (r = -.43, p = .002) and neutral (r= -.33, p = .02) expressions and uncaring scores after controlling for age, sex, and 233 234 aggressive, callousness, and unemotional traits.

235

Physical aggression shared a moderately strong, negative correlation with P300 responses to facial distress at anterior midline sites (fear [r = -.54, p < .001, padj =.001], sad [r = -.58, p < .001, padj < .001]) (Figure 5). Partial correlations confirmed these associations (fear [r = -.56, p < .001] sad [r = -.62, p < .001]) after controlling for age, sex, and CU traits. No CU traits correlated with Stop-P300 responses.

242 4 DISCUSSION

Electrophysiological indices of affect perception and motor extinction stages of the VIM were investigated in relation to CU traits and physical aggression. N170 amplitude was largest to angry expressions. Stop-P300 amplitude was larger in response to sad, relative to fearful, expressions. Uncaring traits were associated with lower left N170 amplitude, especially to sad and neutral expressions. Physical

aggression was associated with lower Stop-P300 amplitude to fearful and sadexpressions.

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251 4.1 Relationships between ERPs and personality traits

252 Inverse relationships between uncaring traits and left N170 amplitude across 253 emotion concurs with deficient face processing in individuals characterised by 254 affective malfunction after controlling for aggressive traits (Dawel et al., 2012). 255 Furthermore, it corresponds with inverse associations reported between N170 256 amplitude and poor emotional sensitivity/expressivity (Meaux et al., 2014) and 257 fearless dominance (Almeida et al., 2014). Whilst these traits relate to uncaring 258 and/or unemotional facets (Kimonis et al., 2013), we are first to show a specific 259 association between uncaring traits and the N170.

260

Neither unemotional nor callous traits associated with N170 amplitude. Previously,
positive associations have been evidenced between cold-heartedness (akin to
callousness) and N170 responses to angry expressions (Almeida et al., 2014). In this
investigation, participants attended to facial affect, yet participants in Almeida et al.
responded to non-face targets. Thus, inconsistent findings might reflect task-specific
voluntary attention (Holmes et al., 2003).

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Although previous investigations document comparable P300 responses between individuals with and without CU traits (Patrick, 2008), P300 attenuation has been observed during inhibitory tasks (Kim & Jung, 2014). However, no CU trait associated with Stop-P300 amplitude in this investigation. Disparity could be

explained by variation in task (NoGo vs. Stop-Go), stimuli (geometric shapes vs.
faces), and/or assay of CU traits.

274

275 As expected, Stop-P300 responses to facial distress inversely associated with 276 physical aggression. Therefore, when prompted by facial distress, individuals 277 reporting higher trait physical aggression might exhibit executive functioning and 278 inhibitory control deficits and be less efficient at engaging motor extinction 279 mechanisms (Kok et al., 2004). Results are in line with VIM theory and findings of 280 attenuated anterior P300 responses to target (Bartholow et al., 2006; Bernat et al., 281 2007; Gerstle et al., 1998) and NoGo stimuli (Krakowski et al., 2016; Vilà-Balló et al., 282 2014). Though findings were not supported by behavioural data, this might be a 283 function of recruiting a non-clinical sample and requires further investigation. 284 Nevertheless, our study contributes to understanding of the impact of aggression on

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287 4.2 Electrophysiological responses to facial affect

the P300 across task modality and population.

Anger-specific N170 augmentation is consistent with larger N170 responses to

schematic angry faces (Eger et al., 2003; Krombholz et al., 2007), but contrasts fear-

evoked N170 augmentation reported elsewhere (Batty & Taylor, 2003; Blau et al.,

2007; Shannon et al., 2013). Fear and anger are thought to communicate important

social information requiring an immediate reaction (Hinojosa et al., 2015), for

example threat and so N170 augmentation likely reflects orientation of attention

towards such stimuli.

295

296 As the FAST requires responses to angry expressions, anger-specific N170 297 augmentation might reflect response priming and anger-directed attention (Holmes 298 et al., 2003). Previously, N170 augmentation to anger has been observed in 299 investigations where priming was used (Eger et al., 2003; Krombholz et al., 2007), 300 but not in those where it was not (Boucsein et al., 2001; Holmes et al., 2003). To 301 better understand the effect of emotion-specific task instructions on the N170, 302 current findings should be compared with those evoked to [1] comparable stimuli 303 during passive tasks, and [2] a FAST whereby go and stop facial stimuli are varied.

304

305 With regard to motor extinction, this is the first investigation to characterise the facial-306 distress-cued Stop-P300. Here, Stop-P300 responses to distress were shown to be 307 larger over the anterior midline - consistent with similar tasks using non-facial stimuli 308 (Baumeister et al., 2014; Fallgatter et al., 2005; Kok et al., 2004). Moreover, Stop-309 P300 responses were larger to sad than fearful expressions, which are typically 310 thought to share similar processing networks (Adolphs & Tranel, 2004). Combined 311 with shorter reaction times to sad expressions, attenuated P300 responses to fearful 312 expressions may reflect ambiguity and increased difficulty during fear processing (Elfenbein & Ambady, 2002; Stockdale et al., 2015). 313

314

315 4.3 Study limitations and future directions

Although angry and distressed facial stimuli were chosen to best index the VIM, it is necessary to compare P300 responses to non-distress expressions. To regard the FAST as a valid index of the VIM; P300 responses to facial distress should be

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319 greater than that to other expressions. Second, the FAST currently only presents 320 facial distress, and not distress signalled through auditory signals or body postures. 321 Characterising VIM-relevant electrophysiological responses as a function of stimulus 322 modality would increase utility of the FAST. Third, this experiment sampled students, 323 restricting variability of trait responses. Access to clinical samples would facilitate 324 comparison of high- and low-scoring subgroups in order to negate the limitations of 325 correlational analysis (i.e. inability to infer causation, between-subject variation). 326 Finally, as a consideration of statistical power, this investigation only indexed 327 aggressive traits through physical aggression due to its relationship with reactive 328 aggression (Buss & Warren, 2000). Future investigations should investigate 329 relationships between other manifestations of aggression (e.g. verbal, indirect 330 aggression) and associated traits (e.g. anger, hostility) and electrophysiological 331 indices of the VIM.

332

333 5 CONCLUSION

334 In conclusion, findings support the importance of distinguishing between CU and 335 aggressive traits when testing the VIM and extend this knowledge by showing 336 associations between uncaring traits and vulnerability for poor responses to distress-337 related social cues, and aggressive traits with deficits in distress-cued motor 338 extinction. Moreover, findings are in line with Stelmack's idea that 339 psychophysiological measurement of cortical activity can provide a useful tool for 340 better understanding individual differences in personality, with variation in 341 electrophysiology as a function of aggression and psychopathy-related traits 342 observed here. Future studies should seek to validate the FAST as an index of the

343	VIM and compare findings observed here to those observed in populations
344	characterised by extreme physical aggression/CU traits. Reported results have
345	importance for using electrophysiological indices as a potential marker of face
346	processing and motor extinction efficacy.
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348	Conflict of Interest
349	None declared.
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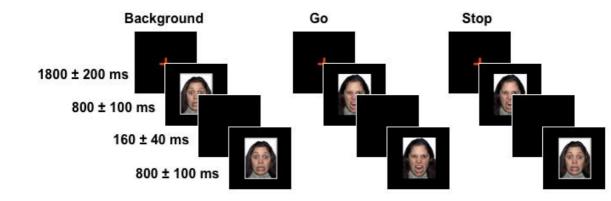
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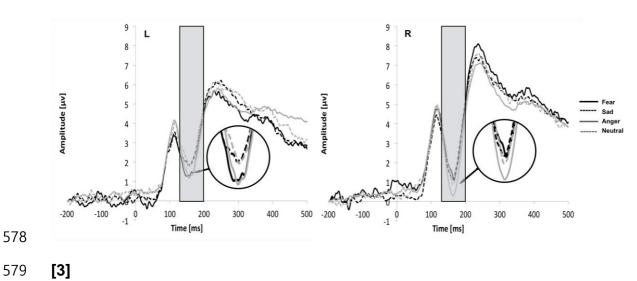
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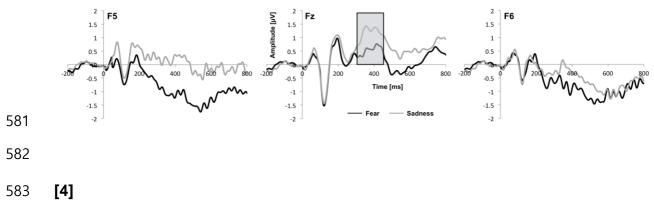
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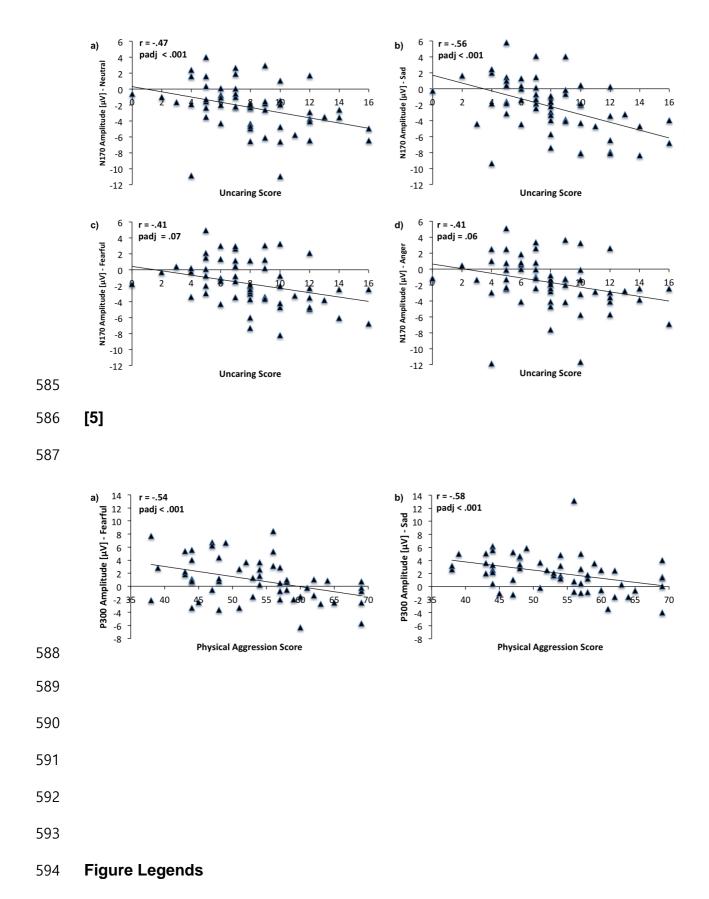












595 Figure 1

596 Facial Affect Stop-Go task (FAST). Participants responded to infrequent go (angry) stimuli among

- 597 frequent background (neutral, fearful, or sad) stimuli (800 ± 100 ms) by moving their finger from a red
- to a green button after the go stimulus had expired. Facial stimuli were followed by a black screen
- lasting 120 to 200 ms. Afterwards, participants were either presented with an [1] angry or surprised
- 600 facial stimulus, indicating the go response should be completed, or a [2] fearful or sad facial stimulus,
- indicating the go response should be extinguished by returning their finger to the red button withoutpressing the green button.
- 603

604 Figure 2

Grand average ERPs to angry (target) and fearful, sad, and neutral (background) facial stimuli with
N170 enlarged. Left ERP is an average of P07, P7, O1, and T7 electrodes. Right ERP is an average
of P08, P8, O2, and T8 electrodes. ERPs are representative of EEG signal referenced to averaged
electrodes. Shaded area denotes 130 to 200 ms time window where mean N170 amplitude was
measured.

610

611 Figure 3

612 Grand average ERPs to fearful and sad (stop) facial stimuli from three electrodes (F5, Fz, and F6).

613 ERPs are representative of EEG signal referenced to averaged mastoids. Shaded area denotes 300

- to 450 ms time window where mean midline P300 amplitude was measured.
- 615

616 Figure 4

- 617 Scatter plots of left hemispheric N170 amplitude (μV) to a) neutral, b) sad, c) fearful, and d) angry
- 618 facial expressions against uncaring trait scores. Pearson correlations.
- 619

620 Figure 5

- $621 \qquad \text{Scatter plot of anterior midline P300 amplitude (} \mu V \text{) to a) fearful and b) sad facial expressions against}$
- 622 physical aggression trait scores. Pearson correlations.

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