



**Abstract**17  
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In vision, humans have the ability to mentally ‘tag’ approximately four objects, allowing us to monitor, attend, and interact with them. As a consequence, we can rapidly and accurately enumerate up to four objects – a process known as subitizing. Here, we investigate whether a similar ability exists for tagging auditory stimuli and find that only two or three auditory stimuli can be enumerated with high accuracy. We assess whether this high accuracy indicates the existence of an auditory subitizing mechanism, and if it is influenced by factors known to influence visual subitizing. Based on accuracy, Experiments 1 and 2 reveal a potential auditory subitizing mechanism only when stimuli are spatially separated, as is the case for visual subitizing. Experiment 3 failed to show any evidence of auditory subitizing when objects were separated in time, rather than space. All three experiments provide only limited evidence for an age-related decline in auditory enumeration of small numbers of objects. This suggests that poor auditory tagging does not contribute significantly to older adults’ difficulties in multi-talker conversations. We hypothesize that although auditory subitizing might occur, it is restricted to approximately two spatially-separated objects due to the difficulty of parsing the auditory scene into its constituent parts.

*Keywords:* auditory, enumeration, subitizing, aging, location

**Public Significance Statement**

This study provides initial evidence for an early ‘tagging’ mechanism that allows people to mentally ‘tag’ multiple sounds in the environment for later processing. Tagging was only possible when sounds were spatially separated, as is the case with visual tagging. Older adults showed similar tagging to young adults, suggesting that this ability does not decline with age and is thus unlikely to contribute to older adults’ difficulties in multi-talker conversations.

## 43 Can Auditory Objects be Subitized?

44 To what extent can we detect and tag multiple objects in the environment? This  
45 question has been answered extensively for the visual modality, but we have much less  
46 knowledge regarding our awareness of multiple auditory objects. For over a hundred years,  
47 since the pioneering work of Jevons (1871), vision researchers have investigated our rapid  
48 and potentially preattentive tagging of key objects within a visual scene ('subitizing';  
49 Kaufman, Lord, Reese, & Volkman, 1949). Such work has addressed how we can  
50 individuate identical visual objects, track them over time, and understand their relative spatial  
51 locations (Pylyshyn, 1989). The wealth of vision research that has probed this question,  
52 including studies of subitizing and multiple object tracking, underlines its importance to  
53 visual perception as a whole. Yet we know almost nothing about tagging multiple auditory  
54 objects.

55 Research into awareness of multiple visual objects has demonstrated that we can  
56 'tag', and enumerate, approximately four objects, in parallel (Pylyshyn, 1989; Trick &  
57 Pylyshyn, 1993, 1994; but see Olivers & Watson, 2008). These tags, or indexes, provide  
58 information about the location of the objects relative to each other and to ourselves, and also  
59 provide a link to those objects to allow individual attentional processing of each item  
60 (Pylyshyn, 1989, 2001). The ability to simultaneously tag a limited number of items provides  
61 many adaptive core and fundamental functions such as allowing us to coordinate and move a  
62 limited focus of attention between several identical visual objects or features, determine  
63 spatial relationships between items, and coordinate our eye movements (Pylyshyn, 1989).  
64 One striking consequence of this tagging system is that, by assigning tags, it is possible to  
65 track up to four moving target objects amid an array of identical moving distractor objects  
66 (Pylyshyn & Storm, 1988). Theoretically, a tagging system such as this should also prove  
67 beneficial in the auditory domain, in which assigning tags to different sound sources (e.g.,

68 different talkers, car alarm, radio) could help us to monitor those sound sources over time and  
69 to direct attention to (and switch attention between) the sound sources of interest.

70 A further consequence of this visual tagging system is that approximately four visual  
71 objects can be enumerated ('subitized') quickly and accurately (Jevons, 1871; Kaufman et al.,  
72 1949) by assigning and determining how many of the tags are currently bound to items  
73 (Pylyshyn, 1989; Trick & Pylyshyn, 1994). Because the number of tags is limited to  
74 approximately four, subitization is also limited to four items. In contrast, enumerating more  
75 than four visual objects (typically called counting) requires the disengagement and re-  
76 assignment of tags which is more error prone, and results in a relatively large increase in time  
77 for each additional item that has to be enumerated (Trick & Pylyshyn, 1994). Complementing  
78 the behavioral data, neuroimaging and neuropsychological evidence suggests that rapid visual  
79 subitizing and 'serial' enumeration beyond the subitizing range (counting) involve separate  
80 cortical mechanisms (Demeyere et al., 2010, 2014). In terms of parsing visual input, some  
81 obvious applied benefits of visual subitizing include allowing us to recognize large numbers  
82 quickly (e.g., 1000000) if the digits are organized into groups of three (1,000,000).

83 In the present work, we test whether there exists a similar subitizing system for  
84 auditory objects. In Experiments 1 and 2, an 'object' is loosely defined as a coherent auditory  
85 stream arising from a single source, such as bird song, piano music, someone speaking, or a  
86 car alarm (Griffiths & Warren, 2004; Kubovy & van Valkenburg, 2001; see below for a more  
87 detailed discussion of auditory object formation). In Experiment 3, the auditory objects are  
88 sequentially presented pure tones and frequency-modulated tones. As in the visual domain,  
89 the ability to rapidly assign individual tags to auditory objects would allow those objects to  
90 be subitized, facilitate directing attention to those of interest, and provide an index to monitor  
91 future changes.

92

### 93 **Age-Related Declines in Visual and Auditory Tagging**

94           In all three experiments, we ask whether there is an age-related deficit in auditory  
95 tagging, which might underlie older adults' difficulties in listening situations that are  
96 attentionally demanding. Older adults in particular find it difficult to listen amid competing  
97 speech or noise, due to age-related declines in auditory perception and cognition (Roberts &  
98 Allen, 2016; Schneider et al., 2002). Older adults also report difficulties in multi-talker  
99 conversations, such as missing the start of what each new talker is saying, and these  
100 difficulties are linked to their feelings of handicap, even when taking into account any  
101 hearing loss (Gatehouse & Noble, 2004).

102           In addition to establishing the limits of auditory enumeration, we also examine  
103 whether impaired awareness and tagging of multiple auditory objects might contribute to the  
104 difficulties that older adults experience in multi-talker conversations. In simple visual  
105 enumeration tasks, older adults are slower overall than young adults, but they have a similar  
106 subitizing span and similar response-time slopes (ms per item) in both the subitizing and  
107 counting ranges (Watson, Maylor, Allen, & Bruce, 2007; Watson, Maylor, & Bruce, 2005a;  
108 Watson, Maylor, & Manson, 2002). An age-related deficit in visual subitizing emerges only  
109 when targets must be enumerated among distractors. Under these conditions, in contrast to  
110 young adults, older adults are unable to subitize targets (Watson et al., 2002), particularly  
111 when the targets and distractors are perceptually similar (Watson et al., 2007). This is likely  
112 to be due to older adults' impaired visual attention abilities. Deficits in visual attention  
113 processes and/or increased system noise would mean that representations of targets and  
114 distractors may not be clearly differentiated. As a consequence, older adults would be less  
115 able to apply multiple visual tags in parallel, and would instead have to apply tags in a  
116 spatially serial manner (Watson et al., 2007).

117           Auditory perception and cognition are also impaired in old age (Schneider et al.,  
118 2002), making it difficult for older adults to segregate a target auditory stream from distractor  
119 streams (Ben-David et al., 2012; Ezzatian et al., 2015). This could well impact on older  
120 adults' ability to subitize auditory objects irrespective of whether or not irrelevant distractor  
121 sounds are also present. Weller, Best, Buchholz, and Young (2016) found that older, hearing  
122 impaired adults had difficulty enumerating more than two auditory sources, but they did not  
123 study the effects of older age per se, independent of hearing impairment. Here we focus on  
124 older adults with normal hearing or mild hearing impairment only.

### 125 **The Role of Perceptual Organization**

126           There are two key requisites that allow visual objects to be rapidly tagged, and  
127 therefore subitized. The first is that they must be spatially separated (Pylyshyn, 1989;  
128 Watson, Maylor & Bruce, 2005b). For example, the number of shapes present in a scene  
129 cannot be subitized if they are placed in a concentric arrangement (Saltzman & Garner, 1948;  
130 Trick & Pylyshyn, 1993). Similarly, subitizing of visual properties that do not belong to  
131 unique objects (e.g., how many colors are present in a scene) is severely limited to  
132 approximately two different features. This may indicate that a scene is parsed preattentively  
133 into a foreground color and background colors, and that the background colors are not further  
134 segmented (Watson et al., 2005b). This distinction between space-based and feature-based  
135 visual subitizing reflects the critical role of spatial location in the visual system, from coding  
136 at the retina and in early visual cortex through to visual object formation and selection  
137 (Kubovy & van Valkenburg, 2001; Lamy & Tsal, 2000).

138           The auditory system, on the other hand, is primarily focused on spectral and temporal  
139 information. Concurrent sounds enter the ear together and are initially coded according to  
140 frequency. A process of auditory scene analysis (Bregman, 1990) is then necessary to  
141 integrate frequency components associated with a single sound source (e.g., one person's

142 voice) and segregate them from different sound sources. The auditory system uses various  
143 spectral and temporal cues to achieve this object formation (and segregation), including  
144 common time-course, onset and offset times, pitch, and harmonicity. Spatial location does not  
145 facilitate individual object formation, but can be useful for streaming and attending to objects  
146 over time (Shinn-Cunningham, 2008). Auditory objects are therefore primarily formed and  
147 selected on the basis of their spectrotemporal profile (Griffiths & Warren, 2004; Kubovy &  
148 van Valkenburg, 2001; Shinn-Cunningham, 2008), but there can be some benefit from  
149 spatially separating target sounds from distractors (Freyman et al., 2001; Hawley et al.,  
150 2004). In Experiments 1 and 2 of the present work, in addition to the central question of  
151 whether or not sounds can be subitized we also assess whether spatial separation is necessary,  
152 or even beneficial, to auditory tagging and subitizing. In Experiment 3, we consider the role  
153 of temporal separation in the auditory task, and examine enumeration of sequentially  
154 presented auditory objects.

155         The second requisite for efficient visual tagging and subitizing is that it must be  
156 possible to identify the target objects without using focal attention (Trick & Pylyshyn, 1993).  
157 For example, it is possible to subitize target letter Os amid distractor Xs, but not target Os  
158 amid distractor Qs (Trick & Pylyshyn, 1993). The need for targets to be identifiable  
159 preattentively could prove to be a limiting factor for tagging concurrent auditory stimuli. In  
160 audition, all sounds in the environment enter the ear together, and the auditory system has the  
161 non-trivial task of segregating the incoming sounds into their constituent streams (Bregman,  
162 1990). Whereas low-level perceptual grouping is likely to occur preattentively, organizing  
163 those sounds into coherent streams over time appears to require attention (Carlyon et al.,  
164 2001; Cusack et al., 2004; but cf. Macken et al., 2003; Sussman et al., 2007).

165         Cusack et al. (2004) presented multiple auditory streams to their participants and  
166 found that the data were consistent with a ‘hierarchical decomposition’ model. According to

167 this model, participants are initially aware of broad categories of the sounds currently in the  
168 environment (e.g., music, speech, traffic), but they only have access to sub-streams (e.g.,  
169 guitar, drums, singers) when focal attention is directed toward that specific stream (in this  
170 case, the music). It is likely that several factors will determine the number of streams  
171 available at the highest level of the hierarchy, including frequency separation (Brochard et al.,  
172 1999; Cusack et al., 2004), stimulus intensity (Botte et al., 1997), and top-down cognition  
173 such as attention (Dowling et al., 1987). The hierarchical decomposition model suggests a  
174 slightly more elaborate scene analysis than the simple foreground/background distinction  
175 proposed for feature-based visual subitizing (Watson et al., 2005b), implying that more than  
176 two concurrent sounds might be identifiable preattentively. It is also possible for listeners to  
177 be aware of the number of auditory objects (sounds or sound sources) in the environment  
178 without segregating each individual stream. In the example above, recognizing the sounds of  
179 a guitar and a drum would provide evidence of two auditory objects without it being  
180 necessary to perceptually segregate those streams.

### 181 **Auditory Enumeration**

182 Few previous studies have investigated the enumeration of concurrent auditory  
183 stimuli. Two studies have suggested that concurrent auditory stimuli cannot be subitized, and  
184 that even counting accuracy is poor for two or more stimuli (McLachlan et al., 2012; Thurlow  
185 & Rawlings, 1959). However, in both of these studies it is not clear whether the limiting  
186 factor was participants' ability to enumerate the objects, or simply to segregate the objects,  
187 which were pure tones (Thurlow & Rawlings, 1959) and harmonic complexes (McLachlan et  
188 al., 2012). More recent studies (Kawashima & Sato, 2015; Vitevitch & Siew, 2016; Weller et  
189 al., 2016; Zhong & Yost, 2017) investigated enumeration of concurrent talkers and found that  
190 only between three and five talkers could be accurately counted (with accuracy of more than  
191 50%). Although Kawashima and Sato's (2015) work did not consider auditory subitizing,





217 averaging over five frequencies (250, 500, 1000, 2000 and 4000 Hz) for the better ear. The  
218 average threshold was then used to determine the impact of mild hearing impairment on  
219 auditory enumeration.

220 In all three experiments we tested 20 young participants. This sample size was based  
221 on our earlier research that indicated that 18 participants would give a strong test of feature  
222 versus object-based visual subitizing (Watson et al., 2005b) and Kawashima and Sato's  
223 (2015) research that showed that 12 participants were sufficient to detect differences in  
224 counting accuracy when auditory stimuli were presented from the same or different locations.  
225 Watson et al. (2007) found that a sample of 20 young and 20 older adults was sufficient to  
226 detect age-related differences in subitizing ability when targets were presented amid  
227 distractors. We initially recruited a larger sample ( $n = 30$ ) to allow older participants with  
228 severe age-related hearing loss to be excluded. However, we found that we were able to  
229 recruit older adults with comparatively good hearing and so recruited only 20 older  
230 participants in Experiment 2 (conducted after Experiments 1 and 3).

231 One young and one older adult participated in both Experiments 1 and 2; one young  
232 and three older adults participated in Experiments 2 and 3; two young and seven older adults  
233 participated in Experiments 1 and 3.

234 Ethical approval was granted by the University of Warwick's Humanities and Social  
235 Sciences Research Ethics Committee. All participants gave written, informed consent. Young  
236 participants received £6 compensation; older participants received £10 inconvenience  
237 allowance plus travel expenses.

### 238 **Stimuli and Apparatus**

239 All experiments were conducted in sound-attenuated testing booths at the University  
240 of Warwick. Stimuli were presented via Sennheiser HD518 headphones at comfortable  
241 volume levels. In Experiments 1 and 2, the stimuli were 10-second clips of eight distinctive

242 sounds taken from Eramudugolla et al. (2005). The sounds were hens clucking, Gregorian  
243 chant, piano solo, cello solo, male horse-race commentator (English), female news reader  
244 (Hindi), police siren, and alarm-clock ring, with equalized RMS sound pressure levels. Each  
245 sound clip was 5-s in duration and was immediately repeated once, to create 10-s clips.

## 246 **Procedure**

247 In all three experiments, participants were familiarized with the stimuli and then  
248 completed a short practice session before beginning the experimental trials. Participants  
249 pressed the space bar to initiate each trial, in response to an instruction screen (“Press the  
250 space bar to continue”). The screen went immediately blank and the sounds were played after  
251 a 1-s delay. The task was always to decide how many sounds were present. When participants  
252 believed they knew the answer, they pressed the space bar. The sounds then stopped and the  
253 question “How many?” appeared on screen. The participant entered their response by  
254 pressing a number on the keypad. On-screen feedback indicated accuracy and the correct  
255 number of sounds (e.g., “Correct! There were 2 sounds.”). Feedback was presented for 800  
256 ms and was followed by a 1-s blank screen before the instruction screen appeared for the next  
257 trial. Participants were instructed to respond with the space bar as quickly and accurately as  
258 possible. Response times (RTs) were calculated as the time from sound onset to the space bar  
259 being pressed to ensure that RTs were not affected by the time taken to find the correct  
260 response key (see Watson et al., 2002, for a discussion of this method).

261 Older participants additionally completed the Speech, Spatial and Qualities of  
262 Hearing questionnaire (SSQ; Gatehouse & Noble, 2004). This contains 14 questions  
263 regarding the participants’ speech perception in different situations (Speech), 17 questions  
264 about their ability to localize sounds (Spatial), and 18 questions relating to the quality of the  
265 sounds that they hear (Qualities). Each question is answered by marking a point on a line  
266 anchored between 0 (no ability) and 10 (perfect ability). An example Speech question is:

267 “You are in a group of about five people in a busy restaurant. You can see everyone else in  
268 the group. Can you follow the conversation?” (response line anchored with 0 ‘not at all’ and  
269 10 ‘perfectly’).

## 270 **Data Analysis**

271 Accuracy and RT data were entered into analyses of variance (ANOVAs). RTs were  
272 included for correct trials only, and excluded if they were more than three *SDs* above the  
273 participant’s mean for that cell of the design. When there was only one correct RT for a  
274 condition/numerosity, it was included if it fell within three *SDs* of the participant’s overall  
275 mean on correct trials. These exclusion rules led to the removal of less than 1% of the RT  
276 data. Where Mauchley’s test of sphericity indicated that sphericity could not be assumed, a  
277 Greenhouse-Geisser correction was applied. This is indicated by non-integer degrees of  
278 freedom. Estimated effect sizes are indicated by partial eta squared values ( $\eta^2_p$ ).

## 279 **Experiment 1**

280 In Experiment 1, we investigated young and older adults’ ability to correctly  
281 enumerate concurrent auditory clips that varied in their spectrotemporal profile. We looked  
282 for evidence of auditory subitizing when stimuli were presented at the same location, and we  
283 additionally tested whether the first requisite of visual subitizing – that targets must be  
284 spatially separated – also applies to the auditory domain.

## 285 **Method**

286 **Participants.** Participants were 20 young adults (7 male, mean age 21 years, range  
287 18-29) and 30 older adults (10 male, mean age 72 years, range 63-84). For the older  
288 participants, better-ear averages were 20 dB HL or below for 19 participants and between 20  
289 and 40 dB HL for 11 participants, indicating a mild hearing loss (BSA guidelines, 2011).  
290 Young adults had an average BEA of 4.5 dB HL whereas older adults with normal hearing  
291 had an average BEA of 15.4 dB HL. All but one of the older participants had approximately

292 symmetric thresholds (10 dB HL or less between the average for each ear). The remaining  
293 participant had an asymmetry of 24 dB HL.

294       **Stimuli and apparatus.** On each trial, between one and six sounds were presented  
295 simultaneously. Interaural time differences (ITDs) were used to lateralize the sounds to eight  
296 different locations, from approximately 90° to the left to 90° to the right (+/- 590, 454, 272  
297 and 91  $\mu$ s; exact lateralization depends on head size). Sounds lateralized using ITDs appear to  
298 arise from locations along an imaginary line between the two ears. In the ‘different locations’  
299 condition, the stimuli were presented from up to six of the eight locations (selected at  
300 random, with each stimulus occupying a different location). In the ‘same location’ condition,  
301 one of the eight locations was selected at random and all sounds originated from that location.

302       **Procedure.** Participants were initially played a 5-s clip of each sound with an  
303 accompanying label on screen (e.g., ‘piano solo’). They were then played the sounds again  
304 and asked to name them (with any plausible name accepted), to ensure that they were familiar  
305 with the identity of all stimuli.

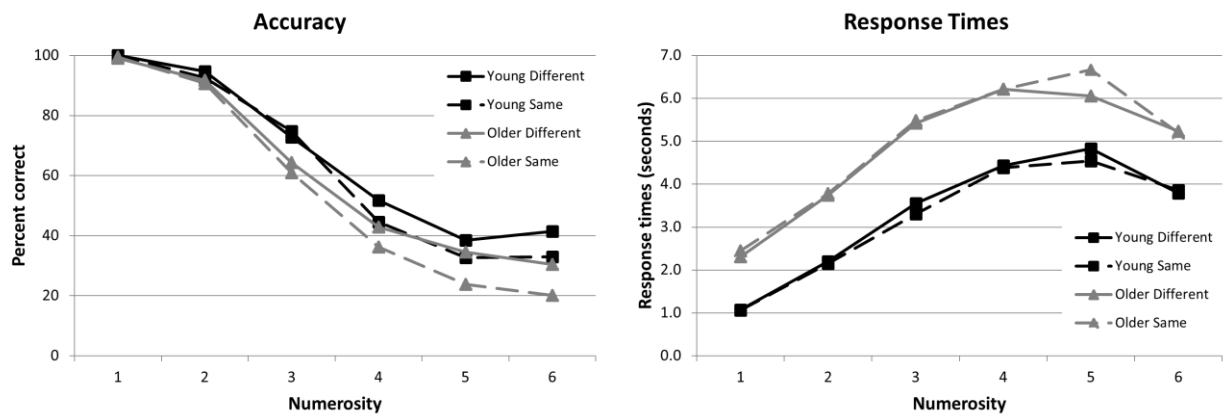
306       Participants first completed 12 practice trials (two trials for each numerosity). The  
307 experiment then comprised eight blocks of 30 trials (5 trials for each of the 6 numerosities, in  
308 random order). The blocks alternated between the ‘different location’ (four blocks) and ‘same  
309 location’ (four blocks) conditions, with the initial condition counterbalanced across  
310 participants.

## 311 **Results**

312       Accuracy (proportion correct) and mean RTs on correct trials were entered into mixed  
313 analyses of variance (ANOVAs) including age group (young, older), location (same,  
314 different), and numerosity (1 to 6). See Figure 1 for accuracy and RT data.

315

316 *Figure 1.* Accuracy and response times in Experiment 1, for each numerosity (1 to 6 auditory  
 317 objects), for young (black) and older (gray) participants, and when sounds were lateralized to  
 318 different locations using interaural timing differences (solid lines) or from the same location  
 319 (dashed lines).



320

321

322 Participants became less accurate as numerosity increased,  $F(2.7, 128.9) = 340.19, p$   
 323  $< .001, \eta^2_p = .876$ , and were less accurate when the sounds came from the same location,  $F(1,$   
 324  $48) = 24.66, p < .001, \eta^2_p = .339$ . There was also an interaction between numerosity and  
 325 location,  $F(3.5, 168.5) = 4.64, p = .002, \eta^2_p = .088$ . Paired  $t$ -tests with a Bonferroni correction  
 326 for multiple comparisons (critical  $p = .008$ ) showed that presenting the sounds from different  
 327 locations improved enumeration for between 4 and 6 auditory objects, but not for smaller  
 328 numbers of auditory objects ( $t(49) = -1.00, 1.43, 0.61, 3.33, \text{ and } 3.72$ , for 1 - 6 sounds,  
 329 respectively,  $p = .32, .16, .54, .002, .002, \text{ and } .001$ ).

330 Older adults were significantly less accurate overall,  $F(1, 48) = 16.17, p < .001, \eta^2_p =$   
 331  $.252$ , but age group did not interact significantly with numerosity or location (all  $ps > .1$ ).

332 Results from the ANOVA on the RT data showed a similar pattern to the accuracy  
 333 data: there was slowing with older age,  $F(1, 41) = 8.68, p = .005, \eta^2_p = .18$ , and increasing  
 334 numerosity,  $F(1.6, 63.6) = 73.16, p < .001, \eta^2_p = .64$ . Although older participants were slower

335 overall this did not interact with numerosity,  $F < 1$ . There was no significant effect of  
336 location, no interaction between numerosity and location, and no three-way interaction  
337 between numerosity, location and age (all  $ps > .1$ ).

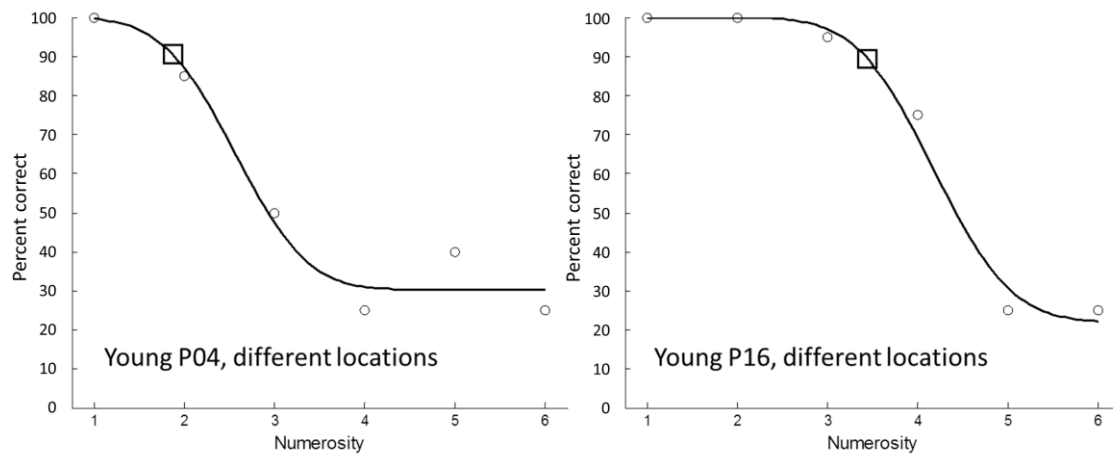
338 **Subitizing span.** The maximum number of items that can be subitized is often  
339 estimated in visual studies by fitting a bilinear function to the RT or accuracy data. The  
340 subitizing span is then indicated by the flex point between the relatively flat subitizing slope  
341 and the steeper counting slope. Because auditory enumeration was especially poor with larger  
342 numbers of items, it does not produce a linear counting slope. Instead, as can be seen in  
343 Figure 1, the accuracy data form a sigmoid even when the largest numerosity is removed to  
344 prevent any potential influence of ‘end’ effects (Mandler & Shebo, 1982; Trick & Pylyshyn,  
345 1994; Watson & Humphreys, 1999).

346 To estimate a subitizing span, we therefore used Psignifit 3.0 (Fründ et al., 2011) in  
347 Matlab (The Mathworks: Natick, MA) to fit a sigmoidal (Gaussian) function to the accuracy  
348 data from all six numerosities (see Figure 2 for examples). For two young and three older  
349 participants we obtained a bad fit to the data (observed deviance outside the 95% confidence  
350 interval derived from bootstrapping with 1000 samples). These participants were removed  
351 from the following analyses. We then calculated the point of maximum curvature in the left-  
352 hand section of the function (constrained to  $\geq 0$  objects), to estimate an upper limit for the  
353 subitizing span. The average results across participants are shown in Table 1. Note that a non-  
354 integer subitizing span would indicate that a subitizing mechanism is used on a proportion of  
355 trials with the higher integer numerosity (e.g., a subitizing span of 2.5 might suggest that  
356 participants are able to subitize two items on every trial, and three items on half the trials).

357

358

359 *Figure 2.* Example individual data from Experiment 1. Plots show individual participants'  
360 accuracy at each numerosity (open circles), the fitted Gaussian function (solid line), and the  
361 point of maximum curvature (open square). Participant 4 (left plot) has an estimated  
362 subitizing span of 1.9; Participant 16 (right plot) has an estimated subitizing span of 3.4.



363

364

365 Plots of the RT data showed clearly linear slopes for numerosities between 1 and 4  
366 (see Figure 1). Nonetheless, for completeness we also fit the sigmoid function to the RT data.  
367 In some conditions, at some numerosities, participants failed to make any correct responses.  
368 Due to these missing data, functions could only be fitted to RT data from 23 of the older  
369 adults. There was also a poor fit for three young adults and one older adult. For the remaining  
370 participants, estimated 'subitizing spans' based on RTs were less than two in all conditions  
371 (see Table 1).

372

373



374 Table 1

375 *Average Subitizing Spans Estimated from the Point of Maximum Curvature of a Gaussian*376 *Function Fitted to the Accuracy and Response-Time Data from Experiment 1*

| Age   | Condition | Subitizing span    |                    |
|-------|-----------|--------------------|--------------------|
|       |           | Accuracy           | Response Times     |
| Young | Different | 2.56 (2.33 – 2.80) | 1.36 (1.03 – 1.69) |
| Young | Same      | 2.71 (2.50 – 2.92) | 1.34 (1.01 – 1.68) |
| Older | Different | 2.38 (2.19 – 2.58) | 1.09 (0.80 – 1.37) |
| Older | Same      | 2.29 (2.11 – 2.46) | 1.24 (0.97 – 1.56) |

377 *Note.* 95% confidence intervals are shown in parentheses.

378

379 **Direct comparison of linear and nonlinear functions.** In visual enumeration  
380 studies, evidence for separate subitizing and counting mechanisms often comes from fitting  
381 linear and bilinear functions to the data and assessing which provides the better fit. If a  
382 bilinear function fits the data better than a linear function, this provides evidence consistent  
383 with the existence of two separate enumeration mechanisms (subitizing and counting).

384 In the auditory enumeration task, this approach is complicated by the limit on the  
385 number of auditory objects that can be enumerated accurately, which leads to an asymptote in  
386 the data after approximately four or five auditory objects. Therefore, in order to compare the  
387 sigmoidal and linear functions, we fitted linear functions to the first four data points, in  
388 addition to the sigmoid functions described above. We then calculated the residual sum of  
389 squares (RSS) for the linear and sigmoidal functions over those four data points, for each  
390 individual participant and experimental condition, to determine which function provided the  
391 best fit. If the sigmoid provided a better fit, this would be suggestive of an auditory subitizing  
392 mechanism. Comparison of goodness of fit was evaluated using Akaike Information Criterion

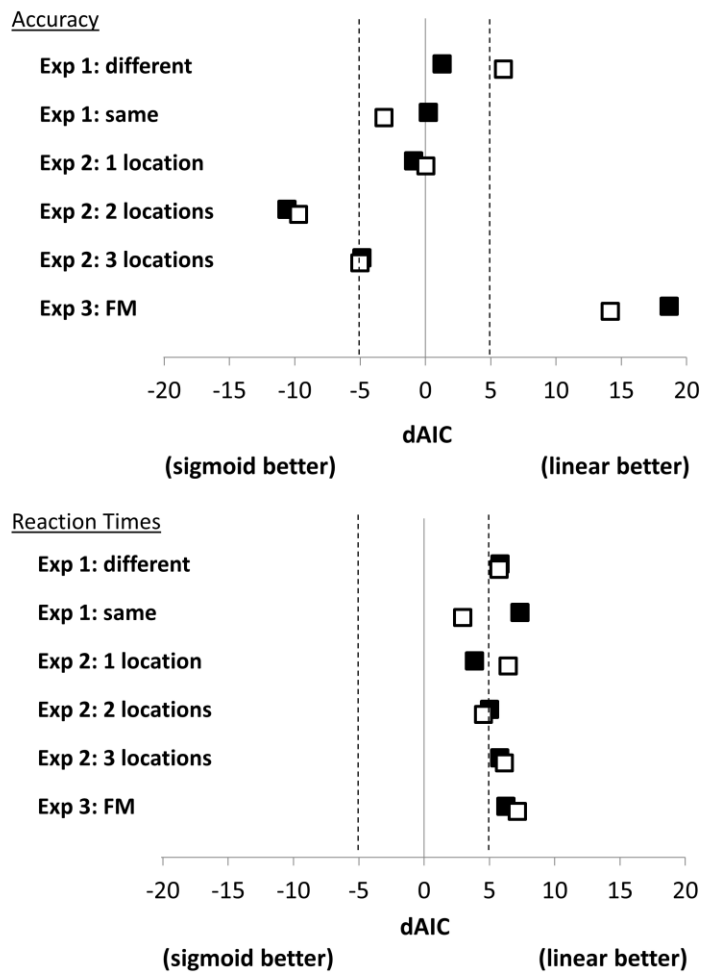
393 (AIC) to control for differences in the number of parameters in the linear and sigmoidal  
394 functions. Note that this approach is somewhat conservative: if participants can subitize four  
395 auditory objects then the linear function will provide an excellent fit to the data, despite the  
396 existence of a subitizing mechanism.

397         Figure 3 shows the mean sigmoidal-linear AIC difference (dAIC) across participants  
398 in each experiment, age group, and condition, for the accuracy and RT data. A dAIC of 0  
399 indicates that the linear and sigmoidal functions provide a similar fit to the data. A dAIC of  
400 less than -5 would provide reasonably strong evidence that the sigmoid provides a better fit  
401 than the linear function, whereas a dAIC of more than 5 would indicate that the linear  
402 function is superior (Baguley, 2012). The result of this analysis shows that the sigmoid does  
403 not provide a better fit than the linear function in any of the conditions in Experiment 1.  
404 Therefore there is no evidence that participants are using an auditory subitizing mechanism in  
405 Experiment 1.

406

407

408 *Figure 3.* Comparison of the linear and sigmoid functions, for the accuracy and response-time  
 409 data. Residuals were compared for the first four data points, taking into account the number  
 410 of parameters (Akaike Information Criterion; AIC). The difference between the AIC values  
 411 (dAIC: sigmoidal minus linear) is plotted, for all conditions and experiments. Filled squares:  
 412 young participants; white squares: older participants.



413

414

415 **Effect of audiometric hearing status.** Data from the older adults were entered into  
 416 an ANOVA with hearing status (normal/mild impairment) as a between-participants factor  
 417 and numerosity and location as within-participants factors. There was no significant effect of  
 418 hearing status,  $F(1, 28) = 2.31, p = .140, \eta^2_p = .08$ , and no significant interactions involving  
 419 hearing status (all  $ps > .1$ ).

**420 Summary**

421 Participants were able to enumerate approximately two auditory objects with high  
422 accuracy (> 90%), indicating worse enumeration accuracy than is found with visual objects.  
423 Older adults were slower and less accurate overall, but this did not worsen with increasing  
424 numbers of objects.

425 Lateralizing the auditory objects to different locations using ITDs improved  
426 enumeration of larger numbers of auditory objects slightly (four to six), but did not influence  
427 the enumeration of smaller numbers of auditory objects. Audiometric hearing thresholds did  
428 not influence older adults' enumeration accuracy.

**429 Experiment 2**

430 In Experiment 2 we investigated further the effect of spatial separation on auditory  
431 enumeration. Unlike the visual system, auditory information is not processed in spatiotopic  
432 maps in the cortex. The location of auditory stimuli is calculated based on differences in the  
433 arrival time and level of the signal at the two ears (interaural time differences (ITDs) and  
434 interaural level differences (ILDs)), and spectral changes introduced by the head and external  
435 ears. Recent evidence suggests that auditory localization can be based on the relative  
436 activation within three spatial channels: left, midline and right (Briley et al., 2016). In  
437 Experiment 1, stimuli were separated using ITDs only. However, effects of spatial attention  
438 can be stronger when ILDs are also present, as this enables attention to be directed toward a  
439 particular spatial channel (Roberts et al., 2009). In Experiment 2 we tested the hypothesis that  
440 auditory stimuli can be subitized only if they fall within separate spatial channels. We  
441 presented between one and five concurrent sound clips (using the same sound clips as in  
442 Experiment 1), lateralized to different locations using generic head-related transfer functions  
443 (HRTFs) (Gardner & Martin, 1994). HRTFs include ITDs and ILDs, as well as spectral cues  
444 introduced by the head and external ears. Stimuli were either presented to one spatial location

445 (90° left, midline, or 90° right), two locations (left and midline, left and right, or midline and  
446 right) or three locations (left, midline and right). Each location (left, midline, right)  
447 corresponds to a spatial channel (Briley et al., 2016).

#### 448 **Method**

449 **Participants.** Participants were 20 young adults (7 male, mean age 24 years, range  
450 19-30) and 20 older adults (8 male, mean age 76 years, range 67-87). For the older  
451 participants, better-ear averages over five frequencies were below 20 dB HL for 10  
452 participants, between 20 and 40 dB HL for nine participants indicating a mild hearing loss,  
453 and 43 dB HL for one participant, indicating a moderate hearing loss. Young adults had an  
454 average BEA of 6.0 dB HL whereas older adults with normal hearing had an average BEA of  
455 13.9 dB HL. All but six of the older participants had approximately symmetric thresholds ( $\leq$   
456 10 dB HL difference). Three had asymmetries between 10 and 15 dB HL, two had  
457 asymmetries between 20 and 25 dB HL, and one had an asymmetry of 40 dB HL.

458 **Stimuli and apparatus.** On each trial, between one and five sounds were presented  
459 simultaneously. Stimuli were convolved with generic HRTFs in Matlab, to lateralize the  
460 sounds to three possible locations (90° left, midline, 90° right). Sounds lateralized using  
461 individualized HRTFs appear to arise from an external sound source. With generic HRTFs  
462 the percept varies depending on head shape and size. Sounds were either presented from one,  
463 two or three locations, as described above. When the number of sound clips exceeded the  
464 target number of locations, more than one sound clip was presented from one or more of the  
465 locations, distributed evenly between the available locations. Participants completed 36 trials  
466 at each numerosity. A maximum of five, rather than six, concurrent stimuli were presented in  
467 Experiment 2 to maximize the number of trials in each condition. This followed from the  
468 finding in Experiment 1 that six concurrent stimuli could not be reliably enumerated.

469           **Procedure.** Participants were familiarized with the stimuli as in Experiment 1.  
470 Participants first completed ten practice trials. The experiment then comprised four blocks of  
471 45 trials (9 trials for each of the 5 numerosities, presented in a random order).

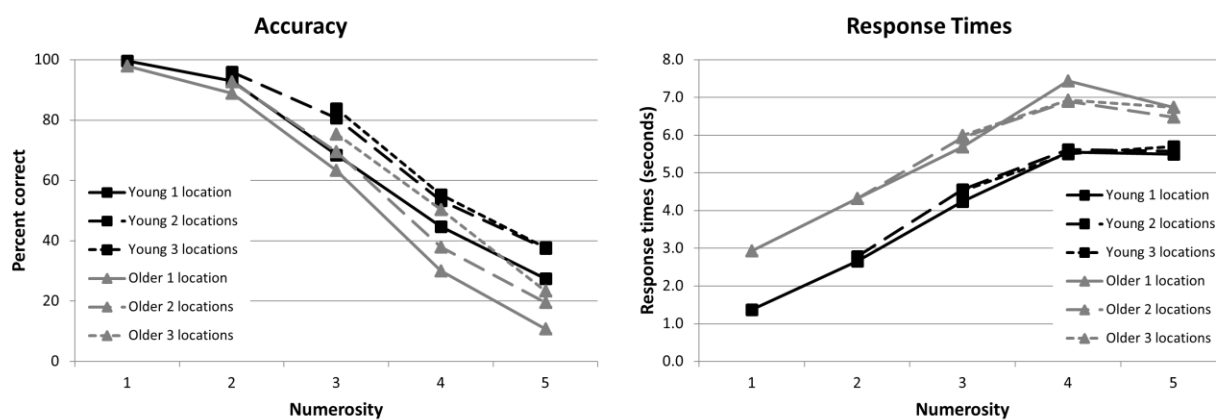
## 472 **Results**

473           Two separate analyses were conducted to investigate the effect of the number of  
474 locations on enumeration performance. Data for two locations were only available for  
475 numerosities of two or more, and data for three locations were only available for numerosities  
476 of three or more. We first compared performance when stimuli were presented from one or  
477 two locations, using data from numerosities of between two and five. We then compared  
478 performance when stimuli were presented from two or three locations, using data from  
479 numerosities between three and five.

480           Accuracy data (see Figure 4) were first entered into a mixed ANOVA including age  
481 group (young, older), numerosity (2 to 5) and number of locations (1 or 2). This analysis  
482 includes all numerosities for which sounds were presented from 1 location and 2 locations.  
483 Accuracy decreased with increasing numerosity,  $F(2.2, 85.2) = 327.80, p < .001, \eta^2_p = .90,$   
484 and was worse when stimuli were presented from 1 location compared with 2 locations,  $F(1,$   
485  $38) = 42.29, p < .001, \eta^2_p = .53,$  but there was no interaction between numerosity and number  
486 of locations,  $F(2.5, 94.9) = 1.06, p = .37, \eta^2_p = .03,$  suggesting that presenting the stimuli  
487 from two different locations had the same benefit at each numerosity between 2 and 5.  
488 Accuracy was worse for older adults,  $F(1, 38) = 14.53, p < .001, \eta^2_p = .28,$  and there was a  
489 significant interaction between age group and numerosity,  $F(3, 114) = 3.48, p = .018, \eta^2_p =$   
490  $.08,$  such that older adults showed a bigger decrease in accuracy with each additional sound  
491 clip (see Figure 4). Age group did not interact with the number of locations,  $F < 1,$  and there  
492 was no three-way interaction between age group, numerosity and locations,  $F < 1.$

493

494 *Figure 4.* Accuracy and response times in Experiment 2. Data are shown for each numerosity  
 495 (1 to 5), for young and older participants (black, gray), with stimuli from 1, 2 or 3 locations.



496

497

498 To evaluate whether there was an additional benefit for presenting stimuli from 3  
 499 spatial locations, accuracy data were entered into a mixed ANOVA including age group  
 500 (young, older), numerosity (3 to 5) and number of locations (2 or 3). As before, accuracy was  
 501 worse for older adults,  $F(1, 38) = 11.59, p = .002, \eta^2_p = .23$ , decreased with numerosity,  
 502  $F(1.5, 56.5) = 144.00, p < .001, \eta^2_p = .79$ , and when stimuli were presented from 2 locations  
 503 compared with 3 locations,  $F(1, 38) = 11.00, p = .002, \eta^2_p = .23$ . There was an interaction  
 504 between age group and the number of locations,  $F(1, 38) = 4.15, p = .049, \eta^2_p = .10$ . Post-hoc  
 505 comparisons revealed that older, but not young, adults benefitted when the stimuli were  
 506 presented from 3 locations compared with just 2 locations (young: mean difference = .018,  
 507 95% confidence interval = -.019 to .054; older: mean difference = .074, 95% CI = .029 to  
 508 .118).

509 Similar ANOVAs conducted on the RT data indicated that for 1 and 2 locations, RTs  
 510 increased with increasing numerosity,  $F(1.6, 42.4) = 79.09, p < .001, \eta^2_p = .75$ , and older  
 511 participants had significantly longer RTs,  $F(1, 26) = 6.37, p = .018, \eta^2_p = .20$ . There were no  
 512 other significant effects or interactions in the RT data (all  $ps > .14$ ). A similar pattern was

513 found when the RT data were analyzed for 2 and 3 locations: effects of numerosity,  $F(1.4,$   
514  $44.2) = 14.44, p < .001, \eta^2_p = .32$ , and age (albeit marginal),  $F(1, 31) = 3.16, p = .085, \eta^2_p =$   
515  $.09$ , but there was no effect of the number of locations and no significant interactions (all  $ps >$   
516  $.5$ ).

517       **Subitizing span.** As in Experiment 1, we estimated the subitizing span by fitting  
518 sigmoid (Gaussian) functions to the accuracy data for the 1-location, 2-location, and 3-  
519 location conditions and extracting the point of maximum curvature (Table 2). When the  
520 number of locations exceeded the numerosity, data for a lower number of locations were  
521 included (e.g., all three functions were fitted using data for 1 numerosity from 1 location).  
522 This allows the subitizing span to be directly compared across all three numbers of locations.  
523 Three older participants were excluded: one because the sigmoidal function was a bad fit to  
524 the data and two because of accuracy of less than 90% for enumerating a single sound clip.

525       Functions were also fitted to the RT data. In some conditions, at some numerosities,  
526 participants failed to make any correct responses. Due to these missing data, functions could  
527 only be fitted to RT data from 18 young adults and 9 older adults. There was also a poor fit  
528 for one young adult and two older adults. For the remaining participants, estimated  
529 ‘subitizing spans’ were less than two in all conditions (Table 2).

530

531



532 Table 2

533 *Average Subitizing Spans Estimated from the Point of Maximum Curvature of a Gaussian*534 *Function Fitted to the Accuracy and Response-Time Data from Experiment 2*

535

| Age   | Condition   | Subitizing span    |                    |
|-------|-------------|--------------------|--------------------|
|       |             | Accuracy           | Response Times     |
| Young | 1 location  | 2.43 (2.26 – 2.60) | 1.50 (1.10 – 1.01) |
|       | 2 locations | 2.90 (2.62 – 3.18) | 1.75 (1.56 – 1.94) |
|       | 3 locations | 2.83 (2.48 – 3.18) | 1.58 (1.24 – 1.93) |
| Older | 1 location  | 2.44 (2.25 – 2.63) | 1.75 (1.12 – 2.38) |
|       | 2 locations | 2.69 (2.39 – 2.99) | 1.52 (1.24 – 1.79) |
|       | 3 locations | 2.65 (2.27 – 3.03) | 1.75 (1.52 – 1.98) |

536 *Note.* 95% confidence intervals are shown in parentheses.

537

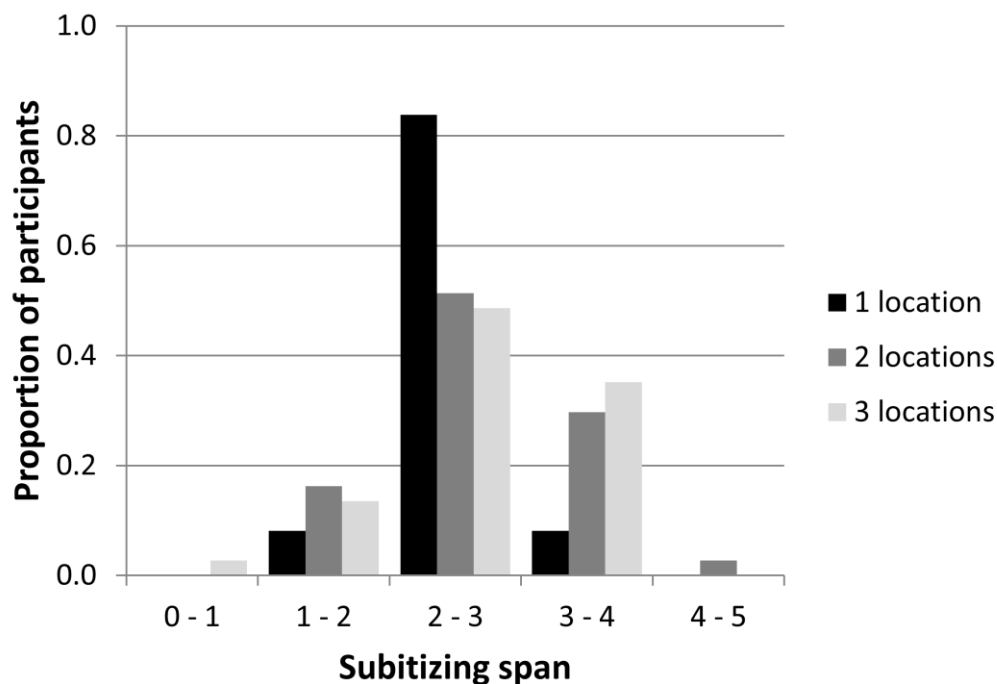
538 **Comparison of linear and nonlinear functions.** As described in Experiment 1, we  
539 directly compared linear and sigmoidal functions to test for separate subitizing and counting  
540 mechanisms. Figure 3 shows the mean dAIC (sigmoidal – linear) for each age group and  
541 condition, for the accuracy and RT data. For the accuracy data, the sigmoid provides a  
542 significantly better fit to the data than the linear function, but only when the auditory objects  
543 are presented from two or more locations. In contrast, the linear function appears to provide a  
544 better fit to the RT data in all three conditions. The same pattern is found for the young and  
545 older adults.

546 **Effects of age and location conditions on subitizing spans.** The points of maximum  
547 curvature were entered into a mixed ANOVA including age group (young, older) and number  
548 of locations (1, 2, and 3). There was a significant main effect of the number of locations,

549  $F(1.7, 58.4) = 4.61, p = .019, \eta^2_p = .12$ . Post-hoc  $t$ -tests revealed a significant difference in  
 550 the point of maximum curvature between 1 and 2 locations,  $t(36) = -3.69, p = .001$ , and  
 551 between 1 and 3 locations,  $t(36) = -2.47, p = .018$ , but not between 2 and 3 locations,  $t(36) =$   
 552  $0.38, p = .71$ . There was no effect of age group,  $F < 1$ , and no interaction between number of  
 553 locations and age group,  $F < 1$ . See Figure 5 for the distribution of subitizing spans, collapsed  
 554 across age groups.

555

556 *Figure 5.* Distribution of subitizing spans in Experiment 2, for the different location  
 557 conditions, collapsed across young and older participants. Subitizing spans were estimated by  
 558 finding the point of maximum curvature of a fitted Gaussian function.



559

560

561 **Effect of audiometric and self-reported hearing status.** Older participants were  
 562 divided into those with normal hearing ( $n = 10$ ) and those with a mild or moderate hearing  
 563 impairment ( $n = 10$ ). Adding hearing status to the Numerosity x Locations ANOVAs did not  
 564 reveal any significant effects of hearing.

565 We investigated whether there is a link between auditory subitizing (based on the  
566 accuracy data) and audiometric or self-reported hearing ability. Average SSQ responses were  
567 6.98 ( $SD = 1.6$ ) for Speech, 7.0 (1.5) for Spatial and 8.0 (1.3) for Qualities of hearing, on a  
568 scale from 0 to 10 where 10 indicates no self-reported hearing difficulties. There were no  
569 significant correlations between either hearing or SSQ scores and the maximum curvature  
570 with one, two or three locations, following Bonferroni correction for multiple comparisons  
571 (critical  $p = .004$ ).

### 572 **Summary**

573 As in Experiment 1, participants were able to enumerate approximately two auditory  
574 objects with high accuracy. However, in this experiment, when stimuli were lateralized to  
575 different locations using generic HRTFs rather than ITDs, we did find an increase in  
576 enumeration accuracy when stimuli were presented from more than one location. When  
577 sounds were presented from more than one location, we found that a sigmoid function  
578 provided a better fit than a linear function to the accuracy (but not the RT) data, potentially  
579 indicating the existence of separate subitizing and counting mechanisms. The accuracy-based  
580 estimated subitizing span was greater when sounds were presented from more than one  
581 location, but young adults did not gain an additional benefit when sounds were presented  
582 from three locations.

583 Older adults were less accurate overall, and showed a larger decrease in accuracy with  
584 each additional auditory object compared with young adults. Note that older, but not young,  
585 adults became more accurate when stimuli were presented from three locations compared  
586 with two. In this condition, older adults' performance approached that of young adults.

587

588

**Experiment 3**

589           In Experiment 3 we consider the role of temporal separation of auditory stimuli and  
590 address a second requisite for subitizing: that target stimuli must be available at preattentive  
591 levels of processing.

592           Whereas visual subitizing relies on spatial separation, the emphasis on  
593 spectrotemporal information in audition may indicate that auditory subitizing would be  
594 facilitated by temporal, rather than spatial, separation. Camos and Tillmann (2008) suggested  
595 that subitizing of sequential stimuli is possible if the stimuli can be held within a ‘single  
596 focalization’ of attention. They investigated enumeration of sequential auditory stimuli and  
597 found a discontinuity after two items. However, this work used a rapid sequence of events  
598 (80-ms stimulus onset asynchrony) that may have resulted in masking, and moreover,  
599 numerosity could be estimated from the length of each sequence. In contrast, here we keep  
600 sequence length the same but vary the relative number of targets and distractors (analogous to  
601 the approach used previously in visual enumeration studies; see Watson et al., 2002, for a  
602 discussion). Two other studies (ten Hoopen & Vos, 1979; Repp, 2007) have found that  
603 enumeration of auditory sequences improves when the stimuli are organized into groups of  
604 two (Repp, 2007), or two to five tones (ten Hoopen & Vos, 1979) using location or pitch as a  
605 grouping cue. These studies suggest that participants may have been able to subitize tones  
606 within a group, and then count the number of groups.

607           Generally, in visual search tasks, search for a target that has the absence of a feature is  
608 less efficient than search for a target that has the presence of a feature – a search asymmetry  
609 (Treisman & Souther, 1985). Thus a letter Q target can be detected preattentively among  
610 letter O distractors, but detection of a target O among Q distractors results in slow, inefficient  
611 search. Applied to enumeration, target Qs can be subitized amid distractor Os, but target Os  
612 cannot be subitized amid distractor Qs (Trick & Pylyshyn, 2003). We exploited a similar

613 asymmetry that occurs in the auditory modality (Cusack & Carlyon, 2003) and investigated  
614 whether participants could subitize target frequency-modulated (FM) tones amid distractor  
615 pure tones, but not target pure tones amid distractor FM tones. Stimuli were 100-ms pure and  
616 frequency-modulated tones at different frequencies, to reduce forward and backward masking  
617 and reduce the likelihood that target tones were perceived as oddballs (Camos & Tillmann,  
618 2008).

## 619 **Method**

620       **Participants.** Participants were 20 young adults (5 male, mean age 22 years, range  
621 18-30) and 30 older adults (13 male, mean age 72 years, range 66-79). Pure tone audiometry  
622 indicated that older adults' better-ear averages were below 20 dB HL for 23 participants and  
623 between 20 and 40 dB HL for 7 participants, indicating a mild hearing loss. Young adults had  
624 an average BEA of 9.2 dB HL whereas older adults with normal hearing had an average BEA  
625 of 14.3 dB HL. All older participants had approximately symmetric thresholds ( $\leq 10$  dB HL  
626 difference).

627       **Stimuli and apparatus.** The stimuli were 100-ms pure and frequency-modulated  
628 tones at frequencies between 440 and 570 Hz, in 10-Hz steps. Stimuli were cosine gated for  
629 10 ms at the start and end. FM tones had a modulation frequency of 10 Hz and a maximum  
630 frequency change of 200 Hz. The sampling frequency was 44,100 Hz.

631       On each trial, participants heard a series of 14 tones, with 50-ms inter-stimulus  
632 intervals.

633       **Procedure.** Participants were initially played the pure ("beep") and FM ("raindrop")  
634 tones to familiarize them with the stimuli.

635       On each block of trials, participants were instructed to count either the pure tones  
636 ("beeps") or FM tones ("raindrops"). Each sequence of 14 tones included between 1 and 6

637 target sounds. When participants were ready to respond, they pressed the space bar and the  
638 text ‘How many beeps?’ or ‘How many raindrops?’ appeared on screen.

639 Participants first completed six practice trials for each block type (count pure  
640 tones/FM tones). The experiment then comprised six blocks of 12 trials per condition (2 trials  
641 for each of the 6 numerosities, presented in a random order). The blocks alternated between  
642 the pure and FM conditions, with the initial condition counterbalanced across participants.

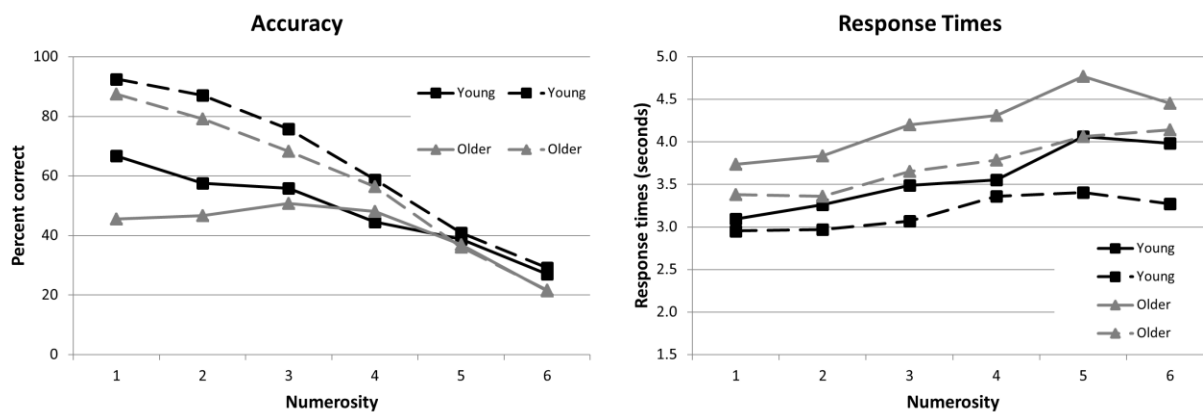
### 643 **Results**

644 Accuracy and RT data are shown in Figure 6. Accuracy was entered into an ANOVA  
645 including age group (young, older), target type (count pure/FM tones), and numerosity (1-6).  
646 Participants were significantly more accurate when counting FM tones than pure tones,  $F(1,$   
647  $48) = 69.42, p < .001, \eta^2_p = .59$ , and with smaller numerosities,  $F(5, 240) = 158.54, p < .001,$   
648  $\eta^2_p = .77$ . The accuracy benefit for counting FM tones was greater at smaller numerosities,  
649 resulting in a significant interaction between condition and numerosity,  $F(3.3, 159.3) =$   
650  $22.33, p < .001, \eta^2_p = .32$ . Paired  $t$ -tests with a Bonferroni correction for multiple  
651 comparisons (critical  $p = .008$ ) showed that accuracy was better for FM targets than pure  
652 targets for numerosities up to 4 ( $t(49) = 8.34, 8.67, 5.95, 3.14, 0.11$ , and  $0.50$ , for 1 – 6  
653 targets, respectively,  $p < .001, < .001, < .001, .003, .915$  and  $.620$ ).

654

655

656 *Figure 6.* Accuracy and response times in Experiment 3. Data are shown for each numerosity  
 657 (1 to 6), for young and older participants (black, gray), and when the task was to enumerate  
 658 pure tones amid frequency-modulated (FM) distractors (Pure), or FM tones amid pure-tone  
 659 distractors (FM).



660

661

662 Older adults were not significantly less accurate overall,  $F(1, 48) = 2.15, p = .15, \eta^2_p =$   
 663  $.04$ , but age group did interact with numerosity,  $F(5, 240) = 2.56, p = .03, \eta^2_p = .05$ . Young  
 664 participants were more accurate than older participants at small numerosities but performance  
 665 was similar at larger numerosities, resulting in a near-significant difference (Bonferroni-  
 666 corrected critical  $p = .008$  (two tailed) or  $p = .017$  (one tailed)) between the age groups at  
 667 numerosities 1,  $F(1, 48) = 6.68, p = .013, \eta^2_p = .12$ , and 2,  $F(1, 48) = 3.84, p = .056, \eta^2_p =$   
 668  $.07$ , but not at larger numerosities (all  $ps > .2$ ).

669 RT data showed a similar pattern of results. Participants responded more quickly  
 670 when counting FM tones compared with pure tones,  $F(1, 29) = 10.89, p = .003, \eta^2_p = .27$ , and  
 671 were faster at smaller numerosities,  $F(2.3, 66.2) = 9.55, p < .001, \eta^2_p = .25$ . Older adults were  
 672 slower overall,  $F(1, 29) = 4.19, p = .050, \eta^2_p = .13$ , but age did not interact with target type  
 673 (pure/FM) or numerosity (all  $ps > .3$ ).

674           **Subitizing span.** Participants were unable to reliably enumerate small numbers of  
 675 pure tones amid FM tones, and so we did not attempt to estimate a subitizing span in this  
 676 condition. For the FM-tone enumeration task, we fitted sigmoid (Gaussian) functions to the  
 677 accuracy data and extracted the point of maximum curvature (Table 3). Three young and six  
 678 older participants were excluded due to accuracy below 80% when enumerating a single  
 679 target.

680           Functions were also fitted to the RT data. In some conditions, at some numerosities,  
 681 participants failed to make any correct responses. Due to these missing data, functions could  
 682 only be fitted to RT data from 18 young adults and 23 older adults. There was also a poor fit  
 683 for one young adult. For the remaining participants, estimated ‘subitizing spans’ were less  
 684 than two for both age groups (Table 3).

685

686 Table 3

687 *Average Subitizing Spans Estimated from the Point of Maximum Curvature of a Gaussian*  
 688 *Function Fitted to the Accuracy and Response-Time Data from Experiment 3, when the Task*  
 689 *was to Enumerate Frequency-modulated Tones*

690

691

| Age   | Subitizing span    |                    |
|-------|--------------------|--------------------|
|       | Accuracy           | Response Times     |
| Young | 2.71 (2.22 – 3.21) | 0.93 (0.14 – 1.73) |
| Older | 2.54 (2.21 – 2.87) | 1.53 (0.71 – 2.35) |

692 *Note.* 95% confidence intervals are shown in parentheses.

693

694



695           **Comparison of linear and nonlinear functions.** Figure 3 shows the mean dAIC  
696 (sigmoidal – linear) for participants in each age group, for the accuracy and RT data. Both the  
697 accuracy and RT data indicate that the linear function provides a better fit to the data, for both  
698 young and older adults.

699           **Effect of audiometric hearing status.** Accuracy data from the older adults were  
700 entered into an ANOVA including target condition (count pure/FM), numerosity (1-6), and  
701 hearing status (normal/mild impairment). There was no main effect of hearing status,  $F < 1$ ,  
702 but there was a significant interaction between numerosity and hearing status,  $F(5, 140) =$   
703  $3.14, p = .010, \eta^2_p = .10$ . Older adults with mild hearing impairment were less accurate at  
704 smaller numerosities, leading to a significant difference between hearing groups at the first  
705 numerosity,  $F(1, 28) = 4.70, p = .039, \eta^2_p = .14$ , but not larger numerosities (all  $ps > .2$ ).

706           When only participants with normal hearing were included in the Age group  $\times$  Target  
707 type  $\times$  Numerosity ANOVA for accuracy (see above), there was still no significant effect of  
708 age group,  $F(1, 41) = 1.77, p = .191, \eta^2_p = .10$ , but there was no longer a significant  
709 interaction between age group and numerosity,  $F(5, 205) = 1.54, p = .179, \eta^2_p = .04$ .

## 710 **Summary**

711           In Experiment 3, we found highly accurate enumeration of one or two FM tones when  
712 presented within a stream of pure tones, but no evidence for auditory subitizing. This  
713 suggests that separating auditory objects in time, rather than space, does not provide  
714 conditions compatible with auditory subitizing. We did however find that accurate  
715 enumeration of small numbers of objects was only possible when target tones could be  
716 clearly identified amid distractor tones (enumeration of FM tones amid pure tones, but not  
717 pure tones amid FM tones). This meshes with findings from visual enumeration studies (e.g.,  
718 Trick & Pylyshyn, 2003) in which only targets that are individuated at preattentive levels of  
719 processing can be subitized.

720 Older adults were slower overall and had worse accuracy when enumerating small  
721 numbers of auditory objects. This was associated with poor audiometric hearing thresholds.  
722 There was no longer a difference in accuracy between young and older participants when  
723 hearing-impaired older adults were excluded.

#### 724 **General Discussion**

725 We conducted three auditory enumeration studies designed to assess whether one of  
726 the fundamental mechanisms within the visual domain (subitizing) also generalized to the  
727 auditory domain. In doing so, we probed numerous aspects of auditory enumeration  
728 producing a number of key findings.

#### 729 **Auditory Subitizing is Limited to Approximately Two, Spatially-Separated Objects**

730 Across all three experiments, approximately two auditory objects could be  
731 enumerated with the high accuracy that is typically associated with the subitizing mechanism.  
732 After this point, enumeration accuracy began to decline, indicating the operation of a more  
733 error-prone mechanism or set of processes. In contrast, the RT data from all experiments and  
734 conditions show linear slopes, consistent with a serial counting mechanism being engaged for  
735 all numerosities.

736 In order to provide *strong* evidence for separate subitizing and counting mechanisms  
737 in audition, it would be necessary to prove that a nonlinear function provides a better fit to  
738 both the accuracy and RT data than a linear function. This was not the case in Experiment 1,  
739 in which auditory objects were separated using ITDs, nor in Experiment 3 in which auditory  
740 objects were separated in time. In Experiment 2 we found that a nonlinear function provided  
741 the better fit to the accuracy data than a linear function; however, a linear function provided  
742 the better fit to the RT data.

743

**744 Contrast Between Accuracy and RT Data**

745 Visual subitizing is characterized by enumeration that is both fast and accurate,  
746 resulting in flatter enumeration functions within the subitizing range for both RTs and  
747 accuracy. In the present study, flatter subitizing functions were found for accuracy but not  
748 RTs. A similar dissociation arises in studies investigating haptic/tactile enumeration, where  
749 evidence for subitizing is mixed (Gallace, Tan, & Spence, 2008). Some studies do show a  
750 bilinear RT function, but the ‘flatter’ subitizing slopes are much steeper than those found in  
751 visual enumeration studies (Plaisier, Bergmann Tiest, & Kappers, 2009), and so are not  
752 entirely compatible with the notion of tags being assigned in parallel (or indeed rapidly). If  
753 we consider subitizing to require the rapid enumeration of items with high accuracy then our  
754 findings suggest that there is little if any evidence for the subitization of auditory stimuli.  
755 However, if we consider subitizing to reflect the ability to process small numbers of items in  
756 a different way to large numbers then there is some evidence that up to two auditory items  
757 can be subitized, at least in some relatively limited circumstances. Irrespective of the nuances  
758 in definitions, our work shows that at least in some circumstances, up to two auditory items  
759 can be perceived/tagged with high accuracy even if this is not achieved in a parallel manner.

760 That said, one clear difference between the current study and previous studies of  
761 visual enumeration is that the stimuli in our experiments varied over time. As noted above,  
762 linear RT functions could indicate that participants used a serial enumeration process for all  
763 numerosities (i.e., no evidence of subitizing). Alternatively, participants might have become  
764 more conservative as numerosity increased. That is, they might have rechecked or confirmed  
765 an initial (and rapid) estimate of numerosity more often when larger numbers of auditory  
766 objects were present. One possible way to determine this would be to present the auditory  
767 stimuli for a relatively short amount of time, thus limiting the possibility for re-checking and

768 assessing performance purely on accuracy measures. Analogously, future work could ask  
769 participants to enumerate non-stationary visual stimuli.

### 770 **Auditory Subitizing: Potential Mechanisms**

771 An accuracy-based subitizing span of approximately two auditory objects would be  
772 consistent with that found in feature-based visual enumeration studies in which targets are  
773 defined by their color (Watson et al., 2005b). The visual feature-based subitizing span of  
774 around two visual objects is thought to reflect segregation of the visual scene into a  
775 foreground and background. In this case, it would be simple to enumerate the presence of a  
776 background only, or a background plus foreground, resulting in highly accurate performance.  
777 A similar mechanism could operate for auditory subitizing, in which the auditory scene is  
778 parsed into a target object plus background. However, the subitizing spans in Experiment 2  
779 exceeded two auditory objects, suggesting some limited ability to further decompose the  
780 ‘background’ stream. Cusack et al.’s (2004) hierarchical decomposition model would support  
781 this hypothesis, proposing that participants are initially (preattentively) aware of broad  
782 categories of current sounds in the environment, and not just a target and background.  
783 However, any further decomposition of these broad categories of sounds would require focal  
784 attention, thereby limiting the number of auditory objects that can be subitized to around only  
785 two or three.

786 Spatial separation is critical to visual subitizing. In Experiments 1 and 2 we asked  
787 whether spatial separation also facilitates auditory subitizing. Experiment 1 revealed that  
788 lateralizing auditory objects to different locations using ITDs only improved counting  
789 accuracy for four or more objects, but did not improve accuracy when enumerating small  
790 numbers of auditory objects. Nor did it lead to nonlinear enumeration functions, in either the  
791 accuracy or RT data. In contrast, in Experiment 2 we found that presenting auditory objects

792 from different locations using generic HRTFs improved accuracy for all numerosities, and  
793 the accuracy data were better fit by a nonlinear function.

794 Improved accuracy at all numerosities when sounds were lateralized using HRTFs  
795 rather than ITDs alone could be due to factors relating to auditory scene analysis. First,  
796 sounds in Experiment 2 were presented at greater eccentricities, and from fewer locations,  
797 than in Experiment 1 (-90, 0, and 90° azimuth, compared with 8 evenly-spaced horizontal  
798 lateralizations in Experiment 1). It is therefore possible that the increased spatial separation in  
799 Experiment 2 was responsible for the increased accuracy. Second, HRTFs include ILDs, and  
800 thus each signal is more strongly represented in the contralateral auditory cortex than in the  
801 ipsilateral auditory cortex. This allows auditory spatial attention to enhance the signal in the  
802 target auditory cortex, providing increased spatial attention benefits compared with when  
803 stimuli are lateralized using ITDs alone (Roberts et al., 2009). It is therefore likely that  
804 participants found it easier to direct their attention to the auditory objects when the sounds  
805 were lateralized using HRTFs compared with ITDs only. Third, spatially separating the  
806 stimuli using HRTFs could produce ‘spatial unmasking’, a process whereby target  
807 identification is improved when a target and distractor are spatially separated (Shinn-  
808 Cunningham, Schickler, Kopco, & Litovsky, 2001). A release from energetic masking is  
809 provided because the target to distractor ratio is improved at one ear. Spatial unmasking  
810 could potentially speed a serial enumeration process, by allowing each target to be identified  
811 more easily amid distractors.

812 Potentially, these mechanisms could also account for the change from a linear to  
813 nonlinear accuracy function. A further possibility relates to how the auditory system codes  
814 spatial location. Visual subitizing is achieved by determining the number of tags that are  
815 currently assigned to objects in the environment (Pylyshyn, 1989; Trick & Pylyshyn, 1994).  
816 In Experiment 2, we speculated that auditory subitizing could operate in a similar way by

817 determining the number of spatial channels that were currently activated. This remains a  
818 potential explanation. However, there are methodological issues regarding the increased  
819 spatial separation in Experiment 2 compared with Experiment 1, and the presentation of more  
820 than one auditory object from each location in Experiment 2.

821 Future research could further investigate auditory tagging through use of a multiple  
822 object tracking task. If the accuracy data in Experiment 2 do indeed indicate that two or three  
823 auditory objects are tagged, then it should be possible to track two or three moving target  
824 auditory objects amid identical moving distractor objects. Although this proposed study  
825 would be methodologically challenging, it would provide an independent test of an auditory  
826 tagging mechanism.

### 827 **Accurate (>50%) Auditory Enumeration is Limited to Three to Four Auditory Objects**

828 Consistent with previous auditory enumeration studies (Kawashima & Sato, 2015;  
829 Weller et al., 2016; Zhong & Yost, 2017), we found that between three and four auditory  
830 objects could be enumerated with 50% accuracy. This was true when enumerating both  
831 spatially separated concurrent auditory objects in Experiments 1 and 2, and temporally  
832 separated sequential auditory objects in Experiment 3. Kawashima and Sato (2015)  
833 considered the possibility that their findings, with voices, might not generalize to other types  
834 of natural sounds. Here we find that the limit on accurate auditory enumeration holds for  
835 other types of auditory stimuli, including environmental sounds and pure/FM tones. Although  
836 in our study stimuli were presented for only 10 seconds, it does not seem likely that longer  
837 stimulus durations would result in increased numbers of stimuli being enumerated accurately.  
838 For example, Weller et al. (2016) presented stimuli for up to 45 seconds and still found that  
839 normally-hearing listeners could only accurately identify up to four auditory sources.

840 One possibility is that participants use alternative cues to numerosity (e.g., loudness)  
841 to determine the number of auditory objects that are present. This is also an issue in visual

842 enumeration studies, where the density or overall luminance of the display contains useful  
843 cues to numerosity, and it is not always possible to dissociate cues associated with magnitude  
844 from those associated with numerosity. However, in the present study these magnitude cues  
845 are less reliable than in other studies. In Experiments 1 and 2 the auditory objects varied in  
846 intensity over time, making intensity an unreliable cue to numerosity. In Experiment 3, the  
847 same number of stimuli were presented on every trial, with the task being to enumerate  
848 targets amid distractors. This approach has also been used in visual studies to control the  
849 overall size of the display (e.g., Watson et al., 2005a).

### 850 **Targets Must be Individuated Preattentively to be Accurately Enumerated**

851 In visual enumeration studies, participants are unable to subitize visual objects in  
852 parallel if focused attention is required to separate target items from distractors (Trick &  
853 Pylyshyn, 1993). Analogously, in Experiment 3 we compared enumeration performance  
854 when participants enumerated pure tones amid distractor FM tones and FM tones amid  
855 distractor pure tones. The FM tones required less focal attention to be identified than the pure  
856 tones. We found that participants were able to enumerate FM tones presented among pure  
857 tone distractors (equivalent to enumerating preattentively available visual targets) but had  
858 lower accuracy and longer RTs for enumerating pure tones among FM distractors (equivalent  
859 to enumerating visual targets that require serial attention to detect). The gap between pure-  
860 tone and FM-tone enumeration accuracy was greatest for smaller numerosities. The pattern of  
861 results differs from that found in visual enumeration studies, in which being unable to  
862 identify the targets preattentively eliminates subitizing but participants are still able to  
863 identify a single target with high accuracy. Potentially, this difference between visual and  
864 auditory enumeration of targets amid distractors reflects the specific visual/auditory tasks and  
865 stimuli, or the change from enumeration of concurrent to sequential stimuli.

866 For the FM task, we did not find any evidence for an auditory subitizing mechanism –  
867 either based on accuracy or RTs – indicating that separating auditory objects in time, rather  
868 than space, is not sufficient to allow auditory subitizing to occur. One possibility is that  
869 participants perceived the rapid sequence of tones as a single stream, and therefore had  
870 difficulty enumerating target items within the stream. Previous studies (e.g., Taubman, 1950)  
871 suggest that the interval between temporally-separated auditory stimuli can be critical to  
872 participants' ability to enumerate those stimuli. In addition, the total duration of the auditory  
873 stream may affect enumeration performance, as streaming builds up over time (e.g., Moore &  
874 Gockel, 2012).

### 875 **Auditory Enumeration is Only Minimally Affected by Healthy Aging**

876 As previously found in visual enumeration studies (e.g., Watson et al., 2002), older  
877 adults were slower and less accurate in all three auditory enumeration tasks. Visual subitizing  
878 is typically unaffected by healthy aging, but here we asked whether poor auditory subitizing  
879 might partially account for difficulties that older adults report in multi-talker conversations  
880 (Gatehouse & Noble, 2004). In Experiment 1, older adults were slower and less accurate than  
881 young adults, but there was no interaction between age group and numerosity in either the  
882 accuracy or RT data, suggesting that older adults had a similar cost to young adults for each  
883 additional auditory object.

884 In Experiment 2, where we found evidence of subitizing, older adults had similar  
885 subitizing spans to young adults but had a larger drop in accuracy for each additional auditory  
886 object in the counting range (3 to 5 auditory objects). Older, but not young, participants  
887 showed a small additional benefit when stimuli were lateralized to three spatial locations,  
888 over and above the benefit when stimuli were lateralized to two spatial locations. This  
889 additional benefit affected enumeration at all numerosities (3-5) but did not influence the  
890 subitizing span when stimuli were presented from 3 rather than 2 locations. The additional



891 benefit brought older adults' accuracy closer to, but still below, the accuracy of young adults  
892 when enumerating spatially separated auditory objects.

893         In Experiment 3, older adults were slower than young adults and were less accurate,  
894 particularly with smaller numerosities. However, this was entirely accounted for by hearing  
895 loss in the older participants – only those participants with mild hearing impairment showed  
896 the reduced accuracy at smaller numerosities. An enumeration deficit for hearing-impaired  
897 older adults was also found by Weller et al. (2016). In Experiment 3 here, the deficit for older  
898 adults is attributable to perceptual loss rather than any age-related cognitive deficit,  
899 underlining the importance of accounting for perceptual deficits when assessing older adults'  
900 cognitive ability (Allen & Roberts, 2016).

## 901 **Conclusion**

902         Across three experiments, participants could enumerate only two or three auditory  
903 objects with high accuracy. We found evidence consistent with different subitizing and  
904 counting mechanisms in only one experiment, when auditory objects were separated using  
905 generic HRTFs which contain ILDs as well as ITDs. Accuracy-based average estimated  
906 subitizing spans were between two and three, suggesting a subitizing limit that is noticeably  
907 smaller than that found with visual objects. Consistent with previous research, across the  
908 experiments we found that only up to between three and four auditory objects could be  
909 counted with accuracy greater than 50%. Older adults were slower and less accurate than  
910 young adults, but there was only limited evidence for an age-related decline in enumeration  
911 of auditory objects. We propose that any putative auditory subitizing mechanism is limited by  
912 the need for focal attention to decompose the auditory scene into its constituent auditory  
913 objects.

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