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Propagation of Meandering Rotors Surrounded by High Dominant Frequency Areas in Persistent Atrial Fibrillation

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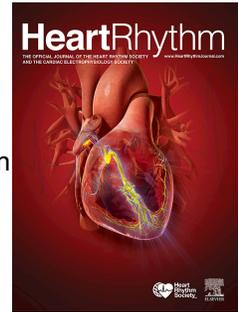
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1 **PROPAGATION OF MEANDERING ROTORS SURROUNDED BY HIGH**
2 **DOMINANT FREQUENCY AREAS IN PERSISTENT ATRIAL**
3 **FIBRILLATION**

4
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28 **Conflict of Interest**

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36

37 **Abstract**

38 **Background:** identification of arrhythmogenic regions remains a challenge in persistent atrial
39 fibrillation (persAF). Frequency and phase analysis allows identification of potential ablation
40 targets.

41 **Objective:** This study aims to investigate the spatiotemporal association between dominant
42 frequency (DF) and re-entrant phase activation areas.

43 **Methods:** Eight persAF patients undergoing first-time catheter ablation procedure were enrolled.
44 A non-contact array catheter was deployed into the left atrium (LA) and 2048 AF electrograms
45 (AEG) were acquired for 15 seconds following ventricular far-field cancellation. DF and phase
46 singularity (PS) points were identified from the AEGs and tracked over consecutive frames. The
47 spatiotemporal correlation of high DF areas and PS points was investigated and the organization
48 index of high DF areas was compared with their periphery.

49 **Results:** The phase maps presented multiple simultaneous PS points that drift over the LA, with
50 preferential locations. Regions displaying higher PS concentration showed a degree of co-
51 localization with DF sites, with PS and DF regions being neighbors in 61.8% and with PS and DF
52 regions overlapping 36.8% of the time windows. Sites with highest DF showed a greater degree
53 of organization at their core (CG) compared to their periphery. After ablation, the PS incidence
54 reduced over the entire LA ($36.2\pm 23.2\%$, $p<0.05$), but especially at the pulmonary veins (PVs)
55 ($78.6\pm 22.2\%$, $p<0.05$).

56 **Conclusions:** Multiple PS points drifting over the LA were identified with their clusters correlating
57 spatially with the DF regions. After PV isolation, the PS's complexity was reduced, which supports
58 the notion that PS sites represent areas of relevance to the atrial substrate.

59 **Key Words:** atrial fibrillation, phase singularity, dominant frequency, non-contact mapping,
60 ablation.

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63 Introduction

64 The theory of atrial fibrillation (AF) in humans suggests the existence of multiple
65 mechanisms involved in the AF initiation and perpetuation, including re-entrant circuits,
66 rapidly firing foci and high frequency sites.¹⁻³ These mechanisms are believed to be more
67 pronounced in patients whose AF persists for long-term periods (persAF) or in which
68 noticeable electrical and structural atrial substrate remodeling are observed.⁴ However,
69 the characterization of arrhythmogenic atrial regions for successful ablation in the
70 presence of concurrent fibrillatory mechanisms remains a challenge, usually requiring
71 multiple procedures.⁴

72 Ablation strategy guided by dominant frequency (DF) resulted in interatrial DF gradient
73 reduction, prolonging patients' sinus rhythm maintenance.⁵ High-density DF mapping of
74 persAF allowed recognition of dynamic spatiotemporal patterns,⁶ suggesting that ablation
75 therapy is unlikely to be successful by observing a single time frame. Investigators
76 identified AF re-entry sources using phase analysis techniques in invasive⁷ and non-
77 invasive⁸ electrophysiology (EP) systems. They also showed that targeting these sources
78 appears to improve treatment success. The relationship between DF and phase has been
79 assessed in intracardiac contact recordings.^{9, 10} Those studies have shown that highest
80 DF boundary areas were circumscribed by rotors, suggesting the occurrence of
81 wavebreaks close to these boundary areas. However, the relationship between frequency
82 and phase analyses on non-contact mapping (NCM) has not been fully understood and
83 the spatiotemporal associations between DF and phase singularity (PS) re-entrant activity
84 is of interest for the study of the mechanisms involved in the genesis and maintenance of
85 persAF.

86 The study aims were (1) to investigate the feasibility of high-density phase mapping of the
87 left atrium (LA) substrate to identify arrhythmogenic sites and circuits during persAF and,
88 (2) to study the association between PS and high DF (HDF) activity in the LA substrate
89 during persAF.

90 **Methods**

91 ***Electrophysiological Study***

92 Eight patients undergoing first time persAF catheter ablation were recruited. All patients
93 were in AF at the start of the NCM procedure. Approval was obtained from the local
94 ethics committee and informed consent was obtained before the study was conducted.
95 Antiarrhythmic drugs, apart from amiodarone, were stopped for at least five half-lives
96 before the procedure. The multi-electrode array (MEA) catheter (EnSite 3000, St Jude
97 Medical, USA) was deployed via trans-septal access, into the LA. The MEA is an
98 intracardiac catheter with a central lumen and pigtail tip introduced with guide wire into
99 the cardiac chamber with the working component being a wire mesh with 64 laser-etched
100 electrodes mounted on a collapsible balloon at the distal end of the catheter. NCM
101 employs inverse solution mathematics to produce virtual unipolar electrograms from far-
102 field electrograms collected from the electrodes. Details of the mapping procedure have
103 been described elsewhere⁶ and in the supplementary material.

104 ***Signal Processing***

105 AEGs were sampled at 1200 Hz and 15-seconds long segments of non-induced persAF
106 data were exported for off-line analysis. The AEGs were band-pass filtered between 3 Hz

107 and 30 Hz with a tenth-order zero-phase delayed Butterworth filter. Ventricular far-field
108 influence cancellation was performed using a customized and adaptive QRS-T
109 segmentation algorithm followed by a coherent subtraction strategy (supplementary
110 material).¹¹

111 *Phase Analysis*

112 The phase representation of each AEG was obtained and NCM phase maps were
113 created to obtain sequential maps with automatic PS identifications. Firstly, a Hilbert
114 transform is applied to the AEG to produce an analytic signal, then the phase was found
115 as the inverse tangent of the ratio of imaginary and real part of the analytic signal (Figure
116 1A).¹⁰ Thus, for each sample, the calculated phase was limited between $-\pi$ and $+\pi$ and
117 the color scale of an activation is illustrated in Figure 1A. Once phase analysis was
118 applied to all 2048 AEGs, sequential 2D and 3D phase maps were developed. A 2D
119 phase frame (and its respective 3D plot) are presented in Figure 1B, highlighting a PS
120 point and four distinct progressive phase regions representing the $[-\pi +\pi]$ cycle. The
121 spatial phase distributions were analyzed to locate PS points. PSs were automatically
122 identified by determining at locations around which the phase progresses through a
123 complete cycle from $-\pi$ to $+\pi$.^{10, 12} Only PS points lasting over consecutive frames for at
124 least 100 ms⁹ were considered. The respective AEGs and their phase delays are
125 presented in Figure 1C. Figure 1D shows the spatiotemporal wavefront propagation of a
126 complete phase progress rotation, observed over four frames. The arrows show the
127 phase propagation direction and the yellow circle shows the PS position.

128 *Frequency Analysis*

129 Spectral analysis consisted of identifying the DF – defined as the frequency with the
130 highest power within 4 Hz to 10 Hz – to produce sequential 2D and 3D DF density maps
131 of the LA.⁶ Fast Fourier Transform (FFT) with a Hamming window was applied on the
132 2048 AEGs on sequential segments of 4 s windows with 50% overlap (shifting forward by
133 2 s) to produce consecutive 3D DF maps. The spectral resolution was 0.25 Hz and zero
134 padding was applied to produce frequency steps of 0.05 Hz.

135 An organization index (OI) was calculated by dividing the area under the DF and its
136 harmonics by the total area of the spectrum between 4 Hz and 20 Hz. For each
137 sequentially obtained DF map, the highest DF areas (HDFA) were defined as the atrial
138 regions within a 0.25 Hz drop from the highest DF.⁶ The center of gravity (CG) of the
139 HDFA was then found. OI was computed as the mean OI in the HDFA-CG (CG point plus
140 its 8 closest neighbors) (OI_{CG}) and the mean OI at periphery (OI_{Per}) was computed as the
141 average of the OI at all sites in the DF area boundary.

142 *Phase and Frequency Spatiotemporal Analysis*

143 The behavior of the DF maps was investigated with both highest (HDF) and lowest DF
144 (LDF) areas identified automatically. These areas contain the values within 0.25 Hz of the
145 HDF and LDF respectively. This would present an area that reflects the average local
146 activity, minimizing the effect of isolated DF sites.

147 The spatiotemporal correlation between DF and PS regions was studied by observing the
148 geometric relationship between LA areas containing high frequency activation and high

149 incidence of singularities. If higher PS occurrence was within the boundaries of the HDF
150 areas and/or located nearby (up to 5 adjacent nodes) then DF and PS regions were
151 considered to being co-localized. Phase and frequency analysis^{6, 13} were performed using
152 in-house custom written software.

153 ***Statistical analysis***

154 All continuous variables are expressed as mean \pm standard deviation. Shapiro-Wilk
155 normality test was performed. Non-parametric data was log-transformed. A multivariate
156 analysis (MANOVA) was performed to determine differences between the groups and
157 Tukey post hoc tests were conducted. P-values of less than 0.05 were considered
158 statistically significant.

159 **Results**

160 Patients' characteristics are summarized on Table 1. Post-processing of signals and
161 phase singularities identification of each of these 15 s windows required 18.91 ± 0.99
162 seconds in a desktop PC Intel® Xeon® Processor E5-1630v4 @ 3.70 GHz, 32 GB RAM,
163 3TB 7200 rpm hard drive with a Windows 10 Pro 64bit.

164 **Spatiotemporal behavior of phase singularities**

165 The detected PSs were systematically tracked over consecutive time frames. PSs
166 typically appeared in pairs and were not spatially anchored at particular sites. Instead
167 they drifted over the LA area (Figure 2 and Movie S1). Overall, PSs were observed during
168 $16.90 \pm 5.89\%$ of the time and lasted for 188.25 ± 62.59 ms. The longest PS observed
169 lasted for 416.70 ms.

170 Despite the observed PS drift, the PS histograms demonstrated preferential areas where
171 these PSs appear more often. A sample case is presented in Figure 3A for three different
172 patients. Regions close to the pulmonary veins (PVs) and roof presented a higher
173 concentration of PS points when compared with the remaining LA areas. Figure 3B is a
174 graphic representation of the highest incidence of PSs considering all the patients. Areas
175 close to the PV, followed by the roof, had nearly 72% of the identified PSs (445 out of
176 617). Floor and posterior wall (PW) regions presented moderated incidences of PSs in 15
177 seconds long segments of persAF recordings.

178 **Phase singularities after substrate modification**

179 PV ablation had a significant impact on PS occurrence (Figure 4). At baseline (Figure 4A)
180 the roof, PW and anterior wall presented a higher incidence of PSs than other LA
181 locations. The impact of the ablation can be observed in Figure 4B. The total number of
182 occurrences significantly reduced, and the pattern of the histogram was also modified
183 after PVI. In the population under study, the PS incidence was reduced from
184 2854.4 ± 736.9 to 1770.2 ± 635.7 ($p < 0.05$), an overall reduction of $36.2 \pm 23.2\%$. Subdividing
185 it into two groups, PV areas and non-PV areas, the percentage of reduction was
186 respectively $78.6 \pm 22.2\%$ ($p < 0.05$) and $36.8 \pm 24.8\%$ ($p = 0.05$). A detailed analysis is
187 presented in Figure 4C.

188 **Physiological meaning of PSs in persAF and its relation with the anatomical** 189 **substrate**

190 The PS occurrence reduction on the PVs was more prominent (90.8 ± 59.8 to 23.8 ± 31.6 ,
191 $78.6 \pm 22.2\%$ $p < 0.05$). This decrease in PS incidence was observed in all PVs (Figure 4C).

192 The singularities were mostly located close to the right PV, with the RSPV being most
193 prominent. The LIPV presented a higher incidence of PSs than the LSPV. After PVI, no
194 PSs were found at the LSPV.

195 **Relationship between highest DF sites and PSs**

196 To investigate the detected PS sites driving nature, we studied the spatial correlation of
197 HDF sites with sites with higher PS incidence. In total, 156 maps (78 pairs of DF and
198 phase histogram maps) were studied with 96 at baseline and 60 post PVI. HDF regions
199 and highest PS occurrence did not always match. A spatial correspondence was found
200 between both areas in 87.2% of the time segments under study. Spatial correspondence
201 means that a DF site is close to or overlaps the region with the higher PS incidence for
202 the same time segment (Figure 5). In Figure 5A, the PSs higher occurrence is found
203 close to the HDF regions boundary. This pattern was observed in 61.8% of the time
204 segments. In Figure 5B, there is some overlap between HDF and highest PS occurrence
205 regions. Overall, a partial overlap between both regions was observed in 36.8% of the
206 time segments.

207 Highest DF sites typically showed a higher OI at their core (i.e., the CG) when compared
208 to the periphery and increased again organization at sites distant from the highest DF
209 (Figure 6). The MANOVA showed significant interactions between groups ($F=6.1$,
210 $p=0.009$). In the population, OI at the core was 0.422 ± 0.101 vs. periphery 0.386 ± 0.126
211 ($p=0.02$). Similarly, OI at their core still tended to be higher as compared to their
212 periphery after PVI (0.372 ± 0.026 vs. 0.332 ± 0.036 , $p=0.22$). After PVI, ablation
213 significantly decreased the OI at the core and at the periphery when compared with

214 baseline (OI core: 0.372 ± 0.026 vs. 0.422 ± 0.101 , $p<0.0001$; OI periphery: 0.332 ± 0.036 vs.
215 0.386 ± 0.126 , $p<0.0001$).

216 **Discussion**

217 In this study, we showed that high-density phase mapping could be performed from
218 simultaneous NCM AEGs obtained from persAF patients to allow investigation of
219 potential arrhythmogenic sites and circuits. In addition, studying the wavefront
220 spatiotemporal propagation enables investigators to identify multiple paired PS points that
221 are not anchored at specific regions, drifting over different areas of the LA, with more
222 prominent clustering in regions close to PV and roof and related with the atrial substrate.

223 **Phase mapping and dynamics of the singularities**

224 PSs during cardiac fibrillation have been demonstrated to be a pivot of functional re-
225 entrant circuits¹⁰ and are important for mapping fibrillatory patterns¹² in both animal and
226 human studies.^{7, 13-14} Narayan *et al.* have shown that ablation of rotor sites in persAF
227 patients results in longer AF-free periods than a PVI-only strategy.¹⁵ This is consistent
228 with the experimental findings of rotors sustaining AF in animal models that have been
229 reported over the last few years.^{12, 16} Recently, Hansen *et al.*, have reported structural
230 micro-reentries as the underlying mechanism sustaining human AF¹⁷ and have shown a
231 good correspondence between optical mapping and FIRM mapping data.¹⁸ Our results,
232 with preferential locations for PSs in persAF patients, are consistent with these findings of
233 rotors that may anchor at specific sites with partially disconnected atrial bundles or
234 electrically partially isolated regions due to extensive fibrosis. However, in our mapping
235 data, PSs are less stable and not anchored to fixed locations.

236 Recent studies have failed to reproduce the favorable outcomes of FIRM-guided
237 ablation.¹⁹⁻²⁰ Differences in patient recruitment may contribute to these diverging results.
238 However, arguments regarding the validity of the methodology and the underlying AF
239 mechanisms are justified. It may be argued that phase mapping by applying Hilbert's
240 transform may contribute to artifactual PSs that are not related to the actual tissue
241 electrical activity.²¹ However, we have found that most of the detected PSs are related
242 with the electrical or anatomical substrate since ablation reduced their occurrence
243 significantly.

244 Additionally, we have shown that PSs are more likely to be located at the PVs and roof
245 followed by the PW and floor (Figure 3). These findings are in agreement with recent
246 human studies where rotors were not stationary but drifted mostly around the LA (from
247 PVs to LA).⁸ The PVs and PW have also been previously indicated to play an important
248 role in AF maintenance by high-frequency re-entrant sources.^{2, 22-23}

249 **PSs role in the maintenance of AF**

250 Animal models of acetylcholine-induced AF have consistently shown that driving rotors
251 activate at the fastest rate in the atria while fractionation of the wavefront results in
252 fibrillatory conduction at a slower and less organized rate.²² In line, spectral analysis has
253 been used as an auxiliary investigative tool in an attempt to understanding certain
254 physiological AF mechanisms and patterns.^{2, 22, 24} Spatiotemporal stable atrial sources
255 represented by HDF were seen in human AF in both invasive and non-invasive studies.^{5,}
256 ²⁵⁻²⁶ Interestingly, ablation of these areas has been shown to be an effective therapy to
257 restore sinus rhythm.^{5, 26}

258 From our observations, the PS rotors do not exactly match the highest DF locations.
259 However, we found some degree of correlation between PS and HDF regions, since only
260 few DF maps (13%) had no cumulative PSs inside the HDF areas and LA regions
261 showing high concentration of singularities are frequently neighboring or even invading
262 areas harboring HDFs (Figure 5).

263 We can attribute both the instability of PSs and their correspondence lack with the HDF
264 sites mainly to: (1) a more complex behavior interplay of PS and HDF areas than a
265 simple spatial matching; (2) lack of high-frequency driving rotors in our analyzed data
266 and/or (3) methodological limitations. It has been well documented that persAF are less
267 likely to present DF gradients²⁷ and, therefore it is not unlikely that driving rotors in
268 persAF may not activate significantly faster than other atrial regions. In addition, AF
269 drivers may be located outside the LA in persAF and thus, some of the driving sites may
270 reside outside our mapped region. The detected PSs may not have a driving role in our
271 population and they could be just bystanders or sites at which the electrical activation
272 transiently turns or breaks. Further investigations on ablating these sites would be
273 necessary to provide insight on the detected PSs driving role. Although we could not
274 confirm the driving role of highest DF sites or the areas with more cumulative PSs we did
275 observe an increased organization in the HDF area and disorganization at its periphery,
276 consistent with a hierarchical activation from the highest DF site and wave fractionation at
277 the boundaries.²⁸ The PS incidence reduction due to ablation was also related with more
278 organized AEGs in the HDF core rather than periphery. This may indicate that PVI
279 promoted both spatial and temporal organization of the AEGs at these HDF sites.

280 The lack of spatial consistency in our detected PSs may be related to the influence of far-
281 field artifacts that may not accurately represent endocardial potentials. NCM system has
282 been validated in the clinical setting²⁹⁻³⁰ in the time and frequency domains³¹⁻³² providing
283 an important tool that can contribute to the understanding of cardiac arrhythmias. Further
284 studies have shown that AF non-contact recordings suffer from an artifactual meandering
285 of the rotor tip, simplification of activation patterns and appearance of dual (or 'mirror')
286 PSs.¹³ These observations are consistent with the current study, since PSs are unstable
287 but non-random because they cluster at preferential locations. Our reported potential
288 maps are also simpler than previously reported epicardial maps³³ but similar to surface¹³
289 or inverse-computed maps.³⁴⁻³⁵ Finally, our reported PSs appear in pairs, which is most
290 likely reflecting a single rotation seen from two contralateral points of view. However, in
291 spite of all the noted limitations of non-contact recordings, they may retain some key
292 features of the underlying propagation pattern such as the preferential location of PS
293 sites¹³ and, therefore, may be useful for ablation guidance.^{15, 18}

294 **Limitations**

295 This study involved a small number of patients, as our main objective was to study phase
296 mapping using high-density NCM in persAF and investigate the behavior of PS and DF.
297 AEG analysis was restricted to the LA, hence any potential contribution from the right
298 atrium was not studied. Three patients could not have their post-ablation data exported.
299 Nevertheless, the results presented were consistent in all patients.

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301

302 Conclusions

303 Non-contact phase mapping of persAF appears to be a reliable technique to investigate
304 potential arrhythmic re-entrant activity. Multiple dynamic paired PS points were identified
305 and their clusters correlated with the DF regions, although may be influenced by far-field
306 artifacts, seem to be associated with the underlying atrial substrate. Whilst we could not
307 determine the driving role of these re-entrant sites, combined real-time DF and PS
308 mapping may contribute to identify important arrhythmogenic atrial regions that might be
309 useful for designing an effective ablation strategy in persAF treatment.

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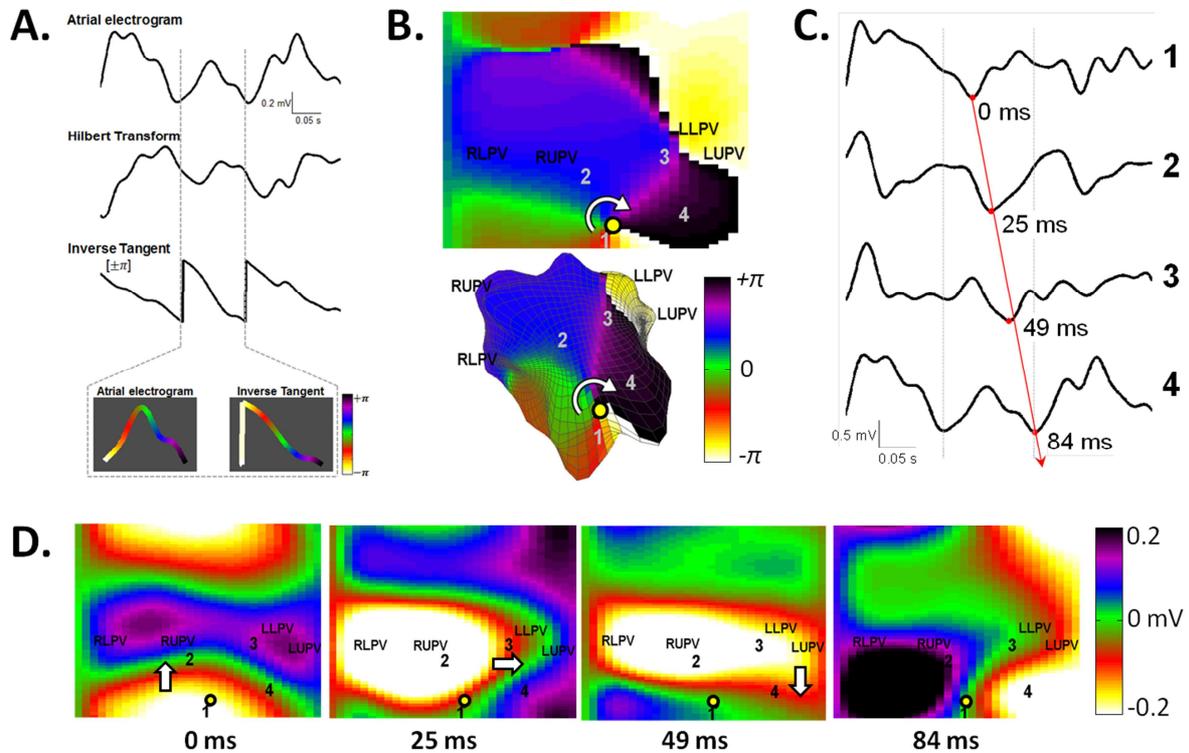
Table 1

Patients' characteristics

	n=8
Male, n	8
Age, y	47±10
AF duration, mo	34±25
Hypertension, n	2
LV function, n	
EF≥55%	5
EF 45-54%	2
EF 36-44%	-
EF≤35%	1
LA Size, mm	48±6
On amiodarone, n	3

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Figure 1



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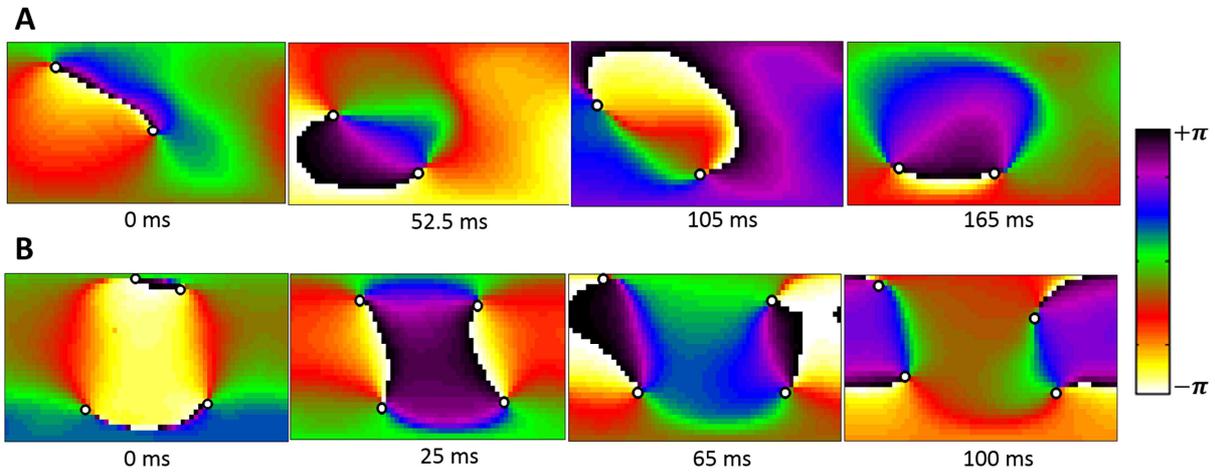
477 Figure 1 - The methodological procedure used to obtain phase mapping and PS in
 478 simultaneous unipolar reconstructed non-contact AEGs undergoing persAF. In (A) an
 479 illustrative example of how the surrogate phase signal is obtained from the AEG is
 480 presented. The phase of the AEG is derived from the inverse tangent of the Hilbert
 481 transform of the AEG. (B) Sample 2D phase map (and its 3D representation) for a given
 482 time instant with superimposed PS points (yellow circles). (C) Time series of selected
 483 electrograms and (D) the spatiotemporal wavefront propagation at selected time frames
 484 evidence one rotation activity with the PS point at the center.

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Figure 2



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 491 Figure 2 - A sequence of two distinct episodes of phase mapping on persAF in 2D
 492 highlighting the propagation of the paired detected PSs drifting across the LA at
 493 sequential short time steps. (A) A pair of PSs appeared near the roof (left-hand side) and
 494 moved through the LA area in a short time segment (165 ms); (B) another example of
 495 PSs propagation, with two paired PSs highlighting the presence of multiple PSs
 496 propagating simultaneous on the LA of some persAF.

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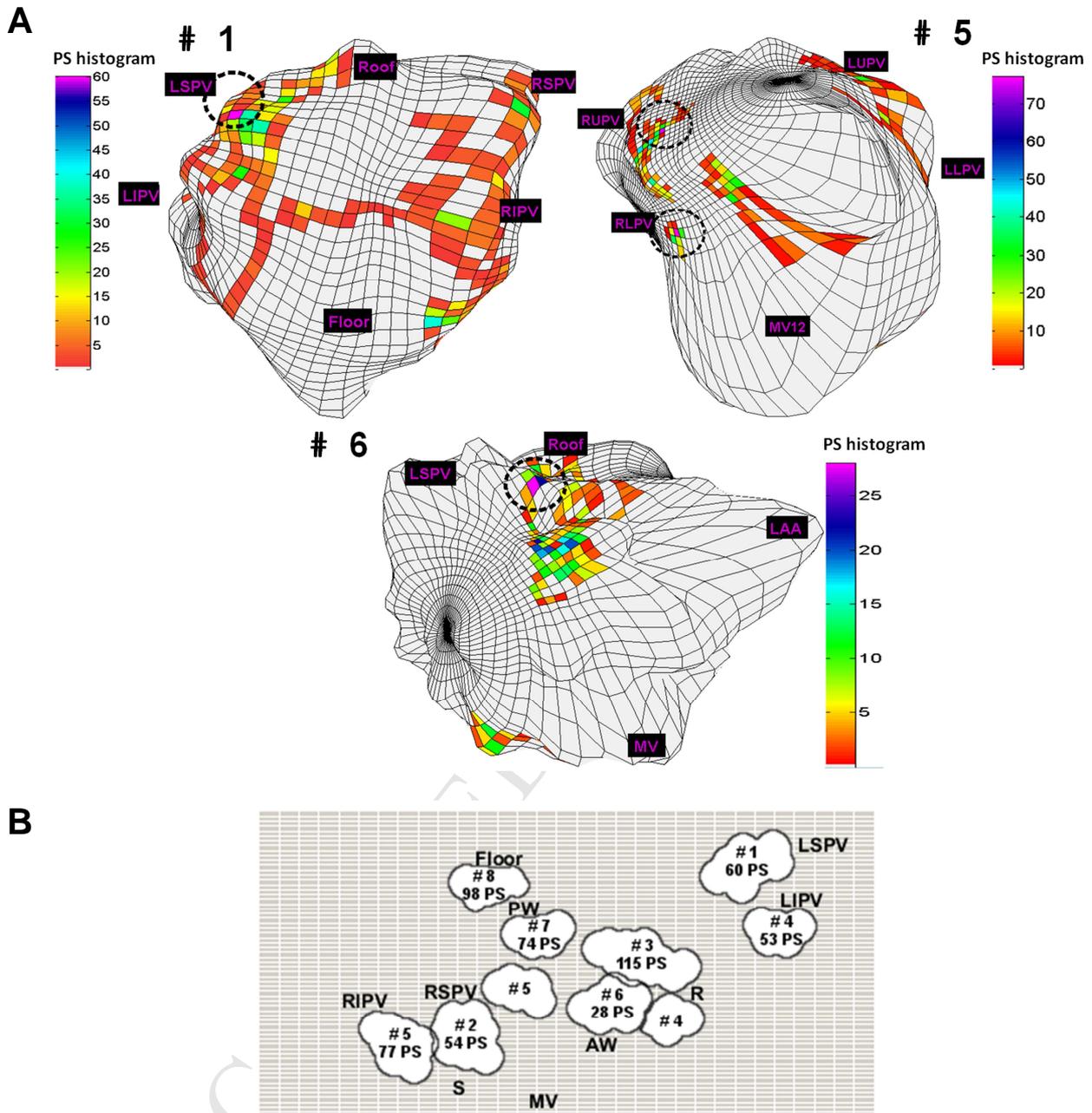
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Figure 3



503 Figure 3 - A sample case of PS histograms demonstrating the presence of preferential
 504 PS areas. The 3D maps were obtained by calculating the incidence of PS points over 15
 505 s segments. (A) 3D view highlighting the PS histogram of three distinct patients. In both
 506 cases, areas near the PVs are observed with higher PSs incidences; (B) a 2D view

507 summarizing the observed clusters but now extended to all patients in baseline. (RSPV:
508 right superior PV; RIPV: right inferior PV; LSPV: left superior PV; LIPV: left inferior PV; R:
509 roof; PW: posterior wall; AW: anterior wall; MV: mitral valve; S: septum).

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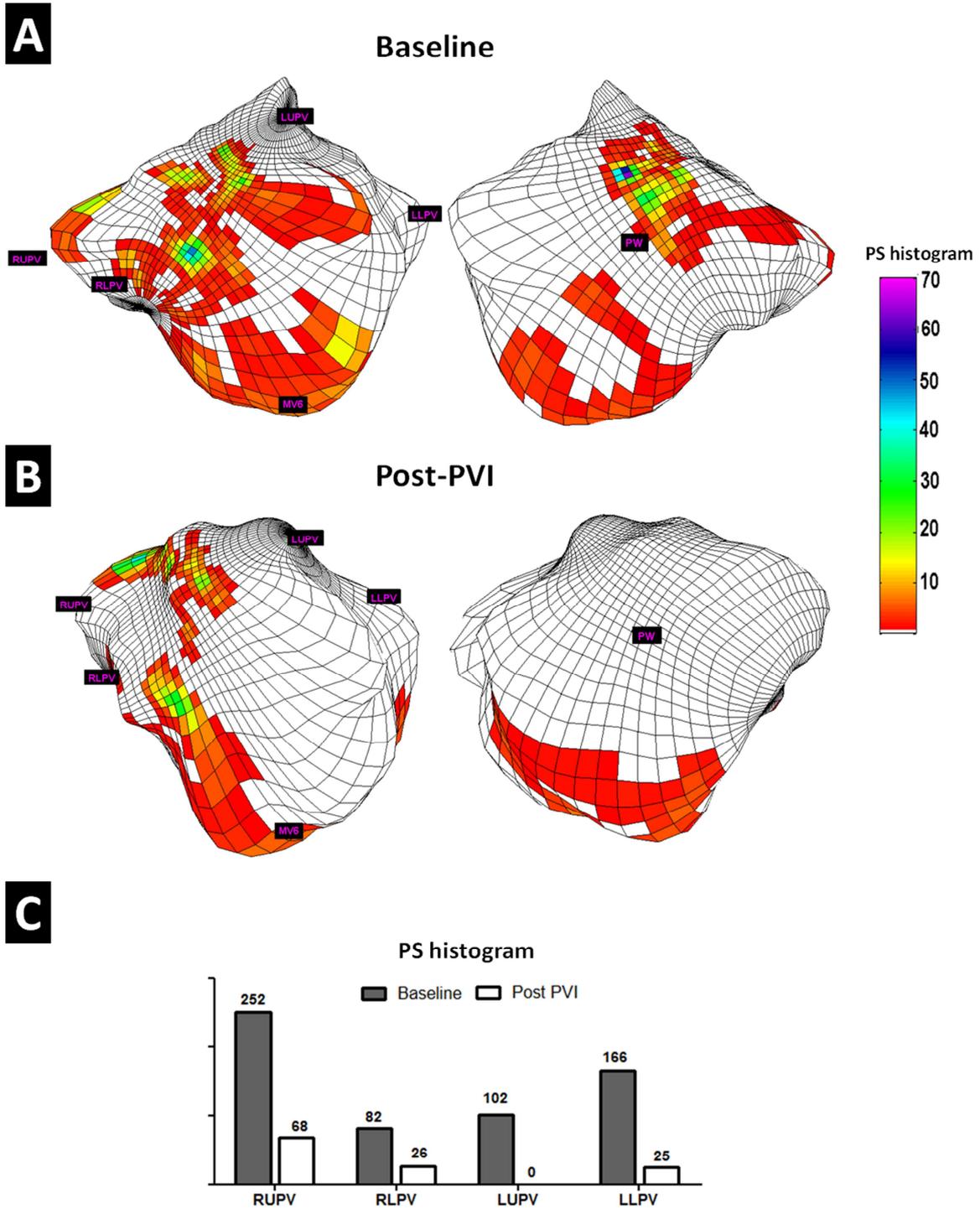
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Figure 4



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532 Figure 4 - Impact of substrate modification on PS incidence after PVI ablation. A 3D view
533 of a color-coded PS incidence map highlighting the frequency of PSs occurrences
534 identified in a 15 s period in a sample patient for baseline (A) and post-ablation (B)
535 highlighting a general reduction of the areas, number of occurrences and complexity of
536 the PSs post PVI. In (C) summary of the overall PS incidence for all patients in the PVs
537 area before and after PVI.

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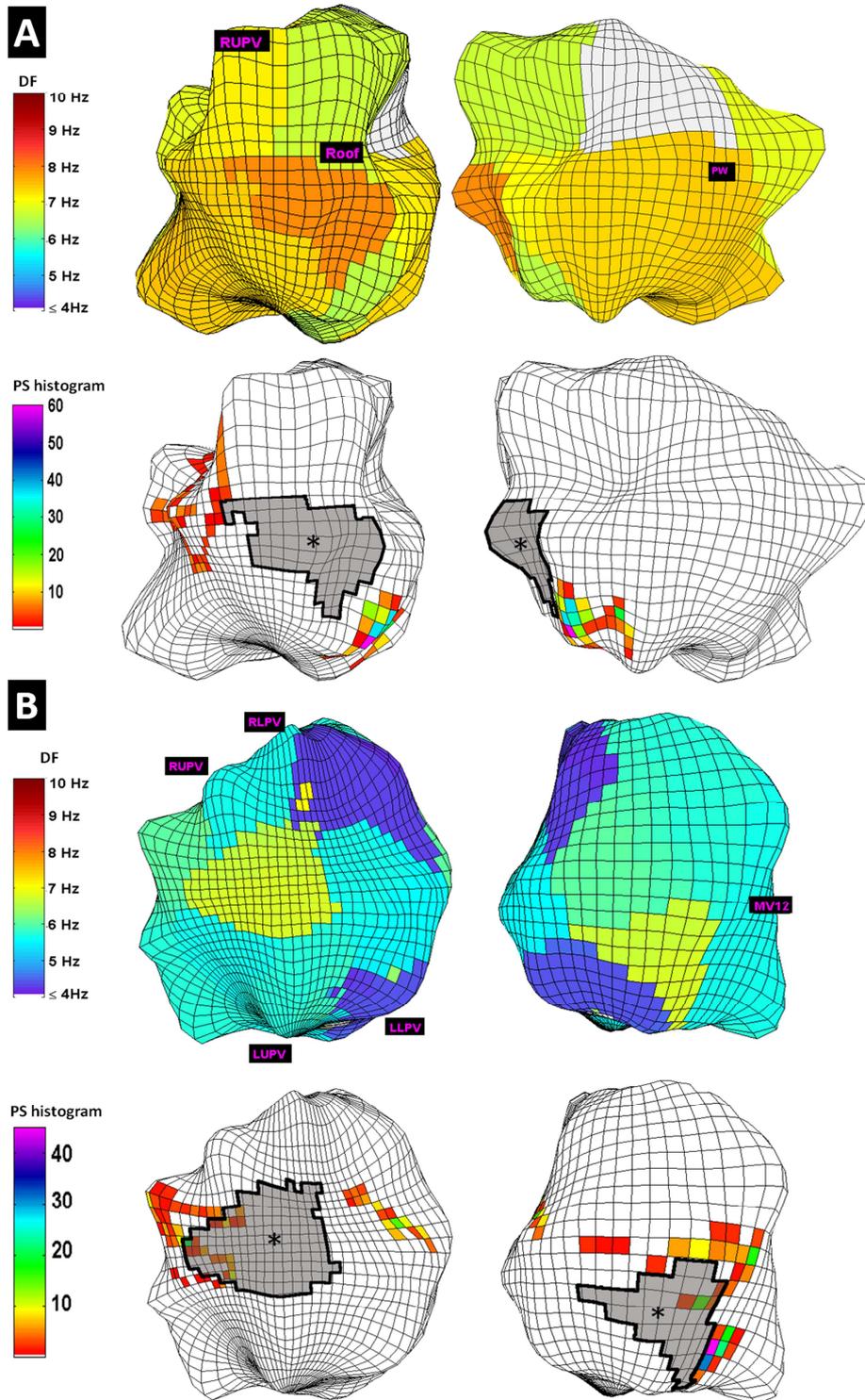
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Figure 5



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566 Figure 5 - Spatiotemporal correlation between HDF areas and highest PS incidence. A
567 pattern where the PS points were concentrated surrounding the HDF areas is presented
568 (A); and the PS points were present either on areas surrounding HDF or just inside the
569 boundaries of the HDF areas (B).

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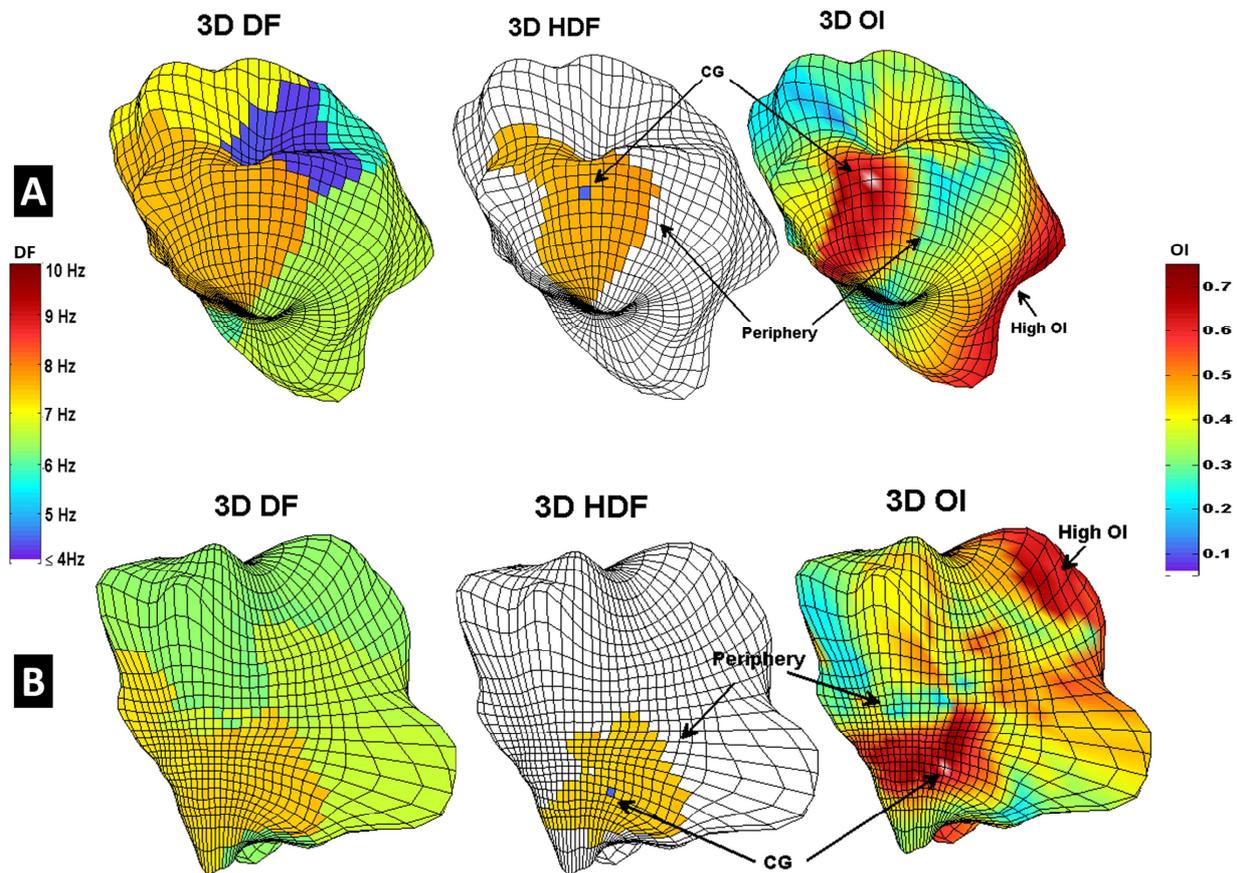
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Figure 6



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603 Figure 6 - Illustration of two sample cases of DF and OI mapping focusing on the HDFA

604 identification (A and B). 3D representation including the mapping of the DFs (Left) and its

605 respective HDFA (Middle). DF organization from the HDFA shows that the OI at the core

606 has a higher organization when compared with its periphery and increases again in some

607 remaining LA areas (Right).