- 1 Anticipation of 24 h severe energy restriction increases energy intake and reduces
- 2 physical activity energy expenditure in the prior 24 h, in healthy males
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### 5 Abstract

6 Intermittent fasting involves alternating between severely restricted and unrestricted energy 7 intake. Physical activity energy expenditure (PAEE) is reduced during, and energy intake is elevated after, a period of energy restriction, but whether these are altered in anticipation of 8 energy restriction is unknown. The aim of this study was to assess energy intake and PAEE in 9 10 the 24 h before severe energy restriction. In randomised, counterbalanced order, 14 healthy males completed two 48 h trials over 3 days. On day 1, participants were informed which diet 11 they would receive on day 2; either an energy balanced diet providing 100% (2755 (159) kcal; 12 EB) or an energy restricted diet providing 25% (691 (42) kcal; ER), of their estimated energy 13 requirements. Throughout day 1, ad-libitum energy intake was then determined from 14 researcher-provided breakfast (08:30-09:00), lunch (12:30-13:00), afternoon snacks (14:00-15 18:00) and dinner (19:30-20:00). On day 2, participants consumed their allocated diet as 16 instructed. On day 3, ad-libitum energy intake was assessed at breakfast (08:30-09:00). PAEE 17 18 was measured throughout via integrated heart-rate and accelerometry monitors. Energy intake was 6% greater on day 1 (260 (344) kcal; P<0.05) and 14% greater at breakfast on day 3 (223) 19 (59) kcal; P<0.05) during ER compared to EB. PAEE was 156 (252) kcal lower on day 1 20 21 (P<0.05) and 239 (391) lower on day 2 (P<0.05) during ER compared to EB. These behavioural compensations meant that the energy deficit produced by 24 h severe energy restriction was 22 23 attenuated by 1108 (415) kcal (46%) over the study period (P<0.0001). These results suggest that compensatory changes in energy intake and PAEE occur before, during and after an acute 24 24 h period of severe energy restriction, likely lessening the energy deficit created. 25

26 Key words: intermittent fasting; energy balance; eating behaviour; physical activity

### 1. Introduction

Maintenance of a healthy weight is only achieved through careful management of energy 28 29 balance, with weight gain occurring when energy intake exceeds energy expenditure over a prolonged period of time (Swinburn et al. 2011). This leads to an accumulation of adipose 30 tissue (i.e. overweight/ obesity) and substantially increases the risk of several chronic diseases 31 32 (Bray et al. 2001). Early to middle adulthood (18-49 years of age) has been identified as a crucial period when the majority of weight gain tends to occur (Ostbye et al. 2011). Although 33 lifestyle modification can achieve weight loss for some people (Greenberg et al. 2009), 34 compensatory alterations in appetite and metabolism favour the regain of lost weight (Polidori 35 et al. 2016), therefore sustaining weight loss in the long-term is notoriously difficult (Anderson 36 37 et al. 1999). Consequently, it is important to understand how methods of energy restriction affect indices of energy balance, as this will ultimately dictate weight management. 38

Intermittent fasting is a method of dieting that involves discrete 24-48 h periods of either 39 40 complete (i.e. by 100%) or severe (by ~75%) energy restriction, separated by periods of ad*libitum* or adequate energy intake. In contrast to traditional diets, intermittent energy restriction 41 permits periods of unrestricted food intake, with this flexibility suggested to improve diet 42 adherence (Harvie and Howell, 2017). However, by virtue of this flexibility, opportunities are 43 44 presented where behaviour could be altered to influence the magnitude of the energy deficit 45 that is created. Several studies have demonstrated that a 24-48 h period of complete fasting or severe energy restriction, is not fully compensated for in the subsequent 48-96 h (Clayton et al. 46 2016a; Clayton et al. 2016b; Levitsky and DeRosimo, 2010; O'Connor et al. 2016; Johnstone 47 48 et al. 2002). However, energy balance is affected by changes in behaviour before, during and after energy restriction, with all these studies only assessing energy intake in response to a 49 50 period of energy restriction. Meal planning often dictates what and how much we eat in advance of an eating occasion, which is likely to be influenced by how much we expect to want to eat 51

or if we anticipate a future need (Brunstrom, 2011). If expected satiety is reduced in anticipation of a period of energy restriction, this may lead to greater portions being consumed in 'preparation', which subsequently compromises the magnitude of energy deficit that is created (Brunstrom et al. 2010; Bell, Roe and Rolls, 2003), something that has recently been reported in the context of exercise (Barutcu et al. 2019). Given the flexibility in dietary behaviour that is permitted by intermittent energy restriction, it is important to determine whether eating behaviour is affected before the period of energy restriction commences.

The majority of nutritional intervention studies focus on energy intake, assuming that a change 59 in energy intake is a surrogate for the change in energy balance. However, physical activity 60 energy expenditure has been shown to be malleable to fasting/ feeding behaviour (Betts et al. 61 2016). Randomised controlled experiments have shown that extended periods of fasting 62 (implemented by skipping breakfast) reduced spontaneous light-intensity physical activity 63 compared to when a prescribed breakfast was consumed (Betts et al. 2014; Chowdhury et al. 64 65 2016), and in these studies the magnitude of this decrease in energy expenditure offset the reduction in energy intake achieved by skipping breakfast. However, it is not known whether 66 consuming a very-low energy diet, rather than implementing a period of complete fasting, has 67 a similar influence on habitual energy expenditure. 68

The aims of this study were to assess whether a planned period of severe energy restriction (consuming ~25% of estimated energy requirements (EER)) affected participants energy intake, physical activity energy expenditure and subjective appetite during the prior 24 h, and whether habitual physical activity energy expenditure was affected during a 24 h period of severe energy restriction.

### 74 **2. Methods**

### 75 *2.1.Participants*

Fourteen healthy males provided written consent and completed the study (Table 1).
Participants were not restrained, disinhibited or hungry eaters (Three Factor Eating
Questionnaire; Stunkard and Messick, 1985). All participants were active, non-smokers,
weight stable for 6 months (self-reported), not currently dieting, and were not consuming any
medication known to affect appetite. The study was approved by the Nottingham Trent
University Human Invasive Ethics Committee (Ref: 526).

Characteristic	Participants (n=14)
Sex	Male
Race	Caucasian n=14
Age (y)	23 (5)
Weight (kg)	81.76 (7.98)
Height (m)	1.82 (0.07)
BMI (kg $\cdot$ m <sup>-2</sup> )	24.59 (2.01)
Body fat (%)	16.51 (3.95)
Dietary restraint <sup>1</sup>	6 (2)
Dietary disinhibition <sup>1</sup>	9 (4)
Hunger <sup>1</sup>	7 (2)
Resting metabolic rate (kcal) <sup>2</sup>	1841 (114)

## 82 Table 1. Participant baseline characteristics. Values are means (SD).

<sup>1</sup>Three factor eating questionnaire (Stunkard and Messick, 1985) <sup>2</sup>Estiamted via predictive equation (Mifflin et al. 1990)

### 85 2.2. Study design

Participants completed a 1-day preliminary trial and two experimental trials. Experimental trials were conducted over a 48 h period (from 08:30 on day 1 until 08:30 on day 3) and were administered in a randomised, counterbalanced order. On day 1, all participants food intake was measured, after they were informed which of the two diets they would receive the following day. On day 2, participants consumed a pre-prepared 24 h standardised diet, providing either 100% (EB) or 25% (ER) of EER. On day 3, food intake was measured at an *ad-libitum* buffet meal. Physical activity was measured continuously throughout each trial.

## 93 2.3. Preliminary trial and standardisation procedures

During the preliminary trial, participants body mass (Adam CFW150; Adam Equipment 94 95 Limited; Milton Keynes; UK), height (Seca; Hamberg; Germany) and skin-fold thickness (bicep, tricep, subscapular, iliac crest; Harpenden, West Sussex, UK) were measured, with BMI 96 and body fat percentage (Durnin and Womersley, 1974) calculated. This was followed by an 97 incremental ambulatory exercise test, with participants completing 3-minute stages, at 3.2 km/h, 98 5.2 km/h, 5.6 km/h at 10% gradient and 9 km/h (Templeman et al. 2018; Brage et al. 2007). In 99 100 the final minute of each stage, mean heart rate was recorded (Polar H10, Polar, Warwick, UK) and expired gas was collected into a Douglas bag. Expired gas was analysed for oxygen and 101 carbon dioxide concentration (MiniMP 5200, Servomex, East Sussex, UK), volume (Dry gas 102 103 meter, Cranlea, Birmingham, UK) and temperature (Digital thermometer, Fisher Scientific Ltd, Loughborough, UK), with energy expenditure calculated via indirect calorimetry (Frayn, 1983). 104 This data was then used to individually calibrate physical activity monitors (Actiheart, 105 106 CamNtech, Cambridge, UK). Participants were also fully familiarised with all *ad-libitum* buffet 107 procedures during this preliminary trial.

Twenty-four hours before the first experimental trial, participants recorded their dietary intake and physical activity. This was then replicated in the 24 h preceding the second trial. Participants were asked to avoid any unnecessary activity, with alcohol and strenuous exercise strictly prohibited in the 24 h pre-trial and 48 h trial period. Participants completed each trial on the same days of the week, with no longer than two weeks between experimental trials.

113 *2.4. Protocol* 

For each trial, participants attended the laboratory on three consecutive mornings at 08:00 after a  $\geq$ 10 h overnight fast, with body mass (in minimal clothing) and capillary blood glucose concentration (Biosin C-Line; EKF Diagnostics; Cardiff; UK) measured 20 minutes after arrival. Changes in body mass were used to as a surrogate marker of adherence to the study protocol.

After baseline measures on day 1, an Actiheart monitor was fitted and participants completed 119 an appetite questionnaire. Participants were then informed of the diet they would receive on 120 day 2. For the EB trial, participants were told: "Tomorrow you will consume a diet providing 121 100% of your energy (calorie) requirements. This will contain [participants standardised diet 122 123 energy content to maintain energy balance rounded to nearest 10 kcal] and will be a similar amount of food to what you would normally eat". For the ER trial, participants were told: 124 "Tomorrow you will consume a diet providing 25% of your energy (calorie) requirements. This 125 126 will contain [participants standardised diet energy content to provide 25% of energy requirements rounded to nearest 10 kcal] and will be about one quarter of the amount of food 127 you would normally eat". After a 5-minute interval, participants completed another appetite 128 129 questionnaire, immediately followed by an *ad-libitum* breakfast (~08:30-09:00). Participants left the laboratory after breakfast and returned to the laboratory for an ad-libitum lunch 130 (~12:30-13:00). After lunch, participants were provided with a selection of snacks that could 131

be consumed *ad-libitum* 14:00-18:00 and an *ad-libitum* pasta-based evening meal to be
consumed at home 19:30-20:00. *Ad-libitum* water intake was permitted throughout the day and
was recorded.

Participants returned to the laboratory on day 2 and were provided with a standardised diet providing either 100% (EB) or 25% (ER) of EER. Breakfast was consumed in the laboratory (08:30), after which participants left with all remaining food and drink items for the day, along with instructions of when to consume each item. On day 3, participants returned to the laboratory and baseline measures were repeated, after which an *ad-libitum* breakfast meal (identical to day 1) was provided (08:00-08:30).

141 2.5. Standardised diets

Diets provided on day 2 were tailored to individual energy requirements and food preferences 142 to encourage adherence. Resting metabolic rate was estimated for each participant using a 143 predictive equation (Mifflin et al. 1990) and multiplied by a physical activity level of 1.5 144 (indicating light activity), determining EER. During EB, 100% of EER was provided as four 145 meals: 20% (of total food energy) at 08:30 (cereal, milk, orange juice and apple), 30% at 12:30 146 147 (white bread, mayonnaise, chicken, salad and cookies), 10% at 16:00 (yogurt and cereal bar) and 40% at 19:30 (pasta, Bolognese sauce, chicken, cookies). During ER, 25% of EER was 148 split into three meals: 7% (of total food energy) at 08:30 (apple), 32% at 12:30 (chicken and 149 150 salad) and 61% at 19:30 (pasta, Bolognese sauce, chicken). Water was also provided with breakfast during ER, which was of the same volume as the breakfast provided on EB. 151 Additional water was prescribed at 35 mL·kg<sup>-1</sup> body mass (2400 (260) mL) on both trials and 152 153 was evenly distributed throughout the day. Similar foods were provided on both trials, with the ER diet created by removing or reducing high fat and high carbohydrate foods from the EB 154 diet, as described previously (Clayton et al. 2016a). 155

Energy and macronutrient intake was assessed at a multi-item breakfast (08:30-09:00), a multi-157 158 item lunch (12:30-13:00), optional snacks (14:00-18:00) and a homogenous dinner (19:30-20:00) on day 1, as well as a multi-item breakfast on day 3 (08:30-09:00). Amounts consumed 159 at each meal were quantified by weighing each food item before and after consumption, with 160 161 energy and macronutrient intake ascertained from manufacturer values. Breakfast and lunch meals were served in the laboratory in an isolated feeding booth with no interaction between 162 participants and investigators. Food was provided in excess of expected consumption, with 163 more food available on request. Participants were given 30 minutes to eat each meal, and were 164 explicitly instructed to eat until they felt "comfortably full and satisfied". Items provided for 165 each *ad-libitum* eating occasion are detailed in **Table 2.** The dinner meal was a homogenous 166 main meal consisting of pasta, Bolognese sauce and olive oil, with chocolate-chip cookies for 167 dessert, which participants consumed at home. The main meal was prepared the day prior to 168 169 trials using identical cooking and cooling procedures, and was provided in a large plastic container. Participants were required to select a portion and warm it before eating. Participants 170 were asked to eat this meal from the same plate or bowl during both trials, which they could 171 refill as often as desired within 30 minutes, and they were asked to eat until they felt 172 "comfortably full and satisfied". Chocolate-chip cookies were provided in the same container 173 and in the same quantity for both trials. All items consumed outside the laboratory were 174 weighed before being provided and reweighed upon return to the laboratory on day 3 of the 175 trial. Water intake was permitted *ad-libitum* and was recorded. 176

Item	Energy	Approx.	Item	Energy	Approx.
	density	amount		density	amount
	(kcal·100g <sup>-1</sup> )	provided (g)		(kcal·100g <sup>-1</sup> )	provided (g)
		5	s (08:30-09:00)		
White bread	238	800	Light spread	398	500
Brown bread	233	800	Sliced ham	107	200
Coco Pops	382	480	Grated	416	50
cereal			cheese		
Rice	387	510	Yogurt	90	375
Krispies					
cereal					
Muesli	369	550	Sugar	400	500
Weetabix	362	340	Apple	53	250
Semi-	50	2000	Clementine	47	200
skimmed					
milk					
Jam	244	300	Orange Juice	40	1000
Marmalade	257	450	Sugar free	20	1000
			squash		
Nutella	539	400	Water	0	1000
		Lunch items	(12:30-13:00)		
White bread	238	800	Yogurt	90	375
Brown bread	233	800	Crisps	256	50
Tomato	20	150	Chocolate	491	200
			chip cookies		
Cucumber	16	200	Apple	53	250
Light	264	430	Clementine	47	200
mayonnaise					
Sliced	101	200	Orange Juice	40	1000
chicken			U		
Sliced ham	107	200	Sugar free	20	1000
			squash		
Cheese	416	50	Water	0	1000
Light spread	398	500			
			(14:00-18:00)		
Fun size	443	40	Apple	53	250
Mars bar			-rr		
Fun size	495	40	Clementine	47	200
Twix bar	.,,,	10		.,	200
Special K	384	55	Crisps	256	50
cereal bar	201	22	Chisps	200	20
our		Dinner items	(19:30-20:00)		
Pasta	176	1100	Olive oil	900	32
(cooked)	170	1100		200	52
Bolognese	45	400	Chocolate	491	200
sauce	r <i>J</i>	100	chip cookies	1/1	200
Buuce			chip cookies		

178	<b>Table 2:</b> Food items provided during each <i>ad-libitum</i> eating occasion.

### 180 *2.7. Energy expenditure*

Energy expenditure was assessed in 15 second epochs from 08:30 on day 1 until 08:30 on day 181 3 via an Actiheart monitor, which integrates heart rate and accelerometry to yield the most 182 accurate estimation of physical activity energy expenditure of any wearable device 183 (Chowdhury et al. 2017). Dietary induced thermogenesis was estimated from participants 184 185 macronutrient intake during trials (Westerterp, 2004), then added to physical activity energy expenditure derived from the Actiheart to summate total non-resting energy expenditure. To 186 improve the validity of the energy expenditure estimation, monitors were individually 187 calibrated using the heart rate-energy expenditure regression equation from the sub-maximal 188 ambulatory test conducted during the preliminary trial (Brage et al. 2007). Data was considered 189 valid if less than 10% of the activity trace was 'lost' during waking hours, and <30% of the 190 heart rate trace was 'interpolated' by the software (Edinburgh et al. 2019). All Actiheart data 191 192 collected in the present study met this criteria, so all data were included in analysis.

### 193

### 2.8. Subjective appetite sensations

Hunger, fullness, desire to eat (DTE), prospective food consumption (PFC) and nausea were assessed via a questionnaire, immediately before and after each meal (excluding snacks). An additional questionnaire was provided before and 5 minutes after participants were informed which trial they were completing on day 1. Ratings were provided on a 100 mm visual analogue scales with anchors of "not at all/ none at all/ no desire at all" and "extremely/ a lot" placed at 0 and 100 mm, respectively.

### 200 2.9. Statistical analysis

Data were analysed using SPSS 24.0 (IBM, Chicago, USA). All data was checked for normality
using a Shapiro-Wilk test. Energy intake, macronutrient intake and PAEE data were analysed
as a total for each trial and as a sub-total for each day of the study separately. Energy and

macronutrient intake was also analysed at each individual *ad-libitum* eating occasion. In each 204 case, data were expressed as a single value for each trial and analysed using a paired samples 205 *t*-test (normally distributed data) or Wilcoxon-Signed Rank test (non-normally distributed data), 206 as appropriate. PAEE data were also sub-divided and analysed by times-of-day, i.e. early 207 morning (06:00-08:59), morning (09:00-11:59), afternoon (12:00-16:59), evening (17:00-208 21:59) and overnight (22:00-05:59), and by accepted thresholds for intensity (Haskell et al. 209 210 2007), i.e. sedentary (<1.5 METS), light (1.5-2.9 METS), moderate (3-5.9 METS) and vigorous (>6 METS). Similarly, data for each sub-division were expressed as a single value 211 212 for each and analysed using a paired samples t-test or Wilcoxon Signed-Rank test, as appropriate. Repeated measures ANOVA were used to evaluate main effects of time, trial and 213 time-by-trial interactions for variables with multiple time points (e.g. hunger, fullness, desire 214 to eat, prospective food consumption, blood glucose and body mass). Where interaction effects 215 were observed, Holm-Bonferroni adjusted *post-hoc* paired *t*-tests or Wilcoxon Signed-Ranks 216 tests were conducted. For appetite-related variables, area under the curve (AUC) was calculated 217 using the trapezoidal method, and were analysed using a *t*-test or Wilcoxon Signed-Rank test, 218 as appropriate. Data sets were determined to be statistically significantly different when P < 0.05. 219 Data are presented as mean (SD) in text and tables and as mean (SEM) in figures. 220

#### **3. Results**

### *3.1. Energy and macronutrient intake*

There was no difference in energy intake at any discrete meal on day 1 (breakfast: *P*=0.235;

- lunch: *P*=0.380; snack: *P*=0.203; dinner: *P*=0.767; **Figure 1**), but total *ad-libitum* energy intake
- on day 1 was 6% greater during ER compared to EB (260 (344) kcal; P<0.05; Figure 1).
- 227 Greater total energy intake on ER was driven by greater carbohydrate intake (P<0.05), as well
- as a trend for greater protein intake (P=0.083), with no differences in fat (P=0.138) or fibre
- (P=0.584) intake. Water intake was also greater on ER compared to EB (P<0.01; Table 3).
- On day 2, when each participant's food intake was prescribed and provided, energy intake was
- 231 2065 (118) kcal lower on ER compared to EB (**Figure 1**).

232 At breakfast on day 3, ad-libitum energy intake was 17% greater (176 (226) kcal) during ER compared to EB (P < 0.05). This was again driven by greater carbohydrate intake during ER 233 (P < 0.001), with no differences in protein (P = 0.141), fat (P = 0.179) or fibre (P = 0.885) intake 234 between trials. Water intake tended to be greater on ER compared to EB (P=0.067; Table 3). 235 When comparing *ad-libitum* energy intake between the identical breakfast meals provided on 236 237 day 1 and 3, there was a time (P < 0.01), a trial (P < 0.05) but no interaction effect (P = 0.352) 238 identified. Across both trials, ad-libitum energy intake was 16% greater during day 3 compared to day 1 (163 (227) kcal; P<0.001). Energy intake was also 16% (135 (254) kcal) greater on 239 day 1 and 3 combined during ER, compared to EB (P < 0.01). 240

Over the study period, *ad-libitum* energy intake was 436 (463) kcal (8%) greater during ER compared to EB (*P*<0.01), which was sufficient to replace 21% of the energy deficit created on day 2. Including day 2, total energy intake over the 3-day study period was 1629 (423) kcal lower during ER compared EB (EB: 8321 (1622); ER: 6692 (1739) kcal; *P*<0.001).

# 246 [Figure 1 here]

## 247

	Day 1		Da	Day 2		Day 3 (breakfast only)	
	EB	ER	EB	ER	EB	ER	
Energy	4322	4582	2755	691	1244	1420	
(kcal)	(1065)	(1193)†	(159)	(42)†	(567)	(596)†	
Protein (g)	140	148	126	80	48	53	
	(35)	(41)	(8)	(5)†	(23)	(21)	
CHO (g)	588	626	367	69	187	215	
	(143)	(149)†	(23)	(4)†	(88)	(95)†	
Fat (g)	148	156	84	10	31	36	
	(49)	(53)	(6)	(1)†	(17)	(19)	
Fibre (g)	41	42	15	5	12	13	
	(12)	(10)	(2)	(0)†	(6)	(4)	
Water (g)	4568	5217	3488	3215	974	1064	
	(839)	(1241)†	(304)	(295)†	(276)	(336)	

**Table 3:** Energy and macronutrient intake on each day of the study period

Values are means (SD). EB: energy balance trial; ER: energy restriction trial. CHO;
carbohydrate. † indicates significantly different from EB (P<0.05).</li>

251

252 *3.2. Energy expenditure* 

PAEE was 11% lower on day 1 (1221 (474) vs. 1064 (436) kcal; *P*<0.05) and 18% lower on</li>
day 2 (1183 (409) vs. 944 (370) kcal; *P*<0.05) during ER compared to EB (Figure 2). Over the</li>

study period, PAEE was 16% lower during ER compared to EB (2403 (700) vs. 2008 (692)
kcal; *P*<0.01).</li>

257 When analysed by time of day, PAEE was significantly lower in the afternoon on day 2 during ER compared to EB (355 (110) vs. 207 (118) kcal; P<0.001), and tended to be lower in the 258 afternoon on day 1 during ER (P=0.078). There was also a tendency for lower PAEE overnight 259 during ER on day 2 (P=0.084). No other time-period differed significantly between trials 260 (P>0.230; Figure 2). When separated by intensity, analysis revealed participants engaged in 261 less light intensity PAEE during across the total study period during ER (P < 0.001), with light 262 intensity PAEE lower during ER on both day 1 (P<0.05) and day 2 (P<0.01), and vigorous 263 intensity PAEE tending to be lower on day 1 (P=0.084). There were no further differences 264 between trials for PAEE intensity (*P*>0.114; Figure 2). 265

Using established constants for the thermogenic effect that each macronutrient has upon ingestion (Westerterp, 2004), dietary induced thermogenesis was estimated to be greater during EB on day 2 (248 (16) vs. 102 (7) kcal; P<0.001), and slightly greater during ER on day 1 (340 (75) vs. 361 (89) kcal; P<0.05).

Over the study period, PAEE was 395 (452) kcal lower during ER compared to EB (P<0.01), which was sufficient to replace 19% of the energy deficit created by the energy restriction intervention on day 2. Accounting for differences in dietary induced thermogenesis between trials, energy expenditure was 521 (469) kcal lower during ER (P<0.001), compensating for 25% of dietary induced energy deficit achieved on day 2.

275

276 [Figure 2 here]

There were trial (P < 0.001), time (P < 0.001) and interaction (P < 0.001) effects for hunger, 279 280 fullness, DTE and PFC. There were no trial (P=0.334), time (P=0418) or interaction (P=0.393) effects for nausea. On day 1, there was a tendency for DTE to be lower before lunch (P=0.059) 281 and fullness was greater after dinner and before bed (P < 0.05) during ER compared to EB. 282 283 Informing participants that they were completing the ER trial did not immediately influence fullness, DTE or PFC (P>0.403), but tended to increase hunger (P=0.088), and there was no 284 immediate effect on any marker of appetite when they were told they were completing the EB 285 trial (P>0.276). AUC over the entire day was greater for DTE during EB compared to ER 286 (P<0.05), but there was no difference in AUC for hunger (P=0.370), fullness (P=0.205), PFC 287 (*P*=0.594) or nausea (*P*=0.791; Figure 3). 288

289 On day 2, there was no difference in any subjective appetite measure before breakfast (P>0.119). After breakfast, hunger and DTE were greater (P<0.01), PFC tended to be greater 290 291 (P=0.062), and fullness was lower (P<0.05) during ER. Before lunch, DTE was greater (P<0.05) and PFC tended to be greater (P=0.064) during ER. Hunger, DTE and PFC were 292 greater, with fullness lower (P < 0.05), after lunch during ER. There were no differences in any 293 appetite measure before dinner (P>0.168), but hunger, DTE and PFC were greater, and fullness 294 lower (P<0.001), after dinner during ER. Hunger, DTE and PFC were greater, and fullness 295 296 lower (P<0.05), before bed during ER. AUC for the whole of day 2 was greater during ER for hunger, DTE and PFC, and lower for fullness, compared to EB (all P<0.001), but there was no 297 difference in nausea (*P*=0.845; Figure 3). 298

On day 3, PFC was greater and fullness lower (*P*<0.05) before breakfast, with no difference in</li>
any appetite measure after breakfast (*P*>0.244).

### 302 [Figure 3 here]

303

### 304 *3.4. Body mass and blood glucose concentration*

There were time (P < 0.001) and interaction (P < 0.001) effects, but no effect of trial (P = 0.713)

for body mass. Body mass on day 3 was 0.7 (0.7) kg lower on ER compared to EB (P<0.01; **Table 4**). Between day 2 and day 3, body mass decreased during ER (P<0.001) and tended to decrease during EB (P=0.094). The amount of body mass lost between day 2 and 3 was considerably greater during ER compared to EB (1.4 (0.7) kg vs. 0.7 (0.7) kg; P<0001). Body mass also increased by 0.5 (0.7) kg between day 1 and 2 during ER (P<0.05).

There were no main time (P=0.293), trial (P=0.564) or interaction (P=0.054) effects for blood glucose concentration.

Table 4: Morning body mass and blood glucose measurements during each day of eachexperimental trial

		Energy Balance (EB)			Energy Restriction (ER)		
		Day 1	Day 2	Day 3	Day 1	Day 2	Day 3
Body mass (kg)		81.35	81.66	81.26	81.51	81.99	80.59
		(8.24)	(8.36)	(8.43)	(8.32)	(8.78)*	(8.33)‡†
Blood	glucose	4.38	4.51	4.45	4.50	4.43	4.28
(mmol·L <sup>-</sup>	<sup>-1</sup> )	(0.41)	(0.29)	(0.27)	(0.37)	(0.47)	(0.30)

Values are means (1SD).  $\dagger$  indicates significant difference to EB at corresponding time point; \*indicates significant difference to day 1 during same trial (*P*<0.05);  $\ddagger$  indicates significant difference to day 2 during the same trial.

### 319 **4. Discussion**

The primary aim of this study was to investigate whether compensatory alterations in indices 320 321 of energy balance occur in anticipation of an acute period of severe energy restriction. The study found that energy intake is increased 6% and physical activity energy expenditure 322 (PAEE) decreased 11%, in the 24 h preceding an acute 24 h period of energy restriction (ER), 323 324 compared to an energy balance (EB) control trial. Furthermore, PAEE decreased 18% during the 24 h period of severe energy restriction. These results indicate that compensatory 325 behavioural alterations, on both sides of the energy balance equation, occur in anticipation and 326 in response to a dietary induced energy deficit. 327

328 Previous studies have been designed to assess how appetite and energy intake responded after 329 a period of severe energy restriction (consuming 25% of EER). These studies have consistently 330 reported, as expected, that appetite and energy intake increases following a 24-48 h period of severe energy restriction, compared to an adequate energy control trial in the short term 331 (Clayton et al. 2016a; Clayton et al. 2016b; O'Connor et al. 2016; Johnstone et al. 2002). 332 However, the absolute increase in energy intake observed in response to severe energy 333 restriction is small compared to the energy deficit created by the period of energy restriction, 334 and as such, relative energy intake is consistently reported to be lower during severe energy 335 restriction (Clayton et al. 2016a; Clayton et al. 2016b; O'Connor et al. 2016; Antoni et al. 2016). 336

The results of the present study indicate that knowledge of a future period of severe energy restriction, as would be the case in a real-world setting, results in an anticipatory increase in energy intake. In the present study, participants increased their energy intake by ~260 kcal on day 1, essentially compensating for ~12% of the energy deficit, before even undertaking the 24 h period of severe energy restriction on day 2. This data has implications for intermittent fasting diets that involve alternating between periods of severely restricted food intake and periods of

ad-libitum food intake. A popular variation of intermittent fasting is the 5:2 diet, which 343 typically involves splitting the seven-day week into two days of severely restricted food intake 344 345 (~500 kcal) and five days of unrestricted eating (Harvie and Howell, 2017). The day-to-day flexibility of this method is thought to be one of the key reasons for its popularity (Harvie and 346 Howell, 2017), but consequently, this presents opportunities for individuals to increase energy 347 intake above their adequate energy requirements outside of the defined period of restriction. 348 349 Previous studies have demonstrated that compensatory eating occurs after a period of severe energy restriction, but the present study provides novel findings that compensatory eating also 350 351 occurs before a period of severe energy restriction has commenced. This is likely to reduce the magnitude of the energy deficit achieved. 352

353 Appetite is thought to be governed by homeostatic, environmental and cognitive factors that culminate in the initiation and termination of an eating episode. It is therefore interesting to 354 355 note that, despite consuming more food, participants did not report any orexigenic differences in appetite sensations during day 1 of the ER trial, in the present study. Indeed, the only 356 observed difference in appetite on day 1 was a reduced desire to eat during the ER trial, which 357 likely reflects the fact that participants consumed more food during the ER trial. This difference 358 in desire to eat may have also been magnified by the fact that appetite was only assessed before 359 and after each meal. The energy intake results align closely with an alternative theory on eating 360 behaviour, termed 'expected satiety', in that meal size is determined in advance of an eating 361 occasion (Brunstrom, 2011). In the context of the present study, participant's expectations on 362 how satiated (or hungry) they would feel on day 2 may have influenced their eating behaviour 363 364 on day 1. Recently, Potter et al. (2019) found that individuals who were not successful with intermittent fasting reported that they were more likely to eat in anticipation of a future need, 365 compared to individuals currently undertaking intermittent fasting. The participants of the 366 present study were healthy males not currently undertaking any weight management 367

368 programme and were not accustomed to intermittent fasting diets. It would be interesting to 369 determine whether eating behaviour differed after repeated exposure to periods of severe 370 energy restriction, particularly as expected satiety is modulated by previous experience, which 371 may influence portion size selection (Brunstrom et al. 2008).

The present study also observed a decrease in PAEE in the 24 h before, and during, the period 372 373 of severe energy restriction. In essence, this reduction in PAEE served to reduce the energy deficit achieved by the dietary energy restriction study intervention on day 2 by ~400 kcal 374 (16%), compared to the energy balanced control trial. The attenuation in PAEE during the 375 period of severe energy restriction may be the result of perceived lethargy or reduced substrate 376 availability, resulting in either the conscious or subconscious reduction of non-essential 377 378 physical activity (Betts et al. 2016). Previous studies have reported a similar reduction in PAEE in response to extended morning fasting (Betts et al. 2014; Chowdhury et al. 2016). In one 379 380 study, average daily PAEE was ~440 kcal greater in lean individuals who consumed a 700 kcal 381 breakfast for six weeks, compared to individuals who skipped breakfast and fasted until midday (Betts et al. 2014). Of note, a significant proportion of this difference (~180 kcal) occurred 382 before midday, coinciding with the time when no energy was consumed in the breakfast 383 skipping group (Betts et al. 2014). The current study provides an important addition to the 384 literature, as the reduction in PAEE detected using combined heart-rate accelerometers (i.e. 385 Actiheart monitors) on day 1 cannot be attributed to a fasting-related reduction in heart rate 386 (Matsumoto et al. 2001), as could be suggested with previous studies. Therefore, in conjunction 387 with previous studies, these findings provide strong evidence that complete or severe energy 388 389 restriction leads to a conscious or subconscious concurrent reduction in PAEE. In the context of obesity, it should be noted that 10% weight gain achieved by over-nutrition was associated 390 with an increase in energy expenditure, which could not be fully explained by an increase in 391

RMR, suggesting that PAEE may also increase (although likely to a lesser extent) in thepresence of an energy surplus (Leibel et al. 1995).

394 To our knowledge, this is the first study to observe a reduction in PAEE in anticipation of a period of severe energy restriction in humans. These findings indicate that PAEE is not solely 395 affected by concurrent nutrient availability, but also regulated in response to a threat to energy 396 397 homeostasis. This may stem from an evolutionary trait in humans to conserve energy in 398 preparation for periods of reduced food availability (Leiberman, 2006). In the wild, when food availability is intermittent, some animals will moderate their non-essential thermogenesis, 399 enabling their endogenous energy reserves to sustain them for the longest time possible (Halsey, 400 2016). In addition, animals that gorge on food when there is an abundance, will increase their 401 402 energy expenditure as a means of maintaining a stable body weight (Halsey, 2018). These examples highlight that sustaining a healthy body weight is of critical importance for wild 403 animals, likely because a fluctuation may make them vulnerable to predators or reduce 404 405 reproductive proficiency (Halsey, 2016). These are generally not concerns shared by humans in the modern world, but it is well-established that the appetite regulatory system is sensitive 406 to an energy deficit, but less so to an energy surplus (Rogers and Brunstrom, 2016), suggesting 407 a disproportionate response in humans which favours weight gain. An interesting extension to 408 this work would be to consider participants subjective psychological responses to energy 409 restriction, which would help to determine the extent to which PAEE is consciously altered. 410

Linked to this, one study reported that misleading participants to believe that they would not be eating breakfast resulted in an increase in fasting concentrations of the orexigneic hormone ghrelin, which remained elevated post-prandially even after participants had consumed breakfast (Ott et al. 2012). Ghrelin has also been suggested to be involved in the regulation of physical activity via the hypothalamic neuropeptide AgRP (Pfluger et al. 2011), suggesting there is interplay between mechanisms affecting components of energy balance. Whilst beyond 417 the scope of this study, alterations in appetite hormone concentrations occurring after 418 participants were informed of their day-2 diet may lead to reduced physical activity via this 419 pathway, although future studies would be required to elucidate a mechanistic link between 420 anticipatory appetite and physical activity.

Long-term studies have shown that intermittent energy restriction can be successful for 421 422 achieving weight loss of 5-8% over 12-24 weeks (Harvie and Howell, 2017). However, it is important to note that these studies often include nutritionist support and sometimes provide 423 meals for participants, typically services not available to the wider public (Gibson and 424 Sainsbury, 2017). The flexibility permitted by intermittent fasting is considered a major appeal 425 of the diet, as it negates arduous calorie counting by interspersing 24 h periods of severe energy 426 restriction around periods of unrestricted eating. By design, an intermittent diet provides 427 opportunities for compensatory behaviours to reduce the magnitude of the energy deficit 428 created during the periods of severe energy restriction. Data from the current study indicates 429 that increases in energy intake and reductions in PAEE attenuate this energy deficit 430 considerably, rendering efforts to severely restrict energy intake on certain days less effective 431 than might be assumed. 432

The current study found an increase in food intake with a concurrent reduction in physical 433 activity in *anticipation* of severe energy restriction (by ~390 kcal), a reduction in physical 434 435 activity *during* the period of energy restriction (by ~435 kcal) and an increase in energy intake at the first meal following the period of energy restriction (by ~175 kcal). In total, these 436 compensatory changes accounted for 1108 (415) kcal of the 2065 (118) kcal reduction in 437 438 energy intake achieved by the severe energy restriction intervention on day 2. Considering also that other similarly designed studies have observed further compensations in energy intake, in 439 the 48h following a period of severe energy restriction (Clayton et al. 2016; Clayton et al. 2016), 440 it is clear that the magnitude of the energy deficit achieved by severe energy restriction would 441

be reduced considerably by compensatory behavioural alterations. Physical activity and 'binge'
eating are also associated with chronic disease (Roberts and Bernard, 2005; Parry et al. 2017),
therefore, even if the energy deficit conserved after compensation is still sufficient to prevent
weight gain, future studies will need to determine the impact of these behaviours on long-term
metabolic health.

447 The findings of the present study provide novel insight into the regulation of energy balance in anticipation of energy restriction, but it is not without limitations. Firstly, this study was 448 conducted in healthy male participants, and therefore the results cannot necessarily be 449 extrapolated to other population groups, specifically overweight or obese individuals. Secondly, 450 Potter et al. (2019) reported that beliefs about the effectiveness or difficulty of the intermittent 451 452 fasting diets were key factors in determining adherence and success. Therefore, it is likely the participants in this study were not sufficiently motivated to preserve the energy deficit achieved 453 by severe energy restriction on day 2 of the study, or were not sufficiently experienced with 454 455 the diet to know how they would be affected. This may have influenced energy balance through an increase in energy intake, although this is unlikely to have affected energy expenditure. 456 Thirdly, this study only investigated a single exposure to severe energy restriction, so it is not 457 known whether the observed behavioural changes persist after multiple exposures. Finally, 458 despite being an accurate way to quantify energy intake, the buffet meal context used in this 459 study is unlikely to reflect reality for individuals undertaking intermittent fasting habitually. 460

In conclusion, the current study has shown that compensatory changes in physical activity energy expenditure and energy intake may occur before, during and after a period of severe energy restriction, and these changes serve to reduce the magnitude of the energy deficit that is achieved by severe energy restriction. These results suggest that flexible intermittent diets that incorporate severe energy restriction interspersed with periods of unrestricted intake may induce a smaller energy deficit than anticipated, which may have implications for long-term

467	weight management. Future studies should aim to develop strategies to mitigate against energy
468	compensation during intermittent dieting, with the current study indicating these strategies
469	should be implemented before and after periods of energy restriction, and target both sides of
470	the energy balance paradigm.

# 471 **Declaration of competing interests**

472 None

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# 476 Author contributions

- 477 DJC, LJJ and RJ designed the study. RJ and DJC performed data collection and analysis. DJC
- and RJ wrote the manuscript with assistance from LJJ. All authors approved the final version

479 of the manuscript.

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### 613 Figure Captions

**Figure 1:** Energy intake for day 1 (left), day 2 (centre) and day 3 (right), during the energy balance (EB) and energy restriction (ER) trials. Total energy intake on each day is subdivided by meal – breakfast (black bar), lunch (grey bar), snack (white bar) and dinner (crosshatch bar).  $\dagger$  indicates a significant difference in total energy intake between ER and EB during the corresponding day (*P*<0.05). P-values between the bars represent the comparison between trials at each meal during the corresponding day. Values are mean (SEM).

621

Figure 2: Physical activity energy expenditure during day 1 (left) and day 2 (right) during the 622 623 energy balance (EB) and energy restriction (ER) trials. Total energy expenditure is sub-divided by activity intensity (a) – sedentary (black bar), light (grey bar), moderate (white bar) and 624 vigorous (crosshatch bar) and by time of day (b) – early morning (06:00-08:59), morning 625 (09:00-11:59), afternoon (12:00-16:59), evening (17:00-21:59) and overnight (22:00-05:59). † 626 indicates a significant difference in total physical activity energy expenditure between ER and 627 628 EB during the corresponding day (P < 0.05). P-values between the bars represent the comparison between trials for energy expenditure at the each intensity/time of day during the corresponding 629 day. Values are mean (SEM). 630

631

Figure 3: Hunger (a), fullness (b), desire to eat (DTE) (c) and prospective food consumption
(PFC) (d) during the energy balance (EB; black squares; black bar) and energy restriction
(ER; white circle; white bar) trials. Data is presented at each time point (left) and as a timeaveraged area under the curve for each day (right). Values are mean (SEM). † indicates
significant difference to EB at corresponding time point.