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A comparison of facial expression properties in five hylobatid species

(Short title: Facial expressions in hylobatids)

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Abstract

Little is known about facial communication of lesser apes (family Hylobatidae) and how their facial expressions (and use of) relate to social organization. We investigated facial expressions (defined as combinations of facial movements) in social interactions of mated pairs in five different hylobatid species belonging to three different genera using a recently developed objective coding system, the Facial Action Coding System for hylobatid species (GibbonFACS). We described three important properties of their facial expressions and compared them between genera. First, we compared the rate of facial expressions, which was defined as the number of facial expressions per units of time. Second, we compared their repertoire size, defined as the number of different types of facial expressions used, independent of their frequency. Third, we compared the diversity of expression, defined as the repertoire weighted by the rate of use for each type of facial expression. We observed a higher rate and diversity of facial expression, but no larger repertoire, in *Symphalangus* (siamangs) compared to *Hylobates* and *Nomascus* species. In line with previous research, these results suggest siamangs differ from other hylobatids in certain aspects of their social behavior. To investigate whether differences in facial expressions are linked to hylobatid socio-ecology, we used a Phylogenetic General Least Square (PGLS) regression analysis to correlate those properties with two social factors: group-size and level of monogamy. No relationship between the properties of facial expressions and these socio-ecological factors was found. One explanation could be that facial expressions in hylobatid species are subject to phylogenetic inertia and do not differ sufficiently between species to reveal correlations with factors such as group size and monogamy level.

Keywords: gibbons, GibbonFACS, facial expression, FACS, monogamy index

71 INTRODUCTION

72

73 It has been suggested that the complexity of primate communicative repertoires is
74 closely connected to living in social groups, because increased social complexity acts as a
75 driver for increased communicative complexity [e.g. Freeberg et al., 2012]. For example,
76 there is evidence for a positive correlation between group size and facial expressions in a
77 sample of 12 primate species [Dobson, 2009]. Furthermore, the specific social structure of
78 primates and the dominance hierarchy was found to influence the use and repertoire of facial
79 expressions [van Hooff, 1976; Preuschoft and van Hooff, 1997; de Waal and Luttrell, 1985;
80 Thierry et al. 1989; Maestripieri, 1999]. The extent to which species differences in facial
81 expression can be attributed to socio-ecological variables, therefore, is important to fully
82 understand the evolutionary function of facial expressions. Although, in hylobatids, there is
83 yet not much known about whether facial expressions have true communicative, or even
84 expressive, function, preliminary data suggests that this is the case [Liebal et al., in
85 preparation]. In order to investigate facial expressions and their specific function in
86 communicative contexts, a detailed investigation describing certain properties of facial
87 expressions in hylobatids is highly relevant. Here, for ease of description, facial expression is
88 defined as any single or combination of more than one facial movement (Action Unit [AU])
89 or more general head/eye movement (Action Descriptor [AD]), but without the assumption
90 that these movements are necessarily communicative.

91 Together with humans and great apes, hylobatids belong to the superfamily Hominoidea
92 [e.g. Geissmann, 2002; Mootnick, 2006]. Comprising up to 16 species, they represent the
93 most diverse group within this superfamily [Thin et al., 2010], and they are closely related to
94 both great apes and Old World Monkeys. Hylobatids are characterized by a similar set of
95 morphological, ecological and social features. They have prolonged extremities adapted to a
96 brachiating style of locomotion, they are arboreal and usually live in small groups comprising
97 of the mated pair and their offspring [Rowe, 1996]. However, there is some variability in their
98 social organization. Although they are commonly described as monogamous species, recent
99 studies have challenged this view. Thus, it has been demonstrated that the social organization
100 of gibbons is much more variable [e.g. Palombit, 1994; Reichard, 1995; Lappan, 2005] and
101 that the strength of social bonds varies between different hylobatid species [Fischer &
102 Geissmann, 1990]. In this regard, it is important to differentiate between sexual monogamy,
103 which means that female and male have only a single partner of the opposite sex at a time
104 [Black, 1996; Gowaty, 1996], and social monogamy, which refers to cooperation in the

105 acquisition of other resources, e.g. shared use of a territory, proximity between male and
106 female, behaviors favoring pair cohesion [Reichard, 2003]. Thus, the latter can include sexual
107 monogamy but also refers to social organization. One strong indicator against sexual
108 monogamy is the engagement in extra pair copulations [e.g. Westneat, 1987]. For the white-
109 handed gibbon (*Hylobates lar*) and siamangs (*Symphalangus syndactylus*) extra pair
110 copulations have been observed in their natural habitats [Reichard & Sommer 1997; Fuentes,
111 2000; Reichard, 2003; Reichard & Barelli, 2008], as well for the yellow-cheeked crested
112 gibbon (*Nomascus gabriellae*), although to a slightly lesser extent [Kenyon et al. 2011]. For
113 siamangs there is most evidence of desertion and re-pairing with other individuals [Chivers &
114 Raemaekers, 1980; Brockelman, 1998; Palombit 1994], whereas in other species it has not
115 been observed [Mootnick 1984; Zhou et al. 2008; Fan & Jiang, 2010]. Although from these
116 observations one could argue that for example siamangs might be less monogamous than
117 other hylobatid species, there is also evidence for siamang males investing in paternal care
118 [e.g. Lappan, 2008], which is rather an indication favouring monogamy, whereas for other
119 species only a few observations of paternal care were reported [e.g. *Hylobates lar*: Berkson,
120 1966; Fischer & Geissmann, 1990; *Hylobates pileatus*: Srikosamatar, 1980]. However,
121 paternal care might not be the strongest indicator for monogamy. Thus, by considering
122 different behaviors related to either sexual and/or social monogamy we aim to rank species by
123 different degrees of monogamy.

124 Despite the variability in the strength of monogamy, the social group structure of
125 Hylobatidae is characterized by small stable family groups consisting of one pair and their
126 offspring, with no pronounced hierarchy between the two adult individuals [Brockelman et
127 al., 1998; Preuschoft et al., 1984]. Following the line of argument by Freeberg and colleagues
128 [2012], therefore, gibbons should use less facial signals compared to other primate species
129 that live in more complex groups, e.g. chimpanzees and macaques. Indeed, Chivers [1976]
130 concluded that wild siamangs only show a limited communicative repertoire (facial
131 expressions and gestures). In addition, given that they live in densely foliated environments,
132 we might expect them to rely mostly on vocal rather than visual communication. However,
133 very little is known about the communicative behavior of gibbons, with the exception of
134 studies investigating their exceptional vocal duetting repertoires [Raemaekers et al., 1984;
135 Haimhoff, 1986; Geissmann, 2002]. There are some studies that report facial expressions in
136 the behavioral repertoire of hylobatids [Fox, 1972, 1977; Orgeldinger 1999; Liebal et al.,
137 2004], and some report detailed descriptions about those expressions. Liebal et al. [2004], for
138 example, described three different facial expressions, one of which was observed in two

139 varieties. A ‘grin’ was described as facial expression where the mouth is “slightly opened and
140 the corners of the mouth are withdrawn with the teeth scarcely visible between the lips”. The
141 facial expression ‘Mouth open’ was observed in two different varieties: ‘Mouth-open half’ is
142 when “the mouth is opened slightly, so that the canine teeth are almost completely covered by
143 lips; the shape of the mouth is oval with the corners of the mouth withdrawn very little” and
144 ‘Mouth-open full’ when “mouth is opened to the full extent with the canine teeth and the
145 palate visible”. The last facial expression was labeled ‘Pull a face’ and described as “upper
146 and lower jawbones are closed; the lips are protruded and slightly opened, forming an
147 elliptical shape”.

148 However, a comparison across these studies is difficult because they did not use a
149 standardized, objective method to classify different types of facial expressions. This lack of
150 standardized methodology has recently been tackled by the establishment of a Facial Action
151 Coding System for gibbons [GibbonFACS: Waller et al., 2012], which is a modified version
152 of the HumanFACS [Ekman & Friesen, 1978], similar to other versions that were previously
153 developed for other primate species [ChimpFACS for chimpanzees: Vick et al., 2007;
154 MaqFACS for rhesus macaques: Parr et al., 2010; OrangFACS for orangutans: Caeiro et al.,
155 2012]. This method relies on the identification of muscular movements underlying facial
156 expressions. The development of these different versions of FACS consists of various steps,
157 including anatomical dissections [Burrows et al., 2006, 2009; Diogo et al., 2009],
158 intramuscular stimulation techniques [Waller et al., 2006, 2008], and descriptions of the
159 associated observable movements from video footage of spontaneous behavior.

160 This study aimed to investigate facial expressions in hylobatids by testing whether
161 differences between hylobatid species relate to differences in socio-ecological variables. One
162 hypothesis is that facial expressions vary between different hylobatid species as a function of
163 their maximum group sizes [Chivers, 1976; Dobson, 2010]. The results by Dobson [2009]
164 support the hypothesis that natural selection favors increased facial expressions (i.e. the
165 number of different AUs a species can produce) in larger groups, in order to more effectively
166 manage conflict behavior and increase bonding between individuals within a group [e.g.
167 Waller & Dunbar, 2005, Flack & de Waal 2007]. Therefore, one possible function of facial
168 expressions might be group cohesion [Thierry et al. 1989; Maestriperieri, 1999; Parr et al.
169 2002].

170 However, a second socio-ecological factor that could also be influential on facial
171 expressions is the strength of monogamy. It has been shown that monogamous species might
172 be associated with higher behavioral complexity and greater cognitive processing demands

173 than polygamous species [Dunbar, 1992; Dunbar & Shultz, 2007; Shultz & Dunbar, 2010a,
174 2010b; Dunbar, 2011], which might suggest that signal repertoires are more complex than
175 would be predicted by group size alone.

176 Another hypothesis is that facial expressions are subject to phylogenetic inertia [e.g.
177 Chan, 1996; Thierry et al. 2000; Blomberg & Garland, 2002] and, thus, highly conservative
178 so that marked differences between species cannot be observed.

179 In this study we aimed to 1) characterize and compare the repertoires, the rates and the
180 diversity of facial expressions of five hylobatid species by using a newly developed objective
181 coding system [GibbonFACS: Waller et al., 2012] and 2) to investigate whether socio-
182 ecological factors (group size and monogamy) are linked to these facial expression
183 characteristics. Furthermore, we investigate whether females and males differ in certain
184 properties of their facial expressions. Some sex differences in other respects have been
185 observed, for example, many hylobatid species are dichromatic and some are known for their
186 sex-specific songs [e.g. Rowe, 1996; Chivers, 2000]. However, other aspects might be more
187 important in this regard. Compared to other primate species, hylobatids are monogamous and
188 there is no explicit dominance hierarchy between pair partners, which might suggest that there
189 are no pronounced differences in facial expression between females and males.

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192 METHODS

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194 *Subjects*

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196 Five different species comprising a total of 16 individuals were observed: three
197 mated pairs of *Symphalangus syndactylus*, two pairs of *Hylobates pileatus*, one pair of
198 *Hylobates lar*, one pair of *Nomascus gabriellae* and one pair of *Nomascus siki*. A detailed
199 list of the individuals is shown in Table 1. The pairs were housed in enclosures in groups
200 of different sizes depending on the number of offspring present. All pairs except one were
201 housed together with their offspring (1-3 individuals) in the enclosures (for details of the
202 group composition see Table S1 in the Supplementary Material S1). The study was carried
203 out in compliance with respective animal care regulations and principles of the American
204 Society of Primatologists for the ethical treatment of nonhuman primates.

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----- Table 1 -----

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210 *Data collection and coding*

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212 Data collection took place between March 2009 and July 2012 in different zoos in
213 the UK (Twycross), France (Mulhouse), Switzerland (Zurich) and Germany (Rheine,
214 Westphalia). The behavior of each pair was video recorded in 15 min bouts using the focal
215 animal sampling method [Altmann, 1974] (with both animals always in view) resulting in
216 a total of 1080 bouts. Recordings took place at different times of the day on several
217 different days resulting in a total amount of 21 hours of observation (9h (43%) for
218 *Symphalangus*, 7h (33%) for *Hylobates* and 5h (24%) for *Nomascus*). Mean observation
219 time per individual was 158 minutes (SD = 34 min). Recordings were taken only when the
220 pair was in reaching distance and so had the opportunity to closely interact. We measured
221 the number of facial expressions, the repertoire and diversity per individual of each pair.
222 Since the recording time differed between pairs, a correction for each of these
223 measurements was performed by dividing each of these measurements by the recording
224 time per individual (for details see section *Measurements of the facial expressions*). The
225 video footage was coded using the software Interact (Mangold International GmbH,
226 Version 9.6). Facial expressions were identified using GibbonFACS [Waller et al., 2012].
227 A facial expression was coded when it clearly showed the apex of a signaling action, i.e.
228 when the action is strongest for that event. We conducted a reliability analysis on 10 % of
229 the data, which was calculated using Wexler's Agreement as for the human FACS and all
230 other non-human primate FACS systems [Ekman et al., 2002]. Agreement was 0.83,
231 which in FACS methodology is considered good agreement [Ekman et al., 2002].

232

233 *Measurements of the facial expressions: rates, corrected repertoire and corrected diversity*

234

235 Three measurements were used to examine the use of facial expressions across the
236 three gibbon genera. One facial expression can consist of a single facial movement
237 (AU/AD) or a combination of more than one. First, we calculated the overall frequency of
238 facial expressions, which is the total number of facial expressions produced independent
239 of their type for each genus. *Rates* were obtained by correcting for the observation time
240 for each individual, and then taking the mean for each genus.

241 Second, the *repertoire* of facial expressions was established for each genus, which

242 comprises the number of different types of facial expressions observed during the
 243 recording time in the context of social interactions. The ‘repertoire’ in the present study
 244 should not be confused with the ‘facial repertoire’ as an inventory of facial signals in the
 245 ethogramme of a species, which is usually defined as an ensemble of (not objectively
 246 defined) facial patterns, regardless of the context in which they are observed. The
 247 observed repertoire in the present study is therefore a ‘standardized repertoire’, for the
 248 sake of ease labeled only ‘repertoire’ here.

249 The corrected repertoire for the m genera (R_{Cm}) was calculated as $R_{Cm} = \frac{\sum_{i=1}^k R_i}{t_i}$,
 250 where k is the number of individuals belonging to the m genera, τ_i is the recording time of
 251 the individual i belonging to the m genera, R_i is the repertoire of the individual i ,
 252 belonging to the genera m without time correction and R_m is the repertoire of the m genera
 253 without time correction. Thus, the corrected repertoire of an individual was calculated by
 254 dividing the number of different types of facial expressions that an individual produced
 255 (repertoire of that individual) by its recording time.

256
 257 Third, the *diversity* of the facial expressions takes into account both the repertoire
 258 and the rates. It should be interpreted as a weighted repertoire. The diversity measurement
 259 incorporates information about how many types of facial expressions are observed and
 260 how evenly those types are represented [Hill, 1973]. For a given number of types, the
 261 value of a diversity index is maximized when all types are equally present. In other words,
 262 the more different types there are and the more they are evenly represented, the higher the
 263 diversity measurement. Thus, if the number of facial expressions of an individual is given
 264 by S , we first calculated the *Shannon Information* [Shannon, 1984] for the n -individual as:

$$265 \quad H_n = - \sum_{i=1}^S p_i \log(p_i) .$$

266 Here p_i represents the ratio between the number of each facial expressions and the total
 267 number of facial expressions for a given individual.

268
 269 The diversity of facial expressions is given by:

$$270 \quad D_n = e^{H_n} = e^{- \sum_{i=1}^S p_i \log(p_i)} .$$

271 The *corrected diversity index* (D_{nt}) [Hill, 1973] of the facial expressions for each

272 individual is then calculated by: $D_{nt} = \frac{e^{H_n}}{t_n} = \frac{e^{-\sum_{i=1}^S p_i \log(p_i)}}{t_n}$.

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274

275 *Socio-ecological variables (Group size and monogamy)*

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277 We correlated the three properties of facial expressions (rates, corrected repertoire and
 278 corrected diversity) with the two socio-ecological variables group size and monogamy
 279 (Indices I, II and III) for each species using regression models. Information about group size
 280 was taken from the literature (see Table 2). We used the maximum numbers of reported group
 281 size for further analysis. For this analysis we used the rates, corrected repertoire and the
 282 corrected diversity for each species. We incorporated phylogenetic information into the
 283 regression analysis because interspecific data are prone to violating assumptions of
 284 independence [Cohen & Cohen, 1983]. Each regression was performed using a phylogenetic
 285 general least squares (PGLS) analysis in the software ‘R’ (packages ‘caper’ and ‘ape’) with
 286 each property of facial expression as a dependent variable and the socio-ecological factors as
 287 predictor variables. For a detailed description of this analysis see the Supplementary
 288 Information S1.

289

290 ----- Table 2 -----

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292

293 We included the following socio-ecological variables for the creation of the
 294 monogamy index: extra pair copulation (EPC), polyandry (PA) and polygyny (PG), proximity
 295 at day (Pd), proximity at night (Pn), desertion (D), group composition (Gc) and paternal care
 296 (Pc) (see Table 3). Information about these behaviors was obtained from a literature survey on
 297 85 publications between years 1976 and 2012 (see reference list in Supplementary Materials
 298 S1 and S2). We divided behaviors either referring to sexual monogamy (SeM) or social
 299 monogamy (SoM); see Table 3. We considered sexual monogamy, where an individual has
 300 only a single partner of the opposite sex at a time [Black, 1996; Gowaty, 1996], as stronger
 301 indicators of monogamy than behaviors of social monogamy, which refers to cooperation in
 302 the acquisition of other resources, e.g. shared use of a territory, proximity between male and
 303 female, behaviors favoring pair cohesion [Reichard, 2003]. In order to create an index, each

304 behavior was ranked to calculate the monogamy indices (for details see Supplementary
305 Material S1). To investigate whether variables of both sexual and social monogamy have a
306 different impact on the outcome of the indices, we created three different indices. Assuming
307 that those variables deriving from sexual monogamy are stronger predictors of monogamous
308 behavior, the first index contains only variables of SeM (Index I). The second and third
309 indices also include those variables of social monogamy but with the weights (given by α)
310 distributed differentially in both cases (Index II – all variables of SeM + SoM weighted
311 equally; Index III – SeM weighted with $\alpha = 1$ and SoM weighted with $\alpha = 0.5$). For a detailed
312 description of this procedure see S1.

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----- Table 3 -----

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318 RESULTS

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320 Rates, Corrected Repertoires and Corrected Diversity of Facial Expressions

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322 We coded a total of 1080 instances of facial expressions (movements of single Action
323 Units or Action Descriptors or a combination of two or more AUs/ADs): 878 from
324 *Symphalangus*, 118 from *Nomascus* and 93 from *Hylobates* (uncorrected by recording time).
325 Table 4 shows which types of facial expressions were observed for each of the three genera
326 *Symphalangus* (*S. syndactylus*), *Hylobates* (*H. pileates*, *H. lar*) and *Nomascus* (*N. gabriellae*
327 and *N. siki*) and their frequency of use. For statistical analyses we corrected the three
328 measurements by dividing the measurements of each individual by the individual's recording
329 time.

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----- Table 4 -----

332

333 *Repertoire*

334 To examine whether the three genera differ significantly from each other in the
335 types of different facial expressions, we conducted a Kruskal-Wallis test. The comparison
336 of the corrected repertoires did not reveal significant differences between the genera
337 (Kruskal-Wallis test: $H = 5.30$, $df = 2$, $P = 0.071$) (see Figure 1).

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----- Figure 1 -----

Rates

The rates for *Symphalangus*, *Nomascus* and *Hylobates* are 0.79, 0.20 and 0.11 facial expressions per minute, respectively. In order to examine whether the three genera differ from each other in the rates of facial expressions we conducted a Kruskal-Wallis test and found significant differences between the three genera (Kruskal-Wallis test: $H = 11.25$, $df = 2$, $P < 0.001$). We performed a non-parametric post-hoc test for the difference between pairs. Following Conover, we used the critical difference of the mean ranks test [Conover, 1999; Sprent, 2001; Bewik, 2004]. We found that *Symphalangus* was significantly different than *Nomascus* and *Hylobates* ($P < 0.05$, see Supporting Material 1), but no significant differences were found between *Nomascus* and *Hylobates* ($P > 0.05$); see Figure 2.

----- Figure 2 -----

Diversity

In order to examine whether the three genera differ from each other in their diversity of facial expressions we conducted a Kruskal-Wallis test, which revealed significant differences between genera (Kruskal-Wallis test: $H = 6.22$, $df = 2$, $P = 0.045$). We performed a non-parametric post-hoc test for the difference between pairs. We used Conover's critical difference of the mean ranks test [Conover, 1999; Sprent, 2001; Bewik, 2004]. We found that *Symphalangus* was significantly different than *Nomascus* and *Hylobates* ($P < 0.05$, see Supporting Material 1), but no significant differences were found between *Nomascus* and *Hylobates* ($P > 0.05$); see Figure 3.

----- Figure 3 -----

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374 Comparison of males and females

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376 When combining the three genera, there were 15 combinations, which we only
377 observed in males, while an additional 13 combinations were only present in females (see
378 Table 5). The remaining combinations were shared by both genders. However, statistical
379 analyses found no differences between males and females in regard to the rates (Mann
380 Whitney U test: $Z = 0.32$; $P = 0.753$), the corrected repertoires (Mann Whitney U test: $Z =$
381 -1.33 ; $P = 0.185$), or corrected diversity (Mann Whitney U test: $Z = 0.63$; $P = 0.574$).

382

----- Table 5 -----

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386 Relationship between facial expressions and socio-ecological factors

387

388 We correlated the three measurements of facial expressions (rates, corrected
389 repertoire and corrected diversity) with the two socio-ecological variables group size and
390 monogamy (Indices I, II and III; see Table 6) using regression models. The models
391 revealed no significant relationship of facial expression properties and the socio-
392 ecological factors (see Results in Table 7).

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----- Table 6 -----

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----- Table 7 -----

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402 DISCUSSION

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404 This is the first study to systematically investigate the use of facial expressions across
405 different gibbon genera based on an objective, standardized method to identify and classify

406 facial expressions (GibbonFACS). We studied the facial expressions of eight pairs of five
407 hylobatid species belonging to the three genera in regard to the rate of signaling, the repertoire
408 of facial expressions, and the diversity of signals. While the three genera did not differ in
409 regard to their repertoires of facial expressions, siamangs differed from other gibbons in their
410 more frequent use of facial expressions and a higher diversity of facial expressions. A facial
411 expression is defined as a single or a combination of more than one facial movement (so-
412 called Action Unit or Action Descriptor), regardless whether used communicatively or not.

413 In previous studies siamangs were found to show more synchronized activities and a
414 special form of songs within the pair (duetting), which is thought to strengthen the pair-bond
415 [Geissmann & Orgeldinger, 2000], compared to other hylobatid species. It was also found
416 that their diet contains more leaves compared to the smaller species [Chivers, 1972; Rowe,
417 1996]. The observation that the defense of the territory was less intensive than in smaller
418 species [Gittins & Raemaekers, 1980] could be connected to the more folivorous diet. Thus,
419 there seem to exist some differences between siamangs and other hylobatid species
420 concerning their behavior and ecology. However, how these different morphological, social
421 and ecological factors differentiating siamangs from other gibbons regarding their facial
422 communication, needs to be addressed in further studies.

423 According to the prediction of Freeberg et al. [2012] and Dobson [2009] we should
424 also expect differences between species in the repertoire of facial expressions as a function of
425 their varying social organization as found already by Dobson [2009] for a variety of other
426 primate species. We explored this hypothesis by testing for a potential correlation between
427 different properties of facial expressions of each species with the socio-ecological factors
428 group size and level of monogamy; both were found to differ between siamangs as compared
429 to the other species. However, in the current study we could not observe any relationship
430 between facial expressions and those socio-ecological factors. One possibility is that only a
431 comparison between a relatively large number of species belonging to a group which
432 members are phylogenetically separated by a longer time scale in evolutionary history can
433 reveal such differences [Dobson, 2009], whereas a group consisting of a smaller number
434 belonging to a smaller and closer related group of species can not, even though we corrected
435 for phylogeny in our sample. Therefore, facial expressions in hylobatid species may be
436 subject to evolutionary constraint and do not differ enough between species to reveal
437 correlations between factors such as group size and monogamy level.

438 Although Dobson's [2009] findings support the 'social complexity hypothesis',
439 Freeberg et al. [2012] mentioned that group size is not necessarily implying social complexity

440 and that there are several other aspects which have to be taken into account when assessing
441 social complexity, e.g. the social network, the strength of bonding between individuals, other
442 and/or additional channels of communication, etc. Freeberg and colleagues define social
443 complex systems as “those in which individuals frequently interact in many different contexts
444 with many different individuals, and often repeatedly interact with many of the same
445 individuals over time” [Freeberg et al., 2012].

446 There is some contradiction about whether the level of monogamy implies a high
447 [Dunbar, 1992; Dunbar & Shultz, 2007, Shultz & Dunbar, 2010a, 2010b; Dunbar, 2011] or
448 low social complexity [e.g. Kroodmsa, 1977]. Although little is known about the relationship
449 between a species’ social system and the size of facial expression repertoire [Freeberg et al.,
450 2012], we discuss both scenarios. Thus, if we consider a high level of monogamy to be of low
451 social complexity and siamangs to exhibit a low level of monogamy (based on the results of
452 our monogamy index), their social system would be consequently highly complex. In this
453 scenario the higher rate and diversity of facial expressive behavior would support the ‘social
454 complexity hypothesis for communicative complexity’ argument mentioned by Freeberg et al.
455 [2012]. Alternatively, if we consider a high level of monogamy to be of high social
456 complexity, siamangs’ social system would be characterized by low complexity. In this latter
457 example our results would contradict the social complexity hypothesis. Future studies have to
458 be conducted to address this issue in more detail by e.g. increasing the sample size of the
459 species and also considering a multimodal analysis of the communicative system as well as an
460 analysis of the species’ social network and therefore including various measurements when
461 defining complexity.

462 We could not observe significant differences between males and females in regard to
463 their repertoires, rates of signaling or diversity of facial expressions. This suggests that social
464 communication through facial expressions in both males and females do not exhibit specific
465 roles in their social structure and consequently that there is no hierarchical order between the
466 mated pairs, which is in line with previous findings [Brockelman et al., 1998; Preuschoft et
467 al., 1984]. However, we observed 13 facial expressions, which were exclusively used by
468 females and 15 different facial expressions exclusively used by males. Further investigations
469 need to clarify what specific functions those expressions have and whether their use is indeed
470 due to sexual differences.

471 Taken together, the examination of the repertoire, rate and diversity of facial
472 expressions of five hylobatid species by using an objective coding system revealed a richer
473 repertoire than previously reported for gibbons [Fox, 1972, 1977; Chivers, 1976; Liebal et al.,

474 2004]. Interestingly, siamangs differed from other gibbon species regarding the rates and
475 diversity of facial expressions and thus confirm previous results showing siamangs to be
476 outstanding when compared to other gibbon species. A relationship between the facial
477 expressions and socio-ecological factors such as group-size and monogamy level, however,
478 was not found, suggesting that despite these small species differences, on the whole facial
479 expressions have been subject to phylogenetic inertia.

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759 **TABLES**

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762 Table 1: Subjects.

Pair	Individual	Species	Birth	Sex	Zoo
1	Daniel	<i>Symphalangus syndactylus</i>	26.05.1996	m	Twycross
	Tango	<i>Symphalangus syndactylus</i>	27.03.1994	f	Twycross
2	Kane	<i>Symphalangus syndactylus</i>	02.11.1990	m	Twycross
	Sheena	<i>Symphalangus syndactylus</i>	30.01.1991	f	Twycross
3	Spike	<i>Symphalangus syndactylus</i>	25.11.2000	m	Twycross
	Tarragona	<i>Symphalangus syndactylus</i>	18.11.2000	f	Twycross
4	Khmer	<i>Hylobates pileatus</i>	28.11.1984	m	Zurich
	Willow	<i>Hylobates pileatus</i>	06.05.1987	f	Zurich
5	Iaman	<i>Hylobates pileatus</i>	1959	m	Zurich
	Iba	<i>Hylobates pileatus</i>	1974	f	Zurich
6	Dan	<i>Nomascus gabriellae</i>	1991	m	Mulhouse
	Chloe	<i>Nomascus gabriellae</i>	06.01.1990	f	Mulhouse
7	Dorian	<i>Nomascus siki</i>	23.12.1989	m	Mulhouse
	Fanny	<i>Nomascus siki</i>	13.06.1993	f	Mulhouse
8	Bert	<i>Hylobates lar</i>	01.05.1982	m	Rheine
	Lissy	<i>Hylobates lar</i>	ca. 1981	f	Rheine

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789 Table 2: Maximum group size for each of the five species (from the literature).

Species	Maximal number of group size	
<i>Symphalangus syndactylus</i>	10	[Fuentes, 2000]
<i>Hylobates pileatus</i>	5	[Fuentes, 2000]
<i>Hylobates lar</i>	12	[Fuentes, 2000]
<i>Nomascus gabriellae</i>	7	[Kenyon et al., 2011]
<i>Nomascus siki</i>	5	[Roos, personal communication]

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826 Table 3: Behaviors used for the creation of the monogamy indices

Sexual monogamy (SeM)	Social monogamy (SoM)
Extra pair copulations (EPC)	Proximity of the pair at day (Pd)
Polyandry (PA)	Proximity of the pair at night (Pn)
Polygyny (PG)	Desertion (D)
	Group composition (> 2 adults) (Gc)
	Paternal care (Pc)
Index I = SeM	Index II = SeM + SoM; Index III = SeM ($\alpha = 1$) + SoM ($\alpha = 0.5$)

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861 Table 4: Repertoire (uncorrected) of facial expressions in the three Hylobatid genera and their
 862 frequency of occurrence.

No.	Facial Expression	Symphalangus	Nomascus	Hylobates
1	AU1/2*	[2]	[8]	[2]
2	AU8	[1]		
3	AU12	[1]		
4	AU17			[1]
5	AU18	[1]	[10]	[1]
6	AU25	[14]	[1]	[1]
7	AU41	[8]	[2]	[1]
8	AUEye**	[2]	[3]	
9	AD37	[1]		
10	AD500	[5]		
11	AU1/2+AU18			[2]
12	AU10+AU25	[1]		
13	AU16+AU27			[1]
14	AU16+AU25	[3]		
15	AU25+AU26	[165]	[6]	[23]
16	AU25+AU27	[37]	[1]	[4]
17	AU25+AD19	[2]		
18	AU25+AD37	[3]		
19	AU41+AUEye	[2]		
20	AU7+AU25+AU26	[5]		
21	AU8+AU25+AU26	[12]		
22	AU8+AU25+AD37	[1]		
23	AU10+AU25+AU26	[17]		
24	AU10+AU25+AU27	[15]		
25	AU12+AU25+AU26	[7]		
26	AU12+AU25+AU27	[6]		
27	AU16+AU25+AU26	[52]	[1]	[1]
28	AU16+AU25+AU27	[38]	[4]	[1]
29	AU18+AU25+AU26	[3]		[1]
30	AU25+AU26+AD19	[5]		
31	AU25+AU26+AD37	[328]	[60]	[42]
32	AU25+AU27+AD19			[7]
33	AU1/2+AU5+AU25+AU26			[1]
34	AU7+AU9+AU18+AU22	[1]		
35	AU8+AU25+AU26+AD19	[1]		
36	AU8+AU25+AU26+AD37	[34]		
37	AU9+AU10+AU25+AU27		[2]	
38	AU10+AU12+AU25+AU27	[3]		
39	AU10+AU16+AU25+AU26	[10]	[2]	
40	AU10+AU16+AU25+AU27	[55]	[14]	[1]
41	AU12+AU16+AU25+AU26	[4]		
42	AU12+AU16+AU25+AU27	[4]	[1]	
43	AU12+AU25+AU26+AD37	[1]		

44	AU16+AU18+AU25+AU26	[1]		
45	AU18+AU25+AU26+AD19	[1]		
46	AU18+AU25+AU26+AD37	[1]		
47	AU25+AU26+AUEye+AD37	[1]		
48	AU25+AU26+AD37+AD500	[1]		
49	AU1/2+AU10+AU16+AU25+AU27		[1]	
50	AU9+AU10+AU16+AU25+AU27	[1]		
51	AU10+AU12+AU16+AU25+AU26	[5]		[1]
52	AU10+AU12+AU16+AU25+AU27	[11]	[2]	
53	AU10+AU12+AU16+AU25+AU27+AUEye	[2]		

863 (*AU1/2 resembles AU1+2 from Waller et al. 2012; **AUEye resembles either AU43 (eye closure) or
864 AU45 (eye blink), we did not differentiate between the two AUs here.
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895 Table 5: Facial expressions exhibited by males and females for all species.

Exclusive for	Facial Expression
Males	AD37 AU16+AU25 AU16+AU27 AU8+AU25+AD37 AU18+AU25+AU26 AU25+AU27+AD19 AU7+AU9+AU18+AU22 AU8+AU25+AU26+AD19 AU9+AU10+AU25+AU27 AU18+AU25+AU26+AD37 AU18+AU25+AU26+AD19 AU25+AU26+AD37+AD500 AU25+AU26+AUEye+AD37 AU10+AU12+AU16+AU25+AU26 AU10+AU12+AU16+AU25+AU27+AUEye
Females	AU8 AU12 AU17 AU26 AU1/2+AU18 AU10+AU25 AU41+AUEye AU7+AU25+AU26 AU1/2+AU5+AU25+AU26 AU12+AU25+AU26+AD37 AU16+AU18+AU25+AU26 AU1/2+AU10+AU16+AU25+AU27 AU9+AU10+AU16+AU25+AU27

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917 Table 6: Monogamy indices of the five species. Index I only includes behavioral variable of
 918 sexual monogamy (SeM); Index II includes behavioral variable of both, sexual and social
 919 monogamy (SoM); Index III includes behavioral variables of SeM and SoM, but with
 920 differently distributed weights on each indicated by α .

Species	Index I = SeM	Index II = SeM + SoM	Index III = SeM ($\alpha = 1$) + SoM ($\alpha = 0.5$)
<i>Nomascus siki</i>	0.5	0.5	0.38
<i>Nomascus gabriellae</i>	0.483	0.54	0.36
<i>Hylobates lar</i>	0.185	0.36	0.21
<i>Hylobates pileatus</i>	0.417	0.46	0.31
<i>Symphalangus syndactylus</i>	0.18	0.45	0.26

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946 Table 7: Results of Phylogenetic Generalized Least Square (PGLS) Analysis. Predictor
 947 variables are the socio-ecological factors group size and level of monogamy reflected by
 948 Index I, II and III. Response variables are the measured properties of facial expressions.

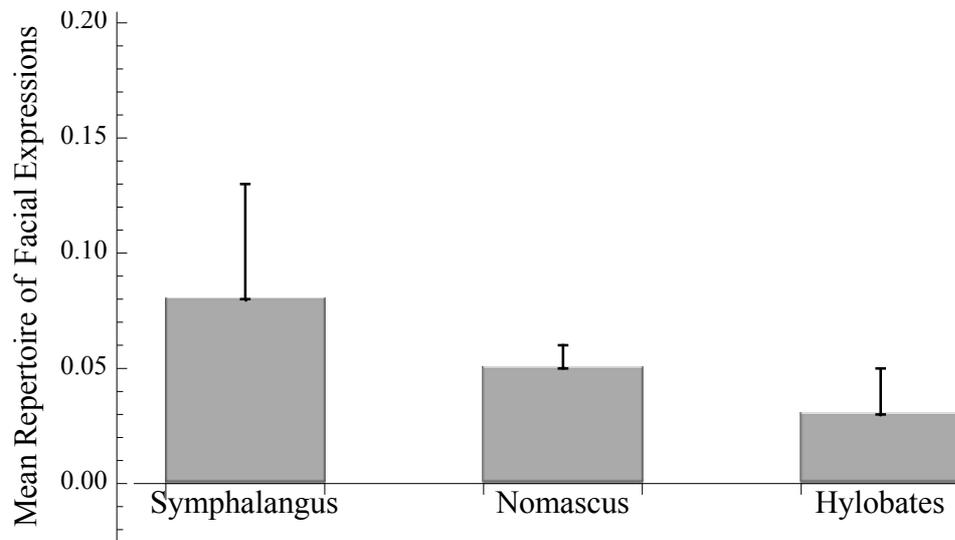
Predictor	Response	R^2	Slope (b)	Standard error	P values
Group size	Rate	-0.06	0.037	0.042	0.444
Group size	Repertoire	0.59	$6.1 \cdot 10^{-3}$	$2.4 \cdot 10^{-3}$	0.081
Group size	Diversity	0.41	$2.0 \cdot 10^{-3}$	$1.0 \cdot 10^{-3}$	0.149
Index I	Rate	0.25	-1.3	0.85	0.222
Index I	Repertoire	0.35	-0.11	0.06	0.175
Index I	Diversity	0.38	$-3.9 \cdot 10^{-2}$	0.021	0.160
Index II	Rate	-0.15	-1.53	2.25	0.545
Index II	Repertoire	-0.25	-0.078	0.17	0.684
Index II	Diversity	-0.27	0.028	0.071	0.721
Index III	Rate	-0.18	-1.53	2.47	0.580
Index III	Repertoire	-0.33	$-8.02 \cdot 10^{-6}$	$3.5 \cdot 10^{-4}$	0.983
Index III	Diversity	0.05	-0.065	0.059	0.348

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975 **FIGURES**

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979 Figure 1: Mean corrected repertoire for all three genera (\pm SD.). There is no significant
980 difference between the three genera.

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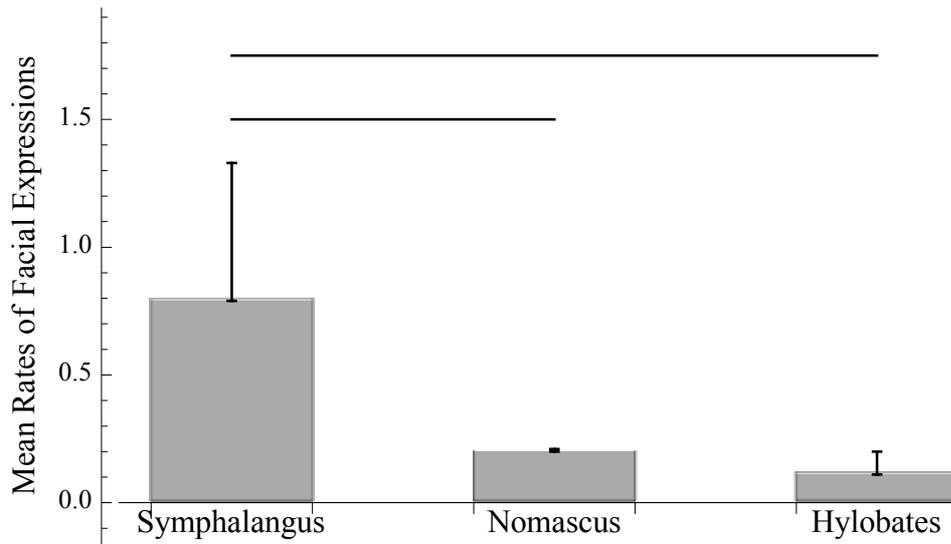
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995 Figure 2: Mean rates (number of facial expressions per minute corrected by recording
996 time) of the three genera (\pm SD). * represents P-values < 0.05.

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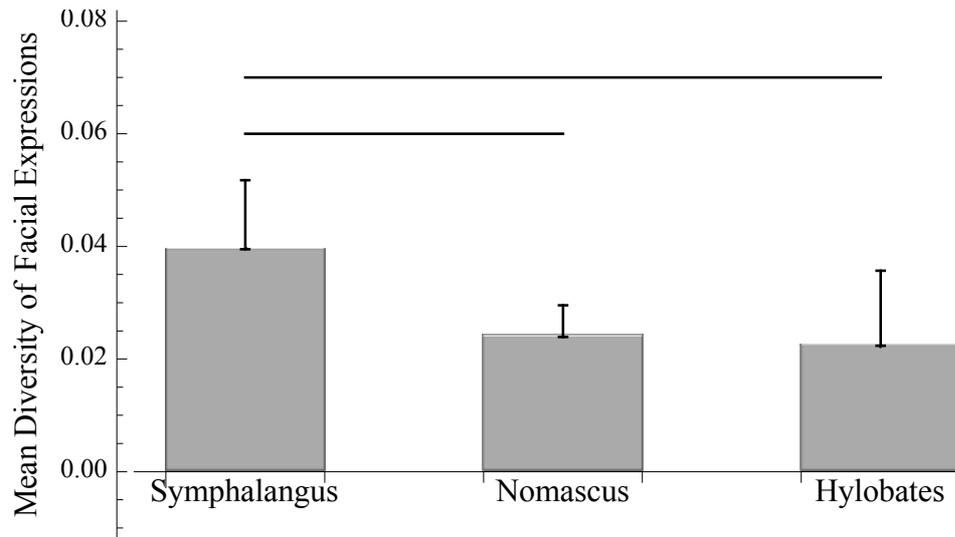
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1028 Figure 3: Mean diversity (corrected by recording time) of the three genera (\pm SD). *

1029 represents P-values < 0.05.