An Integrated Workflow for Enhanced Taxonomic and Functional Coverage of the Mouse Faecal Metaproteome

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39 Abstract

40 Intestinal microbiota plays a key role in shaping host homeostasis by regulating metabolism, 41 immune responses and behaviour. Its dysregulation has been associated with metabolic, 42 immune and neuropsychiatric disorders and is accompanied by changes in bacterial metabolic 43 regulation. Although proteomics is well suited for analysis of individual microbes, 44 metaproteomics of faecal samples is challenging due to the physical structure of the sample, 45 presence of contaminating host proteins and coexistence of hundreds of taxa. Furthermore, 46 there is a lack of consensus regarding preparation of faecal samples, as well as downstream 47 bioinformatic analyses following metaproteomics data acquisition. Here we assess sample 48 preparation and data analysis strategies applied to mouse faeces in a typical mass spectrometry-49 based metaproteomic experiment. We show that subtle changes in sample preparation protocols 50 may influence interpretation of biological findings. Two-step database search strategies led to 51 significant underestimation of false positive protein identifications. Unipept software provided 52 the highest sensitivity and specificity in taxonomic annotation of the identified peptides of 53 unknown origin. Comparison of matching metaproteome and metagenome data revealed a 54 positive correlation between protein and gene abundances. Notably, nearly all functional 55 categories of detected protein groups were differentially abundant in the metaproteome 56 compared to what would be expected from the metagenome, highlighting the need to perform 57 metaproteomics when studying complex microbiome samples.

58

59 Introduction

The prokaryotic component of the gut microbiota has multiple roles, contributing to 60 61 carbohydrate fermentation and maintenance of gut barrier integrity, as well as antimicrobial 62 and immunomodulation activities [1,2]. In metabolically healthy humans and mice, the gut 63 microbiota is predominated by two to three bacterial enterotypes [3-5]. These enterotypes 64 display significant heterogeneity in terms of species number, composition and relative 65 abundances depending on the location of the sample (upper vs lower gastroinstestinal tract) or 66 the timing (circadian variations) [6,7]. The gut microbiota has recently been associated with 67 conditions ranging from inflammatory bowel syndrome to Parkinson's disease [8-11]. An 68 increasing number of studies have reported associations between the gut microbiota and 69 neurodevelopmental disorders [12-14]. This includes changes in the gut microbiota of Down 70 syndrome individuals in comparison to non-trisomic individuals [15]. Given the established 71 interaction between the host and the gut microbiota, a functional analysis of the gut microbiome 72 may help in understanding its contribution to pathophysiology.

73 In this context, approaches relying on nucleotide sequencing have so far been preferred by the 74 scientific community due to lower experimental costs, higher data throughput and proven 75 analytical workflows. While metagenomics assesses the genetic potential, metaproteomics 76 investigates gene products (and therefore functions). However, metagenomics usually provides 77 more in-depth information in comparison to metaproteomics, for example due to the higher 78 dynamic range of detection. In particular, microbiome functional analysis can be performed 79 using high-resolution mass spectrometry (MS), to measure either protein abundance or 80 metabolite production [16-18]. Although bacterial MS-based proteomic approaches are well 81 established, metaproteomic sample preparation is hindered by many challenges, such as 82 physical structure of the sample, the presence of contaminating proteins and the coexistence of 83 hundreds of microorganisms.

84 Many studies have reported increased protein identification due to laboratory optimisation for 85 the analysis of metaproteome samples [19-23]. In humans, different sample preparation 86 methodologies have been shown to result in significant changes in the taxonomic composition 87 and functional activities represented [19,24,25]. Beyond sample preparation, the bioinformatic 88 processing of metaproteomic data remains challenging, due to the choice of representative 89 protein sequence database, elevated false discovery rate for peptide identification and the 90 redundancy in protein functional annotation. Some of these challenges have already been 91 addressed by published software packages, such as MetaProteomeAnalyzer [26] and MetaLab 92 [27], which are all-in-one metaproteomic analytical workflows, or UniPept [28], which allows 93 peptide-based taxonomic representation. In addition, the choice of protein sequence database 94 has been shown to play a major role in protein identification from metaproteome samples, with notably matching metagenome-derived protein sequence databases displaying the best 95 96 identification rate performance [29-32]. Previous studies have also investigated ways to 97 determine taxonomic representation from metaproteome samples, which has been shown to 98 differ between metagenome (bacterial presence) and metaproteome (bacterial activity) [32,33]. 99 Here, we present a state-of-the-art MS-based workflow for the optimal metaproteome 100 characterisation of murine faecal samples. We focused on a number of aspects that remain 101 under-investigated in murine stool samples: (1) the impact of sample preparation methods, 102 namely low speed centrifugation (LSC) and no LSC (nLSC), on protein identification and 103 taxonomic representation; (2) the high false positive rates in searches involving very large 104 databases; (3) the differences in taxonomic annotation of MS-identified peptides based on 105 different software; and (4) the lack of assessment of the functional enrichment provided by the 106 metaproteome compared to its matching metagenome potential.

107 Results

108 Low-speed centrifugation increases peptide identification rates

Our initial experiment involved the establishment of an optimal sample preparation workflow applied to the mouse faecal metaproteome. In this context, we assessed two sample preparation steps that are commonly employed in metaproteomic studies: 1) the usage of LSC [19,24,34] versus nLSC [24,35]; and 2) in-solution digestion [19,22] versus filter-aided sample preparation (FASP) [20,21] (**Figure S1A**, **Table S1**). The resulting LC-MS/MS data were processed using the MaxQuant software [36].

The number of peptide spectral match (PSM) identified per MS raw file in the LSC group was significantly higher with 26 % more identifications (**Figure 1A**). This was also observed at the peptide and protein group level, but to a lower extent for the latter. Approximately 15 % of protein groups were identified by a single peptide, while the median protein sequence coverage was 18.7 %. Such metrics are usually indicative of highly complex samples that are not completely covered by a single MS measurement under the stated parameters.

In-solution digestion consistently outperformed FASP based on PSMs, peptides and protein groups identification (**Figure 1B**). Compared to other methods, in-solution digestion combined with the LSC procedure provided nearly twice as many PSM or peptide identifications and 30 % more protein groups. Furthermore, there was much less variability in the number of peptides and protein groups identified across samples with this method.

LSC aids in recovery of *Bacteroidetes* proteins, whereas nLSC favours *Firmicutes* and
 Deferribacteres proteins

Peptides identified after LSC and nLSC were analysed to identify their phylogenetic origin.
The lowest common ancestor was determined using the Unipept interface [37], which assigns
peptide sequences to taxa. The most abundant superkingdom consisted of bacteria, among

which two taxa were highly represented in both LSC and nLSC, namely *Bacteroidetes* and *Firmicutes* (Figure 1C, Table S1). However, there were large differences in the number of peptides assigned to these two main bacterial phyla when comparing LSC and nLSC methods. *Bacteroidetes* accounted for 66 % and 37 % of peptides, whereas *Firmicutes* amounted to 18 % and 47 % of peptides in LSC and nLSC procedures, respectively. In addition, *Actinobacteria* and *Deferribacteres* showed a higher taxonomic representation in nLSC compared to LSC, whereas *Verrucomicrobia* showed an opposite trend.

138 Based on peptides identification, Eukaryota was the second most abundant superkingdom and 139 consisted mostly of metazoan hits. Under the assumption that these eukaryotic peptide 140 sequences originated from the host, the proportion of *Mus musculus* proteins was investigated 141 further using intensity-based absolute quantification (iBAQ) values. The LSC samples 142 contained on average nearly two-fold more murine proteins (20.4 %) in comparison to nLSC 143 samples (14.6 %) (Figure S1B). Such findings were surprising since the use of the LSC method 144 was reported in a previous study to help with the removal of human cells [24]. We also 145 investigated the presence of peptides from host diet and found very low levels of dietary 146 peptides contamination (approximately 2 %), which was higher among LSC-prepared samples 147 (Figure S1C). As previously reported, we show that the majority of dietary proteins are absent 148 or depleted during the initial solubilisation step of the faecal pellet, a step common to both 149 procedures [38]. Overall, our results show that LSC and nLSC methods favour the recovery of 150 different taxa, suggesting that both methods have merits and may be used in combination.

151 LSC and nLSC methods are characterised by different protein abundance profiles

We further investigated the overlap between the peptides or protein groups identified following either LSC and nLSC procedures (**Figure 1D**). In terms of peptides, only 27.7 % were identified with both procedures, the rest of the peptides being split equally into unique to LSC and nLSC methods. Similar results were observed at the protein groups level with 38.7 % of 156 protein groups being identified in both procedures. This was illustrated further through a 157 principal component analysis (PCA), showing separation of samples based on centrifugation 158 methods, as well as clustering of technical replicates (from cell lysis step) (Figure S1D). Label-159 free quantitative (LFQ) comparison between LSC and nLSC procedures revealed an 160 intermediate correlation ($\rho = 0.44$) (Figure S1E and F). Besides, LFQ correlation among the 161 samples prepared via LSC was superior to samples prepared with nLSC (Figure S1G). Our 162 findings indicate that while the two procedures have a poor identification overlap, the main 163 differences may still result from biological variations.

164 Using LFQ intensities, we then performed a *t*-test to identify which protein groups have 165 different abundances between the two procedures. Out of 2,589 quantified protein groups, 365 166 and 267 showed a significant increase and decrease in abundance between LSC and nLSC 167 samples, respectively (FDR ≤ 0.01 and absolute fold-change ≥ 2.5) (Figure 1E, Table S1). We 168 gained functional insights into these differences by performing an over-representation analysis 169 of KEGG pathways using the clusterProfiler R package [39]. The over-represented pathways 170 based on the up- or down-regulated protein groups were mostly similar (FDR ≤ 0.05) and were 171 associated with core microbial functions, such as ribosome, carbon metabolism and carbon 172 fixation pathways (Figure 1F, Table S1). The protein groups unique to LSC or nLSC showed 173 over-representation of protein export in the LSC samples, whereas biosynthesis of amino acid, 174 fatty acid degradation and bacterial chemotaxis were over-represented in the nLSC samples 175 (Figure S1H). Protein differential abundance testing confirmed the divergence between LSC 176 and nLSC procedures and was suggestive of broad taxonomic changes, rather than variation in 177 functional activities.

178 Two-step database search strategy shows a dramatic increase in false positive rate

179 After measurement via liquid chromatography coupled to tandem mass spectrometry (LC-

180 MS/MS) and acquisition of LC-MS/MS raw data, the MS/MS spectra are searched against a

181 protein sequence database. One aspect of database search is the controversial use of a two-step 182 search strategy [26,40-42], as opposed to the single-step search traditionally used in proteomics 183 [30,43]. For two-step search approach, LC-MS/MS measurements are initially processed 184 against a large protein sequence database with no FDR control (FDR $\leq 1\%$). Subsequently, the 185 original database is filtered to retain only protein sequences that were identified during the first 186 search. During the second database search, the measurements are processed against the reduced 187 database with FDR control (e.g. $FDR \le 0.01$) [40]. To assess these search strategies, we 188 searched a single HeLa cell LC-MS/MS file using MaxQuant software against a *Homo sapiens* 189 protein sequence database supplemented with different number of bacterial protein sequences 190 (Figure S2A). The HeLa measurement is used here as a proxy for a complex microbiome 191 measurement, with the exception that the sample composition is known and from a single 192 organism.

193 We initially established a benchmarked standard by processing the HeLa measurement only 194 against an *H. sapiens* database, which resulted in approximately 5,000 human (eukaryota) 195 protein groups identified for the single-step search at FDR ≤ 0.01 (Figure 2A, Table S2). 196 Notably, the same database used in a two-step search identified less than 1 % additional protein 197 groups in comparison to a single-step search, despite nearly twice as much processing time. 198 We then processed our HeLa measurement against the *H. sapiens* database supplemented with 199 $1\times$, $2\times$, $5\times$, $10\times$ and $20\times$ bacterial protein sequences, resulting in increasingly large databases 200 (Figure S2A, Table S2). For the single-step database search against the 1:20 database, we 201 observed a 10 % decline in the number of human protein groups identified, while 132 bacterial 202 protein groups were identified (false positives). On the contrary, the 1:20 two-step database 203 search resulted only in a 1 % decrease compared to the benchmarked standard. This processing 204 also revealed a large number of bacterial protein groups identification (980 protein groups). 205 Furthermore, the two-step search led to large number of MS/MS spectra to be assigned to

different sequences (or newly assigned) in comparison to the benchmarked standard (Figure
S2B, Table S2); this phenomenon was much less pronounced when performing the single-step
search.

209 We then calculated the factual FDR for each processing approach using either the reverse hits 210 or the reverse hits plus the bacterial hits (which in our case are false positives). For both the 211 single-step and the two-step search, we obtained an FDR of 2.6 % when using only the reverse 212 hits for FDR calculation (Figure 2B). However, when using the reverse hits plus the bacterial 213 hits, we calculated a factual FDR of 8 % and 34 % for the single- and two-step search with 214 1:20 database, respectively. This represents a dramatic increase in the rate of false positive 215 identification when using two-step search, despite controlling for 1 % FDR. Notably, these 216 false positive hits would remain unnoticed in a microbiome sample of unknown composition, 217 thus highlighting the inherent problem associated with the two-step database search.

218 Optimisations of the two-step database search cancels out its higher sensitivity

219 To further assess database search strategies used by the metaproteomic community [26,30,40-220 44], we retrieved a metaproteome dataset of known taxonomic composition that was published 221 by Kleiner and colleagues [32]. This dataset consisted of 32 organisms of uneven abundances, 222 including bacteria (25), archaea (1), eukaryotes (1) and viruses (5). We processed eight LC-223 MS/MS measurements against a database containing the proteomes of these 32 organisms, 224 which we supplemented with $0.5\times$, $1\times$, $2\times$, $5\times$, $10\times$ and $20\times$ bacterial protein sequences, 225 resulting in increasingly large databases. We then compared the results obtained from single-226 step search strategy against: (1) "two-step protein" search to keep identified proteins [40]; (2) 227 "two-step taxa" search to keep identified taxa [30]; and (3) "two-step two sections" search to 228 keep identified proteins after sectioned search [44]. While all search strategies resulted in 229 similar accuracies, the "two-step protein" search maintained a high sensitivity even when using large databases (i.e. 20×) (Figure 2C and S2C). However, upon investigation of the factual 230

FDR (reverse hits plus the false bacterial hits), the "two-step protein" search resulted in twice as many false positive identifications compared to the single-step search (**Figure 2D**, **Table S2**). Similar results were also observed when focusing on the precision (**Figure S2D**). Our investigations revealed that the "two-step taxa" search behaved nearly identically to the singlestep search, whereas the "two-step two sections" search displayed performance in-between the first-step and "two-step protein" searches.

237 Because, all assessed search strategies underestimated the real FDR, we attempted to identify 238 any particularity of the false positive protein groups identification and thus focused on 239 processings against the largest database $(20\times)$. We show that the median number of unique 240 peptides (i.e. peptides that are uniquely assigned to a protein group) are 1 and 2 for the false 241 and true positive hits, respectively (Figure S2E). We then compared results obtained using a 242 post-processing filtering step requiring a minimum of 1 or 2 unique peptides per protein groups. 243 Our results show that requiring a minimum of 2 unique peptides would efficiently control the 244 FDR ($\leq 1\%$) at the expense of a significant drop in protein identification (Figure 2E and S2F, 245 Table S2). This investigation of different database search strategies applied to metaproteome 246 samples further highlighted the limitations (i.e. factual FDR) of two-step searches, even 247 following optimisation (i.e. sectioned search) or filtering.

248 Unipept software provides the most accurate and precise taxonomic annotation

Another important aspect of metaproteomic studies is the determination of taxonomic activity (protein biomass), which has been reported to differ from taxonomic representation derived from metagenomic studies [32,45]. While it is straightforward to compute taxonomic activity from the abundance of peptides (or proteins) of known taxonomic origin, there has not been an exhaustive assessment of software that can taxonomically annotate MS-identified peptides. Here, we assessed three software packages—i.e. Kraken2 [46,47], Diamond [21,48,49] and Unipept [28,30,50]—that are commonly used by the metaproteomic and metagenomic communities. The taxonomic annotation performance of these software was evaluated on thedataset from Kleiner and colleagues [32].

258 The Kraken2 software provided consistently higher percentage of peptides that could be 259 taxonomically annotated (c.a. 18% peptides annotated to species level), followed by Unipept 260 (5%) and Diamond (1%) (Figure 3A). However, Kraken2 also identified a very large number 261 of taxa that were not present in the artificial samples from Kleiner and colleagues (Table S3) 262 and thus would be false positive hits. Unsurprisingly, these false positive hits were 263 characterised by low PSM counts in comparison to true positives (Figure S3A). This led us to 264 assess these software packages in terms of accuracy, precision, sensitivity, specificity and F-265 measure for taxonomic identification using a range of PSM count thresholds (Figure 3B and 266 S3B-E). In this context, the Unipept software significantly outperformed Kraken2 and 267 Diamond, especially with regard to the F-measure and precision. Notably, the implementation 268 of a minimum PSM count threshold (i.e. between 1 and 5) resulted in accuracy, precision and 269 specificity improvements for all software, but at the cost of a reduced sensitivity.

270 Thus, without a PSM count threshold, we correlated the taxonomic abundance derived from 271 each software annotation against the known input protein from Kleiner and colleagues' 272 artificial samples (Figure 3C). Overall, the Unipept software provided the highest correlation 273 (Spearman $\rho = 0.83$), as well as at most taxonomic levels (including species). Interestingly, the 274 dynamic range of taxon detection by MS spanned two orders of magnitude, with Salmonella 275 enterica being approximately 230 times more abundant than Nitrosomonas europaeae (Figure 276 **3D**, **Table S3**). Unipept was also the only software allowing identification of *Nitrosomonas* 277 ureae, Paraburkholderia xenovorans and Nitrosospira multiformis. Importantly, none of the 278 software could identify the five viral organisms present in the samples, the reason being 279 technical since no peptide coming from those viral proteins was detected by MS. Finally, we 280 assessed the impact of different database search strategies on taxonomic abundance derived by

the Unipept software (Figure S3F). Similarly to our findings from the previous section, the Fmeasure metric highlighted the superiority of single-step strategy when it comes to taxonomic
identification. Taken together, we show that, based on different metrics and samples of known
composition, the Unipept software provides better taxonomic annotation in comparison to
Kraken2 and Diamond.

286 The complex microbial composition of faecal samples is best recapitulated by the287 Unipept software

288 To check whether our results are also applicable to the microbial composition of faecal 289 samples, we prepared samples using the LSC method from faeces collected in a cohort of 38 290 mice. The resulting LC-MS/MS data were processed using a single-step search strategy against 291 a matching metagenome protein database (with no knowledge of taxonomic composition). We 292 initially annotated the MS-identified peptides using Kraken2, Diamond and Unipept, which 293 revealed an overlap of 232 taxon (1.9%) between all three software. Such low overlap was 294 largely driven by the suspected large number of false positive hits identified by Kraken2 295 (10,203 uniquely identified taxon), as seen in the previous section. We then performed pairwise 296 correlation between every samples combination within each software using taxonomic 297 abundance (Figure S3G). While Diamond displayed a higher correlation (median spearman p 298 = 0.71), this is likely driven by the small number of identified taxa, most of which at the 299 taxonomic levels closer to the root (e.g. superkingdom, phylum) and is thus a poor performance 300 estimate.

To determine which taxa are likely true or false positive hits, we made use of the taxonomic composition foreknowledge (at the species level only) from the mouse microbiome catalogue [51]. With this approach, the Kraken2 software showed the best sensitivity (median = 0.17) compared to Unipept (0.13) and Diamond (0.03) (**Figure S3H**, **Table S3**). Based on precision and F-measure, Kraken2 performance collapsed, whereas Unipept software had median precision and F-measure superior to 0.1 (Figure 3F and S3I). Using taxonomic foreknowledge,
 our findings suggest that the Unipept software provides superior predictive power for
 taxonomic annotation of faecal samples.

309 Metaproteome to metagenome correlation highlights an over-representation in the

310 core microbiome functions

311 Multi-omic studies are now increasingly common in context of microbiome investigation to 312 provide interconnected information, such as microbial presence and activity, genetic potential, 313 gene expression and functional activity [52-55]. Due to the availability of matching 314 metagenomic and metaproteomic data for our cohort of 38 mice, we assessed the correlation 315 between gene and protein abundances. To deal with the intrinsic differences between the two 316 datasets, the gene entries were grouped in a similar fashion as the protein groups (i.e. based on 317 peptide identification) and the maximum expression was calculated per gene group. Here, we 318 show that a majority of gene-protein pairs (91 %) have a positive correlation, with a median of 319 0.39, the rest having a median negative correlation of -0.09 (Figure 4A, Table S4). Notably, 320 3,519 gene-protein pairs displayed a significant positive correlation. In addition, we compared 321 the distribution in gene abundances depending on whether the corresponding protein was 322 identified by MS (Figure S4A). As expected, it shows that MS-based proteomics only 323 identifies a subset of proteins towards the higher abundance.

To identify the core pathways within our mice cohort, we performed an over-representation analysis of the significantly correlated gene-protein pairs (**Figure 4B**, **Table S4**). Among these pairs, there was an over-representation in carbon fixation, glycolysis-gluconeogenesis, citrate cycle and carbon metabolism pathways (KEGG) [56]. We further characterised the correlating genes and proteins and identified 20 over-represented gene ontology molecular functions (GOMF) that were involved in ADP, ribosome, carbohydrate and electron transfer (**Figure S4B**, **Table S4**). Our results confirm the central role of carbon fixation and general metabolism,

which are associated with bacterial energy production, in the murine faecal microbiome underthe analysed conditions.

333 The metaproteome is enriched in functionally active pathways compared to the334 matching potential encoded in the metagenome

335 The metagenome corresponds to the microbiome's genetic potential, whereas the 336 metaproteome represents its truly expressed functional activities. Thereby, we compared the 337 functional abundance derived from the metagenomic versus metaproteomic datasets within our 338 cohort of 38 mice. To allow comparison, the KEGG level 2 categories were quantified and 339 normalised separately for each omic datasets (Figure S4C, Table S4). Out of 55 KEGG 340 categories, we found 15 and 37 to be significantly increased and decreased in abundance at the 341 metaproteome level in comparison to the metagenome (FDR ≤ 0.05). In general, the 342 metagenome-based quantification of KEGG categories was stable across categories, whereas 343 large differences were observed for the metaproteome.

344 To prioritise the KEGG categories, we selected eight categories differing significantly in terms 345 of gene-protein correlation in comparison to the overall correlation (Figure 4C and S4D). 346 Among the KEGG categories displaying higher abundance in the metaproteome compared to 347 the metagenome were the membrane transport, translation, signalling and cellular processes, 348 and genetic information processing. Conversely, transcription, carbohydrate metabolism and 349 antimicrobial drug resistance exhibited lower abundance. The KEGG Orthology (KO) entries 350 differing significantly in abundance between the metagenomes and metaproteomes were 351 identified via t-test and used for gene set enrichment analysis (GSEA). GSEA revealed an 352 enrichment of a number of overlapping KEGG pathways, with 19 and 6 pathways positively 353 and negatively enriched, respectively (Figure 4D, Table S4). Interestingly, we found the 354 ribosome pathway enriched in protein with increased abundance (between metaproteome and 355 metagenome datasets), therefore highlighting the functional activation of this pathway (Figure 4E and S4E). Conversely, homologous recombination, DNA replication and mismatch repair were enriched in protein with decreased abundance, suggesting no or low activation of these pathways. Overall, our findings highlight the critical importance of metaproteomics to characterise microbiome samples particularly when it comes to their functional activity.

360 Discussion

Here, we investigate some key aspects of metaproteomic workflow applied to murine faecal samples in order to enhance protein identification, taxonomic and functional coverage. We focused on the assessment of (1) different sample preparation methods, (2) strategies to control for false positive rates during database search, (3) taxonomic annotation software for accurate MS-derived taxonomic representation and (4) the importance of metaproteomics to determine functionally enriched pathways. Our results led to an overview of the strengths and weaknesses of each assessed methods (**Table 1**) in the context of murine faecal metaproteomics.

368 To the best of our knowledge this is one of the largest and most extensive comparisons 369 undertaken to date, comprising over 40 different biological samples and over 50 LC-MS/MS 370 runs. Overall, we reached identification rates that are similar to bacterial shotgun proteomics 371 (ca. 20-40 %). In comparison to previous murine faecal metaproteomic studies, we identified 372 more non-redundant peptides per samples (approximately 20,000 non-redundant peptides on a 373 60 min gradient) [57,58]. Several parameters may have influenced such performance, among 374 which are the use of a faster and more sensitive Orbitrap instrument (i.e. Q Exactive HF) 375 [59,60] and a more representative protein sequence database (i.e. mouse metagenome catalogue 376 or mouse matching metagenome) [51]. Importantly, the impact of mass spectrometer speed and 377 sensitivity should not be overlooked in a typical metaproteomic measurements. Indeed, the 378 type and model of MS instrument was among the parameters with the greatest impact on 379 identification rates. Some of our initial investigation showed significant increase in peptide and

- 380 protein identification rate when using the Q Exactive HF (faster scanning, improved sensitivity)
- 381 versus the Orbitrap Elite (data not shown, but downloadable from ProteomeXchange).

Both LSC and nLSC methods have merits for the metaproteomic analysis of murinefaecal samples

Our study confirms previous observation with regard to increased peptides or proteins identification, which is dependent on laboratory preparation method and specifically the usage of differential centrifugation [24]. The LSC approach also leads to more consistent identifications and as a result fewer missing values, which is a general and extensive problem in metaproteomic datasets. Regarding the topic of reproducible protein identification and quantification, a recent metaproteomic study demonstrated the use of Tandem Mass Tag (TMT) approach in human stool samples [22].

391 Further investigation into taxonomic composition between LSC and nLSC revealed broad 392 changes already at the phylum level. Notably, Bacteroidetes and Verrucomicrobia were 393 enriched within LSC-prepared samples, whereas Firmicutes, Actinobacteria and 394 Deferribacteres phyla were over-represented in nLSC samples. Such depletion or enrichment of several major bacterial phyla have previously been reported by Tanca and colleagues [24]. 395 396 While Verrucomicrobia was found enriched by LSC in ours as well as Tanca's study, 397 Bacteroidetes, Firmicutes and Actinobacteria were enriched by opposite methods. Several 398 reasons may explain these discrepancies, such as the host organism under study (i.e. Mus 399 musculus versus Homo sapiens), different protein sequence database construction (i.e. mouse 400 microbiome catalogue versus UniProtKB custom microbiome) and minimal biological 401 variability (i.e. three biological sample here versus one in Tanca's study).

402 Additional comparison to the phyla detected by metagenomics in the mouse microbiome 403 catalogue study tends to agree more with the nLSC approach [51]. However, the samples from 404 that study were also prepared using a nLSC approach, which may explain the similarity.

405 Importantly, it has been reported that the removal of faecal particles may also lead to exclusion 406 of proteins or organisms attached to these faecal debris [24], thus leading to a bias in the LSC 407 approach. A limitation of our study lies in the use of murine faecal samples of unknown 408 microbiota composition to assess different laboratory methods and their impact on taxonomic 409 and protein representation. To bypass this issue, one solution would consist in assessing 410 different laboratory methods against a mock microbial community (i.e. known composition), 411 such as in the study by Kleiner and colleagues [32]. While such community sample can be 412 purchased, these are mostly representative of the top 20 most abundant species within the 413 human gut microbiome and are far from recapitulating the complexity of a faecal sample (>100 414 microbial species) [61,62].

415 Our results at the protein level showed significant changes in abundance, which were indicative 416 of broad taxonomic changes, more so than variation in functional activities. Importantly, recent 417 studies have reported considerable changes in rodent microbiota depending on suppliers or on 418 shipping batch, even for mice housed in identical environments [63,64]. Murine gut microbiota 419 is also significantly different from other mammals, such as human [51]. In this context, our 420 results on metaproteomic sample preparation may not translate to other of murine faecal pellets 421 (e.g. young vs. old individuals) or other mammalian faeces (e.g. H. sapiens) and suggests that 422 optimisation of sample preparation is needed for each cohort (or at least for each host 423 organism). Similarly, the murine faecal pellets used in this study originated exclusively from 424 male and thus display a bias against female murine microbiome. Previous studies have reported 425 differences in microbial composition between male and female, which in turn impacts hormone 426 levels, disease progression and gene expression of the host [65-67]. In the future, our results 427 should be confirmed using a mixed gender murine cohort.

428 Notably, both sample preparation approaches have advantages, and the choice may ultimately429 come down to which bacterial phylum is under investigation [25]. Another option, which would

430 need to be tested and depends on faecal pellet size, consists in splitting each faecal sample and 431 performing LSC and nLSC in parallel. Following implementation of both faecal pre-processing 432 approaches, the resulting samples could be pooled, processed using the in-solution digestion 433 workflow and measured by LC-MS/MS. This alternative avoids an increase in sample size and 434 measurement time, but maximises the recovery of different taxon (and proteins). The splitting 435 of faecal material is also relevant for multi-omics investigation, as reported in a recent swine 436 multi-omic study [68], and a murine dual metagenomics-metabolomics project [69]. In this 437 context, to implement metaproteomics and metabolomics of the same samples, the faecal 438 material must be collected fresh and quickly stored at -80 °C. At the time of preparation, 439 samples can be split and their respective laboratory workflows can be pursued separately.

440 Single-step database search allows optimal control of false discovery rate

441 Currently, many metaproteomic studies use two-step database searches as a way to boost 442 identification rates [40-42]. However, we demonstrate that this type of search dramatically 443 underrepresents the number of false positives, due to the use of a decoy search strategy that is 444 unsuitable in this context. Our results elaborate on a previous study by Muth and co-workers, 445 who also emphasised the drawbacks of using a two-step search together with decoy strategy 446 [70]. Using a single human LC-MS/MS measurement, our findings were so extreme that the 447 number of false positives was equal or greater to the number of false negatives, with FDR 448 outside of any accepted range (i.e. factual FDR > 0.1).

Using metaproteome samples of known composition, we expanded our investigation of search strategies by including "two-step taxa" and "two-step two sections". The "two-step two sections" approach, implemented according to Kumar and colleagues [44], provided a middle ground in performance between the "two-step protein" and single-step search strategies, but at the expanse of much longer processing time. Nonetheless, our results confirmed the inability of two-step searches to control the FDR, including in context of metaproteomic samples. We 455 argue that the use of a two-step search should be avoided whenever possible and replaced by456 alternative strategies, such as taxonomic foreknowledge or using matching metagenomes [45].

457 Accurate taxonomic annotation of murine faecal samples can be generated by the458 Unipept software

459 Previous studies have shown that it is possible to derive taxonomic representation from MS-460 identified peptides of known taxonomic origin [32,33,71]. However, to the best of our 461 knowledge, there has not been a comparison of software for the taxonomic annotation of 462 peptides with unknown origin. Here, we compared three software packages, namely Kraken2 [46], Diamond [48] and Unipept [28], which use different algorithms to perform such 463 464 taxonomic annotation. Using metaproteome samples of known composition, as well as 465 metaproteome samples from 38 murine faeces, we determined that the Unipept software provided superior performance (i.e. precision, sensitivity). Notably, Unipept is very user-466 467 friendly, fast and was designed to work on MS-identified peptides [28]. Whereas, Diamond 468 and Kraken2 have both been designed to work on full protein/gene sequences or nucleotide 469 sequencing reads (as opposed to peptides), which may have contributed to their lower 470 performance [46,48]. Our assessments (i.e. sensitivity, specificity) were based on exact 471 taxonomic identity and ignored hits from closely related taxon, which may have negatively 472 affected the performance estimates of Kraken2 [46]. While, Unipept was clearly the optimal 473 taxonomic annotation software for MS-identified peptides, it is currently limited to UniProt 474 proteins, NCBI taxonomic hierarchy and trypsin cleavage.

475 The metaproteome shows an enrichment in functionally-active pathways compared to

476 the matching metagenomic potential

477 Here, we observed an overall positive correlation between gene and protein abundances derived478 from metaproteome and matching-metagenome analysis. This was previously reported in a

479 longitudinal study of metaproteome/metagenome fluctuations from one individual with 480 Crohn's Disease [52]. In our case the significantly correlated entries were associated with core 481 bacterial metabolic functions, such as carbon and energy metabolism or electron transfer 482 activity [72]. Despite such correlations, we also reported extensive differences in quantified 483 functions between metagenomics and metaproteomics. Notably, with regard to genetic 484 information processing (KEGG level 2), the ribosome pathway was over-represented in entries 485 with higher abundance in metaproteomes, whereas pathways associated with DNA repair, 486 replication or recombination were over-represented in entries with increased abundance in 487 metagenomes. However, several studies have shown positive correlation between 488 metatranscriptomics and metaproteomics at the gene or function levels. For example, a 489 microbial community study from wastewater treatment plant [73] revealed overall positive 490 correlation in functional categories abundance between transcripts and proteins. In another 491 multi-omics study of the gut microbiome of human diabetic patients [55], while a positive 492 correlation was observed between transcripts and proteins, this correlation did not translate to 493 the derived functional profiles.

Here we highlight the main advantage of metaproteomics, which captures functionally active pathways, as opposed to the genetic potential represented by metagenomics [74]. Thus, these approaches are complementary to each other and can provide a more comprehensive understanding of a biological system [54].

498 Conclusion

To conclude, in this study we present an integrated analytical and bioinformatic workflow to improve protein identification, taxonomic and functional coverage of the murine faecal metaproteome. LSC combined with in-solution digestion provided the highest identification rates, although leading to a potential enrichment in specific taxa. We also show that fast and

accurate MS data processing can be achieved using a single-step database search. Taxonomic annotation can be generated directly from MS-based peptide identification using the Unipept software. While protein and gene abundances displayed an overall positive correlation, the metaproteome showed a significant functional enrichment compared to its metagenomic potential; thus, emphasizing the need for more metaproteomic studies for adequate functional characterisation of the microbiome.

509 Methods

510 Animals and faecal samples collection

511 Mouse faecal pellets, obtained from a small cohort of six male wild-type B6EiC3SnF1/J mice, 512 were used to compare sample purification and protein extraction methodologies from faeces 513 (**Figure S1A**). A larger cohort of 38 mice (male euploid and trisomic Ts65Dn) was used to 514 obtain mouse faeces, for further assessment of the data analysis workflow. Mice were housed 515 and faeces were collected following the experimental procedures evaluated by the local Ethical 516 Committee (Barcelona Biomedical Research Park, Spain). Faecal pellets were collected fresh, 517 placed at -20 °C and stored at -80 °C until analysis.

518 DNA extraction and whole-genome sequencing

519 Whole genome analysis was performed on the mouse cohort used for data analysis assessment. 520 In brief, DNA was extracted from faecal samples using the FastDNA SPIN Kit (MP 521 Biochemicals) and following manufacturer's instructions. DNA concentration was measured 522 using a Qubit fluorometer (Invitrogen) and samples were shipped frozen to the Quantitative 523 Biology Centre (QBiC) at the University of Tuebingen for whole genome sequencing. 524 Sequence data were generated on an Illumina HiSeq 2500 instrument (chemistry SBS v3 plus

525 ClusterKit cBot HS) and processed as described previously [75] but with minor modifications

526 that follow. Supplied sequence data were checked using fastQC v0.11.5 [76]. Data were 527 trimmed with Trim Galore! (--clip R1 10 --clip R2 10 --three prime clip R1 10 --528 three_prime_clip_R2 10 --length 50; Babraham Bioinformatics). Mouse DNA within samples 529 was detected by mapping reads against the mouse genome (GRCm38). Mouse-filtered read 530 files (with an average of 3.58 ± 0.08 Gb sequence data per sample) were used for all subsequent 531 analyses. Kraken2 2.0.8-beta [46] with the pre-compiled Genome Taxonomy Database [77] 532 Functional annotation was achieved by mapping centroid protein sequences generated as 533 described before [46,75] using the eggNOG-mapper software (v.1.0.3) [78] and associated 534 database (v.4.5).

535 Sample treatment, cell lysis and protein extraction

536 Mouse faecal pellets obtained from wild-type B6EiC3SnF1/J mice were used to compare 537 sample initial preparation methodologies (**Figure S1A**).

538 For the LSC procedure, faeces (~50 mg) were resuspended in phosphate buffer (50 mM 539 Na₂HPO₄/NaH₂PO₄, pH 8.0, 0.1 % Tween 20, 35x volume per mg) by vortexing vigorously for 5 min using 4 mm glass beads (ColiRollersTM Plating beads, Novagen), followed by 540 541 incubation in a sonication bath for 10 min and shaking at 1,200 rpm for 10 min in a 542 Thermomixer with a thermo block for reaction tubes. Insoluble material was removed by centrifugation at $200 \times g$ at 4 °C for 15 min. The supernatant was removed and the remaining 543 544 pellet was subjected to two additional rounds of microbial cell extraction. After merging 545 supernatants, microbial cells were collected by centrifugation at $13,000 \times g$ at 4 °C for 30 min. 546 The pellet was resuspended in 80 µL sodium dodecyl sulfate (SDS) buffer (2 % SDS, 20 mM 547 Tris, pH 7.5; namely pellet extraction buffer) and heated at 95 °C for 30 min in a Thermomixer. 548 The resulting suspension was divided into two parts to obtain technical replicates for the rest 549 of the sample preparation workflow. Protein extraction was performed by cell homogenization 550 using 0.1 mm glass beads (100 mg, SartoriusTM Glass Beads) for each replicate and the

551 FastPrep-24 5G instrument (MP) at 4 m/s or BeadBug microtube homogenizer (BeadBug) at 552 4,000 rpm. Three cycles of homogenization including 1 min bead beating, 30 sec incubation at 95 °C, and 30 sec centrifugation at $13,000 \times g$ were performed. The homogenate was diluted 553 554 with 800 µL MgCl₂ buffer (0.1 mg/mL MgCl₂, 50 mM Tris, pH 7.5) and centrifuged at 555 13,000 rpm for 15 min. Proteins from the supernatant were precipitated overnight in acetone 556 and methanol at -20 °C (acetone:methanol:sample with 8:1:1 ratio). Protein pellets were 557 resuspended in 120 µL denaturation buffer (6 M urea, 2 M thiourea, 10 mM Tris, pH 8.0) for 558 downstream use.

For the nLSC procedure, mouse faeces (~25 mg) were homogenised directly in 150 μ L pellet extraction buffer as described above with the following changes. A bead mixture of 0.1 mm glass beads (100 mg), 5 × 1.4 mm ceramic beads (Biolab products), and 1 × 4 mm glass bead was used for five cycles of homogenisation to break-up the faecal material.

563 Protein digestion

Following extraction, protein amount was quantified using Bradford assay (Bio-Rad, Munich,
Germany) [79] and two methods were compared to digest proteins extracted from LSC or nLSC
procedures.

567 The in-solution digestion method was performed as follows. Proteins (20 µg starting material) 568 were reduced in 1 mM dithiothreitol (DTT) and alkylated in 5.5 mM iodoacetamide at room 569 temperature (RT) for 1 h each. Proteins were pre-digested with LysC at RT for 3 h using a 570 protein to protease ratio of 75:1. Samples were diluted nine-fold with 50 mM ammonium 571 bicarbonate and digested overnight with trypsin (Sequencing Grade Modified Trypsin, 572 Promega) at pH 8.0 using a protein to protease ratio of 75:1.

573 Filter-aided sample preparation (FASP) was performed as previously published [80]. Briefly,

574 proteins (10 µg starting material) were reduced in 0.1 M DTT for 40 min at RT. The reduced

samples were added to the filter units (30 kDa membrane cut off) and centrifuged at $14,000 \times g$

576 for 15 min. All further centrifugation steps were performed similarly unless otherwise noted. 577 Samples were then washed with 2X 200 µL urea buffer (100mM Tris/HCl, pH 8.5, 8M urea) 578 and centrifuged. Proteins were incubated in 50 mM IAA for 20 min at RT in the dark. After 579 alkylation, samples were centrifuged and washed three times with 100 µL urea buffer. This was 580 followed by three wash steps with 50 mM ammonium bicarbonate (ABC) for 10 min. Proteins 581 were digested overnight at 37 °C using trypsin digestion (Sequencing Grade Modified Trypsin, 582 Promega) at pH 8.0 using a protein to protease ratio of 100:1. On the following day, the peptides 583 were centrifuged into fresh tubes at $14,000 \times g$ for 10 min. An additional 40 µL ABC buffer 584 was added to the filter units and this solution was also centrifuged to increase the peptide yield. 585 To stop the digestion from either in-solution or FASP workflows, the samples were acidified 586 to pH 2.5 with formic acid and cleaned for LC-MS/MS measurement using Empore C18 disks 587 in StageTips [81].

588 LC-MS/MS measurements

Samples were measured on an EASY-nLC 1200 (Thermo Fisher Scientific) coupled to a Q Exactive HF mass spectrometer (Thermo Fisher Scientific). The samples prepared for the sample purification and protein extraction methodologies assessment were all measured in duplicates to assess instrument reproducibility. Peptides were chromatographically separated using 75 μm (ID), 20 cm packed in-house with reversed-phase ReproSil-Pur 120 C18-AQ 1.9 μm resin (Dr. Maisch GmbH).

595 Peptide samples generated as part of the laboratory method optimisation (LSC vs. nLSC, FASP 596 vs. in-solution) were eluted over 43 min using a 10 to 33 % gradient of solvent B (80 % ACN 597 in 0.1 % formic acid) followed by a washout procedure. Peptide samples generated as part of 598 the data analysis assessment (metaproteome vs. metagenome) were eluted over 113 min using 599 a 10 to 33 % gradient of solvent B (80 % ACN in 0.1 % formic acid) followed by a washout 500 procedure. MS1 spectra were acquired between 300-1,650 Thompson at a resolution of 60,000 with an AGC target of 3×10^6 within 25 ms. Using a dynamic exclusion window of 30 sec, the top 12 most intense ions were selected for HCD fragmentation with an NCE of 27. MS2 spectra were acquired at a resolution of 30,000 and a minimum AGC of 4.5×10^3 within 45 ms.

605 LC-MS/MS data processing

606 Raw data obtained from the instrument were processed using MaxQuant (version 1.5.2.8) [36]. 607 The protein sequence databases used for database search consisted of the complete Mus 608 musculus Uniprot database (54,506 sequences) and frequently observed contaminants (248 609 entries), as well as the mouse microbiome catalogue (~2.6 million proteins) [51] for the raw 610 data from laboratory method optimisation samples or the matching metagenome gene 611 translation (~1.5 million proteins) for the raw data from data analysis assessment samples. A 612 FDR of 1 % was required at the peptide and protein levels. A maximum of two missed 613 cleavages was allowed and full tryptic enzyme specificity was required. Carbamidomethylation 614 of cysteines was defined as fixed modification, while methionine oxidation and N-terminal 615 acetylation were set as variable modifications. Match between runs was enabled where applicable. Quantification was performed using label-free quantification (LFQ) [82] and a 616 617 minimum peptide count of 1. All other parameters were left to MaxQuant default settings.

618 Comparison of sample preparation methods

Unless stated otherwise, the analyses described below were performed in the R environment [83]. To compare the different centrifugation, digestion and lysis methods, we counted for each sample the number of peptide and protein groups with intensities and LFQ intensities superior to zero, respectively. We tested for significant differences between methods using unpaired ttests via the ggplot2 package [84]. Quantified peptides and protein groups were checked for overlap between the centrifugation methods using the VennDiagram package. The proportion of host (*Mus musculus*) proteins was computed by summing up all host proteins iBAQ values
and then dividing by the total iBAQ per sample. The centrifugation methods were evaluated
using an unpaired t-test.

The taxonomy representation, for the centrifugation methods, was done via the Unipept online software (v. 4.5.1) [37]. The quantified peptides (intensity superior to zero) were imported into Unipept with I-L not equal. The Unipept result were used to count the number of non-redundant peptides assigned to each taxonomic node.

632 For the differential protein abundance analysis (between LSC and nLSC), the MSnBase 633 package was used as organisational framework for the protein groups LFQ data [85]. Host 634 proteins, reverse hit and potential contaminant proteins were filtered out. Protein groups were 635 retained for further analysis only if more than 90 % of samples within either LSC or nLSC 636 group had an LFQ superior to the first quartile overall LFQ. Significantly changing proteins 637 were identified using paired t-test. Significance was set at an adjusted p-value of 0.01 following 638 Benjamini-Hochberg multiple correction testing, as well as a minimum LSC/nLSC fold-change 639 of ± 1.5 . The over-representation and GSEA testing of KEGG pathways were done for the 640 significantly up- and down-regulated proteins as well as for the proteins uniquely identified per group via the clusterProfiler package based on hypergeometric distribution (p-adj. ≤ 0.05) [39]. 641

642 Single- versus two-step search assessment using HeLa cell line sample

HeLa cells were prepared for LC-MS/MS measurements using published method [86]. Briefly,
cells were grown in DMEM medium and harvested at 80 % confluence. Proteins were
precipitated using acetone and methanol. Proteins were reduced with DTT and digested with
Lys-C and trypsin. Peptides were purified on Sep-Pak C18 Cartridge.

647 Sample was measured as described in the LC-MS/MS measurements section but for a few 648 changes. Peptide sample was eluted over 213 min using a 7 % (0 min), 15 % (140 min) and

649 33 % (213 min) gradient of solvent B (80 % ACN in 0.1 % formic acid) followed by a washout
650 procedure. The top 10 most intense ions were selected for HCD fragmentation.

651 Raw data were processed as described in the LC-MS/MS data processing section with a few 652 alterations. Match between runs was disabled. The protein sequence databases used for 653 database search consisted of the complete Homo sapiens Uniprot database (93,799 sequences), 654 frequently observed contaminants (248 entries), as well as the mouse microbiome catalogue 655 (~2.6 million proteins) [51]. Several processings were performed differing in the number of microbiome catalogue entries included, which led to an increase in database size of $0 \times$, $1 \times$, $2 \times$, 656 657 $5\times$, $10\times$ and $20\times$ compared to the *H. sapiens* database alone. These processings also differed 658 in the database search strategies used, namely single- or two-step search [40].

Identified MS/MS, peptides and protein groups were assigned to kingdom of origin (conflicts were resolved to Eukaryota by default). To compare the different database search strategies, we counted the number of identified MS/MS, non-redundant peptides and protein groups associated to each kingdom (as well as reverse hits and potential contaminants). We also calculated the FDR based solely on reverse hits or together with bacterial hits (factual FDR) in order to investigate the true number of false positives.

665 Database search strategies assessment using known microbiome samples

666 We used the samples generated by Kleiner and colleagues, specifically the uneven organisms 667 preparation described in the earlier publication [32]. This dataset contained LC-MS/MS 668 measurements (N = 8) that we processed as described in the LC-MS/MS data processing 669 section with a few alterations. Match between runs was disabled. The protein sequence 670 databases used for database search consisted of the proteome of all 32 organisms present in the synthetic samples ("uneven database" = 122,972 sequences), frequently observed contaminants 671 672 (248 entries), as well as the mouse microbiome catalogue (~2.6 million proteins) [51]. Several 673 processings were performed differing in the number of microbiome catalogue entries included,

which led to an increase in database size of $0 \times$, $0.5 \times$, $1 \times$, $2 \times$, $5 \times$, $10 \times$ and $20 \times$ compared to the "uneven database" alone. These processings also differed in the database search strategies used, namely single-step search, "two-step protein" search to keep identified proteins [40], "two-step taxa" search to keep identified taxa [30], and "two-step two sections" search to keep identified proteins after sectioned search [44].

679 Identified protein groups were assigned to database of origin, namely "uneven database" or 680 mouse microbiome catalogue database. For each sample, this allowed computation of the 681 number of (1) true positive hits, must be hits from the "uneven database"; (2) false positive 682 hits, must be hits from the mouse microbiome catalogue; (3) false negative hits, the total 683 identified protein count in the "uneven database" (total from 8 samples) minus the true 684 positives; and (4) true negative hits, the total protein count in the mouse microbiome catalogue 685 minus the false positives. This allowed calculation of the accuracy, precision and sensitivity 686 for each increase in the database size. We also calculated the factual FDR based on reverse hits together with mouse microbiome catalogue hits in order to investigate the true number of false 687 688 positives.

Using only the processings against the largest database (20×), we filtered our data for protein groups with a minimum of one or two unique peptides. The true positive count and factual FDR were calculated (and compared) for each combination of search strategy and filtering, as described in the previous paragraph.

693 Taxonomic representation of known microbiome samples

We also used the uneven samples generated by Kleiner and colleagues [32] to investigate the taxonomic representation derived from MS-identified peptides. The gold-standard processing was used, with single-step database search against the proteome of all 32 organisms present in the synthetic samples ("uneven database" = 122,972 sequences). MS-identified peptides were submitted to (1) Kraken2 (v. 2.1.1) [46], (2) Diamond (v. 2.0.9) [48], or (3) Unipept online (v.

699 4.5.1) [28] software for taxonomic assignments. The protein sequences from Uniprot 700 (swissprot and trembl) were used as database for each software. The Diamond alignment was 701 performed using sensitive and taxonomic classification mode. The Unipept online analysis was 702 done via the metaproteome analysis function with I-L not equal. The Kraken2 k-mer analysis 703 was carried out in translated mode using back-translated peptide sequences (back-translation 704 done with EMBOSS backtranseq). For each software approach, the complete taxonomic 705 lineage (NCBI) was retrieved per peptide and the lowest common ancestor was determined.

706 For each sample, we determined and computed the number of taxa that are (1) true positive 707 hits, must be an identified taxon used for the preparation of the synthetic samples; (2) false 708 positive hits, must be an identified taxon not used for the preparation of the synthetic samples; 709 (3) false negative hits, the total number of taxa used for the preparation of the synthetic samples 710 minus the true positives; and (4) true negative hits, the total number of taxa (with at least one 711 Uniprot protein) minus the true and false positives. This allowed calculation of the accuracy, 712 precision, specificity, sensitivity and F-measure for different PSM count thresholds. Taxa were 713 then quantified per sample based on the different software approaches by summing the peptide 714 intensities and then normalised to percentage of total peptide intensities. At each taxonomic 715 level, the Spearman's rank correlation was calculated between the expected taxon 716 representation in the uneven samples and the taxa representation determined from each 717 software.

To investigate the taxonomic identification in context of different database search strategies, we performed the taxonomic annotation via Unipept for all uneven data processings described in the above section. We then carried out all steps described in the previous paragraph in order to compute the F-measure per search strategy and database size.

722 Taxonomic representation of faecal microbiome samples

All subsequent sections use the faecal samples from a 38 mice cohort. These were prepared via LSC and in-solution protein digestion, as described above. The resulting peptide mixtures were measured on a Q Exactive HF mass spectrometer and processed against the matching metagenome gene translation, as described above.

The MS-identified peptides in this dataset were taxonomically annotated with Kraken2, Diamond and Unipept, as described above. Taxa were quantified as described above (sum of peptide intensities). The Spearman's rank correlation in taxon representation was calculated for each pairwise combination of samples within software.

For each sample, we determined and computed the number of species that are (1) true positive hits, must be an identified species reported in the mouse microbiome catalogue; (2) false positive hits, must be an identified species not reported in the mouse microbiome catalogue; and (3) false negative hits, the total number of species reported in the mouse microbiome catalogue minus the true positives. This allowed calculation of the precision, sensitivity and Fmeasure for each samples and annotation software.

737 Metagenome to metaproteome correlation

738 All subsequent sections use the faecal samples from a 38 mice cohort. These were prepared via 739 LSC and in-solution protein digestion, as described above. The resulting peptide mixtures were 740 measured on a Q Exactive HF mass spectrometer and processed against the matching 741 metagenome gene translation, as described above. For direct comparison between metagenome 742 and metaproteome, the identified genes were collapsed into groups identical to protein groups 743 composition from mass spectrometry. Each gene groups abundance was calculated as the 744 highest gene abundance within that group. Each gene groups and corresponding protein groups 745 abundances were correlated across samples using Spearman's rank correlation from the stats 746 package. Significance was set at an adjusted p-value of 0.05 following Benjamini-Hochberg 747 multiple correction testing. The GSEA testing of KEGG pathways and Gene ontologies were

performed via the clusterProfiler package based on hypergeometric distribution (p-adj. ≤ 0.05)

[39] following z-scoring of Spearman rho estimate per KEGG orthologies.

750 Functional KEGG categories representation

751 For each sample, the protein groups iBAQ values were summed per KEGG category (level 2) 752 on the basis of KEGG orthology annotation. The same approach was also undertaken for gene 753 count. The KEGG category abundance were normalised for differing number of KO entries per 754 category and for variation between samples; this was done separately for metagenome and 755 metaproteome. Differences in KEGG category abundance between metagenome and 756 metaproteome were tested using paired t-tests from the stats package. Significance was set at 757 an adjusted p-value of 0.01 following Benjamini-Hochberg multiple correction testing. 758 Significantly changing KEGG categories were prioritised based on gene groups to protein 759 groups correlation (see section Metagenome to metaproteome correlation), whereby the 760 Wilcoxon rank-sum test was used to identify KEGG category containing KO entries whose 761 correlation differ from overall distribution (adjusted p-value ≤ 0.05).

To investigate further these selected KEGG categories, the protein groups iBAQ and gene count were used as described in the previous paragraph to derive KO normalised abundance and t-test results. Using the KO entries from each selected KEGG categories, separate GSEA testing of KEGG pathways were performed via the clusterProfiler package based on hypergeometric distribution (p-adj. ≤ 0.05).

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779 Author contributions

LD, XA, MD and BM designed the study. XA and CG generated the mouse cohorts and collected the murine faecal material. LMG extracted the DNA from all faecal samples prior to metagenomic sequencing. VA, TG and ID prepared the murine faecal samples for proteomic measurement by mass spectrometry. LH processed the metagenomic data, generating the taxonomic and gene abundance outputs. NN processed the metaproteomic datasets and performed the proteogenomic integration. NN wrote the manuscript with the input from all authors.

787 Data Access

The complete metaproteomic bioinformatic workflow is available online [87]. The mass spectrometry proteomic data have been deposited to the ProteomeXchange Consortium via the PRIDE [88] partner repository with the dataset identifiers PXD020695, PXD020738, PXD021928, PXD021932 and PXD027306. Trimmed whole genome sequence data with mouse reads removed have been deposited with GenBank, EMBL and DDBJ databases under the BioProject accession PRJNA473429.

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1019 Tables

Table 1: Performance comparison of different sample preparation and data analysis
steps. In bold are the best methods according to assessed criteria: peptide/protein count,
host/dietary contamination, *Firmicutes* or *Bacteroidetes* representation, time efficiency, FDR,
identification rate, taxon-assigned peptides and number of taxonomic identification precision.
The performance status is displayed using minus sign for poor, equal sign for similar/no
difference or plus sign for good performance.

		Peptide/protein count	Host/dietary contamination	Firmicutes	Bacteroidetes	Time efficiency	FDR	Identification rate	Taxon assigned peptides	Precision
Centrifugation	LSC	+	-	-	+	-				
	nLSC	-	+	+	-	+				
Digestion	In-solution	+				-				
	FASP	-				+				
Search strategy	Single-step	-				+	+	-		
	Two-step protein	+				-		+		
	Two-step sections	+					-	+		
	Two-step taxa	-				-	+	-		
Taxon quantification	Kraken2					+			+	
	Diamond								-	-
	Unipept					+			+	+

1026

1027 Figures

Figure 1: Low speed centrifugation impacts protein identification and taxonomic
representation. A) Number of MS/MS spectra, peptides and protein groups per samples for
the comparison between LSC (red) and nLSC (blue) methods. B) Number of identified MS/MS

1031 spectra, peptides and protein groups per samples for the comparison between LSC-in solution 1032 digestion (red), LSC-FASP (grey), nLSC-in solution digestion (blue) and nLSC-FASP (orange) 1033 methods. A-B) Represented significance results correspond to t-test on N = 12 (A) or N = 6(B): * p- value ≤ 0.05 , ** ≤ 0.01 , *** ≤ 0.001 . C) Hierarchical representation of Unipept-1034 1035 derived taxonomy (down to phylum level) for the peptide identified in the LSC and nLSC. The 1036 barplot represent the taxonomic abundance for LSC (red) and nLSC (blue) methods based on 1037 peptide counts (only for taxon identified with 3 or more peptides). D) Overlap in the overall 1038 identified peptides or protein groups between the LSC and nLSC methods. (E) Volcano plot of 1039 the protein abundance comparison between LSC and nLSC approaches. Significant protein 1040 groups based on paired t-test from N = 12 with FDR ≤ 0.01 and absolute fold-change ≥ 2.5 . (F) 1041 KEGG pathways over-representation testing for the protein groups that significantly increase 1042 (red) or decrease (blue) in abundance between LSC and nLSC sample preparation approaches. 1043 Fisher exact-test threshold (gold dotted line) set to adjusted *p*-value ≤ 0.05 .

1044 Figure 2: Two-step database search in combination with target-decoy strategy leads to a 1045 dramatic increase in false positive rate. A) The protein groups count is shown for single- or 1046 two-step search strategies across increasingly large protein sequence databases. Counts are 1047 colour-coded per category, with eukaryote (grey), bacteria (red), contaminant (blue) and 1048 reverse (orange) hits. B) The FDR is calculated for single- or two-step search strategies across 1049 increasingly large protein sequence databases. The FDR is calculated based on reverse hits only 1050 (circle shape) or reverse plus bacterial hits (triangle shape). C & D) The sensitivity (C) and 1051 factual FDR (D) based on protein groups identification across increasingly large protein 1052 sequence databases. The compared database search strategies are single-step (blue), two-step 1053 taxon filtering (grey) and two-step protein filtering without (red) or with (orange) database 1054 sectioning. Lines represent the median (and the shading corresponds to the standard error) from 1055 N = 8 LC-MS/MS runs. E) The true positive count based on protein groups identified with a 1056 minimum of one (shaded colouring) or two (unshaded colouring) unique peptides for the largest 1057 database (i.e. 20). The compared database search strategies are single-step (blue), two-step 1058 taxon filtering (grey) and two-step protein filtering without (red) or with (orange) database 1059 sectioning. Bars and numbers indicate the median count, while error bars correspond to the 1060 standard deviation, from N = 8 LC-MS/MS runs. The overall maxima of true positive count 1061 based on single-step search is indicated as a horizontal dotted line (gold).

1062 Figure 3: Unipept software provides the most precise taxonomic annotation of MS-based 1063 peptide identification. A) Percentage of taxon-annotated peptides at each taxonomic level for 1064 the comparison between Kraken2 (red), Diamond (grey) and Unipept (blue) software. B) 1065 Assessment of the impact of the minimum number of PSM count per taxon onto the F-measure 1066 for taxonomic annotation. The F-measure was compared between Kraken2 (red), Diamond 1067 (grey) and Unipept (blue) software. C) Heatmap representing the correlation (Spearman ρ) in 1068 taxonomic abundance between sample input protein (expectation) and different taxonomic 1069 annotation software (i.e. Kraken2, Diamond and Unipept). The correlation was performed 1070 overall, as well as for each taxonomic level. D) Organisms pooled in artificial samples are 1071 ranked based on the protein material input, as displayed in the left-most barplots (x-axis in 1072 \log_{10} scale). The proteome size (ORFs) for these organisms on UniProt web resource is 1073 displayed in the right-most barplot (x-axis in log_{10} scale). The heatmap compares the taxon 1074 identification across samples between Kraken2, Diamond and Unipept. A-D) Samples from the 1075 study by Kleiner and colleagues, with N = 8. E) Overlap in the overall identified taxa between 1076 the Kraken2 (red), Diamond (grey) and Unipept (blue) software. F) A comparison of the F-1077 measure distribution for taxonomic annotation between the Kraken2 (red), Diamond (grey) and 1078 Unipept (blue) software. Each point represents an individual mouse. E-F) Samples from this 1079 study using mouse faecal material, with N = 38.

1080 Figure 4: Functionally active pathways derived from the metaproteome differs from the 1081 **metagenome potential.** A) Correlation is shown between each protein groups (metaproteome) 1082 and corresponding gene "groups" (metagenome) abundances. Correlation was tested using 1083 Spearman's rank correlation and p-value was adjusted for multiple testing using Benjamini-1084 hochberg correction. Significantly positively correlating protein/gene groups are in red colours, 1085 while significantly negatively correlating protein/gene groups are in green colours (adjusted p-1086 value ≤ 0.05). B) GSEA of KEGG pathways based on ranking of the protein/gene groups 1087 correlation. Pathway node colour corresponds to GSEA results adjusted p-value and node size 1088 matches the number of protein/gene group assigned to the pathway. C) Comparison in the 1089 proportion of selected KEGG functional categories (level 2) between metaproteome (red) and 1090 metagenome (grey). Paired t-test p-values are indicated (N = 38). D) GSEA of KEGG pathways 1091 based on ranking of t-test results from KEGG orthology proportion between metaproteome and 1092 metagenome. KEGG pathways are colour-coded based on KEGG functional categories (level 1093 2). Only significantly over-represented KEGG pathways are shown with adjusted p-value \leq 1094 0.05. E) Interaction network between KEGG orthologies and KEGG pathways for the KEGG 1095 functional category "Protein families: genetic information processing". Pathway node size 1096 corresponds to number of KEGG orthologies associated to it. KEGG orthologies are colour-1097 coded based on directional adjusted *p*-value from the t-test comparison between metaproteome 1098 and metagenome.