

Simultaneous Whole-chamber Non-contact Mapping of Highest Dominant Frequency Sites during Persistent Atrial Fibrillation: a Prospective Ablation Study

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Author contribution statement

GSC: concept/design study, data analysis/interpretation of results, drafting manuscript, critical revision of manuscript, statistics, and 'off-line' data collection; XL: concept/design study, data analysis/interpretation of results, drafting manuscript, critical revision of manuscript, statistics; PJS: EP studies and ablation procedures, concept/design study, EP study, data collection, interpretation of results, critical revision of manuscript; FJV: data analysis/interpretation of results, critical revision of manuscript, statistics; JS: data analysis/interpretation of results, critical revision of manuscript; TPA: data analysis/interpretation of results, drafting manuscript, critical revision of manuscript; ND: data analysis/interpretation of results, critical revision of manuscript; AJS: data analysis/interpretation of results, critical revision of manuscript; PK: data analysis/interpretation of results, critical revision of manuscript; FSS: Concept/design study, data analysis/interpretation of results, critical revision of manuscript; GAN: EP studies and ablation procedures, concept/design study, interpretation of results, critical revision of manuscript.

Keywords

Atrial Fibrillation, Catheter Ablation, Non-contact mapping, Atrial electrograms, dominant frequency, Persistent AF, Multi-layer, rotors

Abstract

Word count: 348

Purpose: Sites of highest dominant frequency (HDF) are implicated by many proposed mechanisms underlying persistent atrial fibrillation (persAF). We hypothesised that prospectively identifying and ablating dynamic left atrial HDF sites would favourably impact the electrophysiological substrate of persAF. We aim to assess the feasibility of prospectively identifying HDF sites by global simultaneous left atrial mapping.

Methods: PersAF patients with no prior ablation history underwent global simultaneous left atrial non-contact mapping. 30 s of electrograms recorded during AF were exported into a bespoke MATLAB interface to identify HDF regions, which were then targeted for ablation, prior to pulmonary vein isolation. Following ablation of each region, change in AF cycle length (AFCL) was documented (≥ 10 ms considered significant). Baseline isopotential maps of ablated regions were retrospectively analysed looking for rotors and focal activation or extinction events.

Results: 51 HDF regions were identified and ablated in 10 patients (median DF 5.8Hz, range 4.4-7.1Hz). An increase in AFCL was seen in 20 of the 51 regions (39%), including AF termination in 4 patients. 5 out of 10 patients (including the 4 patients where AF termination occurred with HDF-guided ablation) were free from AF recurrence at 1 year.

The proportion of HDF occurrences in an ablated region was not associated with change in AFCL ($\tau=0.11$, $p=0.24$). Regions where AFCL decreased by 10 ms or more (i.e. AF disorganization) after ablation also showed lowest baseline spectral organization ($p<0.033$ for any comparison). Considering all ablated regions, the average proportion of HDF events which were also HRI events was $8.0\pm 13\%$. Focal activations predominated (537/1253 events) in the ablated regions on isopotential maps, were modestly associated with the proportion of HDF occurrences represented by the ablated region (Kendall's $\tau=0.40$, $p<0.0001$), and very strongly associated with focal extinction events ($\tau=0.79$, $p<0.0001$). Rotors were rare (4/1253 events).

Conclusion: Targeting dynamic HDF sites is feasible and can be efficacious, but lacks specificity in identifying relevant human persAF substrate. Spectral organization may have an adjunctive role in preventing unnecessary substrate ablation. Dynamic HDF sites are not associated with observable rotational activity on isopotential mapping, but epi-endocardial breakthroughs could be contributory.

Contribution to the field

The present study shows that the ablation of spatiotemporally dynamic HDF regions guided by global intra-cardiac non-contact mapping is feasible and can acutely organize persAF before PVI. HDF alone has inadequate specificity for AF driving sites. During persAF ablation, left atrial areas of low organization in the frequency domain are unlikely to be appropriate substrate targets and should be avoided to reduce excess ablation and its consequences. We offer, for the first time, evidence, gained from in vivo human left atrial studies using a commercially available whole-chamber mapping system, supporting epicardial-endocardial interaction in persAF, and its relationship to HDF regions.

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Ethics statements

Studies involving animal subjects

Generated Statement: No animal studies are presented in this manuscript.

Studies involving human subjects

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Inclusion of identifiable human data

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Data availability statement

Generated Statement: The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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29 **Keywords:** Atrial fibrillation, catheter ablation, non-contact mapping, atrial electrograms, dominant
30 frequency, persistent AF, multi-layer, rotors

31

32 **Abstract**

33 **Purpose:** Sites of highest dominant frequency (HDF) are implicated by many proposed mechanisms
34 underlying persistent atrial fibrillation (persAF). We hypothesised that prospectively identifying and
35 ablating dynamic left atrial HDF sites would favourably impact the electrophysiological substrate of
36 persAF. We aim to assess the feasibility of prospectively identifying HDF sites by global
37 simultaneous left atrial mapping.

38 **Methods:** PersAF patients with no prior ablation history underwent global simultaneous left atrial
39 non-contact mapping. 30 s of electrograms recorded during AF were exported into a bespoke
40 MATLAB interface to identify HDF regions, which were then targeted for ablation, prior to
41 pulmonary vein isolation. Following ablation of each region, change in AF cycle length (AFCL) was
42 documented (≥ 10 ms considered significant). Baseline isopotential maps of ablated regions were
43 retrospectively analysed looking for rotors and focal activation or extinction events.

44 **Results:** 51 HDF regions were identified and ablated in 10 patients (median DF 5.8Hz, range 4.4-
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46 4 patients. 5 out of 10 patients (including the 4 patients where AF termination occurred with HDF-
47 guided ablation) were free from AF recurrence at 1 year.

48 The proportion of HDF occurrences in an ablated region was not associated with change in AFCL
49 ($\tau=0.11$, $p=0.24$). Regions where AFCL decreased by 10 ms or more (i.e. AF disorganization) after
50 ablation also showed lowest baseline spectral organization ($p<0.033$ for any comparison).
51 Considering all ablated regions, the average proportion of HDF events which were also HRI events
52 was $8.0\pm 13\%$. Focal activations predominated (537/1253 events) in the ablated regions on
53 isopotential maps, were modestly associated with the proportion of HDF occurrences represented by
54 the ablated region (Kendall's $\tau=0.40$, $p<0.0001$), and very strongly associated with focal extinction
55 events ($\tau=0.79$, $p<0.0001$). Rotors were rare (4/1253 events).

56 **Conclusion:** Targeting dynamic HDF sites is feasible and can be efficacious, but lacks specificity in
57 identifying relevant human persAF substrate. Spectral organization may have an adjunctive role in
58 preventing unnecessary substrate ablation. Dynamic HDF sites are not associated with observable
59 rotational activity on isopotential mapping, but epi-endocardial breakthroughs could be contributory.

60

61 1 Introduction

62 Atrial fibrillation (AF) is the commonest cardiac arrhythmia in clinical practice, affecting 2% of the
63 population worldwide (1). AF increases the risk of stroke five-fold and is associated with increased
64 mortality (1). Catheter ablation is an effective therapy for paroxysmal AF (pAF) (2, 3), but the
65 identification of successful ablation targets in patients with persistent AF (persAF) remains
66 challenging (1, 4, 5). The electrophysiological mechanisms underlying persAF and current adjunctive
67 ablation strategies beyond pulmonary vein isolation (PVI) lack clear evidence for effectiveness (6-8).
68 Recently, endocardial-epicardial interaction has been highlighted as a relevant pathophysiological
69 contributor (9-11), but this has not yet been translated into the clinical arena.
70 Sheep optical mapping studies (12-14) first outlined the potential of using dominant frequency (DF)
71 assessment to detect AF driver sites, predicated around the observation of rotors (12), but the utility
72 of DF is also implicit with other proposed mechanisms (14-16). **DF has previously demonstrated**
73 **good correlation with local cycle length(17-19)**. Despite this, human ablation studies based on point-
74 by-point sequential DF mapping were inconclusive (20-22). Highest DF (HDF) sites have since been
75 shown to be spatiotemporally unstable (23-27); consequently, as a natural corollary, simultaneous
76 multisite mapping is necessary to reliably localize atrial high DF areas.
77 In this study, **we hypothesized that the strategy of prospectively identifying and ablating dynamic left**
78 **atrial HDF sites would favourably impact the electrophysiological substrate of persAF. We sought in**
79 **particular to assess the feasibility of prospectively identifying HDF sites by global simultaneous left**
80 **atrial mapping across long continuous time segments, and to describe the underlying wavefront**
81 **activation characteristics at these sites.**

82 2 Methods

83 2.1 Patients

84 Ten persAF patients with no previous ablation history gave written informed consent to undergo
85 HDF mapping and ablation, on uninterrupted oral anticoagulation. All had undergone successful
86 **direct current cardioversion (DCCV)** previously, and median AF duration (from the first documented
87 AF post-DCCV up to the time of their procedure) was 219 (range 132-848) days. **Table 1**
88 summarises the clinical characteristics of the group. The study was independently approved by the
89 **UK national health research ethics service. Procedures were performed under general anaesthesia. All**
90 **anti-arrhythmic drugs (AADs)** were stopped for at least 5 half-lives, except amiodarone which was
91 continued. Every patient was in AF at the start of their procedure.

92 2.2 Non-contact mapping

93 A non-contact multi-electrode array (Ensite Array, St Jude Medical, St Paul, MN, USA) was
94 positioned transeptally in the **left atrium (LA)** alongside an EZ Steer Thermocool ablation catheter
95 (Biosense Webster, Diamond Bar, CA, USA). Patients were heparinised to maintain an activated
96 clotting time >300 s. 3D electroanatomic mapping was performed using the Velocity platform (St
97 Jude Medical). 30 s of continuous AF activity were recorded, and the virtual electrograms (vEGMs)
98 of a 2048 node geometry from this period were exported.

99 2.3 Signal processing

100 **A bespoke MATLAB graphical user interface was created for the study (28), incorporating our**
101 **previously published spectral analysis methodology (29, 30), generating 13 sequential DF maps in**

102 each patient with 30 s data. The non-contact MEA catheter was used to collect intracardiac signals, as
 103 previously described. 2,048 channels of virtual electrograms (vEGMs) were sampled at 2034.5 Hz
 104 and exported with a 1–150 Hz filter setting from Ensite system (**Figure 1A**). MATLAB was used to
 105 analyse the data offline (Mathworks, USA). As shown in **Figure 1B**, ventricular far-field activity was
 106 removed from the recorded vEGMs using a previously described QRST subtraction technique (31).
 107 The vEGMs were then divided into 4 s window segments that overlapped by 50%. The fast Fourier
 108 transform (FFT) was used to perform spectral analysis on each segment (**Figure 1C**). A Hamming
 109 window was applied to the atrial vEGMs to reduce leakage. To improve DF identification, zero
 110 padding was used, resulting in a frequency step of 0.05 Hz. The peak in the power spectrum within
 111 the physiological range of 4–10 Hz was defined as DF (**Figure 1C**) (29). Regularity index (RI) was
 112 defined as the ratio of spectral area (power) under the curve centred at DF peak (0.75 Hz bandwidth)
 113 and area under the full physiological spectrum (here 4 – 20 Hz, **Figure 1C**) (32).

114 **2.4 HDF ablation targeting**

115 For each 4 second window, HDF occurrences were defined as all nodes hosting a DF within 0.25 Hz
 116 of the maximum DF for that map (shown as purple on the LA geometry in the example in the top
 117 panel of **Figure 1E**). To avoid biasing for target size, the spatial centres of the HDF occurrence
 118 regions for each map were projected onto the LA geometry in MATLAB (bottom panel, **Figure 1E**).
 119 The intended regions of ablation were transcribed on to the Velocity geometry, with the objective of
 120 prospectively defining several discrete regions for ablation. Each region where possible would
 121 encompass multiple co-localizing HDF spatial centres which would be ablated “en-bloc” (**Figure 1F**).
 122 Once this initial map was created, changes or re-mapping were not permitted.

123 **2.5 Ablation protocol**

124 HDF spatial centres were targeted for radiofrequency ablation, with the objective of eliminating local
 125 atrial signal. The bipolar signal at the LAA is invariably well demarcated and permits unambiguous
 126 manual assessment of AFCL, has been applied as a surrogate of AF organization in many other
 127 clinical studies (33–37). Following each region of HDF-guided ablation, AFCL in the left atrial
 128 appendage (LAA) was measured using the ablation catheter over 10 cycles to evaluate ablation
 129 response. A 10 ms change in AFCL was considered *a priori* to be significant (38). This was repeated
 130 until one of the following pre-defined endpoints was reached:

- 131 1) Termination of AF to sinus rhythm (SR);
- 132 2) Conversion from AF to an organized LA rhythm, or;
- 133 3) Operator decision to stop based on satisfactory target coverage or patient safety.

134 A further post-procedural Velocity data export was performed to capture all radiofrequency (RF)
 135 point (lesion) locations corresponding to each ablation region. Every RF point has an associated
 136 location on the LA geometry (the closest atrial endocardial surface point). Regularity index (RI) was
 137 defined as the ratio of spectral area (power) under the curve of DF peak and area under the full
 138 spectrum. Therefore, each point was associated with a DF value and an RI value which both vary
 139 over time. The DF and RI values at these LA geometry points were averaged spatially and temporally
 140 to generate (scalar) mean DF and RI values for each ablated region individually. There was no
 141 attempt to manually filter ablation points.

142 Finally, the Array was removed and replaced by PVAC (Pulmonary Vein Ablation Catheter,
 143 Medtronic, Fridley, MN, USA) to achieve PVI, irrespective of the atrial rhythm. Where necessary,
 144 intravenous flecainide followed by DCCV was delivered to restore SR at the end of the procedure.

145 **2.6 Associating post-ablation AFCL change with regional pre-ablation spectral**
 146 **characteristics**

147 Each of the 51 ablated regions across the whole patient cohort was categorised by the AFCL change
 148 arising from ablation in the region. The DIS group was pre-defined as regions where ablation resulted in a
 149 reduction in AFCL (i.e. DISorganization) by 10ms or more. The ORG group was pre-defined as regions
 150 where ablation resulted in AFCL increase by 10ms or more, or termination of arrhythmia (i.e.
 151 ORGanization). All other regions were classified as EQUivocal (i.e. an AFCL change of 9 ms or less in
 152 either direction).

153 HDF was defined as above, while highest RI (HRI) was defined as the top decile of RI values for the LA
 154 within any single given time window. HDF+HRI concurrence was defined whenever a given LA
 155 geometry point hosted HDF and HRI in the same time window.

156 HDF, HRI and HDF+HRI concurrence was retrospectively compared across the DIS, EQU and ORG
 157 groups.

158 **2.7 Isopotential map wavefront analysis**

159 A retrospective analysis of the pre-ablation patterns of activation behaviour in HDF regions was
 160 performed in the Velocity environment using the following pre-specified protocol. Each discrete
 161 region that received ablation was circumscribed on the geometry. The isopotential mapping area was
 162 then centred upon this region. Activation was defined when local vEGM voltage fell below the fixed
 163 thresholds of either -0.28 or -0.53 mV (39). The rationale for these thresholds is based on the work of
 164 Hoshiyama and colleagues, where endocardial mapping of the LA was performed using the same
 165 non-contact multielectrode array as the one in the present study (39). In their study, vEGM signals
 166 from premature atrial contractions (PACs) were recorded at the time of spontaneous onset of AF. In
 167 particular, very short-coupled PACs (VS-PACs) were defined as “PAC with the shortest coupling
 168 interval that was observed just before the AF onset”. The amplitude of the vEGM during VS-PACs
 169 was reported as 0.53 ± 0.25 mV. This threshold represented the smallest amplitude for a PAC that
 170 would have been associated with discrete ECG evidence of relevant activation, and was therefore
 171 used to define the lower activation threshold of -0.53 mV and the upper threshold of -0.28 mV (one
 172 standard deviation above the lower threshold) as used in the present study. The described approach
 173 avoided reliance upon more arbitrary amplitude thresholds during AF, with such thresholds
 174 inevitably being smaller and hence unfavourably reducing overall signal-noise ratio. Playback of the
 175 isopotential map from the 30 s period corresponding to the time of HDF mapping was performed,
 176 looking to document specific pre-defined activation trajectories encompassing current mechanistic
 177 theories of AF persistence (see **Figure 2** for detailed examples, and also the video links available in
 178 Supplementary Materials). Examples of the considered behaviours are provided in **Figure 2**, and
 179 supplementary video links are available in Supplementary Materials. Events were pre-defined as
 180 specific visually observed behaviours of activation encompassing current mechanistic theories of AF:

- 181 1) Rotor (40, 41) – core must remain in the lesion with a circular activation path of at least 360
 182 degrees;
- 183 2) Critical pathway involved in single or multiple loop re-entry (16) – entry and exit of >50% of
 184 the activation wavefront must be from distinct sides of the lesion;
- 185 3) Wavelet propagation (42-44) – Division of a primary wavefront into 2 or more separate
 186 wavefronts occurring within the lesion;
- 187 4) Focal wavefront activation (3, 15) – wavefront spontaneously emerges radially from within
 188 an otherwise non-activated lesion;

189 5) Focal wavefront extinction (45, 46) – wavefront enters from outside the lesion, reduces
190 radially and extinguishes within the lesion.

191 For each ablated region, the frequency of each of the above behaviours within the 30 s segment was
192 counted (see Online Supplementary Videos for examples). The observer was blinded to the AFCL
193 change. Events partially or entirely within the QRST period were ignored.

194 The consistency of focal activation events was evaluated within each ablation region individually by
195 assessing the maximum and minimum number of focal events over the prior 10 TQ intervals, creating
196 a “moving maximum” (MMax) and “moving minimum” (MMin). The difference between the
197 greatest and least value of MMin and MMax over the 30 s period was designated “diffMMin” and
198 “diffMMax” respectively.

199 2.8 Clinical follow-up

200 Following a 3-month blanking period, patients underwent at least 24 hours of continuous ambulatory
201 ECG monitoring, and recurrence was defined as any documented AF of at least 30 s occurring
202 between 3 and 12 months post-procedure, irrespective of ongoing AADs.

203 2.9 Statistical analysis

204 Data normality was assessed visually and using the Kolmogorov-Smirnov test. Correlations were
205 performed using Spearman’s or Kendall’s method depending on the presence of rank ties, within
206 MATLAB or using Prism v7.03 (Graphpad Software, CA, USA). Pairwise comparisons between
207 groups were performed using the “TPB20” percentile bootstrap method with 20% trimmed means
208 (47). Non-parametric trends analyses were performed using the Jonckheere-Terpstra test. Statistical
209 significance was defined at the 0.05 level, and further adjusted for multiple comparisons. Both linear
210 and logistic mixed effects regression models were explored but did not add utility ($p=1.00$ and
211 $p=0.27$ respectively) for non-zero between-patient variance in AFCL outcome, (R v3.2.1, R
212 Foundation for Statistical Computing, Vienna, Austria).

213 3 Results

214 3.1 Clinical outcomes

215 All patients completed the study protocol. Procedure duration was 390 ± 57 minutes, in keeping with a
216 novel mapping and ablation protocol. RF time ablating HDF regions was 54 ± 27 minutes, covering an
217 LA ablation area of 1447 ± 676 mm², corresponding to 7.8 ± 3.6 % of the total mapped LA area, prior
218 to PVI.

219 Five patients converted to SR without the need for DCCV. Patient 1 (longstanding persAF, on
220 amiodarone) converted with flecainide after PVI. Patients 10 (longstanding persAF, on amiodarone),
221 5 (**Figure 3 A**) and 4 converted from AF to atrial flutter, and patient 7 converted transiently to LA
222 silence (**Figure 3 B**) before then terminating to SR (all with HDF-guided ablation alone, prior to
223 PVI). AF termination sites were the base of LAA, the LA roof (in 2 patients), and the posterior wall.
224 An example of the ablation performed is shown in **Figure 4 A**.

225 No significant adverse events occurred. During the 12-month follow-up period, all 5 patients
226 requiring DCCV at the end of their procedure experienced AF recurrence, in contrast to zero out of
227 the 5 who ended their procedure in SR without the need for DCCV. **Table 1** lists the clinical
228 characteristics of patients with and without recurrent AF.

229 3.2 Characteristics of 30 s HDF-guided ablation regions and AFCL responses

230 The pre-ablation global LA mean DF was strongly correlated with baseline AFCL ($r=0.88$, $p<0.001$).
 231 51 discrete regions were ablated during the study, 20 (39%) of which resulted in significant AFCL
 232 increase or termination, as summarized in **Table 2**. Ablated region size was 267 ± 290 mm². The
 233 averaged DF for each ablated region was 5.7 ± 0.7 Hz with an average RI of 0.35 ± 0.06 . A median of 4
 234 (range 3-10) regions of ablation were delivered per patient.

235 **Figure 4 B** shows the AFCL response to prospectively targeted ablation of consecutive HDF regions,
 236 demonstrating: 1) higher baseline AFCL conferred greater likelihood of achieving SR without DCCV
 237 ($p<0.01$); 2) HDF-targeted ablation could disorganize as well as organize AF, but; 3) this did not
 238 preclude subsequent AF organization and/or termination. Only one patient had a further significant
 239 increment in AFCL following PVI (Patient 9, from 195 to 222 ms).

240 Median lesion size was 166 (21-1380) mm². The area of ablation alone (debulking) was not
 241 associated with AFCL variation (Kendall's $\tau=0.05$, $p=0.64$).

242 The proportion of HDF occurrences per ablated region (compared with the entire LA across 30 s)
 243 ranged from 0-14.7% (median 2.6%). Correlation between this and AFCL change was non-
 244 significant ($\tau=0.11$, $p=0.24$).

245 3.3 HDF and HRI occurrences in ablated regions

246 The relationship between the spectral behaviour of ablated regions and the AFCL response to
 247 ablation was assessed by comparing the number of HDF and HRI occurrences between the AFCL
 248 response groups, as shown in **Figure 5**. In view of the prolonged RF delivery times and varying
 249 extent of ablation, the possibility of cumulative ablation effects was assessed by evaluating the above
 250 metrics for only the first two (indicated in red) and first three (indicated in green) ablated regions for
 251 each patient, and finally for all ablated regions (indicated in blue).

252 HRI showed statistically significant trends analyses, as well as differences between the DIS group
 253 and the ORG group, for all extents of ablation. A significant difference was also seen in HRI between
 254 the DIS and EQU group when considering only the first two lesions. No other trends or comparisons
 255 were statistically relevant. Considering all ablated regions, the average proportion of HDF events
 256 which were also HRI events was $8.0\pm 13\%$.

257 For each patient in this study, DF mapping utilized a total of 13 consecutive time windows of 4
 258 seconds each, with an overlap of 2 seconds. The geometry consists of 2048 notes, each of which may
 259 or may not host HDF, and may or may not host HRI. Across the 13 time windows, there are therefore
 260 $2048 * 13 = 26624$ opportunities for HDF+HRI concurrence per patient. A period of HDF+HRI
 261 concurrence is considered as a spatially and temporally contiguous period of HDF+HRI concurrence
 262 of at least 1 time window, at any single node. With this in mind, the median (range) of HDF+HRI
 263 concurrence periods was 128.5 (0-628) out of a possible 26624 occurrences, per patient.

264 When considering all patients together, in this study there were a total of 1952 periods of HDF+HRI
 265 concurrence. The median duration of HDF+HRI concurrence was 1 time window (of 4 seconds),
 266 range 1-3 windows, i.e. 4-8 seconds (after accounting for window overlap). Importantly, only 82 out
 267 of the 1952 periods (4.2%) of HDF+HRI concurrence lasted for more than 1 time window.

268 3.4 Analysis of isopotential maps

269 The numbers of activation events per patient across all 51 ablation regions observed on 30 s pre-
 270 ablation isopotential maps are summarized in **Table 3**.

271 A positive association between the proportion of HDF occurrences and all isopotential events within
 272 ablated regions was mainly driven by the focal activation group ($\tau=0.40$, $p<0.0001$). Focal event rates

273 were indicatively different between ablation response groups (**Figure 6 A**), and their ablation was
274 weakly associated with an organizing AFCL response ($\tau=0.21$, $p=0.04$).

275 Focal extinction events were strongly correlated with focal activations in the same region ($\tau=0.79$,
276 $p<0.0001$, **Figure 6 B**). 0.65 extinction events (95% confidence intervals 0.58 - 0.71, $p<0.0001$) were
277 estimated to occur for every activation event in the same region. Rotor behaviour was only observed
278 4 times during this study, and only in one patient (Patient 6). 3 of these 4 rotors occurred in the same
279 ablated region. This particular region also recorded the highest overall number of wavefront
280 activation events (excluding extinction events) in the whole study.

281 The maximum and minimum number of focal activation events occurring in any given ablation
282 region appeared to be consistent over time (example in **Figure 7 A**). diffMMin values ranged from 0
283 to 1 only, whilst all diffMMax values were 2 or less, except one. Greater variability (i.e. higher
284 diffMMax and diffMMin) tended to occur only with higher mean event rates. ($\tau=0.73$ and 0.41
285 respectively, $p<0.0001$ for both, **Figure 7 B**).

286

287 4 Discussion

288 The present study shows that spatiotemporally dynamic HDF areas throughout the LA during human
289 in-vivo persAF can be prospectively, feasibly, and efficaciously targeted using a global multisite
290 mapping approach based on an established commercial platform, even before PVI. 39% of HDF-
291 targeted lesions resulted in an AFCL increase of 10 ms or more. The presence of focal activations on
292 isopotential mapping was the most commonly observed electrophysiological behaviour, and co-
293 localized with HDF activity during AF in ablation regions. These activations were consistently
294 observed in the same areas. Focal extinction events were strongly associated with focal activation
295 events in these same areas, while rotor events were rare.

296 Regions with lower HRI occurrences were associated with a negative AFCL response to ablation, but
297 HDF occurrences were not predictive. Simultaneous concurrence of HDF and HRI in the same time
298 window and spatial location was uncommon and short-lived.

299 4.1 Dynamic HDF mapping does not identify clinically relevant rotor behaviour

300 DF is implicated across multiple potential mechanisms of AF persistence including multiple loop re-
301 entry (16), focal sources (48) and rotors (12, 13), yet previous results from DF-targeted persAF
302 ablation have been disappointing (20-22). Part of the explanation lies in the temporal-spatial
303 variability in DF (24-26, 29) which may have limited the point-by-point approaches that have been
304 employed in many studies to date, and underpinned our belief that a panoramic whole-atrial method
305 would be necessary for robust spectral mapping of persAF. However, despite using such an approach,
306 prospective ablation of dynamic HDF targets in the present study did not predict AF organization.

307 While previous retrospective data alluded to this possibility (26) the current study is the first to
308 prospectively reach this conclusion. Early data from the cholinergic stimulation of sheep atria (14, 49)
309 first proposed the relevance of micro-reentrant phenomena producing spatial frequency gradients
310 which might be potentially mapped in the frequency domain. Subsequent evidence supported the
311 concept of such “rotor” meandering around anatomical or recurrent functional areas of block (50, 51),
312 or varying in response to the autonomic milieu (52), both of which would lead to dynamic DF
313 behaviour and hence require similarly dynamic mapping to target successfully.

314 It was hypothesized that the present study might clarify this through the combination of isopotential
315 activation map analysis alongside HDF. However, during the comprehensive isopotential map
316 analysis of ablated regions in the present work, only 4 rotor-like events were observed, all in the
317 same patient. This is comparable to the published rates of similarly described behaviour using the

318 same technology (53). Our observation suggests that where rotors do arise, they may co-localize with
319 (and could thus confound the targeting of) other activation phenomena. Overall though, the rarity of
320 this type of rotor behaviour, coupled to the overall equivocal AFCL outcomes with prospective
321 dynamic HDF targeting, questions the significance of such phenomena in relation to both HDF
322 mapping and human AF persistence, as detected using the current study platform. Direct rotor
323 observation and ablation in humans (40, 41) has been controversial (51, 54) and some groups using
324 direct atrial patch electrodes during cardiac surgery have not observed rotor phenomena at all (44, 55,
325 56).

326 In addition, the definition of a rotor is still debated. A popular approach is to generate instantaneous
327 phase signals from time series data using the Hilbert transform (57). To "unmask" the rotational
328 behaviours within narrower frequency ranges, pre-processing methods have been applied to
329 intracardiac data before Hilbert transform. Wavelet/sinusoidal reconstruction and band-pass filters
330 centred on DFs are examples of techniques for filtering out undesirable and/or non-physiologic
331 activations(58, 59). Once robust phase mapping has been obtained, another factor to consider is the
332 definition of a rotor in terms of completeness of rotations. While the original idea is of a re-entrant
333 circuit requiring a full rotation with 1 cycle or 360 degrees, in practice, this is usually not achievable
334 due to spatial electrodes sampling. More recently, a rotor with >75% of a full rotation was considered
335 to be generally acceptable (60). In the present study, the rotors were defined by visual assessment of
336 isopotential maps in a manner similar to that of Yamabe and colleagues (53). It is nevertheless
337 possible that we could have underestimated the number of rotors that were present, as using
338 activation or isopotential maps alone, based on electrograms or activation wavefronts, may have a
339 tendency to overlook phase-singularity events that have been used to define rotors (61).

340 **4.2 Identifying spectral organization may minimize excess ablation**

341 The data in the present study shows that HDF-guided ablation may not always result in AF
342 organization; in another words, HDF-guided mapping results in false-positive substrate identification.
343 Interestingly however, where HDF-guided ablation resulted in AF disorganization, the pre-ablation
344 HRI in these areas was significantly lower than if AF had organized, and to a lesser extent than if
345 there was no AFCL response. Therefore, low HRI may have utility as an adjunctive indicator to
346 avoid the risks of ineffective ablation of false-positive targets identified by HDF, or indeed by other
347 putative substrate markers.

348 DF variability is known to be inversely associated with spectral measures of AF organization (62-64).
349 As such, atrial zones with low HRI may be expected to host substantially more DF variation, which
350 would not be consistent with putative source-like behaviour. The fact that HDF and HRI were only
351 very rarely spatiotemporally coincident in our cohort thus further supports a significantly lesser role
352 for HDF than was previously assumed.

353 Relatively few studies have specifically evaluated the spectral assessment of organization in the
354 context of AF ablation. Computer simulation has suggested that OI (organization index, a measure of
355 spectral organization similar to the RI used in the present study) would be superior to DF in
356 localizing focal activity (65, 66), Tuan et al. noted a rise in OI prior to AF termination with flecainide
357 (67), with Takahashi and colleagues observing the same after isolation of a driving PV in pAF (62).
358 Jarman and colleagues documented in 6 patients, also using a non-contact array in the LA, that where
359 PVI with wide area circumferential ablation had coincidentally crossed areas of higher organization,
360 the organization in a distal part of the LA (around the LAA) also increased (63). However, the
361 organization in adjacent sites did not change significantly which may run counter to the idea of the
362 index area as an AF source.

363 More recently, Honarbakhsh et al. used a 64-pole basket contact catheter and CARTOFINDER to
364 evaluate 44 AF driver sites in 29 patients, defined by either rotational or focal activity observed over
365 30 seconds (68). Following PVI, 39 out of 44 prospectively ablated driver sites resulted in AFCL
366 prolongation (of at least 30ms) or termination. Interestingly the sensitivity (true positive rates) for
367 HDF and HRI were 50% and 95%, while false positive rates were 37% and 33%, respectively.

368 **4.3 Epicardial-endocardial interaction: an alternative hypothesis for HDF in AF persistence**

369 Our method of tracking HDF did not assume any specific underlying electrophysiological mechanism
370 other than the relevance of high frequency activation sites in maintaining persAF. To explore this
371 further, we investigated the underlying isopotential patterns within ablated regions, seeking pre-
372 defined mechanistic behaviours that co-localized with or formed the basis for HDF events or for the
373 AFCL response to ablation.

374 Out of all our pre-defined activation patterns, only focal activation events were found to be
375 associated with AFCL response, and more interestingly also (very strongly) with focal extinction
376 events. The co-localization of focal activation and extinction suggests that the same anatomical
377 regions may act as both source and sink in the electrophysiological environment, where current can
378 both originate from and flow back to. Our results suggest the possibility of other
379 electrophysiologically active tissue permitting the channelling of current both towards and away from
380 the endocardium – in other words, multiple electrophysiologically relevant myocardial layers. To the
381 best knowledge of the authors, this is the first presentation of data from a commercially available
382 mapping system in the LA that is supportive of the multi-layer hypothesis in human persAF (45, 46).
383 In keeping with this interpretation and their own conclusions, de Groot and colleagues (46)
384 documented highly correlated numbers of focal endocardial and epicardial events measured using
385 contact electrodes in the right atrium during AF in cardiac surgery ($R^2 = 0.89$, $p < 0.0001$, our
386 calculation). Not all focal waves breaking through to the epicardium will originate from the
387 endocardium, which may explain the apparent shortfall of endocardially observed extinction events
388 compared to activation events in the present work. Notably, 57% of our ablated regions demonstrated
389 repetition of focal behaviour, often with clear anatomical consistency even within the ablated area
390 (see example in Video 4), whereas $< 10\%$ of focal events in the data from de Groot et al. were
391 repetitive, probably due to differences in detection criteria, and a shorter mapping time of 10s per
392 patient. Our data suggests that 30 s would be sufficient to observe temporally consistent focal activity
393 in humans.

394 We also show for the first time an association between HDF events and observed focal events.
395 Computer modelling studies (69) suggest that reducing the number of epicardial-endocardial
396 breakthrough sites (BTRs) could increase or decrease AF stability. Although this study could not
397 look specifically at BTRs ablation, our finding of a heterogeneous AFCL response to ablation in
398 potentially equivalent areas is supportive of this and may have contributed to the equivocal outcomes
399 from previous DF-targeted persAF ablation studies.

400 **5 Limitations**

401 We believe our work on a small number of patients offers a number of useful insights into persAF
402 behaviour in the context of HDF ablation, but larger patient cohorts would be needed to confirm or
403 otherwise the prospective validity of future similar methodologies.

404 Isopotential map analysis was voltage thresholded at a level which may have precluded visualization
405 of lower amplitude but electrophysiologically relevant signals. It is however notable that the
406 correlation between focal activation and extinction events was preserved ($\tau=0.82$, $p < 0.0001$) even
407 when the threshold for activation was reduced (i.e. made more stringent) from -0.28 to -0.53 mV,

408 negating the idea of a noise-driven phenomenon, and suggesting that the -0.28 mV threshold was
409 reasonably specific for the detection of this type of behaviour.

410 An average of 10% of Array geometry points were located more than 40 mm from the Array, at
411 which point signal quality is known to decrease (70). The process of HDF evaluation will be partially
412 resistant to this effect (19), as it is less dependent on signal amplitude.

413 Ablation can alter spectral characteristics at distant sites (50, 63), therefore it is possible that the
414 cumulative effect of sequentially targeted ablation may be different to each lesion considered
415 individually. The effect of this was partially accounted for with analysis for 2,3 and all available
416 lesions separately as shown in Figure 5. In the future, faster generation of global DF maps may
417 increase the feasibility of applying an iterative approach (remapping after each lesion is delivered) to
418 investigate this further.

419 The current investigation was focused on frequency domain analysis. Future work including other
420 metrics such as entropy and coherence could bring new insights and help to better understand the
421 underlying mechanisms of persAF (30, 71-74).

422 In the absence of confirmatory epicardial data, the endo-epi interaction shown through non-contact
423 mapping was observational in nature and hence hypothesis generating only. Computational
424 simulation or pre-clinical experiments may provide more evidence but were not included in the
425 current study.

426 6 Conclusions

427 We have shown that the ablation of spatiotemporally dynamic HDF regions guided by global intra-
428 cardiac non-contact mapping is feasible and can acutely organize persAF before PVI. However, HDF
429 alone has inadequate specificity for AF driving sites. During persAF ablation, left atrial areas of low
430 organization in the frequency domain are unlikely to be appropriate substrate targets and should be
431 avoided to reduce excess ablation and its consequences. Whole-chamber non-contact mapping may
432 be able to detect epicardial-endocardial interactions in persAF, but further studies are needed to better
433 delineate the importance of this in clinical practice.

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437 8 Conflicts of interest

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448 9 Author contributions

449 GSC: concept/design study, data analysis/interpretation of results, drafting manuscript, critical
450 revision of manuscript, statistics, and 'off-line' data collection; XL: concept/design study, data
451 analysis/interpretation of results, drafting manuscript, critical revision of manuscript, statistics; PJS:
452 EP studies and ablation procedures, concept/design study, EP study, data collection, interpretation of
453 results, critical revision of manuscript; FJV: data analysis/interpretation of results, critical revision of
454 manuscript, statistics; JS: data analysis/interpretation of results, critical revision of manuscript; TPA:
455 data analysis/interpretation of results, drafting manuscript, critical revision of manuscript; ND: data
456 analysis/interpretation of results, critical revision of manuscript; AJS: data analysis/interpretation of
457 results, critical revision of manuscript; PK: data analysis/interpretation of results, critical revision of
458 manuscript; FSS: Concept/design study, data analysis/interpretation of results, critical revision of
459 manuscript; GAN: EP studies and ablation procedures, concept/design study, interpretation of results,
460 critical revision of manuscript.

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691 **12 Tables**

692 **Table 1:** Clinical and procedural characteristics of patients with and AF recurrence within 12 months

693 following ablation. Numbers are mean±SD where relevant. HDF – highest dominant frequency; LA –

694 left atrium.

	All patients	AF free at 12 months	AF-recurrence within 12 months
N	10	5	5
Age / years	57.7±12.1	57.3±9.0	58.2±14.6
Body mass index / kg m ⁻²	31.0±5.7	32.6±6.7	29.5±3.7
Longstanding persistent AF	3	2	1
LA volume / ml	151±38	146±40	156±35
Amiodarone usage	2	2	0
Hypertension	3	1	2
Diabetes mellitus	1	0	1
Previous myocardial infarction	1	1	0
Procedure duration / mins	389±80	386±65	393±92
LA area ablated during HDF targeting / mm ² (% of LA total)	1362±704 (7.3±3.6)	1055±494 (5.9±3.1)	1670±746 (8.7±3.6)
HDF occurrences ablated (%LA)	559±268 (22.8±8.7)	448±278 (21.6±7.1)	670±205 (23.9±9.9)
Electrical cardioversion required at procedure end to restore sinus rhythm	5	0	5

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697 **Table 2:** Location of ablated regions targeted using HDF mapping, and their associated left atrial

698 response. AFCL – atrial fibrillation cycle length; PV – pulmonary vein.

	Termination	AFCL increase	AFCL unchanged	AFCL decrease
Anterior	0	2	2	1
Posterior	1	3	5	3
Roof	2	3	9	0
Septum	0	4	0	1
Left PV region	0	2	3	1
Right PV region	0	0	4	2
Left atrial appendage	1	1	0	0
Lateral	0	1	0	0

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701 **Table 3:** Frequency of left atrial activation events during lesion-by-lesion visual assessment of

702 isopotential maps within each patient. See text for definitions of activation behaviour.

Patient	1	2	3	4	5	6	7	8	9	10	Total
Rotor	0	0	0	0	0	4	0	0	0	0	4
Critical pathway	21	0	12	6	7	127	11	3	86	42	315
Wavelet propagation	1	0	0	2	0	10	0	0	5	2	20
Focal activation	23	1	37	60	70	70	27	25	167	57	537
Focal extinction	27	1	18	48	59	41	27	19	121	16	377

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704 **13 Figure Captions**

705 **Figure 1** Diagram of the workflow for ablation targets identification: A) St. Jude Ensite: left atrial
 706 geometry isopotential map exported from Ensite Velocity System; B) Array data is imported into a
 707 bespoke MATLAB user interface. QRST subtraction: Electrograms using one ECG lead as reference;
 708 C) FFT and DF detection: power spectrum of the current non-contact atrial signal and DF
 709 identification; D) 3D and 2D DF/HDF maps: MATLAB reconstructed 3D Atrial geometry with
 710 color-coded DF/HDF and transformation to 2D uniform grid; E) The top panel shows an antero-
 711 posterior view of the LA, with the region hosting HDF for a single 4 second time window highlighted
 712 in purple. The pink dots indicate the HDF spatial centres for all time windows. For better
 713 intraprocedural clarity, the bottom panel shows only the HDF spatial centres (white dots) identified
 714 across all the mapped time windows. F) Identifying and ablating HDF regions. These are transcribed
 715 into the Velocity 3D mapping system and targeted with ablation (red dots). Yellow dots represent
 716 anatomical marker points. FFT – fast Fourier transform; HDF – highest dominant frequency.

717 **Figure 2** Patterns of pre-ablation isopotential map behaviour in and around HDF regions. For each
 718 case, the temporal sequence is from left to right and top to bottom. The timing of each frame relative
 719 to the first is given in ms. Each image is centred around an area that was subsequently ablated based
 720 upon the presence of HDF spatial centres. Purple areas on the map represent atrial myocardium
 721 where local activation is absent, as defined by a local vEGM (virtual electrogram) amplitude above -
 722 0.28 mV. Voltages of -0.53 mV or less display as white, with the remainder of the colour scale
 723 defining intermediate values. The appearance and trajectory of colour around the maps were used to
 724 define the following wavefront activation patterns: **A)** Rotor-like behaviour, seen on the LA roof.
 725 The final panel shows an isochronal map of the area during this period, confirming rotational activity.
 726 AFCL was not significantly altered by ablation in this region. (See also Video 1). **B)** Activation passes
 727 through the posterior wall of the LA three times consecutively (see Video 2) within a single TQ
 728 period, but ablation here did not alter AFCL. **C)** A wavefront is seen to split into two independent
 729 wavefronts on the LA roof, with the division occurring within the ablated area. AFCL was not
 730 significantly affected by ablation here. (See also Video 3). **D)** Focal activation occurs near the left
 731 upper pulmonary vein, migrating out of the ablated area before extinguishing, as also demonstrated in
 732 Video 4A. Later on, the same area is seen to activate again from an identical origin (Video 4B), this
 733 time extinguishing within the lesion. Ablation here terminated AF to an atrial tachycardia. **E)** A
 734 recurring focal extinction event, occurring on the LA roof. Focal activation arises outside the ablated
 735 region, then moves into and extinguishes within the ablated area (first 10 images). This behaviour is
 736 repeated again shortly afterwards (last 10 images) within the same TQ interval. See also Video 5.

737 **Figure 3** Examples of AF termination following ablation of a region of highest dominant frequency.
 738 A) Patient 5. The white arrow indicates the point of transition from AF to a persistent organized atrial
 739 tachyarrhythmia. B) Patient 7. The left atrium is silent with no coronary sinus (CS) signal at baseline,
 740 but with ECG evidence of ongoing AF. Pacing from the ablation (Abl) catheter captures the CS with
 741 organized distal to proximal activation.

742 **Figure 4 A)** The four HDF targeted ablation regions from patient 5 are shown. The colour scale
 743 corresponds to occurrences of HDF at the given spatial location. Individual lesions are labelled
 744 according to their impact on AFCL, with a change of 10ms or more considered significant. Yellow
 745 triangles indicate the location of HDF spatial centres. **B)** Changes in AFCL for each consecutive
 746 region of HDF-guided LA ablation. Lines are labelled with their respective patient number. Case
 747 progression is from left to right. * – patients in whom sinus rhythm was restored without the need for
 748 electrical cardioversion. AFCL – atrial fibrillation cycle length; HDF – highest dominant frequency;

749 MV – mitral valve annular locations; LUPV – left upper pulmonary vein; RUPV – right upper
750 pulmonary vein; RLPV: right lower pulmonary vein

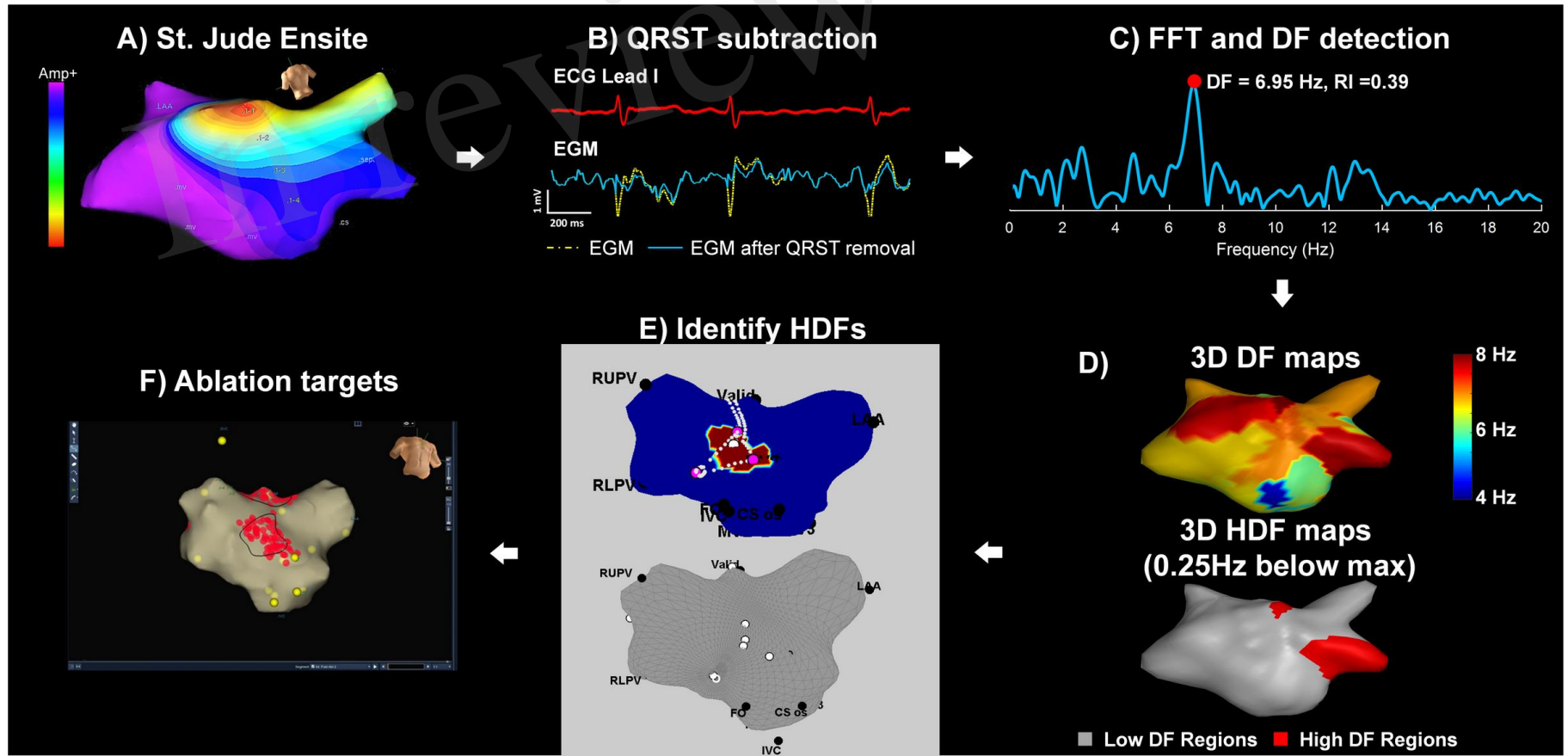
751 **Figure 5** Spectral characteristics of HDF-targeted ablation regions compared with the AFCL
752 response to ablation of that region. **A)** HDF counts. **B)** HRI counts. **C)** HDF+HRI concurrence counts.
753 Values shown are median±interquartile range. DIS – ablation lesions resulting in an AFCL
754 decrease/disorganization of 10 ms or more; EQU – ablation lesions resulting in equivocal change in
755 AFCL of between -9 and +9 ms; ORG – ablation lesions resulting in AFCL increase/organization of
756 10 ms or more; * indicates a statistically significant difference when compared with the
757 corresponding DIS group after correction for multiple comparisons.

758 **Figure 6 A)** Comparing numbers (mean and SD) of focal activation events with the AFCL response
759 to ablation of that region; * – $p < 0.05$ for Kendall's correlation between the variables. **B)** Counts of
760 focal extinction and activation events within the same regions. Line of best fit and confidence
761 intervals by linear regression are shown ($p < 0.0001$).

762 **Figure 7** Demonstrating the temporal consistency of focal activation events. A) In one patient, for
763 each consecutive TQ interval, the number of observed focal activation events for one ablated region
764 is shown by the solid line. The dotted line indicates the MMax (moving maximum) for the region,
765 and an example of the derivation of diffMMax is shown. B) The variation in the consistency of focal
766 activation as measured by diffMMax (filled circles) and diffMMin (unfilled circles) across all 51
767 lesions from all 10 patients are shown. See main text for definitions.

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769 **Figure 1**



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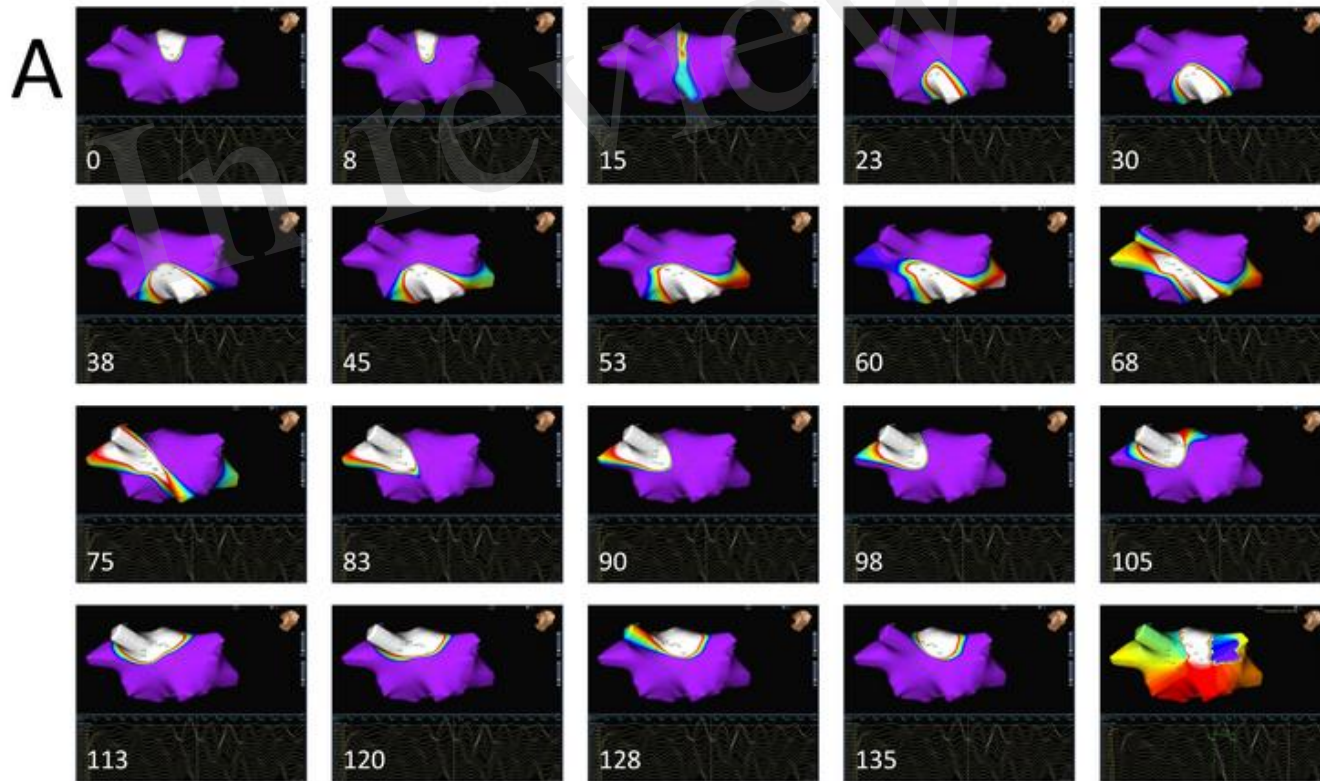
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775 **Figure 2 A**

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782 **Figure 2 B**

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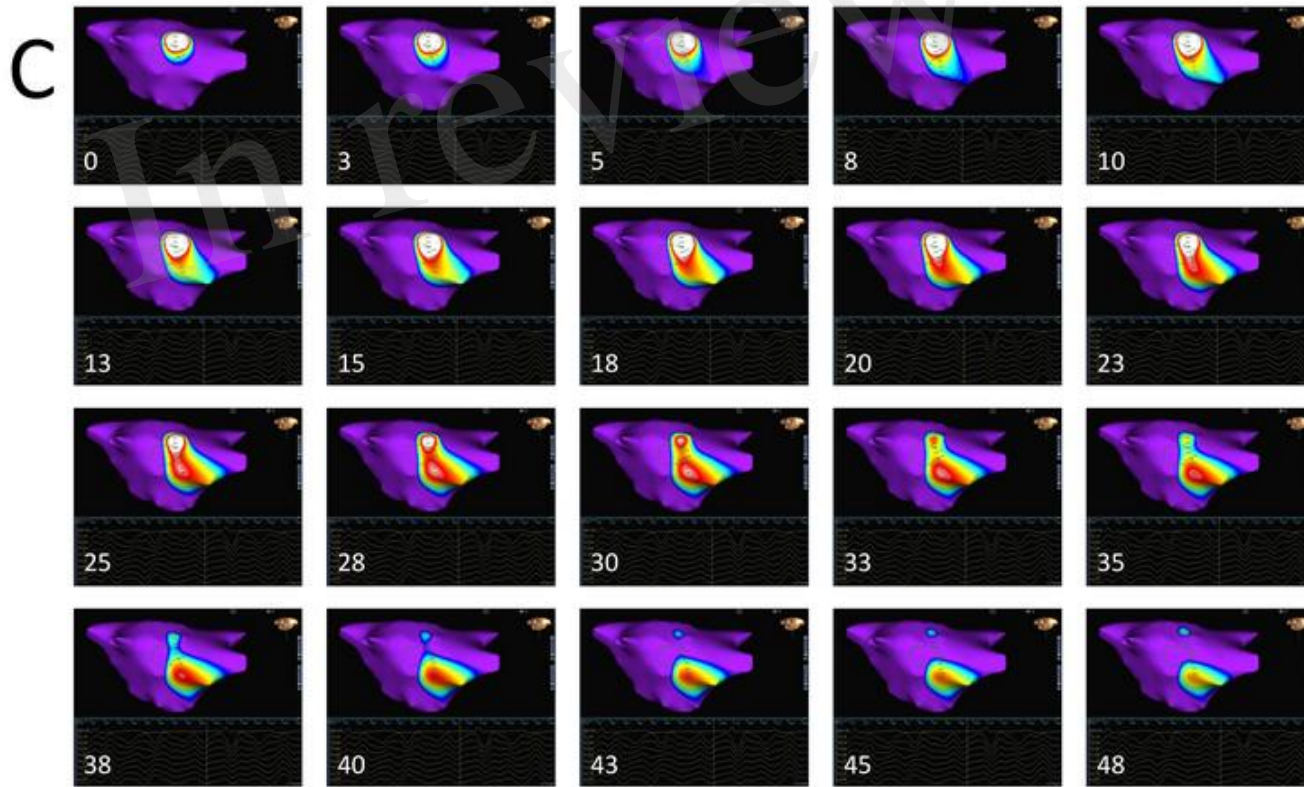
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791 **Figure 2 C**



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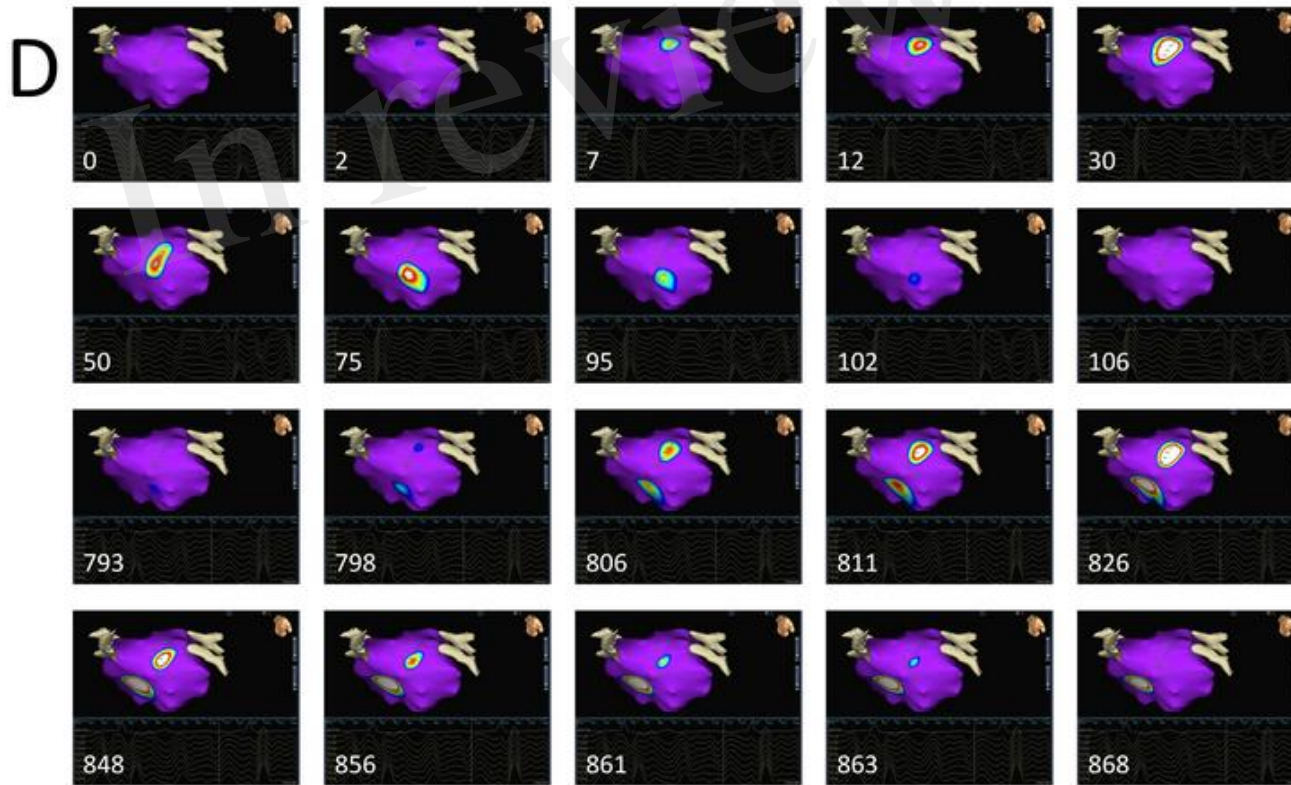
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799 **Figure 2 D**



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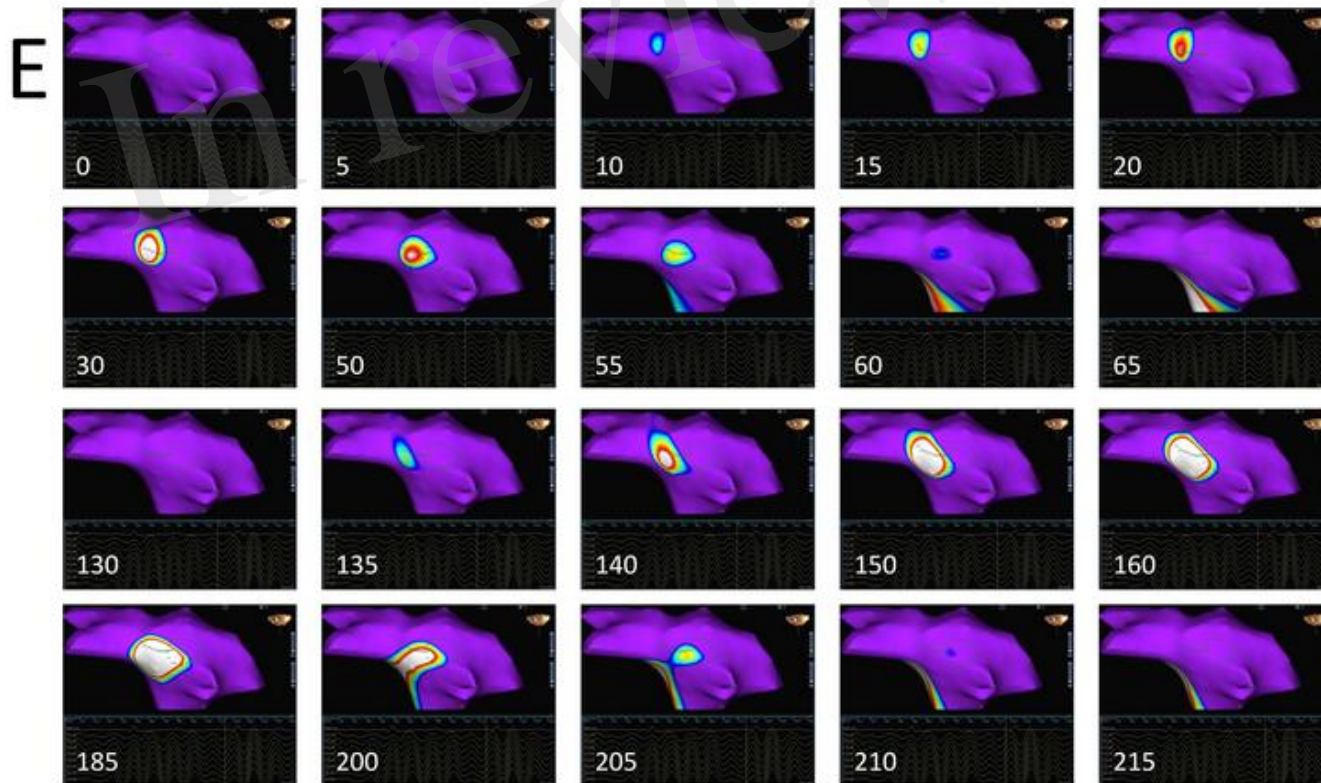
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807 **Figure 2 E**

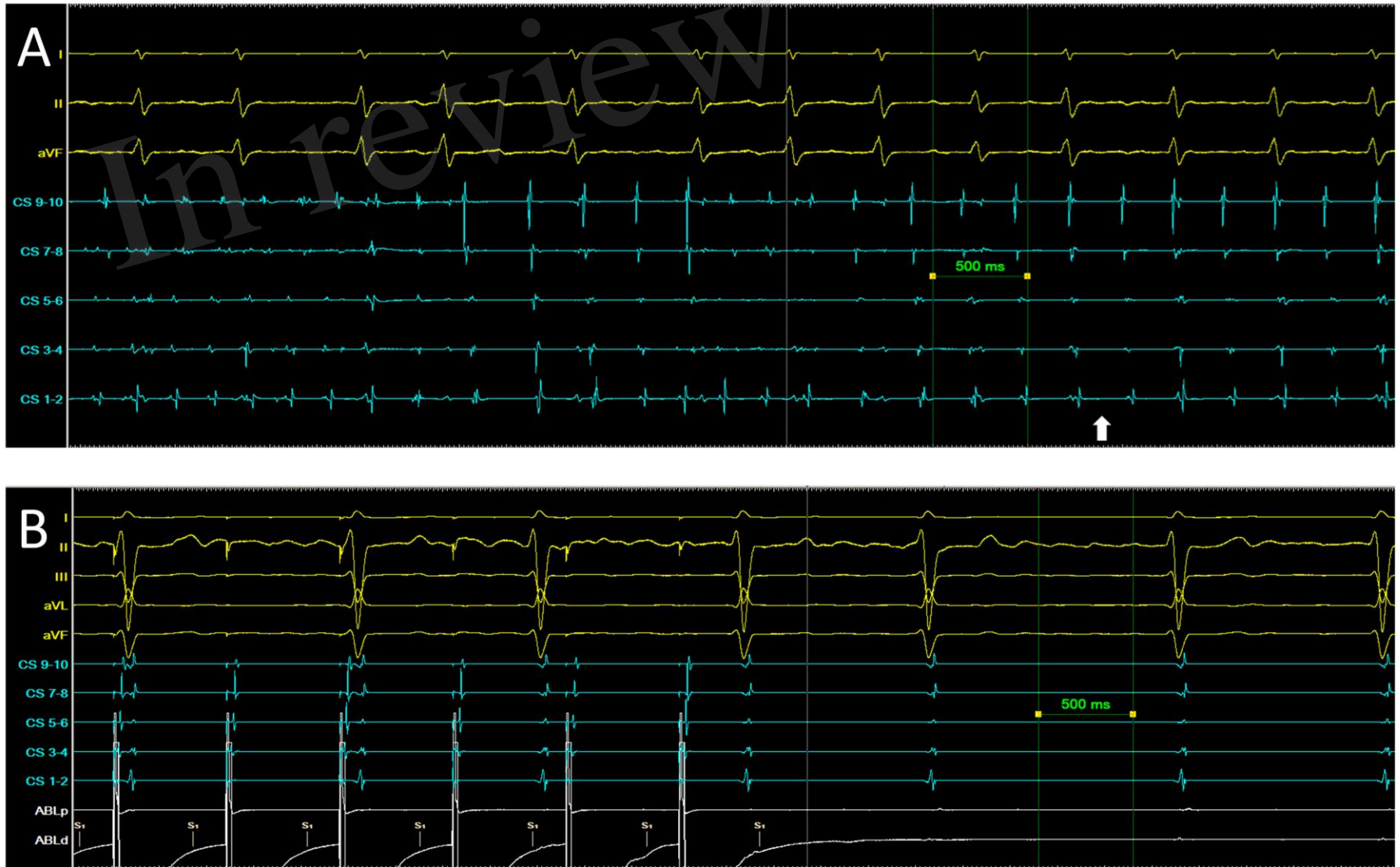
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811 **Figure 3**

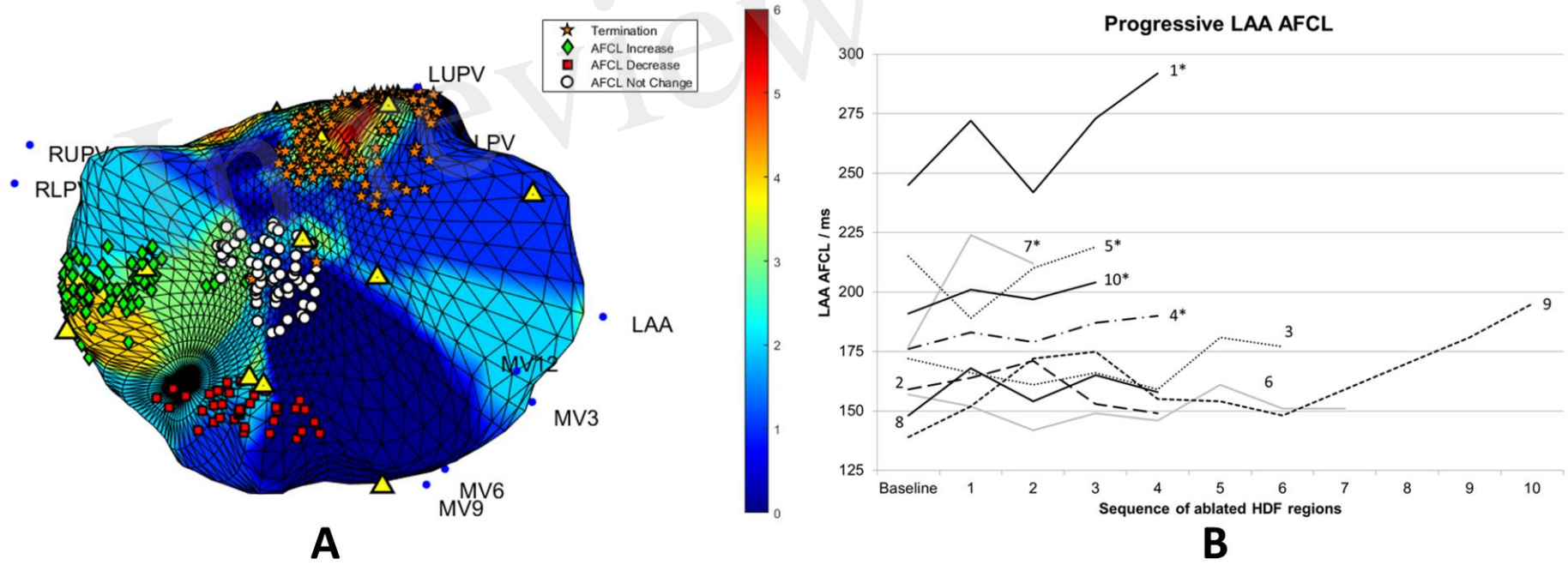


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815 **Figure 4**

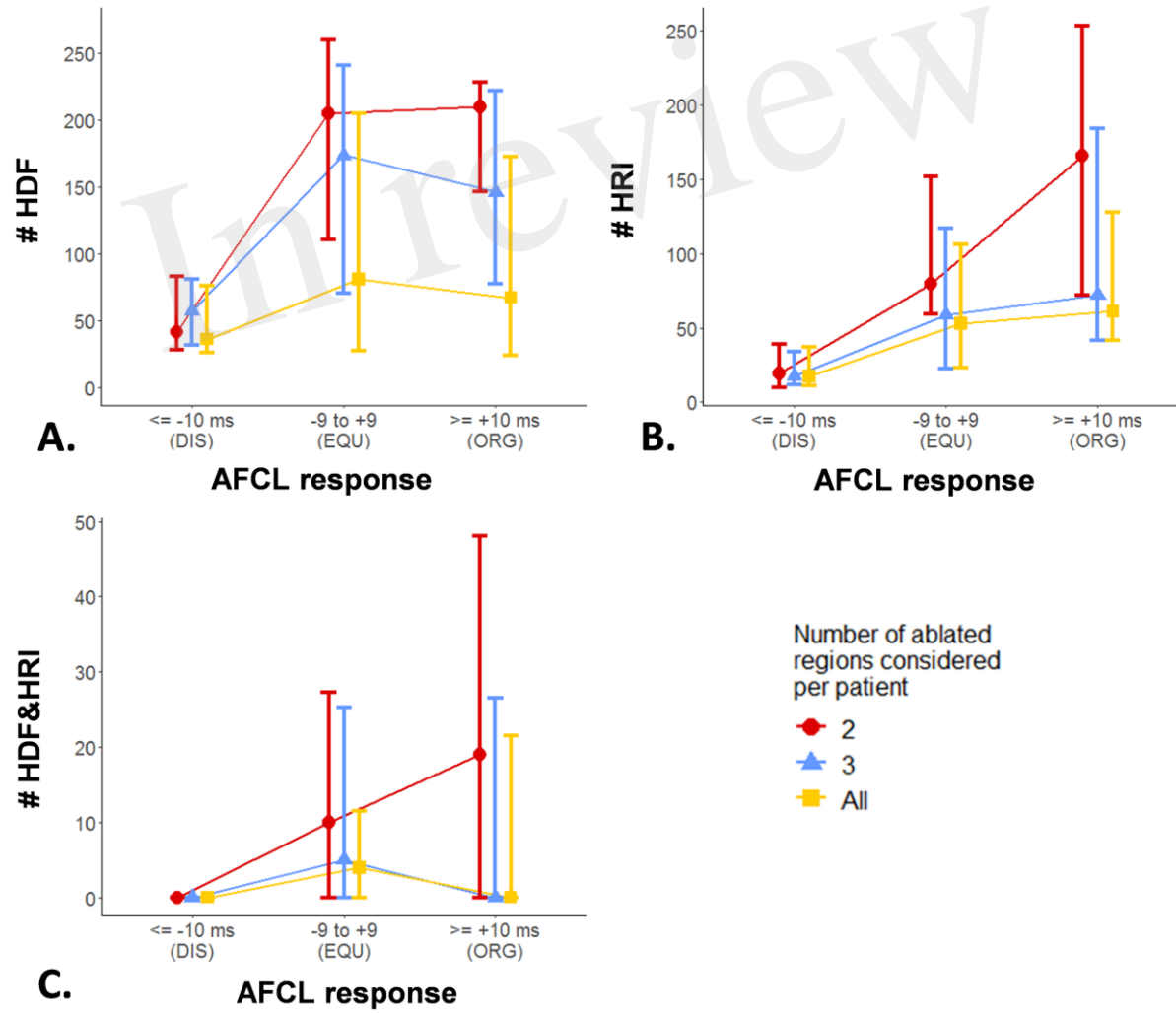


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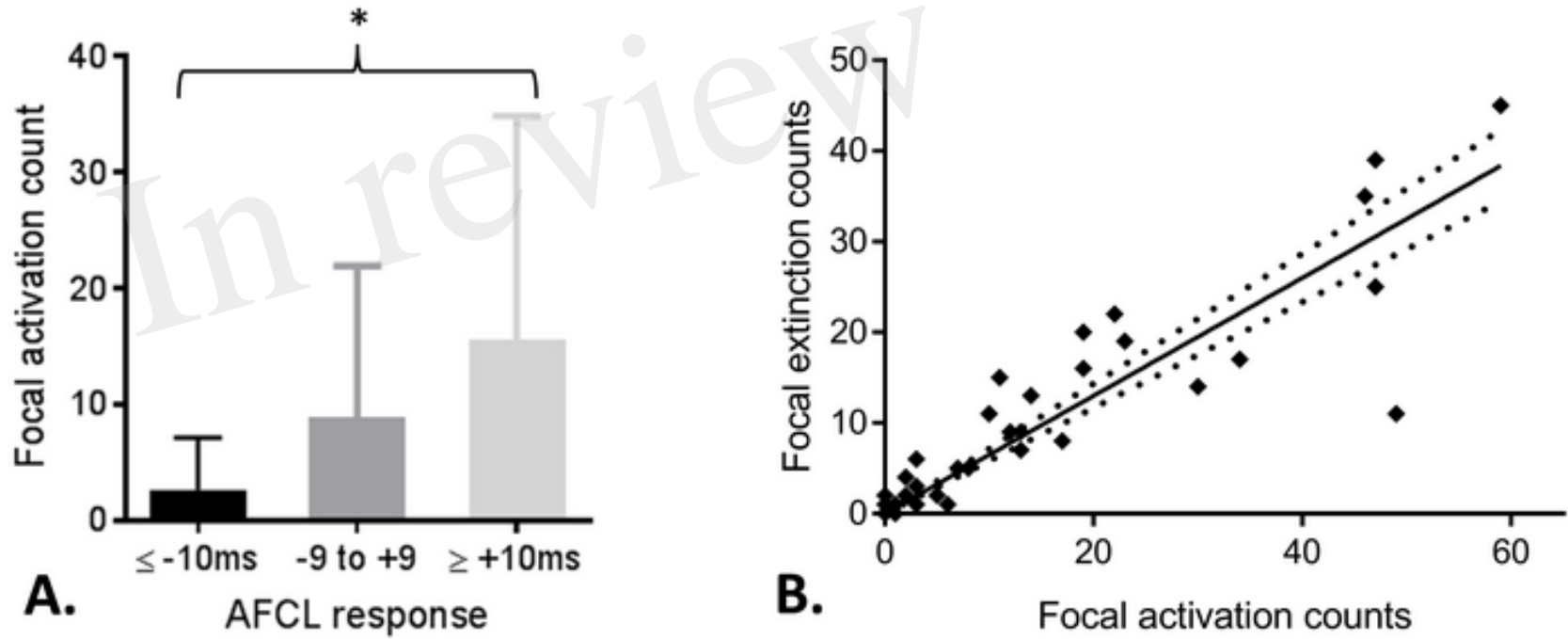
819 **Figure 5**



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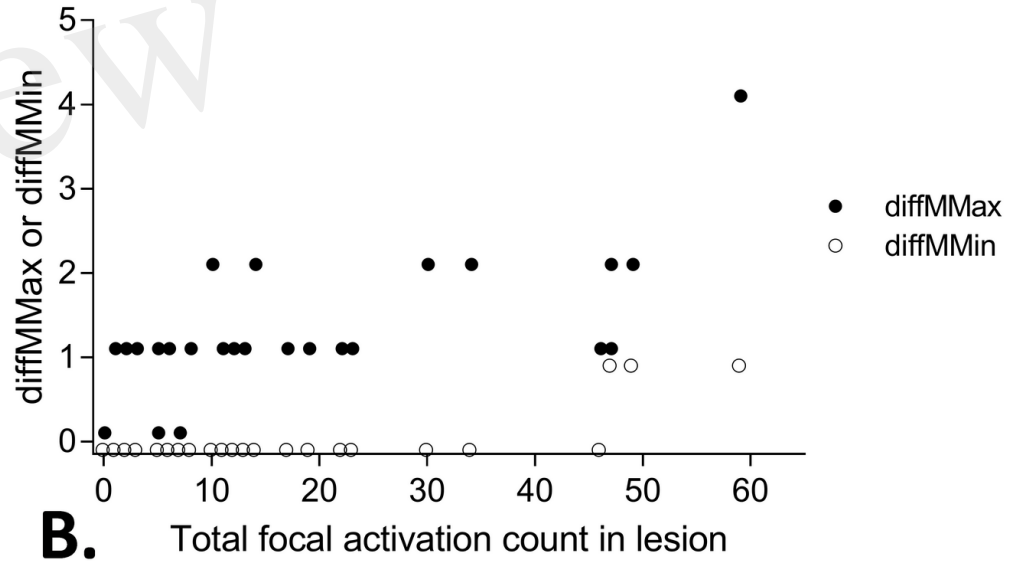
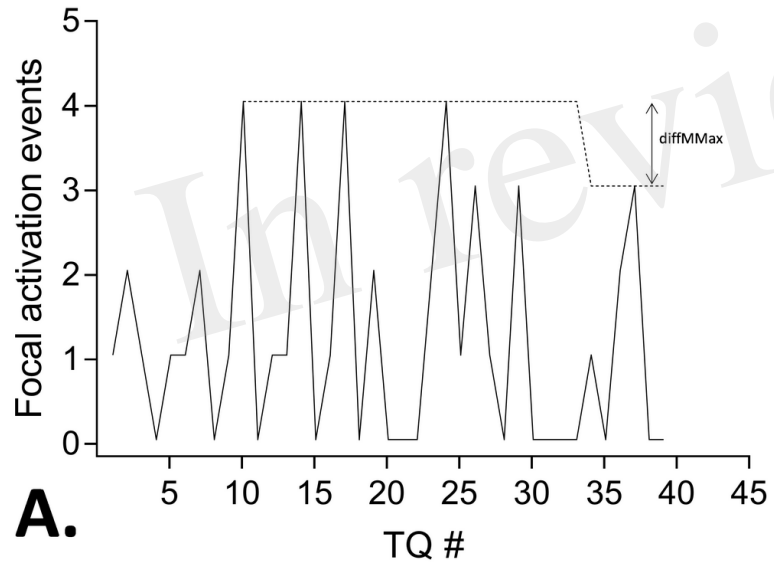
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823 **Figure 6**

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826 **Figure 7**



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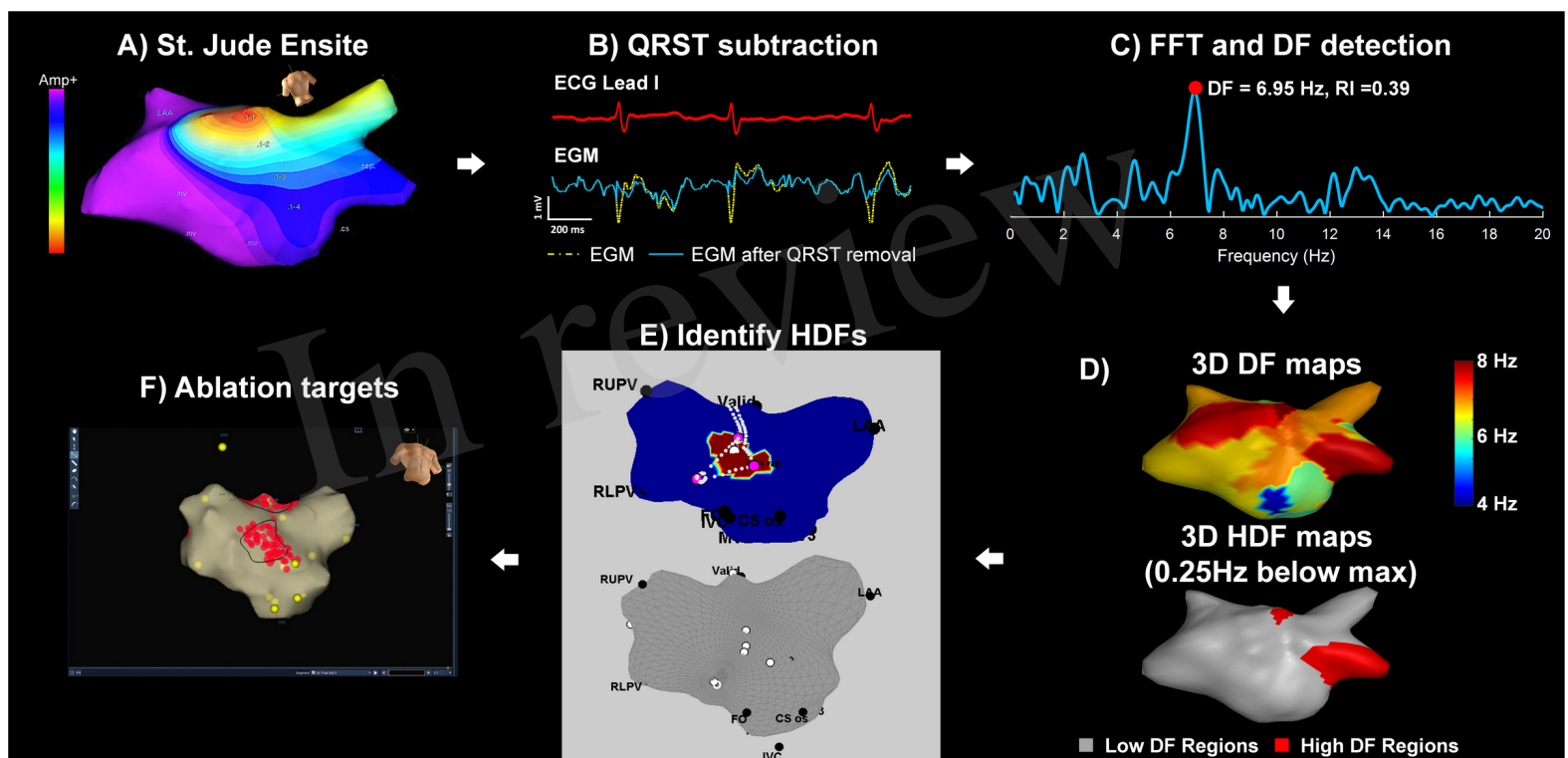


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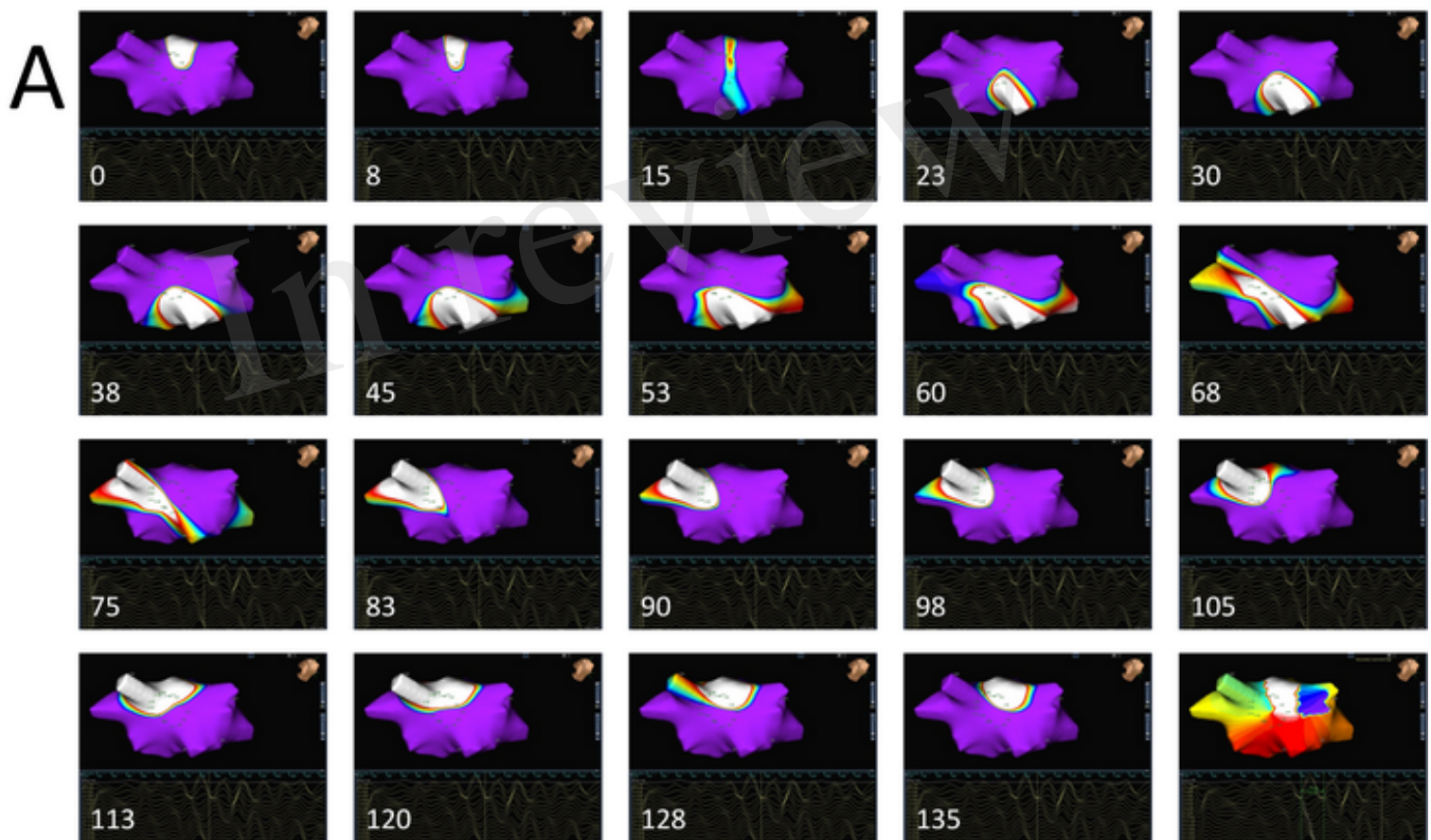


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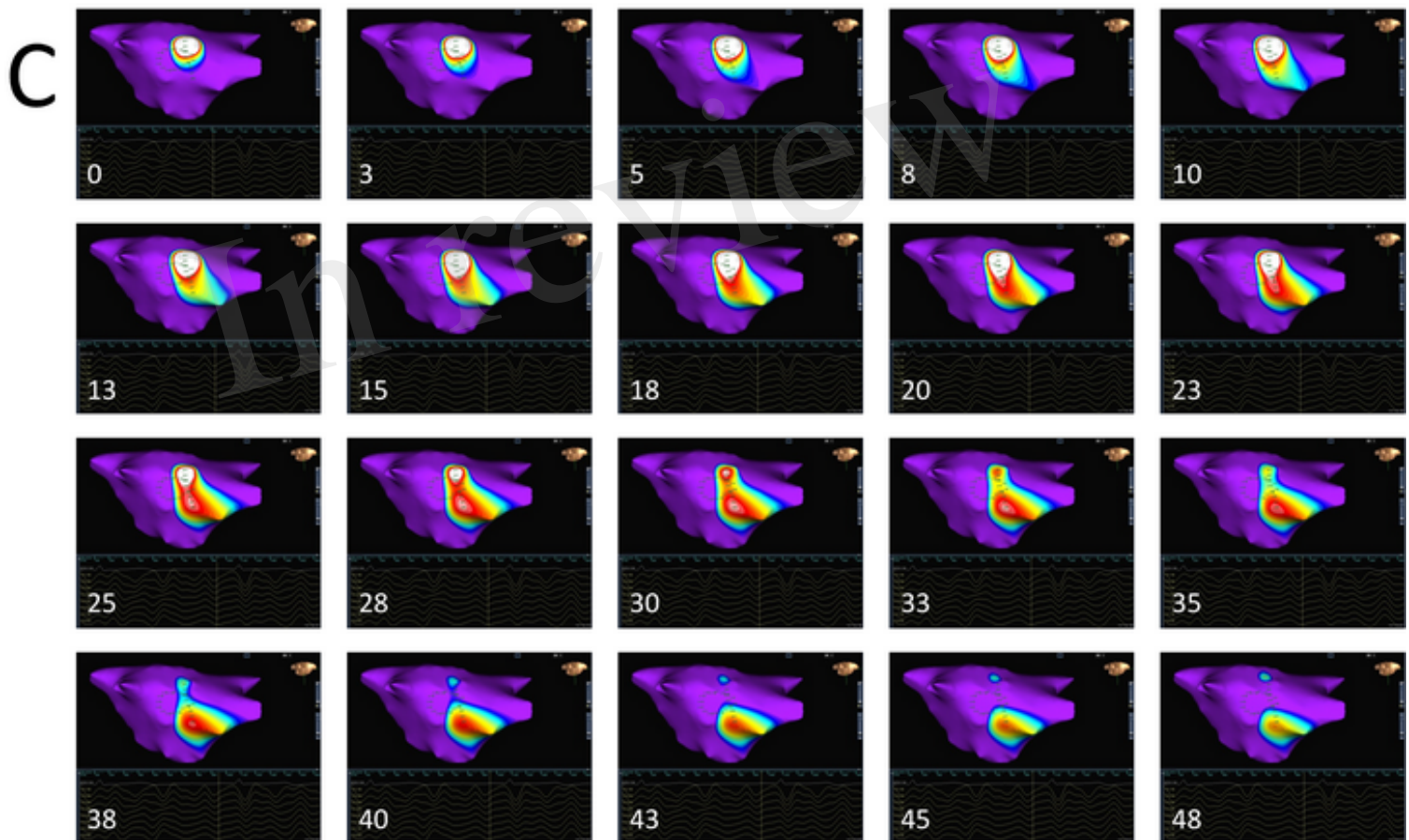


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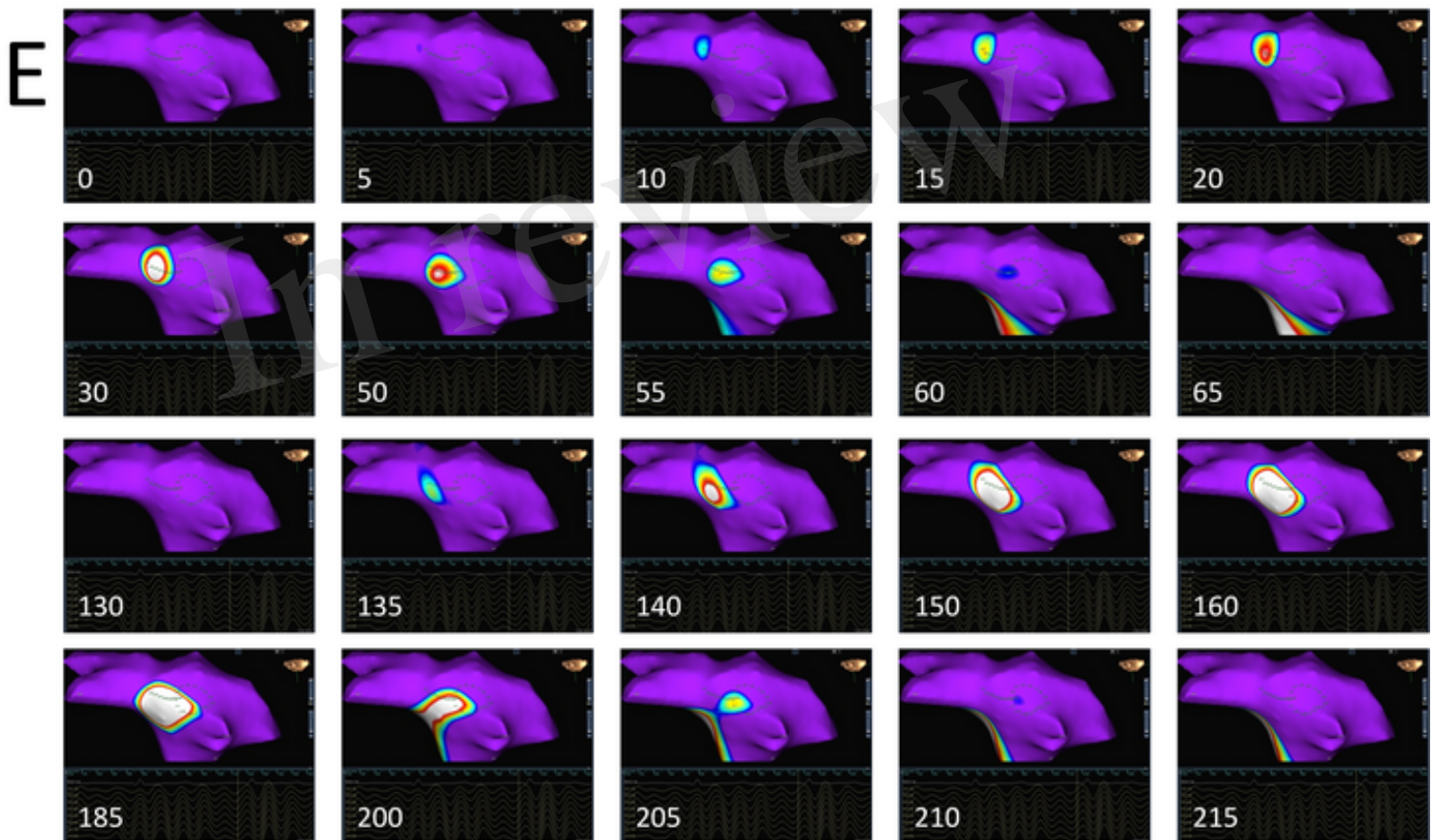


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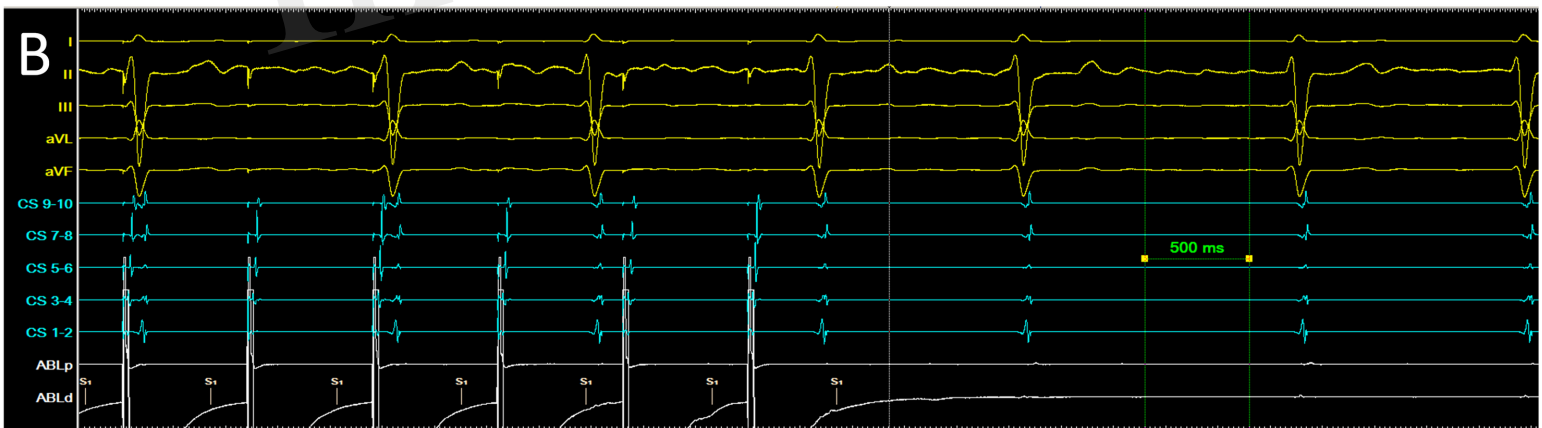
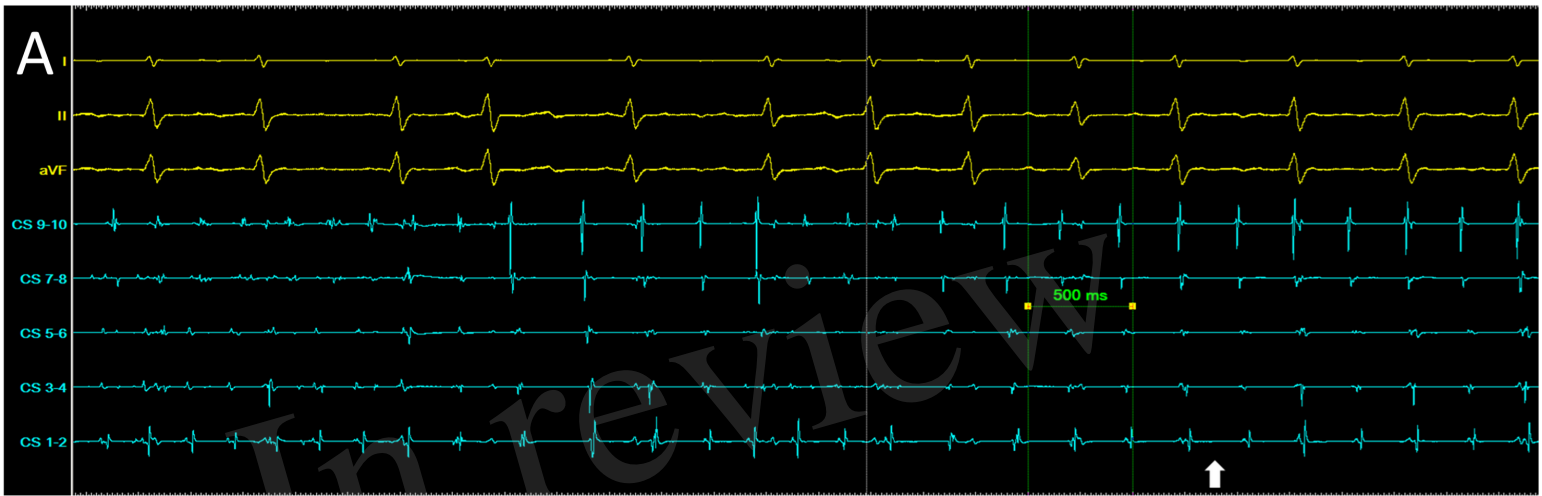


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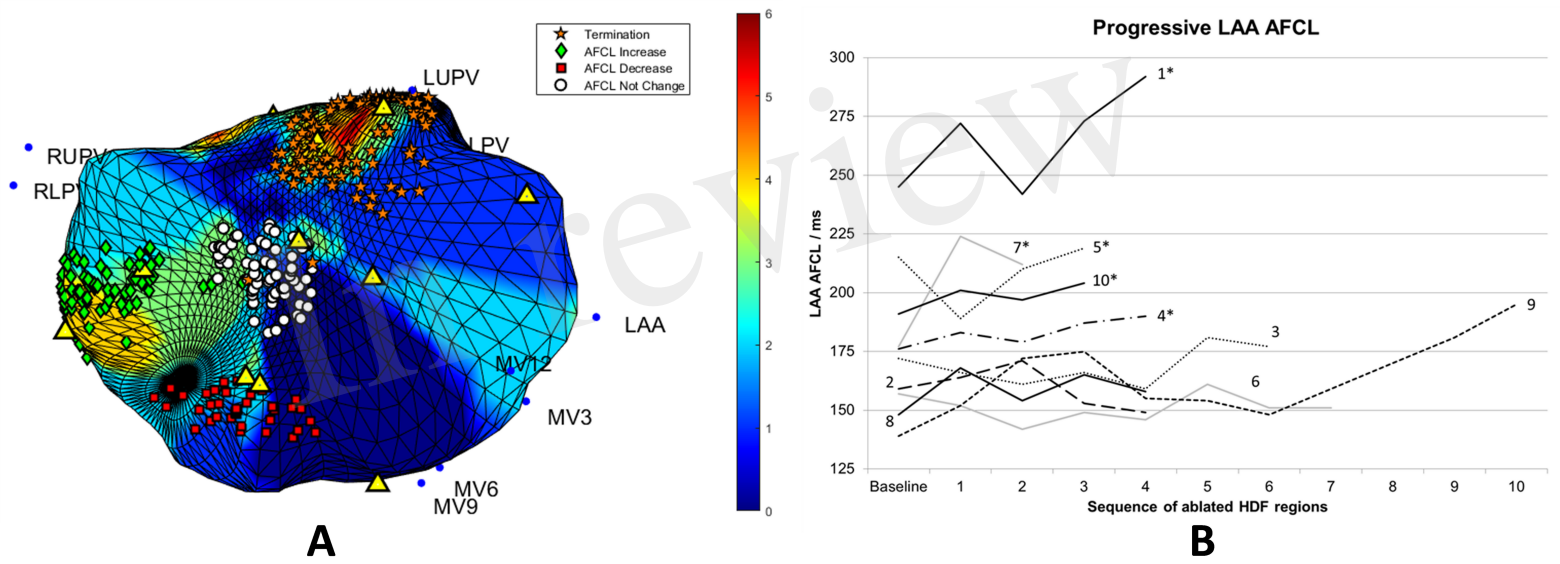


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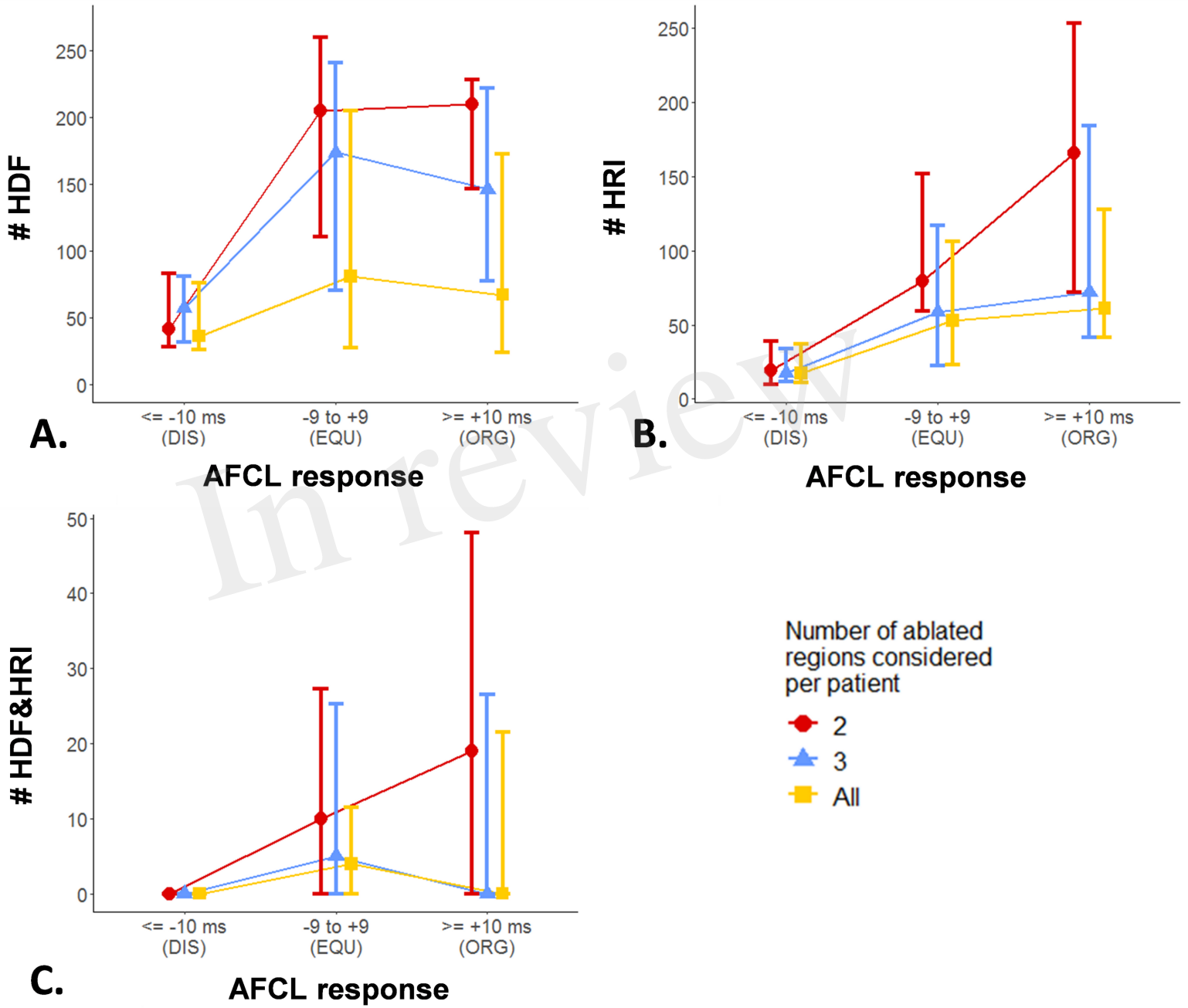


Figure 10.TIF

