# 1 Abstract

2 The design of a novel apparatus, the Glen Withy torque tester (GWTT), for measuring

- 3 horizontal shear properties in equine sport surfaces is described. Previous research has
- 4 considered the effect of vertical loading on equine performance and injury but only limited
- 5 discussion has concerned the grip or horizontal motion of the hoof. The horizontal support of
- 6 the hoof by the surface must be sufficient to avoid excess slip without overloading the limb.
- 7 The GWTT measures the torque necessary to twist an artificial hoof that is being pushed into
- 8 the surface under a consistently applied vertical load. Its output was validated using a steel
- 9 surface, then was used to test two sand and fibre surfaces (waxed and non-waxed) through
- 10 rotations of 40-140°, and vertical loads of 156-980 N. An Orono biomechanical surface
- 11 tester (OBST) measured longitudinal shear and vertical force, whilst a traction tester
- 12 measured rotational shear after being dropped onto the surfaces. A weak, but significant,
- 13 linear relationship was found between rotational shear measured using the GWTT and
- 14 longitudinal shear quantified using the OBST. However, only the GWTT was able to detect
- 15 significant differences in shear resistance between the surfaces. Future work should continue
- 16 to investigate the strain rate and non-linear load response of surfaces used in equestrian
- 17 sports. Measurements should be closely tied to horse biomechanics and should include
- 18 information on the maintenance condition and surface composition. Both the GWTT and the
- 19 OBST are necessary to adequately characterise all the important functional properties of
- 20 equine sport surfaces.
- 21

# 22 Key Words

23 Torque; shear; arena surface; slip; grip; footing

# 24 Nomenclature

DDFT	Deep digital flexor tendon	
D <sub>max</sub>	Peak vertical displacement (GWTT)	(mm)
GWTT	Glen Withy torque tester	
<b>GRFH</b> <sub>max</sub>	Peak longitudinal ground reaction force	(kN)
	(OBST)	
GRFV <sub>mean</sub>	Mean vertical ground reaction force (GWTT)	(N)
GRFV <sub>max</sub>	Peak vertical ground reaction force (OBST)	(kN)
OBST	Orono biomechanical surface tester	
SDFT	Superficial digital flexor tendon	

SL	Suspensory ligament	
Slip	Horizontal displacement from impact to	(mm)
	$GRFV_{max}$ (OBST) calculated from double	
	integration of GRFH	
T <sub>max</sub>	Peak torque (GWTT)	(Nm)
$T_{maxTT}$	Maximum recorded torque (traction tester)	(Nm)

# 26 1 Introduction

27 The loading of surfaces by horse hooves is complex due to both the range of gaits and speeds 28 and the manoeuvres performed by the horse and the diverse characteristics of the different 29 surfaces. The functional properties of racetrack surfaces have been studied extensively 30 (Peterson, Roepstorff, Thomason, Mahaffey, McIlwraith, 2012; Ratzlaff, Hyde, Hutton, 31 Rathgeber, Balch, 1997; Reiser, Peterson, McIlwraith, Woodward, 2000; Setterbo, Fyhrie, 32 Hubbard, Upadhyaya, Stover, 2012) but less is known about the characteristics of arena 33 surfaces that are often used for non-racing equestrian sports which involve a more diverse 34 range of athletic activities and hoof surface interaction patterns. In nearly all cases surfaces 35 used for equestrian sports are both highly non-linear and strain rate dependent. For instance, 36 the surface response when executing a canter pirouette in a dressage competition may be 37 quite different to a quick turn during the jump off of a show jumping competition. As the 38 load on the surface increases the typical riding surface increases in stiffness (Reiser et al., 39 2000) and, in general, the surface will also become stiffer as the load is applied at a higher 40 rate (Setterbo et al., 2012). Since shear resistance of a surface is directly related to the 41 surface stiffness, the grip characteristics are also expected to change with load and loading 42 rate. Thus, there is a need to understand the responses of arena surfaces to both the speed and 43 magnitude of loading, as the stiffness and shear resistance of the surface will influence both 44 the horse's ability to perform and the risk of it receiving an injury.

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46 Shear resistance relates to the frictional forces that are generated between the hoof and the 47 surface and to friction between the particles within the surface (Hobbs et al., 2014). Linear 48 shear resistance affects sliding of the hoof across the surface in a horizontal plane especially 49 during braking phase of stance in straight-line movement. It also affects resistance of the 50 surface when the hoof is in an angled position relative to the ground, as found during push off 51 and sharp turns. Rotational shear resistance affects rotation of the hoof into the surface 52 material, which is also seen during push off and sharp turns. The sliding of the hoof on the 53 surface can either occur between the shoe and the surface, or within the material beneath the 54 hoof depending on the specific characteristics of the surface and the design of the shoe. In 55 addition, the forces generated by the horse's musculotendinous system tend to rotate the hoof 56 into a toe-down orientation within the surface material as the limb generates propulsion (Thomason & Peterson, 2008). The surface must provide sufficient resistance to the 57 horizontal sliding motion or rotation of the hoof to enable the horse to obtain grip, which 58

59 prevents slipping, tripping or falling (Murray, Walters, Snart, Dyson, Parkin, 2010a) and 60 provides traction for propulsive effort (Crevier-Denoix et al., 2010). Excessive resistance to the horizontal motion or rotation is expected to result in an earlier onset of hoof braking and 61 62 an increase in the magnitude of the peak stress and loading rate in the limb (Gustås, Johnston, 63 Drevemo, 2006). Reduced horizontal motion of the hoof has been associated with surface 64 hardness (Wilson & Pardoe, 2001; Orlande, Hobbs, Martin, Owen, Northrop, 2010) and 65 harder surfaces tend to increase the magnitude of higher frequency vibrations during impact 66 and limb loading (Chateau et al., 2009). The high frequency components of the loading are 67 reported to be attenuated mainly by the hoof, and the magnitude of peak stress is gradually damped proximally by the distal limb structures (Lanovaz, Clayton, Watson, 1998; 68 69 Willemen, Jacobs, Schamhardt, 1999). High frequency loading damages bone and articular 70 cartilage predisposing to the development of osteoarthritis (Folman, Wosk, Voloshin, Liberty, 71 1986). Insufficient friction and shear resistance can lead to excessive slip and shearing of the 72 top layers of the surface during braking, limiting the traction available for propulsion. 73 Excessive slip during braking causes the horse to reduce stride length as a means of reducing 74 the longitudinal braking force (Chateau et al., 2010) which adversely affects performance. It 75 may also result in rider falls and accidents (Crevier-Denoix et al., 2010; Murray et al., 76 2010a). Insufficient friction and shear resistance can lead to shearing of the top layers of the 77 surface and result in increased rotation of the hoof into the surface. Excessive slip will then 78 occur during braking, and traction will be lost for propulsion, which is known to increase 79 fetlock joint extension (Crevier-Denoix et al., 2010). Conversely, during midstance maximal 80 fetlock joint extension is reduced which is likely to reduce the storage and release of passive 81 strain energy in the superficial digital flexor tendon (SDFT) and the suspensory ligament 82 (SL) (Crevier-Denoix et al., 2010). The deficit in passive strain energy is proposed to be 83 compensated by a greater active contribution of the deep digital flexor (DDF) muscles to 84 maintain speed (Crevier-Denoix et al., 2010). Early onset of fatigue in the DDF muscle 85 results in greater passive strain of the SDFT which is then at risk of overloading and injury (Butcher et al., 2007). High quality artificial and natural surfaces are needed for equestrian 86 87 sports in order to provide an appropriate balance between injury reduction and optimal 88 performance of the equine athlete. Shear resistance of the surface is a complex and important 89 factor in this equation and one that has not been adequately evaluated, in part due to a lack of 90 equipment that has been validated to measure shear resistance of arena surfaces.

92 Until recently decisions on the design and composition of equine arena surfaces have been 93 based almost entirely on anecdotal observation. This approach has continued in spite of the 94 growing evidence that surfaces can have a major effect on both the performance and the 95 incidence of injury in dressage horses (Murray, Walters, Snart, Dyson, Parkin, 2010b) and 96 eventers (Murray, Singer, Morgan, Proudman, French, 2006) which is similar to the link 97 between surfaces and injuries in racehorses (Oikawa & Kusunose, 2005; Parkin et al., 2004; 98 Peterson, McIlwraith, Reiser, 2008). Thus the development of reliable equipment that can 99 simulate equine movement and loading patterns to quantify the functional characteristics of 100 arena surfaces *in situ* is a vital step towards a process for assessment of equine arena surfaces 101 and developing standard methods to ensure consistency across different competition venues. 102 A drop-hammer system, the Orono biomechanical surface tester (OBST) was developed for 103 measuring racetrack properties (Peterson et al., 2008). The OBST has subsequently been 104 modified for use in the evaluation of equine arena surfaces (Northrop, Martin, Holt, Owen, 105 Hobbs, 2014) and for controlled experiments in the UK by the RACES research team (Holt, 106 Northrop, Owen, Martin, Hobbs, 2014). The apparatus was designed to mimic the loading 107 phase of the gait cycle in a galloping horse. It has two axes of motion that allow measurement 108 of both vertical force and linear shear resistance as the simulated hoof lands and is forced 109 across the surface (Peterson & McIlwraith, 2008). The OBST measures linear shear 110 resistance, but not rotational shear resistance, which may also vary (Nigg & Yeadon, 1987; Setterbo, Yamaguchi, Hubbard, Upadhyaya, Stover, 2011). The drawback to using the OBST 111 112 to test arena surfaces is that it is designed to replicate the loads and speeds of a horse's 113 forelimb at the gallop (Peterson et al., 2008), or when landing from a jump, but it is not well 114 suited to the lower strain rates associated with slow gaits and rotational movements, such as 115 the dressage canter pirouette.

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117 In human sports an apparatus for measuring rotational traction and friction of turf sports 118 pitches was originally designed in 1975 (Canaway & Bell, 1986). The studded-boot 119 apparatus, known as a torque tester, is now the internationally accepted device used in a 120 number of sports to ensure safety and performance (Twomey, Otago, Ullah, Finch, 2011). In 121 2010 a traction tester was adapted for use on equine surfaces by replacing the football studs 122 with a studded horse shoe (Blundell, Northrop, Owen, Lumsden, 2010). This device was 123 used for comparison in the present study, however, the apparatus is not considered to be 124 representative of the way a horse lands or turns on a surface, due to the low mass, low 125 vertical drop height and the turning procedure used. Concerns also exist related to the

126 applicability of the test even for human athletes. In particular, significant variation was

- 127 reported between operators (Blundell et al. 2010), which has been addressed by providing
- 128 automatic control of the speed of the turning and positioning of the studded disk (McNitt,
- 129 Middour, Waddington, 1997; Roche, Loch, Poulter, Zeller, 2008). The modified traction
- 130 tester measures linear and rotational traction simultaneously (Brosnan, McNitt, Serensits,
- 131 2009). However, neither design has been adapted for the speed and loads associated with the
- 132 equine athlete.
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134 Other methods for assessing the horizontal properties of equine surfaces have included 135 cadaver limbs attached to a drag apparatus instrumented with load cells (Clanton, Kobluk, 136 Robinson, Gordon, 1991) and more recently a track testing device that measured linear shear 137 resistance and a shear vane tester that measured shear stress and surface cohesion (Setterbo et 138 al., 2012). The latter of these designs (Setterbo et al., 2012) has been used to make 139 measurements on dirt and synthetic racetracks, but both designs were reported to have 140 limitations in relation to replicating equine locomotion. Shear resistance on turf racetracks is 141 normally measured using a GoingStick (Caple, James, Bartlett, 2012), where a flat blade is 142 pushed into the surface and then rotated about its base to an angle of  $45^{\circ}$  to measure the force 143 needed to push and turn the blade (Peterson et al., 2012). A linear relationship between shear 144 resistance using a GoingStick and peak torque resistance using a studded disk apparatus was 145 found on turf sport pitches (Caple et al., 2012). This relationship has only been investigated 146 on turf surfaces which have a relatively homogeneous cross section that is necessary for the 147 health of the turf. This is usually not the case with racing surfaces (Mahaffey, Peterson, 148 McIlwraith, 2012) or with arena surfaces composed of fibre and sand (with or without the 149 addition of wax). In these surfaces a hard pan layer is set up under the shallow top surface 150 that supports the hoof during propulsion or landing. The non-homogeneity of these surfaces 151 makes them unsuitable for evaluation using a penetrometer type device such as the 152 GoingStick for measuring shear resistance.

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An appropriate mechanical apparatus for measuring the rotational shear properties of equine
sport surfaces is not available. Current methods used in human biomechanics do not
adequately represent the hoof-surface interaction and current equine specific measurements
do not measure rotational shear. For this reason a new piece of equipment, named the Glen
Withy torque tester (GWTT), was designed that was capable of measuring rotational torque
whilst under a consistently applied quasi-static vertical load. The device is named after its

160 designer which provides the potential for it to become a piece of standardised equipment in 161 the future. This study compares data from the GWTT with data from other equipment used 162 to test arena surfaces (OBST and traction tester) to assess its ability to provide distinct 163 information describing the equine arena surface response. In addition, by using different 164 pieces of equipment, that apply different loading rates, the effect of strain rate dependency on 165 shear resistance can be explored. The aims of the study were to use the GWTT for measuring 166 equine arena surfaces and to compare its results with those from the OBST and traction tester 167 within and between surfaces. Linear and rotational shear resistance may vary for the same 168 surface (Nigg & Yeadon, 1987), and arena surfaces are reported to be strain rate dependant. It 169 was therefore hypothesised that any relationship between measurements from the OBST 170 compared to the GWTT and traction tester for the same surface, in particular measures of 171 rotational and longitudinal shear, would be non-linear and complex and would not be 172 expected to be well correlated. Also, even if a correlation between these devices was 173 observed on a particular surface it would not necessarily be applicable to other surfaces. 174 Therefore, the initial investigations using the GWTT and the other devices were performed 175 using two different types of surfaces.

176

# 177 2 Methods

The construction and measurements made by the traction tester and a first-generation OBST have been previously described (Blundell et al., 2010; Peterson et al., 2008). In this work, we give construction details of the GWTT, and describe the types of measurement that are made by the traction tester, GWTT, and arena-surface modified OBST. The three devices are shown in Fig. 1.

183

### 184 2.1 Equipment

185 2.1.1 Glen Withy Torque Tester (GWTT)

186 The GWTT is an instrumented hoof design built into a support structure that can carry up to

- 187 100 kg mass and that has suitable attachments for a tractor or similar sized equipment to
- 188 move it easily. The support structure of the GWTT was based on a vertical central column
- and horizontal cross member of 75 mm box section (see Figure 1). The central column houses
- 190 a 25 mm diameter main shaft that rotates on ball bearing races (47 mm outer diameter (o.d.))
- 191 at the top and bottom of the shaft. In addition, 2 ball bearing thrust races (50 mm o.d. at the
- top and 100 mm o.d. at the bottom) carry the vertical thrust force. Attached to the bottom of

193 the main shaft is a housing that sandwiches a piezoelectric dynamometer (Kistler Instruments 194 Ltd. Hook, Hampshire, UK, model 9271A; model 9272 is the currently available equivalent), 195 through which applied vertical force and dynamic torque are applied and measured 196 respectively. A horseshoe is fixed to the bottom of the housing at the base. At the top of the 197 main shaft is a square fitting drive to which a two-ended handle can be secured when in use 198 to provide complementary torque. The amount of rotational twist is measured by a dial 199 indicator fitted to the square section. The housing of the central column supports adjustable 200 bars on both sides to allow easy attachment and removal of circular masses. A control box to 201 house the instrumentation is also secured to the central column. The horizontal cross member 202 is designed to be attached to the bottom two links of a type 1 three-point tractor implement 203 linkage. Attached to the cross member is a spring loaded linear potentiometer (Novotechnik, 204 Ostfildern, Germany, model TRS 100) with a foot at its base and this measures the vertical 205 displacement of the horseshoe into the surface as torque is applied. An attachment on the 206 column for the top tractor link of the three-point linkage is also provided (see supplementary 207 materials for assembly drawings). Once the GWTT is secured in the three-point-linkage 208 vertical stability can be maintained, but with sufficient slack to prevent resistance at the links 209 during testing which might otherwise influence the torque measurements. To obtain dynamic 210 measurements the equipment is lowered slowly to the ground on the three point linkage, once 211 slack against the links the handle is turned through a measured angle by the same operator 212 and then raised immediately after turning is complete. Typical hoof rotations during 213 locomotion are shown in Fig. 2, which highlights the point in the stance phase most relevant 214 to the operation of the equipment.

215

216 Three different masses were examined as part of the GWTT validation process. The mass of 217 the apparatus was 16 kg, which was used as a baseline measurement. Addition of a 30 kg 218 mass (total 46 kg) was selected as being equivalent to the mass used by the traction tester 219 (Blundell et al., 2010). Addition of a 100 kg mass (total 116 kg) was used which provided 220 approximately half the mass of a pony and one fifth the mass of a horse. Three turning angles 221  $(40^{\circ}, 90^{\circ}, 140^{\circ})$  were selected to give an indication of the effect of turning by different 222 degrees on the variability of the data produced by the apparatus. The minimum angle of  $40^{\circ}$ 223 was chosen to represent the rotation of the horse's body about a grounded limb, for example 224 during a canter pirouette where the leading hind limb is in contact with the ground for almost 225 80% of the stride (Burns & Clayton, 1997). As maximum torque is normally produced prior 226 to shear failure, turning the GWTT through the maximum possible angle ensured that the

separate events of shear failure and maximum torque were most likely to be captured. While the central column was able to be turned through an angle more than 140°, a maximum angle of 140 ° was used. A rotation of the device of 90° provided an intermediate value. Turning speed was estimated and reproduced through practice and repetition of the same operator.

231

232 The voltage signals from the dynamometer were amplified (Kistler Instruments Ltd. Hook,

Hampshire, UK, charge amplifier model 5073) and these, together with the linear

potentiometer signals were then converted to a digital signal (National Instruments UK,

Newbury, Berkshire, UK, A/D converter model NI USB-6210). All were sampled

simultaneously for 10 s at 100 Hz in Labview (National Instruments UK, Newbury,

237 Berkshire, UK). Peak torque ( $T_{max}$ ), peak vertical displacement ( $D_{max}$ ) and mean vertical force

 $(GRF_{mean})$  were extracted from the digital data acquired using the device.

239

240 2.1.2 Traction Tester

241 The traction tester is a simple design that uses a steel rod attached to a circular, screw-on base 242 with a studded horseshoe on the underside of the base (Fig. 1). Three circular, 10 kg masses 243 each with a central hole are secured to the rod above the base. Two handles at the top of the 244 apparatus allow it to be lifted and dropped. The operator lifted the device to a height of 0.2 m 245 before releasing it, to allow the horseshoe to embed into the surface. Once the apparatus had 246 been dropped, a torque wrench was applied to the top of the rod and then was rotated until 247 shear failure of the surface occurred. The maximum value recorded  $(T_{maxTT})$  on the torque 248 wrench prior to failure was tabulated for each trial. The same experienced researcher was 249 used throughout to reduce variability, consistent with general practice (Blundell et. al, 2010).

250

251 2.1.3 Orono Biomechanical Surface Tester (OBST)

252 The operation of this apparatus has been described previously (Peterson et al., 2008). For

these tests the hoof was dropped through a distance of 0.86 m down the rails which were at

an angle of 8° from the vertical. Temporal data from a tri-axial load cell (Kistler Instruments

Ltd. Hook, Hampshire, UK, type 9347C) and string potentiometer (Celesco, Chatsworth, CA,

256 USA, model PT5A) were recorded simultaneously (National Instruments UK, Newbury,

257 Berkshire, UK, A/D converter model NI USB-6210) for 2 s in Labview at 2000 Hz (National

258 Instruments UK, Newbury, Berkshire, UK). The files were converted to a suitable ASCII

259 format and then imported into Visual 3D. Landing force was determined from the vertical

260 component of force from the tri-axial load cell using a threshold of 50 N and peak vertical

- force from the maximum value recorded ( $GRFV_{max}$ ). Landing speed was derived from the 261 262 string potentiometer displacement data and the longitudinal component obtained using 263 trigonometry. Slip distance was then measured by double integration of the longitudinal 264 component of force, where the force was divided by mass prior to the first integration and 265 landing speed was used as a constant for the second integration. Slip distance during loading 266 (Slip) was then measured from landing to peak vertical force. The longitudinal force 267 component was rectified and the maximum value identified to obtain peak longitudinal force 268  $(GRFH_{max})$ .
- 269

### 270 2.2 Initial validation of GWTT measurements

271 2.2.1 Procedure

272 A calibration measurement of the coefficient of friction of the GWTT shoe on black mild 273 steel plate was carried out in the laboratory using a calibrated 8 camera Qualisys Oqus system 274 capturing data at 100 Hz. Markers were attached at the top and bottom edge of a 1 m flat 275 black steel plate and four markers were attached to the underside of a shoe made for the 276 GWTT. Trials were captured as the shoe slid down the angled steel plate with the angle of the 277 plate being varied in small increments from trial to trial to provide data that included 278 speeding up, constant velocity and slowing down. Ten tests were recorded with the open end 279 facing up the slope and a further 10 tests with the open end facing down the slope. The angle 280 was varied for each trial. In addition, four repeated measurements of the angle required to 281 initiate movement of the shoe were recorded in four different orientations of the horse shoe; 282 front, back, left, right.

283

Measurement of the coefficient of friction of the GWTT shoe on black mild steel was carried out in-situ using the GWTT and a flat black mild steel plate with steel grips welded to the underside. This plate was firmly secured into an arena surface prior to testing. The GWTT was loaded with 100 kg mass, lowered onto the steel plate and rotated slowly through 90° and the load removed following turning. Eight repeated measurements were recorded.

289

290 2.2.2 Data Analysis

291 For the laboratory based measurements, markers on the flat plate and the shoe were tracked

and exported to Visual 3D. The angle of the plate was determined from the marker

293 coordinates and the shoe velocity during sliding was derived. Average acceleration was

- 294 calculated over the last third of the slope. The coefficient of friction was determined by
  - 10

- calculating the tangent of the angle. Static friction mean and standard deviation (s.d.) were
  tabulated. Linear regression was used to determine the coefficient of friction value at zero
  acceleration for sliding friction.
- 298

For *in-situ* measurements  $T_{max}$  and  $GRF_{mean}$  were extracted from the GWTT and the frictional force calculated from the shoe dimensions. The coefficient of friction was calculated by dividing the frictional force by  $GRF_{mean}$ . Mean and s.d. were calculated and results from the *in-situ* test were compared to the laboratory sliding friction results.

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### 304 2.3 In-situ testing of GWTT, OBST and traction tester

*305 2.3.1 Procedure* 

Two artificial surfaces were used; a waxed sand and fibre surface and a non-waxed sand and
fibre surface. Each surface was tested using 5 repeats at 9 locations with each piece of
equipment separately (see Fig. 3). The order of testing of additional mass (0, 30 and 100 kg)
and turn angle (40°, 90° and 140°) was randomised.

310

311 A surface sample was also taken from each location. The top layer of surface was removed

and a minimum of 100 g of sub-surface was placed into a labelled plastic bag and sealed. To

evaluate moisture content 100 g of the surface from each sample was weighed out and placed

in a pre-weighed heat-proof tray that was baked in an oven at 38 °C for 48 h. The samples

315 were then re-weighed and the moisture content determined using ISO/TS 17892-1:2004.

316

317 Three temperature loggers (Gemini Data Loggers, Chichester, West Sussex, UK, model 318 Tinytag Talk 2) were utilised in the week prior to data collection. One was placed 100 mm 319 below the surface of the test track, one was placed on top of the surface, and the third was 320 placed above the surface to measure the air temperature. The loggers were programmed to 321 record temperature every 10 min. The mean temperature  $\pm$  standard error in each position 322 was calculated for the week leading up to data acquisition. The measurements of moisture 323 for the unwaxed surface and temperature for the waxed surface are consistent with data 324 reported in the relevant literature (Bridge, Peterson, McIlwaith, Beaumont, 2010; Ratzlaff et 325 al., 1997). However, for consistency both temperature and moisture were measured for both 326 surfaces.

327

328 2.3.2 Data Analysis

- 329 Statistical analyses were performed in SPSS (IBM Corp., Armonk, NY, USA) with
- 330 significance set at *P*<.05. Data were screened for normality using a Kolmogorov-Smirnov
- test. To identify the most appropriate protocol for use of the GWTT in relation to applied
- load and turn angle, a 3 (mass) x 3 (turn angle) MANOVA was used with  $T_{max}$  and  $D_{max}$  as
- dependant variables with Bonferroni post hoc tests on significant variables. To investigate
- consistency of loading the limits of agreement between applied load and *GRF<sub>mean</sub>* when
- 335 separated by mass and turn angle were determined (Bland & Altman, 1999). To evaluate the
- relationship between measurements 1) on the same surfaces and 2) between surfaces made by
- each piece of equipment, a partial correlation controlling for significant factors identified in
- the MANOVA was used and 1) controlling for surface and 2) without controlling for surface.
- 339 Finally, a MANOVA was used for all measurements to compare the difference between the
- two surfaces, with mass as a covariate. Stratified bootstrapping (1000 samples) was used on
- all non-normally distributed measurements when conducting parametric tests.
- 342

# 343 3 Results

- A typical raw data file is shown in Fig. 4 from the GWTT. Data were normally distributed for  $D_{max}$ ,  $T_{max}$  and *Slip*. All other data were not normally distributed. The coefficient of sliding friction determined from the lab-based testing was 0.196±0.006 (mean±C.I.) (see Fig. 5) and for *in-situ* testing was 0.173±0.021 (mean±s.d.) for the shoe on black steel plate. The
- 348 coefficient of static friction was 0.238±0.018 (mean±s.d.).
- 349
- The moisture contents for the waxed surface and non-waxed surface were  $5.1\pm2.11$  % and 17.8±1.68 % respectively (mean ± s.d.). Air temperature, surface temperature and sub-surface temperature in the week leading up to data collection measured in and above the waxed surface were 19.5±0.1 °C, 22.5±0.2 °C, and 20.2±0.1 °C respectively. Weather conditions were considered to be temperate on the day of testing and there was no rainfall.
- 355

Mean  $\pm$  s.d. results for  $T_{max}$  and  $D_{max}$  with respect to mass and turn angle for the GWTT, for both surfaces combined, are shown in Table 1. A significant main effect (P<.001) of mass was found in the model with significant differences for both  $T_{max}$  F(2)=582.227, P<.001 and  $D_{max}$  F(2)=6.754, P =.002. No significant differences were found for turn angle and there was no significant interaction between mass and turn angle.

- 362 The limits of agreement between  $GRF_{mean}$  and the applied load separated by mass are shown
- in Fig. 6 together with the percentage of measurements that fell outside of  $\pm 1$  s.d. limits for
- ach turn angle. The mean difference between measurements was -94.3±46.2, -75.0±106.3
- and  $-23.1\pm75.5$  N for 0, 30 and 100 kg masses respectively. A turn angle of  $40^{\circ}$  was most
- often outside of the limits of agreement followed by 140°.
- 367
- 368 The relationship between measurements is shown in Table 2. When controlling for mass, a
- 369 weak negative relationship was found between  $D_{max}$  from the GWTT and  $T_{maxTT}$  from the
- traction tester (R = -.257, P = .019). A weak positive relationship was found between  $D_{max}$  and
- 371 Slip (R = .234, P=.033). No other relationships between variables were found.
- 372
- 373 Measurements for all equipment (mean  $\pm$  s.d.) are shown in Figure 7 separated by surface and
- for the GWTT also separated by mass. A significant main effect (P<.001) was found for
- surface with significant differences in  $T_{max}$  F(1)=12.38, P = .001,  $D_{max}$  F(1)=10.57, P = .002,
- and  $GRFV_{max}$  F(1)=15.37, P<.001. No other measurements were found to differ significantly between surfaces.
- 378

## **4 Discussion**

380 This study examined the capability of a newly developed piece of equipment, known as the 381 Glen Withy Torque Tester (GWTT), to measure rotational torque on equestrian arena 382 surfaces. The most appropriate protocols were compared over a range of applied loads and 383 turn angles and measurements made with the GWTT were compared to measurements from 384 existing equipment used to evaluate arena surfaces. It was hypothesised that any relationship between measurements, in particular that between  $GRFH_{max}$ , slip and  $T_{max}$ , would be non-385 386 linear and complex. When pooling the data a weak, but significant linear relationship was 387 found between the GWTT and OBST, which measure rotational compared to linear shear 388 resistance and where markedly different loads and loading rates are applied to the surface. 389 This relationship weakened when controlling for surface. These findings suggest that the 390 hypothesis might, in part, be cautiously rejected when comparing linear slip to vertical 391 displacement of the GWTT into the surface, providing a sufficient number of data points are 392 compared to account for location specific variability. The lack of correlation between other 393 measurements from the GWTT compared to the OBST supported the hypothesis.

### **395 4.1 Identification of the most appropriate protocol for the GWTT**

The mass applied to the GWTT had a significant effect on the  $T_{max}$  and  $D_{max}$  readings where a 396 397 greater mass was associated with higher values. Higher traction values have been measured 398 previously with devices suitable for testing sports surfaces when a greater vertical load was 399 applied due to the greater resistance to movement (Baker, 1991; Brosnan et al., 2009; 400 Goodall, Guillard, Dest, Demars, 2005; McNitt et al., 1997). When evaluating each 401 measured mass for reliability against the applied load, it was found that the minimum amount 402 of bias in measured mass occurred when 100 kg mass was attached to the GWTT. This may 403 be due to the attachment of the GWTT to a 3 point linkage where the heavier mass was more 404 stable once on the ground and as such it may have been easier to apply a rotational torque 405 without inadvertently altering the vertical force. The difference may also have been 406 influenced by spatial inconsistencies, which occur during the slide of the hoof on the surface 407 during the initial contact of the hoof on the arena. The resulting hoof prints and movement of 408 material are difficult to remove with maintenance, but the effect of the prints is reduced at 409 higher loads since the deeper levels of the surface which are measured at higher loads are not 410 impacted as much as the top surface of the arena.

411

412 Turning angle did not have a significant effect on  $T_{max}$  or  $D_{max}$  recorded with the GWTT, but 413 a 90° turn angle provided the most consistent vertically applied load compared to the other 414 two angles for all masses. For at 40° angle the mass may not have been as consistently 415 applied, due to the shorter time needed to make the turn before the GWTT was lifted again. 416 At 140° angle, the operator reported that it was difficult to achieve a consistent purchase on 417 the handle. A lack of control whilst rotating a traction device was responsible for low 418 reliability in measurements during a study by Twomey et al. (2011). This, in conjunction 419 with the angle not representing a particular activity carried out by horses, meant that using a 420 turning angle of 40° or 90° was more appropriate. One complete canter pirouette is usually 421 completed in 6-8 strides, which suggests that the body will turn through 45-60° over an inside 422 hind limb that is grounded for the majority of the turn if the movement is executed correctly 423 (Burns & Clayton, 1997). Similarly, a show jumping horse is expected to turn as tightly as 424 possible during the jump off round of a class (the jump off is a shorter version of the original 425 course and is to be completed as fast as possible). Crevier-Denoix et al. (2014) recently 426 reported that a pure static rotation of the hoof in the surface rarely occurs, as the hoof also 427 slides transversally and longitudinally throughout turning, which is not replicated by the 428 GWTT. In addition, GWTT does not simulate the loading conditions during propulsion. The 14

429 GWTT is rigid, simulating a turn of a planted foot under a quasi-static load, so further work 430 is required to investigate it's applicability to the biomechanics of turning. The results can be 431 considered as promising though with respect to the magnitude of peak torque ( $T_{max}$ ), since

- they were comparable with those found by Chateau et al. (2013) in horses trotting in circles
- 433 on different surfaces.
- 434

The accuracy of the measurements from the GWTT were compared to standard laboratory tests for static and sliding friction of the shoe on black mild steel. The coefficient of static friction for steel on steel with an oxide coating was reported to be 0.27 and for sliding friction with a greasy surface 0.09 - 0.19 (Engineers Handbook, 2006). These values are comparable with the laboratory based test results and *in-situ* test results obtained in this study, suggesting that the GWTT provides sufficient accuracy of measurement for friction and shear resistance when loaded with a 100 kg mass.

442

Turning speed was subjectively controlled in the current study. As the surfaces tested are strain rate dependant (Reiser et al., 2000), small differences in turning speed may have influenced measurements from the GWTT. The GWTT has since been instrumented with an angular potentiometer (see supplementary information), which will allow turning speed to be taken into account in future work. This will also allow for a more detailed examination of maximum torque and shear failure events using higher sampling frequencies.

449

### 450 4.2 Relationships between measurements

The relationship between the vertical displacement of the GWTT  $(D_{max})$  and slip measured 451 452 from the OBST suggests that more slip would be expected on a surface where the top layer 453 deforms more under an applied load. Greater deformation is usually associated with more 454 particle movement as a consequence of large pore spaces and less angularity in sand shape 455 (Bridge, Mahaffey, Peterson, 2014). Moisture content, polymer binder and fibre content are 456 also relevant. The relationship was thought to be weak due to the viscoelastic nature of the 457 surfaces, particularly as the moisture content varied between surfaces and across locations. The surface specific increase in  $T_{max}$  with an increase in  $D_{max}$  suggests that rotational torque 458 459 increases as the shoe is displaced further into the surface vertically, possibly due to increasing 460 forces from the surrounding substrate (Burn, 2006). This contradicts the relationship found between  $D_{max}$  recorded with the GWTT and  $T_{maxTT}$  measured using the traction tester, which 461 462 suggests that surfaces with greater vertical deformation offer less traction. An explanation

463 may relate to the difference in function between the devices. The traction tester tended to be 464 pulled out from the surface, whereas the GWTT tended to "screw down" into the surface. The 465 traction measurement may therefore be indicative of the looseness of the cushion, rather than 466 the shear strength of the surface as a whole. The disputed reliability of the traction tester may 467 also have influenced these results (Twomey et al., 2011). The surface specific relationships 468 found for the OBST show the intrinsic link between the components of force from a dynamic 469 impact and data derived from forces, in this case slip.

470

471 The lack of a relationship between other measurements highlights the complexity of arena 472 surface functional properties and their measurement. The need for truly functional 473 measurements of arena surfaces is therefore clearly supported by these results. These 474 surfaces usually have a loose upper layer which allows motion of the hoof early in the 475 loading phase supported by an underlying firm layer. This stratification accentuates the non-476 linear character of the materials since the initial stiffness of the surface is very low but it 477 increases with an increase in the load. This increase in stiffness as a function of loading 478 occurs in both vertical and horizontal directions. At the same time the strain rate sensitivity 479 of the loading in shear is characteristic of a porous material where flow of water or wax is 480 highly dependent on the loading rate (Bridge, Peterson, McIlwaith, 2011). This supports the 481 principle that the loading of the surface must represent the rate and load of the hoof for the 482 particular usage of the surface.

483

#### 484 **4.3 Comparison of surface behaviour**

485 Differences between the waxed and non-waxed surfaces were detected by the GWTT and the
486 OBST, but not the traction tester. However, only the GWTT was able to detect significant
487 differences between the surfaces in relation to shear resistance characteristics.

488

489 The significantly higher torsional resistance measurements and the significantly lower 490 displacement measurements for the waxed surface when compared with those for the non-491 waxed surface (all obtained using the GWTT), indicates that the waxed surface exhibits 492 greater shear resistance than the non-waxed surface. Although not significant, the reduction 493 in slip for the waxed surface also suggests that this surface has more grip. In baseball playing 494 surfaces with higher soil bulk density levels are associated with significantly increased linear 495 and rotational traction (Brosnan et al., 2009), which is thought to be due to a higher soil bulk density causing greater resistance to the movement of the athlete's studs through the profile 496

497 (Brosnan et al., 2009). Less surface deformation together with reduced horizontal slip 498 distance was also found by Crevier-Denoix et al. (2013) when comparing horses cantering on 499 turf versus an all-weather waxed surface, but in this case the waxed surface had greater 500 deformation and slip. Chateau et al. (2009) also described an increased duration of the 501 braking phase on all-weather waxed sand compared to crushed sand. The wax component of 502 a surface may therefore be less of a determinant of shear resistance and grip than, for instance 503 the surface density. Surface density did not, however, affect the traction values recorded on 504 synthetic equine surfaces using the traction tester (Holt et al. 2014), although these findings may simply indicate that the equipment is not appropriate for such measurements rather than 505 506 indicating the lack of a relationship between traction and bulk density.

507

508 The similarity of  $GRFH_{max}$  between surfaces was also somewhat surprising, as greater 509 maximum horizontal force has been measured on crushed sand in comparison to an all-510 weather waxed track in trotters wearing a dynamometric horseshoe (Robin et al., 2009). In 511 addition, similarity in  $GRFH_{max}$  infers similarity in shear resistance and slip, which was not 512 found. One of the confounding factors in the study of slip and shear resistance was the 513 damping ability of the surface. Crevier-Denoix et al. (2013) reported that waxed surfaces are 514 more effective in damping lower frequency concussion events. As such, indirect 515 measurements of slip may be difficult to interpret alone. Using the horizontal force 516 measurements to determine slip appears to be more sensitive to changes in surface type for 517 the OBST, rather than considering horizontal forces alone.

518

519 When comparing the range of measurements taken from each surface it was also apparent 520 that greater variability was consistently found on the non-waxed surface. Wax is reported to 521 improve surface consistency by reducing the effects of moisture (Bridge, Peterson, 522 McIlwaith, 2012), which was relatively high in the non-waxed surface on the test date. In 523 addition, the fibre type and distribution through the surface may have influenced variability,

- 524 as longer fibres were evident in the non-waxed surface.
- 525

526 Using the GWTT it was also possible to calculate the coefficient of sliding friction, which

527 was found to be  $0.40 \pm 0.06$  on the waxed surface and  $0.37 \pm 0.11$  on a non-waxed surface.

528 These values are lower than the values of 0.585 to 0.741 reported when dragging cadaver

- 529 hooves across a dirt track (Clanton et al., 1991), and lower than for human sporting activities
- (Shorten, Hudson, Himmelsbach, 2003). In the study by Clanton et al. (1991) the build up of

531 material in front of the hooves may have artificially increased the shear resistance. The 532 requirement for slide in equine activities is expected to be greater than in human activities. 533 Concrete, asphalt and rubber surfaces (smooth and patterned) have been shown to have a 534 static coefficient greater than 0.7, which limits the potential for hoof slip (McClinchey et al. 535 2004). Gustås et al. (2006) suggested that a high amount of friction during the hoof-surface 536 interaction increases vibration transients, resulting in mechanical stress to the structures of 537 the distal limb and possible injury, thus implying that a surface with a lower coefficient of 538 friction would be more favourable. Harder equine surfaces are commonly reported to have increased grip, which does not support the  $GRFV_{max}$  results compared to the results from the 539 GWTT. However, the  $GRFV_{max}$  values are most likely to have been lower on the waxed 540 541 surface because the inclusion of a permavoid system in the sub-base has previously been 542 shown to significantly reduce peak vertical force using the OBST (Holt et al., 2014). This 543 highlights the need to use the OBST for measurement of the complete surface *in-situ*, as 544 many of the current measurement equipments are not capable of detecting differences below 545 the surface that the horse will experience.

546

# 547 5 Conclusion

548 In this study the GWTT was shown to be the only equipment tested capable of identifying 549 and measuring the difference in shear resistance of a waxed surface compared with a non-550 waxed surface. This is an important consideration in equestrian sports for facilitating optimal 551 performance without compromising safety. Since the characteristics and performance of 552 arena surfaces are complex, it may be necessary to use more than one piece of equipment to 553 adequately characterise all the important functional properties. Based on the results presented 554 here data from the torque tester did not add significantly to the information provided by the 555 OBST and the GWTT. Future studies should carry out a more extensive evaluation of the functional properties measured by the OBST and the GWTT to explore the complex 556 557 relationship between linear and rotational shear resistance under different loading conditions. 558

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564

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743	Figure Contions
744 745	Figure Captions
746	Fig. 1: Photograph of: a) the Glen Withy torque tester (GWTT), b) the Orono
747	biomechanical surface tester (OBST) and c) the traction tester.
748	
749	Fig.2: Typical rotational (R) and longitudinal (L) motions of the hoof during the hoof-
750	surface interaction viewed laterally a) footstrike, b) secondary impact, c) breakover
751	where pitch rotation and longitudinal sliding occurs. Frontal and solar views of d) roll
752	rotation, and e) yaw rotation which is most likely to occur during turning and are
753	usually accompanied by longitudinal and/or medio-lateral sliding. The ${f GWTT}$
754	replicates motion and torque as shown in e).
755	
756	Fig. 3: Plan of the data collection area used to test each of the two surfaces. Each mass
757	and each turn angle were tested at one of the locations. The order was randomised. The
758	testing areas were marked using flags and were 3 m $\times$ 4 m in size.
759	
760	Fig. 4: A typical graph from the GWTT. The graph illustrates signals for $GRF_{mean}$ (the
761	average of vertical GRF values over the time illustrated (kN)), $D_{max} \ge 10 (mm)$ , $T_{max}$
762	(Nm).
763	
764	Fig. 5: Results of laboratory sliding friction tests showing the coefficient of friction value
765	for the shoe on black mild steel intersection of the x-axis at 0.196±0.06 (mean±C.I.).

- 766Fig. 6: Bland and Altman (1999) plot of the limits of agreement between  $GRF_{mean}$  from767the GWTT and the load applied ((machine mass + applied mass) x gravity) (N), a) 16 +7680 kg applied load, b) 16 + 30 applied load, c) 16 + 100 kg applied load. The dotted769horizontal lines show the limits of agreement at 1 standard deviation from the mean.770Data points outside of the dotted lines may be considered as inconsistencies in vertical
- 771 loading of the GWTT.
- 772
- Fig. 7: Measured differences between surfaces for the GWTT: T<sub>max</sub> (Nm), D<sub>max</sub> x 10
- 774 (mm); the OBST: *Slip* (mm), *GRFH<sub>max</sub>* (kN), *GRFV<sub>max</sub>* (kN); and the traction tester:
- 775  $T_{maxTT}$  (Nm). Error bars represent the standard deviation. \* Significant differences
- 776 (*P*<.05) were found for  $T_{max}$  and  $D_{max}$  and  $GRFV_{max}$ .
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