

1 **What you see is what you step: The horizontal-vertical illusion increases toe**  
2 **clearance in older adults during stair ascent**

3

4 Richard J. Foster<sup>1</sup>, David J. Whitaker<sup>1</sup>, Andy Scally<sup>2</sup>, John G.Buckley<sup>3</sup>, David B.  
5 Elliott<sup>1</sup>

6 <sup>1</sup>Bradford School of Optometry and Vision Science, University of Bradford, Bradford,  
7 UK

8 <sup>2</sup>School of Health Studies, University of Bradford, Bradford, UK

9 <sup>3</sup>Division of Medical Engineering, School of Engineering, University of Bradford,  
10 Bradford, UK

11 Address correspondence to; David B. Elliott, PhD, Bradford School of Optometry and  
12 Vision Science, University of Bradford, Bradford, UK

13 Tel: +44 (0) 1274-235224, email: D.Elliott1@bradford.ac.uk

14

15 **Running title:** Stair ascent safety in older adults

16

17 **Funding**

18 This work was supported by the National Institute for Health Research Public Health  
19 Research Programme (project number 10/3009/06). The views and opinions  
20 expressed therein are those of the authors and do not necessarily reflect those of the  
21 NIHR PHR programme or the Department of Health.

22 **Abstract**

23 **PURPOSE**

24 Falls on stairs are a significant cause of morbidity and mortality in elderly people. A  
25 simple safety strategy to avoid tripping on stairs is increasing foot clearance. We  
26 determined whether a horizontal-vertical illusion superimposed onto stairs to create  
27 an illusory perceived increase in stair-riser height would increase stair ascent foot  
28 clearance in older participants.

29 **METHODS**

30 Preliminary experiments determined the optimum parameters for the horizontal-  
31 vertical illusion. Fourteen older adults (mean age  $\pm 1$ SD,  $68.5 \pm 7.4$  years) ascended a  
32 3-step staircase with the optimised version of the horizontal-vertical illusion (spatial  
33 frequency: 12 cycles per stair-riser) positioned either on the bottom or top stair only,  
34 or on the bottom and top stair simultaneously. These were compared to a control  
35 condition which had a plain stair-riser with edge highlighters positioned flush with  
36 each stair tread edge. Foot clearance and measures of postural stability were  
37 compared across conditions.

38 **RESULTS**

39 The optimised illusion on the bottom and top stair led to a significant increase in foot  
40 clearance over the respective stair edge, compared to the control condition. There  
41 were no significant decreases in postural stability.

42 **CONCLUSIONS**

43 An optimised horizontal-vertical visual illusion led to significant increases in foot  
44 clearance in older adults when ascending a staircase, but the effects did not  
45 destabilise their postural stability. Inclusion of the horizontal-vertical illusion on raised

46 surfaces (e.g. kerbs) or the bottom and top stairs of staircases could improve stair  
47 ascent safety in older adults.

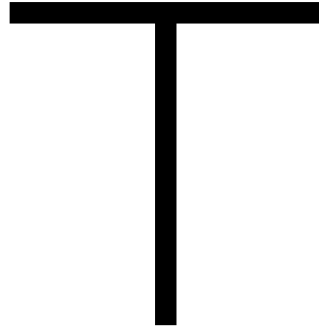
48

49 **Key words: falls, stair safety, horizontal-vertical illusion, stair ascent, tread-**  
50 **edge highlighter**

## 51 **Introduction**

52 Falls when walking over surface level changes or stairs are a major cause of  
53 morbidity and mortality in elderly people <sup>1-3</sup>. Vision has been shown to be very  
54 important for safe negotiation of surface level changes and stairs <sup>1,2,4</sup>, with visual  
55 impairment making it difficult to determine the exact position of tread edges <sup>5-8</sup>.  
56 Previous studies have shown that increasing foot clearance is a common  
57 compensatory strategy which may reduce the risk of falling when stepping onto a  
58 raised surface or over an obstacle for participants with (real and/or simulated)  
59 impaired vision <sup>5,7,9</sup>, reduced visual field <sup>10,11</sup>, reduced illumination <sup>12</sup>, under dual task  
60 conditions <sup>13</sup> or when descending a raised surface/staircase under conditions of  
61 reduced vision <sup>6,14</sup>.

62 The present study determined whether increased foot clearance could be induced by  
63 changing the appearance, rather than the physical height, of a raised surface and/or  
64 stairs of a staircase. In a pilot study conducted on 21 young adults (mean age 28.2 ±  
65 8 years) we found that superimposing high-contrast (black and white) vertical and  
66 horizontal sine-wave gratings onto the stair-riser and stair-tread respectively of a  
67 wooden block led to an increase in perceived height of the block, resulting in an  
68 increase in foot elevation and foot clearance over the block edge in young  
69 participants <sup>15</sup>. This arrangement of gratings created a bespoke version of the  
70 horizontal-vertical (HV) illusion (the simplest version of the illusion is a letter T with  
71 horizontal and vertical limbs of the same length; see figure 1); the vertical limb will be  
72 perceived as 15-20% longer <sup>16</sup>). However, the study reported a relatively small  
73 increase in foot clearance of 0.5cm, which may have been due to the rather complex  
74 HV illusion used <sup>15</sup>.



75

76 Figure 1. An example of the simplest version of the horizontal-vertical illusion. Note that  
77 both the horizontal and vertical lines that make the letter 'T' are identical in length, yet the  
78 vertical line appears longer.

79 To determine the potential efficacy of using the HV illusion on public raised walkways  
80 and staircases, the present study focussed on determining the optimum parameters  
81 for increasing foot clearance in older adults when ascending a raised surface or 3-  
82 step staircase, without compromising their balance. The aims of the present study  
83 were: i) to determine the optimum spatial frequency of a simple square-wave grating  
84 version of the HV illusion for increasing toe clearance when walking onto a raised  
85 surface (comparable to a kerb; Experiment 1); ii) to determine whether the optimised  
86 HV illusion should be placed on the bottom, top or both bottom and top stair of a 3-  
87 step staircase (Experiment 2); and iii) to determine whether any increased foot  
88 clearance due to the HV illusion caused postural instability (perhaps by the potential  
89 mismatch between the height of the stair-riser suggested by the visual system  
90 versus the actual height of the stair-riser indicated by the somatosensory system  
91 when the leading foot lands on the stair tread; Experiment 2). These experiments  
92 were carried out on older adults (60 years and above) to establish whether the HV  
93 illusion could improve safety in this age group when ascending raised  
94 surfaces/staircases.

95

96 **Method**

97 **Participants**

98 Group average ( $\pm 1$  SD) characteristics of the older adults participating in each  
99 experiment are provided in table 1. Participants were excluded from taking part if  
100 they had any neurological, musculoskeletal, cardiovascular or vestibular disorders,  
101 any significant vision impairments, or a previous history of falling. All participants had  
102 a binocular visual acuity better than 0.10 logMAR (Snellen 20/25). The tenets of the  
103 Declaration of Helsinki were observed, both experiments received institutional ethical  
104 approval, and all participants provided informed written consent prior to taking part in  
105 the experiments.

Table 1. Group average characteristics of participants taking part in each experiment (mean  $\pm 1$  SD)

	Experiment 1	Experiment 2
Number of participants	11 (3 female)	14 (9 female)
Age (years)	69.8 $\pm$ 7.3	68.5 $\pm$ 7.4
Height (m)	1.73 $\pm$ 0.1	1.66 $\pm$ 0.09
Mass (kg)	81.3 $\pm$ 17.4	68.8 $\pm$ 14.3
Binocular VA (logMAR)	-0.07 $\pm$ 0.08	-0.08 $\pm$ 0.07
Contrast Sensitivity (log CS)	1.85 $\pm$ 0.14	1.84 $\pm$ 0.13

106 NB. Eight of the participants from experiment 1 also took part in experiment 2, and  
107 there was at least a 3-month period between measurements.

108

109 **Stair design and apparatus**

110 *Experiment 1*

111 Participants ascended a custom-built raised surface, which was 1m wide, 16.5cm  
112 high, and consisted of a raised surface measuring 2m long. The raised surface  
113 represented a surface level change typically encountered during activities of daily  
114 living, such as ascending a curb or public transport, and was painted a uniform grey  
115 colour. Crash mats were placed on both the left and right sides of the raised surface  
116 in case of a trip or fall; though no trips or falls occurred during the experiment.

117 *Experiment 2*

118 Participants ascended a 3-step staircase (henceforth referred to as 'stair ascent'),  
119 custom built for stair negotiation research within the gait lab environment<sup>8</sup>, which  
120 was painted a uniform grey colour. A handrail was positioned on the left side of the  
121 staircase (as viewed during ascent), and crash mats were placed on the right side in  
122 case of a trip or fall. No trips or falls occurred during the experiment and none of the  
123 participants used the handrail at any time during the trials.

124 *Preliminary psychophysical assessments:*

125 Given that our previous study by Elliott et al <sup>15</sup> along with previous walking and  
126 stepping studies <sup>17,18</sup> have provided evidence of an association between perception  
127 and action, a number of psychophysical assessments (see supplementary material)  
128 were completed which aimed to determine the following; A) the optimum spatial  
129 frequency of black and white square wave gratings on the stair-riser, and B) the  
130 location and thickness of a high-contrast horizontal black strip positioned on the stair  
131 tread-edge in combination with the black and white square wave gratings on the  
132 stair-riser. The results of the assessments were used to set the parameters of the  
133 HV illusion to be superimposed on to the raised surface in experiment 1 and stair-  
134 risers in experiment 2. Schematic representations of a 3-step staircase were

135 presented on a Macintosh Cinema Display and standard psychophysical forced-  
136 choice methods allowed us to evaluate the perceived height of the bottom stair-riser  
137 for a variety of parameters for the horizontal-vertical illusions used subsequently. All  
138 observers in 'Assessment A' displayed significant overestimations of the true height  
139 of the stair-riser for the five differing square wave spatial frequency versions (4, 8,  
140 12, 16 and 20 cycles per stair-riser) of the black and white grating, and the  
141 magnitude of the overestimation increased with increasing spatial frequency for all  
142 but one observer. 'Assessment B' demonstrated that observers overestimated stair-  
143 riser height by up to 20% when a high-contrast horizontal black strip was placed  
144 flush with the stair-tread edge to complete the HV illusion, in comparison to having  
145 no black strip present or present but placed away (gap equivalent to strip thickness)  
146 from the stair-tread edge.

147

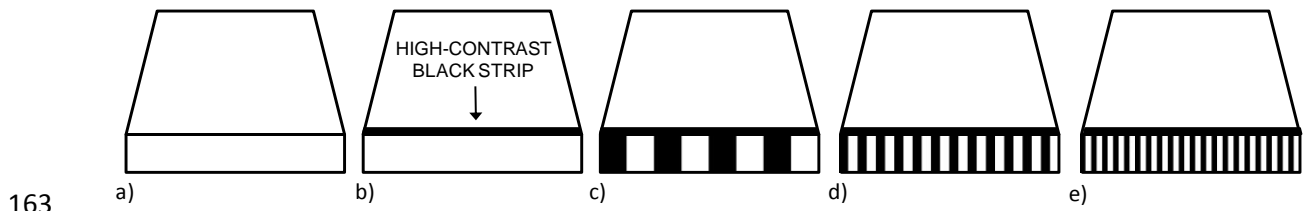
## 148 Gait assessments

### 149 *Experiment 1: negotiation of raised surface*

150 Five visual illusion conditions were superimposed on the riser of a raised surface  
151 (see Figure 2a-e): 1) No illusion on the raised surface riser (RS-riser) and no tread-  
152 edge highlighter (plain); 2) A 5.5cm wide high-contrast black strip placed flush with  
153 the leading edge of the tread (abutting)<sup>8</sup>; the edge-highlighter was also present for  
154 the following conditions which all had a vertical black and white square wave  
155 gratings placed on the RS-riser, with a spatial frequency of either; 3) 4 cycles per  
156 RS-riser (SF4); 4) 12 cycles per RS-riser (SF12); or 5) 20 cycles per RS-riser  
157 (SF20). This range of spatial frequencies was used given that the initial  
158 psychophysical assessment had determined all spatial frequencies resulted in a  
159 perceived increase in stair-riser height [see supplementary material; Assessment A].



160 NB, the 5.5cm wide high-contrast black strip placed flush with the leading edge of  
161 the tread (see supplementary material; Assessment B) was necessary (in conditions  
162 3 to 5) to complete the HV illusion.



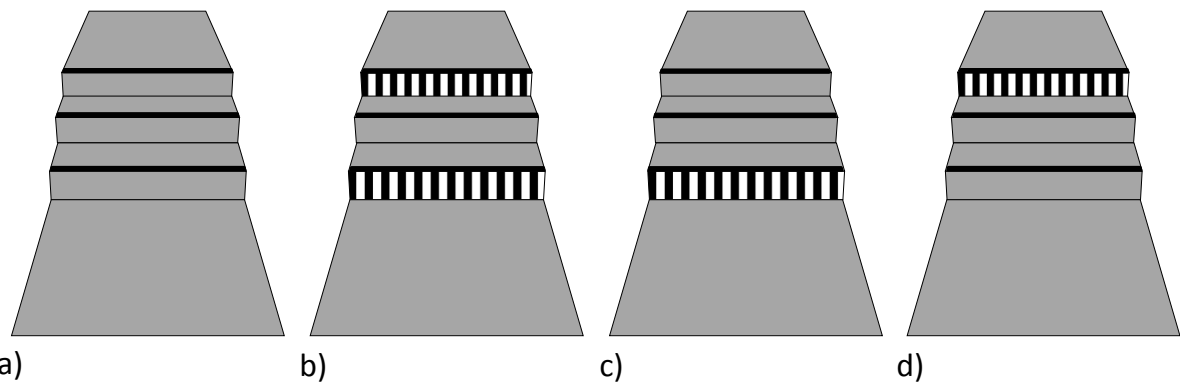
164 Figure 2. The RS-riser conditions presented during experiment 1. The HV illusions were  
165 compared to a plain RS-riser (a) and a plain RS-riser with a 5.5 cm wide high-contrast black  
166 strip placed flush with the leading tread edge (b, abutting). The three sets of gratings placed  
167 on the RS-riser as part of the HV illusion had a spatial frequency of 4 (c), 12 (d) or 20 (e)  
168 cycles per RS-riser. They were all accompanied by a 5.5 cm, horizontal, high-contrast, black  
169 strip along the tread edge that completed the HV illusion.

170

### 171 *Experiment 2: Stair ascent*

172 Participants completed repeated trials ascending the stairs with an optimised version  
173 of the HV illusion, determined in experiment 1 to be vertical black and white stripes  
174 with a spatial frequency of 12 cycles per stair-riser, and accompanied by a 5.5cm  
175 wide high-contrast black strip placed flush with the leading edge of the tread. This  
176 was used in three separate arrangements (see figure 3): 1) HV illusion on the bottom  
177 stair only; 2) HV illusion on the top stair only; and 3) HV illusion placed on both the  
178 bottom and top stair simultaneously. A higher incidence of falls on stairs occur on the  
179 bottom stair during the transition from overground walking to stair negotiation, or on  
180 the top stair during the transition from stair negotiation to overground walking<sup>1,2</sup>.  
181 Thus placing the illusions on the bottom only, top only, and bottom and top together

182 provided evidence of whether the HV illusions lead to changes in gait prior to or after  
183 the illusion. Due to a greater dependency on somatosensory feedback and less  
184 reliance on vision during mid-stair negotiation<sup>19,20</sup>, the HV illusion was not placed on  
185 the middle stair. A fourth arrangement (control condition) had the vertical stripes of  
186 the HV illusion removed from all stair-risers, leaving only the 5.5cm wide high-  
187 contrast black strip placed flush with the leading edge of the tread for each stair.  
188 Such tread-edge highlighters are commonly used to aid stair descent safety<sup>8</sup> (figure  
189 3a).



190  
191 Figure 3. The four staircase appearances presented to participants in Experiment 2: (a) a 5.5  
192 cm wide high-contrast black strip was placed flush with the leading edge of each tread  
193 (control condition); (b) An optimised version of the HV illusion was placed on the bottom  
194 and top stair simultaneously; (c) on the bottom stair only; or (d) on the top stair only.

195

### 196 Protocol

197 In experiment 1 (negotiation of raised surface) and experiment 2 (stair ascent)  
198 participants completed three repetitions of each condition. All stair condition  
199 repetitions in each experiment were presented in a random order. Starting from a  
200 standing position approximately two and half walking steps away from the leading

201 edge of the raised surface or bottom stair of the staircase, participants walked up to  
202 and ascended the raised surface or staircase using a 'step-over-step' gait (i.e.  
203 alternative lead-limb on each stair) and were instructed to come to a halt at the top of  
204 the raised surface or staircase. Participants led with the same self-selected lead limb  
205 to begin each trial, and were instructed to use their vision to help ascend the raised  
206 surface or staircase. Several strategies were used to counter participants using  
207 somatosensory feedback regarding raised surface/stair riser height and tread-edge  
208 position that can be gained when completing the repetitive trials that are needed to  
209 allow comparison of conditions in experiments. The strategies involved; 1) varying  
210 start position for each trial by  $\pm 5\text{cm}$  (in randomised order)<sup>8,20</sup>; 2) implementing  
211 "dummy trials" after every third trial, in which the raised surface riser height or stair  
212 riser height (bottom or middle riser) was altered by  $+1\text{cm}$ <sup>8,20,21</sup>. Data were not  
213 collected during dummy trials; and 3) ensuring participants descended the staircase  
214 to return to the ground from the top landing, using custom-built 'stepping stones'<sup>8</sup>  
215 positioned to the right of the staircase, the height of which varied between trials.  
216 Participants were informed throughout the protocol that the height and appearance  
217 of the raised surface/staircase would vary between some trials.

218 A 10-camera motion capture system (Vicon MX, Oxford Metrics, UK) was used to  
219 capture whole-body kinematic data at 100 Hz. Participants wore  
220 sensible/comfortable flat shoes and clothing, and used their habitual vision correction  
221 throughout each experiment. Reflective markers (1.4cm diameter) were placed  
222 directly onto the skin, clothing, or shoes in accordance with the lower body and  
223 thorax segments that are defined in Vicon's 'plug-in-gait' full-body marker set<sup>22</sup>.  
224 Additional markers were placed on the left and right greater trochanter, second  
225 metatarsal head and distal phalange of the second toe, and a cluster of four markers

226 were placed on the sacrum. A digitizing wand (C Motion, Germantown, MD, USA)  
227 determined virtual landmarks at the anterior-inferior point of each shoe (shoe-tip),  
228 and the tread edge of the raised surface (experiment 1) or bottom, middle and top  
229 stair tread edge (experiment 2).

230

### 231 Data Analysis

232 Marker trajectories were labelled and gap filled within Vicon Nexus (Vicon, Oxford  
233 Metrics, UK) and the resultant C3D files were uploaded to Visual 3D (C-Motion,  
234 USA) for further analysis. Marker trajectories were smoothed with a 2-pole 6 Hz  
235 Butterworth low-pass digital filter using 2 passes. Existing kinematic event detection  
236 algorithms for stair ascent were used to determine instants of touch-down and foot-  
237 off during ascent of the raised surface or staircase<sup>23</sup>

238 The following dependent variables were then determined in Visual 3D (see Figure 4):

239 *Penultimate foot placement:* the horizontal distance between the shoe-tip and edge  
240 of the raised surface (experiment 1, figure 4a)/bottom stair (experiment 2, figure 4b)  
241 for the penultimate foot placement before the raised surface edge or edge of the  
242 bottom riser of the staircase, and determined when the foot was motionless on the  
243 ground.

244 *Final foot placement:* the horizontal distance between the shoe tip and edge of the  
245 raised surface/bottom stair for the final foot placement before the raised surface  
246 edge or edge of the bottom riser of the staircase, and determined when the foot was  
247 motionless on the ground (Figure 4a-b).

248 *Vertical toe clearance:* the vertical distance between the leading-limb shoe-tip and  
249 edge of the raised surface or bottom, middle and top stair as the limb passed over  
250 (swing phase) the edge of the raised surface or each stair edge of the staircase  
251 (Figure 4a-b).

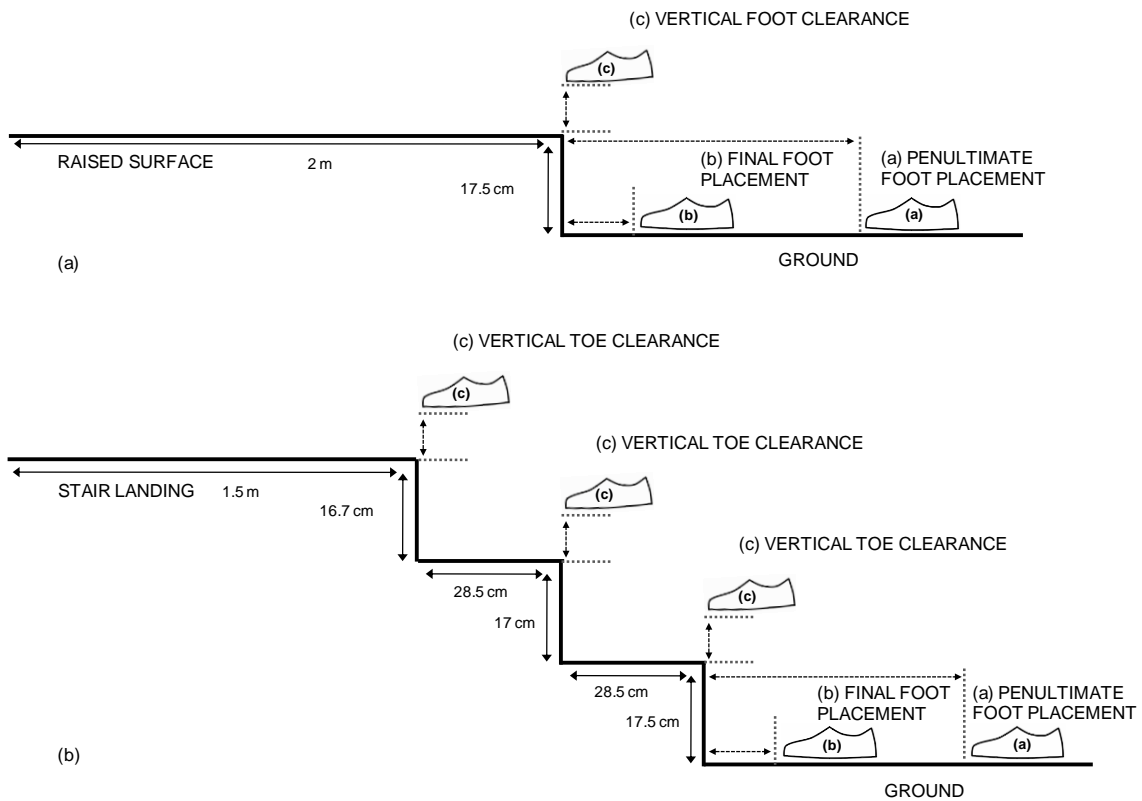
252 The following variables were chosen to determine whether any changes in gait due  
253 to the HV illusion led to increases in instability during stair ascent <sup>19,24</sup>;

254 *Single-limb support:* from the instant of leading-limb foot-off up to touch-down, i.e.  
255 leading-limb foot swing phase prior to touch-down on each stair <sup>23</sup>.

256 *Ascent duration:* from the instant of leading-limb foot-off from the ground to the  
257 instant of leading-limb touch-down on the stair landing <sup>23</sup>.

258 *Medio-lateral foot and trunk variability:* The amount of variation (determined as one  
259 standard deviation) in medio-lateral displacement of the foot or trunk during leading-  
260 limb foot swing phase prior to touch-down on each stair.

261 *Foot and trunk path-length:* the cumulative medio-lateral displacement of the foot or  
262 trunk during leading-limb foot swing phase prior to touch-down on each stair.



263

264 Figure 4. Schematic illustrating how foot placement and clearance parameters were  
 265 determined during (a) negotiation of raised surface (parameters a-c) and (b) stair ascent  
 266 (parameters a-c).

267

268 Statistical analysis

269 Data from experiment 1 were analysed using 2-way repeated measure analysis of  
 270 variance (ANOVA, Statsoft, Statistica, USA) with illusion condition/configuration  
 271 (plain, abutting, SF4, SF12, SF20) and repetition (repetition 1, 2, 3) as repeated  
 272 factors. Post-hoc analyses were carried out using Tukey's HSD test and the level of  
 273 significance was set at  $p < 0.05$ . There were no interactions between illusion condition  
 274 and repetition in experiment 1.

275 Data from experiment 2 were analysed using a random effects regression model with  
 276 Maximum Likelihood estimator, using Stata Release 13.0 (Stat Corp., College

277 Station, USA). All categorical variables in the model were treated as nominal data.  
278 Due to the exploratory nature of the study, a 'type I' error adjustment of the alpha  
279 level was not deemed necessary and the level of significance was set at  $p < 0.05$ .  
280 Factors of interest were incorporated sequentially and their statistical significance  
281 was tested using a likelihood ratio test. Factors with a p-value less than 0.1 were  
282 provisionally retained, whereas those above 0.1 were dropped. The final model  
283 adopted was the most parsimonious one that was felt to adequately explain the data.  
284 The p-values quoted in the text of the paper are those associated with the specific  
285 terms (using Likelihood Ratio chi-squared values,  $LR\chi^2$  or the Wald z-score) and  
286 interactions between the specific terms, in the final regression model, which were:

- 287 1. Staircase appearance: fixed factor with 4 levels: plain (the control condition),  
288 and the HV illusion placed in following configurations: on the top and bottom  
289 stair simultaneously, bottom stair, or top stair only.
- 290 2. Stair number: fixed factor with 3 levels, (bottom, middle and top stair)
- 291 3. Repetition: fixed factor with 3 levels, (trials one, two and three).

292

## 293 **Results - Gait assessments**

294 The mean ( $\pm 1$  SD) kinematic and temporal measures for each stair condition during  
295 negotiation of a raised surface (Experiment 1) or during stair ascent (Experiment 2)  
296 are provided in table 2 and table 3 respectively.

### 297 Experiment 1: Negotiation of raised surface

298 There were no significant effects of trial repetition across all dependent variables  
299 ( $p > 0.05$ ). The HV illusion had no significant effect on penultimate ( $p = 0.083$ ) or final  
300 foot placement ( $p = 0.40$ ). The HV illusion had a significant effect on VTC  
301 ( $F(4,40) = 13.74$ ,  $p < 0.001$ ; see Table 2). VTC was significantly higher over the surface

302 edge for each HV illusion (SF4, SF12 and SF20) compared to plain ( $p < 0.001$ ) or  
303 abutting ( $p \leq 0.004$ ). No significant differences in VTC were found between the three  
304 HV illusion conditions ( $p \geq 0.64$ ), or between plain and abutting conditions ( $p = 0.98$ ).  
305 Between-subject variability was reduced for SF12 ( $SD = \pm 1.9\text{cm}$ ) compared to SF4  
306 ( $SD = \pm 2.5\text{cm}$ ) and SF20 ( $SD = \pm 2.4\text{cm}$ ).

### 307 Experiment 2: Stair ascent

308 VTC data for each staircase appearance are shown in Figure 5 and Table 3. VTC  
309 was affected by staircase appearance, but only over the bottom ( $LR\chi^2 = 53.6$ ,  $df=3$ ,  
310  $p < 0.0001$ ) and top stairs ( $LR\chi^2 = 41.0$ ,  $df=3$ ,  $p < 0.0001$ ) and not over the middle stair  
311 ( $LR\chi^2 = 1.4$ ,  $df=3$ ,  $p = 0.71$ ). When going over the bottom stair, VTC increased when  
312 the illusion was placed on the bottom stair only ( $z = 4.2$ ,  $p < 0.0001$ ) or when placed on  
313 both the top and bottom stair ( $z = 4.9$ ,  $p < 0.0001$ ), but was similar to the control  
314 (showing a trend to be slightly reduced;  $z = -1.9$ ,  $p = 0.063$ ) when on the top stair only.  
315 When going over the top stair, VTC increased when the illusion was placed on the  
316 top stair only ( $z = 5.3$ ,  $p < 0.0001$ ) or when placed on both the top and bottom stair  
317 ( $z = 4.2$ ,  $p < 0.0001$ ), but was similar to the control ( $z = -0.1$ ,  $p = 0.92$ ) when on the bottom  
318 stair only.

319 The most parsimonious model for VTC ( $LR\chi^2 = 313.8$ ,  $df=17$ ,  $p < 0.0001$ ) indicated  
320 significant effects of staircase appearance, stair number, and repetition, with  
321 significant interaction terms of stair number\*staircase appearance and stair  
322 number\*repetition (Table 4). There was no significant staircase appearance\*  
323 repetition effect ( $LR\chi^2 = 2.1$ ,  $df=6$ ,  $p = 0.91$ ). VTC was significantly reduced on the  
324 middle (by on average 1.75cm, SE 0.27cm;  $z = -6.4$ ,  $p < 0.001$ ) and top stairs (by on  
325 average 1.64cm, SE 0.27cm;  $z = -6.0$ ,  $p < 0.0001$ ) compared to the bottom stair across  
326 all conditions (see Table 4).



327 Penultimate and final foot placements were unaffected by staircase appearance or  
328 repetition ( $df=5$ ,  $LR\chi^2 =3.1$ ,  $p=0.68$ ;  $LR\chi^2 =3.9$ ,  $p=0.56$ ). All measures of postural  
329 stability/control did not change with staircase appearance. Single limb support ( $LR\chi^2$   
330  $=4.0$ ,  $df=3$ ,  $p=0.26$ ), ascent duration ( $LR\chi^2 =5.3$ ,  $df=3$ ,  $p=0.15$ ), medio-lateral foot  
331 variability ( $LR\chi^2 =2.7$ ,  $df=3$ ,  $p=0.44$ ), medio-lateral trunk variability ( $LR\chi^2 =0.7$ ,  $df=3$ ,  
332  $p=0.86$ ), foot path-length ( $LR\chi^2 =2.9$ ,  $df=3$ ,  $p=0.41$ ) and trunk path-length ( $LR\chi^2 =2.2$ ,  
333  $df=3$ ,  $p=0.53$ ) were unaffected by changes in staircase appearance (Table 3). The  
334 variability of VTC is shown in Figure 5. Inspection of the boxplot suggests there was  
335 no systematic difference in variation across staircase appearance or stair number.  
336 Similarly, inspection of the boxplots for penultimate foot position, final foot position,  
337 single limb support, ascent duration, medio-lateral foot or trunk variability, and foot or  
338 trunk path-length all showed no systematic difference in variation across staircase  
339 appearance or stair number.

Table 2. Foot placement and clearance during negotiation of raised surface: effects of manipulating the spatial frequency of the horizontal-vertical illusion (Experiment 1).

	Mean ( $\pm$ 1 SD)				
	Plain	Abutting	Spatial frequency 4	Spatial frequency 12	Spatial frequency 20
<b>Penultimate foot placement (cm)</b>	81.4 $\pm$ 15.1	82.8 $\pm$ 14.7	81.8 $\pm$ 13.1	82.0 $\pm$ 14.3	84.4 $\pm$ 16.0
<b>Final foot placement (cm)</b>	24.2 $\pm$ 6.1	24.9 $\pm$ 6.8	24.5 $\pm$ 5.5	24.4 $\pm$ 5.9	25.8 $\pm$ 7.1
<b>Vertical toe clearance (cm)</b>	6.9 $\pm$ 2.0	7.1 $\pm$ 2.0	8.5 $\pm$ 2.5*	8.5 $\pm$ 1.9*	8.9 $\pm$ 2.4*

\*denotes a significant difference ( $p < 0.05$ ) between spatial frequency and plain/abutting conditions.

340

341

342

Table 3. Gait parameters during stair ascent: effects of which stair-riser(s) the horizontal-vertical illusion was presented on (Experiment 2).

	Mean ( $\pm$ 1 SD)			
	Control (i.e. abutting)	Bottom & Top	Bottom	Top
<b>Foot placement:</b>				
Penultimate (cm)	73.4 $\pm$ 12.8	73.1 $\pm$ 11.3	73.2 $\pm$ 12.6	73.7 $\pm$ 11.6
Final (cm)	22.3 $\pm$ 5.2	22.0 $\pm$ 5.2	22.0 $\pm$ 5.3	21.8 $\pm$ 4.5
<b>Vertical toe clearance:</b>				
Bottom (cm)	6.3 $\pm$ 2.1	7.5 $\pm$ 1.9*	7.3 $\pm$ 1.6*	5.8 $\pm$ 1.9
Middle (cm)	5.2 $\pm$ 1.4	5.0 $\pm$ 1.4	5.0 $\pm$ 1.3	5.0 $\pm$ 1.4
Top (cm)	5.3 $\pm$ 2.0	6.1 $\pm$ 1.9*	5.3 $\pm$ 1.9	6.3 $\pm$ 1.9*
<b>Ascent duration (s)</b>	2.01 $\pm$ 0.29	2.05 $\pm$ 0.29	2.06 $\pm$ 0.29	2.05 $\pm$ 0.30
<b>Single-limb support:</b>				
Ground (s)	0.46 $\pm$ 0.05	0.48 $\pm$ 0.05	0.48 $\pm$ 0.06	0.46 $\pm$ 0.06
Bottom (s)	0.48 $\pm$ 0.07	0.49 $\pm$ 0.06	0.49 $\pm$ 0.06	0.49 $\pm$ 0.08
Middle (s)	0.53 $\pm$ 0.06	0.53 $\pm$ 0.05	0.52 $\pm$ 0.05	0.54 $\pm$ 0.07
<b>Medio-lateral foot variability:</b>				
Bottom (cm)	0.9 $\pm$ 0.4	1.1 $\pm$ 0.4	1.1 $\pm$ 0.4	1.1 $\pm$ 0.4
Middle (cm)	1.2 $\pm$ 0.3	1.1 $\pm$ 0.3	1.1 $\pm$ 0.3	1.3 $\pm$ 0.5

Top (cm)	1.1 ± 0.4	1.0 ± 0.3	1.1 ± 0.5	1.2 ± 0.6
----------	-----------	-----------	-----------	-----------

---

**Medio-lateral trunk variability:**

Bottom (cm)	0.6 ± 0.3	0.6 ± 0.2	0.7 ± 0.3	0.6 ± 0.3
-------------	-----------	-----------	-----------	-----------

Middle (cm)	0.6 ± 0.2	0.7 ± 0.3	0.6 ± 0.3	0.6 ± 0.3
-------------	-----------	-----------	-----------	-----------

Top (cm)	0.8 ± 0.2	0.7 ± 0.3	0.7 ± 0.2	0.7 ± 0.3
----------	-----------	-----------	-----------	-----------

---

**Foot path-length:**

Bottom (cm)	6.7 ± 2.1	7.5 ± 2.9	8.0 ± 3.0	7.2 ± 2.2
-------------	-----------	-----------	-----------	-----------

Middle (cm)	8.3 ± 2.1	7.8 ± 1.9	8.4 ± 2.1	8.5 ± 2.8
-------------	-----------	-----------	-----------	-----------

Top (cm)	8.6 ± 3.1	7.5 ± 2.3	8.4 ± 3.7	8.5 ± 3.6
----------	-----------	-----------	-----------	-----------

---

**Trunk path-length:**

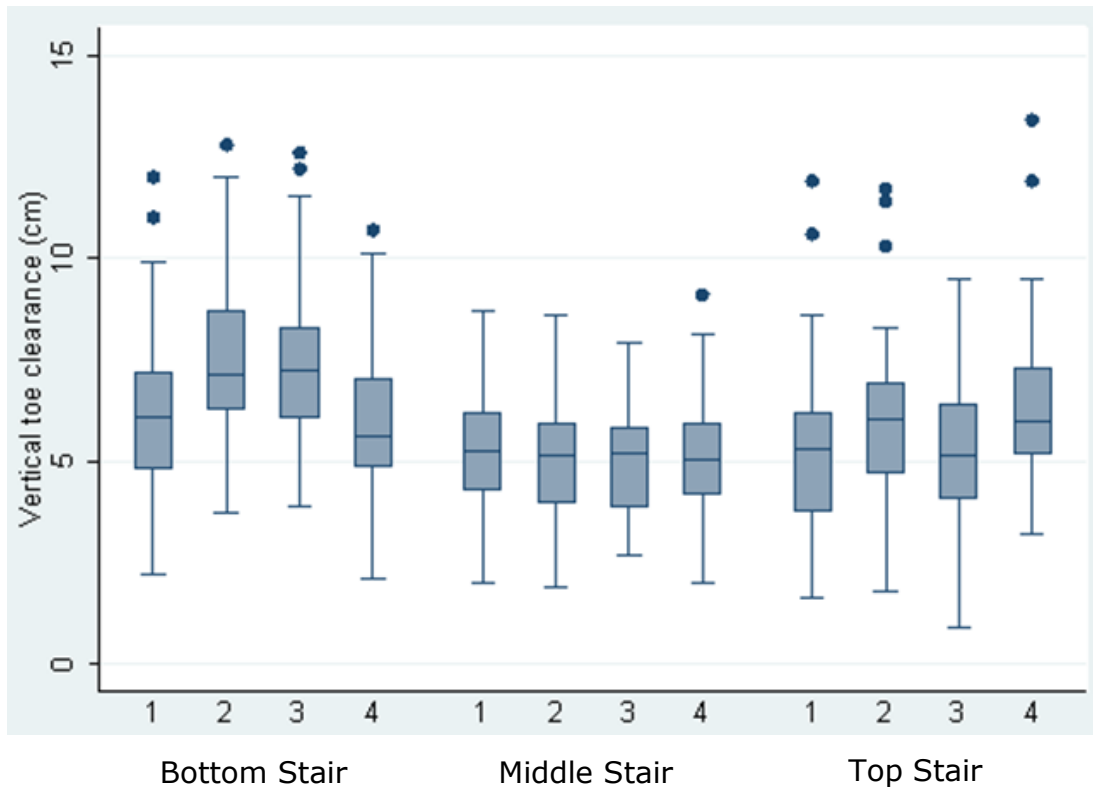
Bottom (cm)	4.8 ± 2.3	5.0 ± 2.2	5.0 ± 2.1	4.8 ± 2.3
-------------	-----------	-----------	-----------	-----------

Middle (cm)	4.9 ± 2.0	5.1 ± 2.3	4.8 ± 2.2	5.1 ± 2.3
-------------	-----------	-----------	-----------	-----------

Top (cm)	6.2 ± 1.9	6.0 ± 2.2	5.5 ± 1.6	6.0 ± 2.1
----------	-----------	-----------	-----------	-----------

---

\*denotes a significant difference ( $p < 0.05$ ) between the HV illusion stair arrangement and the control condition.



344

345 Figure 5. Box and whisker plot of vertical toe clearance data for each staircase appearance  
 346 condition and for each stair (bottom, middle, top). Key: '1', Control condition with horizontal  
 347 high-contrast edge highlighter on tread-edge only; '2', illusion on top and bottom stairs; '3',  
 348 illusion on bottom stair only; '4', illusion on top stair only.

349

350

Table 4. Output from the random effects regression model with maximum likelihood estimator for the analysis of VTC.

						Obs per group: min = 36
						avg = 36.0
						max = 36
						LR chi2 (17) = 313.8
						Prob > chi2 = 0.00
Log likelihood = -755.0						
<b>vtc_cm</b>	<b>Coef.</b>	<b>std. Err</b>	<b>z</b>	<b>P&gt; z </b>	<b>[95% Conf. Interval]</b>	
_lstairs_2	-1.75	0.27	-6.42	0.000	-2.28	-1.21
_lstairs_3	-1.64	0.27	-6.03	0.000	-2.18	-1.11
_lcondition_2	1.18	0.22	5.31	0.000	0.75	1.62
_lcondition_3	1.01	0.22	4.55	0.000	0.58	1.45
_lcondition_4	-0.45	0.22	-2.01	0.044	-0.88	-0.01
_lstairsxcon_2_2	-1.31	0.31	-4.16	0.000	-1.93	-0.69
_lstairsxcon_2_3	-1.20	0.31	-3.80	0.000	-1.81	-0.58
_lstairsxcon_2_4	0.31	0.31	0.99	0.321	-.3046	0.93
_lstairsxcon_3_2	-0.37	0.31	-1.17	0.244	-0.98	0.25
_lstairsxcon_3_3	-1.03	0.31	-3.28	0.001	-1.65	-0.41
_lstairsxcon_3_4	1.49	0.31	4.72	0.000	0.87	2.10
_lrepetitio_2	-1.15	0.19	-5.99	0.000	-1.53	-0.78
_lrepetitio_3	-1.65	0.19	-8.56	0.000	-2.03	-1.27
_lstepxrep_2_2	0.80	0.27	2.94	0.003	0.27	1.34
_lstepxrep_2_3	1.06	0.27	3.88	0.000	0.52	1.59
_lstepxrep_3_2	0.93	0.27	3.42	0.001	0.40	1.46
_lstepxrep_3_3	1.01	0.27	3.70	0.000	0.48	1.54
_lcons	7.22	0.44	16.53	0.000	6.37	8.08

NB: All conditions were compared to stair 1 (bottom stair) condition 1 (control, i.e. abutting). Stair 2 and stair 3 represent the middle and top stair respectively.

Condition 2, 3 and 4 represent the HV illusion on the bottom and top stairs (2), the bottom stair only (3), and the top stair only (4).

351

352 **Discussion**

353 **Gait assessments**

354 **Experiment 1: Negotiation of raised surface**

355 All three spatial frequencies of the HV illusion resulted in significant increases in VTC

356 compared to when negotiating the raised surface with no illusion positioned on the

357 RS-riser (plain) or when just a high-contrast black edge highlighter was positioned  
358 flush with the edge of the tread (abutting). The stripes would be easily seen by  
359 virtually all older people as the resolution required to see the narrowest stripes (at  
360 20c/RS-riser) from ~2.5 walking steps was ~1.65 logMAR (Snellen 20/900), similar to  
361 the level of visual acuity used by the World Health Organisation's to define legal  
362 blindness (1.40 logMAR, Snellen 20/500). For the spatial frequencies of 4 and 12  
363 cycles per RS-riser, VTC increased by 23% (plain) or 20% (abutting). At the higher  
364 spatial frequency of 20 cycles per RS-riser VTC increased by 29% (plain) or 25%  
365 (abutting). There was minimal difference between each spatial frequency in foot  
366 clearance/placement parameters, suggesting any of the three spatial frequencies  
367 would be suitable for experiment 2. However, we considered that the inter-subject  
368 variability was slightly reduced at a spatial frequency of 12 cycles per RS-riser  
369 ( $\pm 1.9$ cm) in comparison to the lower and higher spatial frequencies ( $\pm 2.5$  cm and  
370  $\pm 2.4$  cm), which infers slightly more consistency in VTC. We therefore chose 12  
371 cycles per stair-riser for the HV illusions used in experiment 2, but suspect that a  
372 spatial frequency of 4 or 20 cycles per stair-riser would likely have a similar impact  
373 on the results of experiment 2.

#### 374 Experiment 2: Stair ascent

375 During stair ascent the positioning of the HV illusion on the bottom or top stair only or  
376 bottom and top stair simultaneously led to significant increases in VTC over the  
377 pertinent stair edge when compared to a black edge highlighter positioned flush with  
378 the edge of the tread (the control condition). The increase in VTC (by approximately  
379 17.5%) with the presence of the HV illusion was similar for the different staircase  
380 appearances and similar in magnitude to the results of experiment 1. Although VTC  
381 increased over the bottom and top stair edge when the illusion was present on the

382 respective stair, VTC over the middle stair edge did not change for each of the  
383 different staircase appearances.

384 Changes to VTC over the stair edges in response to the arrangement of the HV  
385 illusion appear to have not significantly affected other gait parameters. Despite  
386 increases in VTC, single-limb support duration and stair ascent duration were  
387 consistent across all staircase appearance conditions and there were no significant  
388 changes to medio-lateral foot or trunk variability or foot or trunk path-length. This  
389 suggests that the desired increase in VTC over the pertinent stair edge increases the  
390 margin of safety in older adults whilst having no appreciable destabilising effects on  
391 gait.

392 VTC was seen to decrease with repetition and became reduced between the bottom  
393 stair and the middle stair. However, these repetition/learning effects were not  
394 sufficient to cloud the effect of the HV illusion and there were no interaction effects  
395 between staircase appearance and repetition, indicating that the repetition effect had  
396 no bearing on the main outcome measures of the study.

#### 397 Psychophysical assessments

398 The results of both psychophysical assessments (see supplementary material)  
399 carried out prior to commencement of experiments 1 and 2 indicated that; 1)  
400 observers perceived the height of the stair-riser to be greater when the HV illusion  
401 was present, with higher spatial frequencies resulting in higher perceived stair-riser  
402 heights, and 2) a 5.5 cm wide high-contrast black strip placed flush with the leading  
403 edge of the tread in combination with the black and white square wave gratings  
404 placed on the stair-riser produced the largest magnitude of perceived stair-riser  
405 height increase. The actual physical increase in toe clearance by participants in



406 experiments one and two demonstrates that a strong association between action and  
407 perception exists for the HV illusion. It is worth mentioning that the near-perfect  
408 agreement which we found between illusory visual estimates of stair-riser height and  
409 stair ascent behaviour is completely at odds with the traditional view that actions are  
410 immune to perceptual illusions – a view which necessitated the proposition of two  
411 separate visual streams, one dealing with vision-for-action, the other vision-for-  
412 perception<sup>25</sup>. Nevertheless, our findings support an ever-growing body of literature  
413 which is critical of this divergent pathway model<sup>26,27</sup>.

#### 414 General discussion

415 The average increase in VTC across illusion conditions of 1.0 cm represents an  
416 average increase of approximately 17.5% compared to the control conditions (6.3cm,  
417 bottom stair; 5.3 top stair). This increase could be considered relatively small, but  
418 dangerous levels of foot clearance over raised surfaces and stairs have previously  
419 been reported at less than 0.5 cm<sup>8,12</sup>, suggesting that changes to VTC in the present  
420 study are relatively large in comparison. It is difficult to predict or comment on  
421 whether the HV illusion would increase VTC for older adults who are limited by their  
422 range of movement, and this should be considered as a limitation of the current  
423 study. Since there was minimal change in toe clearance when an edge highlighter  
424 was present (control condition) compared to the plain condition this indicates that the  
425 increases in VTC were due to the presence of the HV illusion rather than simply an  
426 increase in stair edge visibility. The design of the HV illusion used in the present  
427 study is multifaceted, being ideal for both stair descent and ascent gait safety. A  
428 high-contrast edge highlighter placed flush with the edge of a raised surface/stair  
429 tread has been shown to lead to safer gait during stair descent<sup>8</sup>, whilst the present  
430 experiments show that a combination of the edge highlighter on the tread coupled

431 with vertical black and white gratings on the raised surface/stair-riser (the HV  
432 illusion) improves toe clearance during ascent.

433 In summary, our results indicate that toe clearance over the raised surface/stair edge  
434 increased due to the presence of a HV illusion on the surface/stair, which could  
435 improve gait safety in older adults. Use of such HV illusions may be particularly  
436 warranted on kerb edges at pedestrian road crossings, on surface level changes  
437 within nursing and/or domestic homes, on the top and bottom stair of staircases  
438 where a history of trips has occurred, or staircases that have less than ideal  
439 dimensions due to space restrictions or because of building constraints.

440

#### 441 **Conflict of interest**

442 There are no conflicts of interest in this work.

443 **References**

444 1. Templer J. The staircase-Studies of hazards, falls, and safer design. Cambridge: MIT Press;  
445 1992.

446 2. Startzell JK, Owens DA, Mulfinger LM, Cavanagh PR. Stair negotiation in older people: A  
447 review. *J Am Geriatr Soc* 2000;48(5):567-580.

448 3. Roys MS. Serious stair injuries can be prevented by improved stair design. *Appl Ergon*  
449 2001;32(2):135-139.

450 4. Patla AE. Understanding the roles of vision in the control of human locomotion. *Gait Posture*  
451 1997;5(1):54-69.

452 5. Heasley K, Buckley JG, Scally A, Twigg P, Elliott DB. Stepping up to a new level: effects of  
453 blurring vision in the elderly. *Invest Ophthalmol Vis Sci* 2004;45(7):2122-2128.

454 6. Simoneau GG, Cavanagh PR, Ulbrecht JS, Leibowitz HW, Tyrrell RA. The influence of visual  
455 factors on fall-related kinematic variables during stair descent by older women. *J Gerontol*  
456 1991;46(6):M188-M195.

457 7. Elliott DB, Patla AE, Furniss M, Adkin A. Improvements in clinical and functional vision and  
458 quality of life after second eye cataract surgery. *Optom Vis Sci* 2000;77(1):13-24.

459 8. Foster RJ, Hotchkiss J, Buckley JG, Elliott DB. Safety on stairs: Influence of a tread edge  
460 highlighter and its position. *Exp Gerontol* 2014;55:152-158.

461 9. Patla AE, Vickers JN. Where and when do we look as we approach and step over an obstacle  
462 in the travel path? *Neuroreport* 1997;8(17):3661-3665.

463 10. Graci V, Elliott DB, Buckley JG. Peripheral visual cues affect minimum-foot-clearance during  
464 overground locomotion. *Gait Posture* 2009;30(3):370-374.

465 11. Rietdyk S, Rhea CK. Control of adaptive locomotion: effect of visual obstruction and visual  
466 cues in the environment. *Exp Brain Res* 2006;169(2):272-278.

467 12. Hamel KA, Okita N, Higginson JS, Cavanagh PR. Foot clearance during stair descent: effects of  
468 age and illumination. *Gait Posture* 2005;21(2):135-140.

469 13. Telonio A, Blanchet S, Maganaris CN, Baltzopoulos V, Villeneuve S, McFadyen BJ. The division  
470 of visual attention affects the transition point from level walking to stair descent in healthy,  
471 active older adults. *Exp Gerontol* 2014;50:26-33.

472 14. Buckley JG, MacLellan MJ, Tucker MW, Scally AJ, Bennett SJ. Visual guidance of landing  
473 behaviour when stepping down to a new level. *Exp Brain Res* 2008;184(2):223-232.

474 15. Elliott DB, Vale A, Whitaker D, Buckley JG. Does my step look big in this? A visual illusion  
475 leads to safer stepping behaviour. *PloS one* 2009;4(2):e4577.

476 16. Avery G, Day R. Basis of the horizontal-vertical illusion. *J Exp Psychol* 1969;81(2):376.

477 17. Chaudhury S, Eisinger JM, Hao L, Hicks J, Chivukula R, Turano KA. Visual illusion in virtual  
478 world alters women's target-directed walking. *Exp Brain Res* 2004;159(3):360-369.

479 18. Glover S, Dixon P. A step and a hop on the Müller-Lyer: illusion effects on lower-limb  
480 movements. *Exp Brain Res* 2004;154(4):504-512.

481 19. Buckley JG, Heasley K, Scally A, Elliott DB. The effects of blurring vision on medio-lateral  
482 balance during stepping up or down to a new level in the elderly. *Gait Posture*  
483 2005;22(2):146-153.

484 20. Chapman GJ, Vale A, Buckley J, Scally AJ, Elliott DB. Adaptive gait changes in long - term  
485 wearers of contact lens monovision correction. *Ophthalmic Physiol Opt* 2010;30(3):281-288.

486 21. Johnson L, Buckley JG, Harley C, Elliott DB. USE OF SINGLE - VISION EYEGLASSES IMPROVES  
487 STEPPING PRECISION AND SAFETY WHEN ELDERLY HABITUAL MULTIFOCAL WEARERS  
488 NEGOTIATE A RAISED SURFACE. *J Am Geriatr Soc* 2008;56(1):178-180.

489 22. Gutierrez EM, Bartonek Å, Haglund-Åkerlind Y, Saraste H. Centre of mass motion during gait  
490 in persons with myelomeningocele. *Gait Posture* 2003;18(2):37-46.

- 491 23. Foster RJ, De Asha AR, Reeves ND, Maganaris CN, Buckley JG. Stair-specific algorithms for  
492 identification of touch-down and foot-off when descending or ascending a non-  
493 instrumented staircase. *Gait Posture* 2014;39(2):816-821.
- 494 24. Chou L-S, Kaufman KR, Brey RH, Draganich LF. Motion of the whole body's center of mass  
495 when stepping over obstacles of different heights. *Gait Posture* 2001;13(1):17-26.
- 496 25. Goodale MA, Milner AD. Separate visual pathways for perception and action. *Trends*  
497 *Neurosci* 1992;15(1):20-25.
- 498 26. Schenk T, Franz V, Bruno N. Vision-for-perception and vision-for-action: Which model is  
499 compatible with the available psychophysical and neuropsychological data? *Vision Res*  
500 2011;51(8):812-818.
- 501 27. Schenk T, McIntosh RD. Do we have independent visual streams for perception and action?  
502 *Cognitive Neuroscience* 2010;1(1):52-62.

503

504