

1 Comparison of limb kinematics between collected and lengthened (medium/extended) trot in
2 two groups of dressage horses on two different surfaces.

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16
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31 Northrop acquired the data. Northrop carried out surface analysis. Newton performed statistical
32 analysis and contributed to the manuscript. All authors approved the final version of the
33 manuscript.

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36

37 **SUMMARY**

38 Background: Dressage horses are often asked to work in lengthened paces during training and
39 competition, but to date there is limited information about the biomechanics of dressage-
40 specific paces. Preliminary work has shown increased fetlock extension in extended compared
41 with collected paces, but further investigation of the kinematic differences between collected,
42 medium and extended trot in dressage horses is warranted. Objectives: Investigation of the
43 effect of collected versus medium/extended trot on limb kinematics of dressage horses. Study
44 design: Prospective kinematic evaluation. Methods: Twenty clinically sound horses in active
45 dressage training were used: Group 1) ten young horses (≤ 6 years) were assessed at collected
46 and medium trot; Group 2) ten mature horses (≥ 9 years) were assessed at collected and
47 extended trot. All horses were evaluated on two different surfaces. High-speed motion-capture
48 (240Hz) was used to determine kinematic variables. Forelimb and hindlimb angles were
49 measured at midstance. Descriptive statistics and mixed-effect multilevel-regression analyses
50 were performed. Results: Speed and stride length were reduced and stride duration increased
51 at collected compared with medium/extended trot. Lengthened trot (medium/extended trot)
52 was associated with increased fetlock extension in both the forelimbs and hindlimbs in both
53 groups of horses. Changes were greater in Group 2 compared with Group 1. Shoulder and
54 carpus angles were associated with forelimb fetlock angle. Hock angle was not significantly
55 influenced by pace. Surface had no effect on fetlock or hock angles. Main limitations: Only 2D
56 motion analysis was carried out. Results may have been different in horses with more extreme
57 gait characteristics. Conclusions: Medium/extended trot increases extension of the forelimb
58 and the hindlimb fetlock joints compared with collected trot in both young and mature dressage
59 horses, respectively.

60

61

62 **INTRODUCTION**

63 Dressage horses are often asked to work in lengthened paces during training and competition,
64 but to date there is limited information about the biomechanics of dressage-specific paces [1-
65 7]. The current literature highlights the high prevalence of injuries of the suspensory apparatus
66 and the metacarpophalangeal or metatarsophalangeal (fetlock) joints in dressage horses [8-11].
67 Dressage-specific movements may be implicated in causation or sub-clinical injuries may be
68 exacerbated by the highly repetitive nature of dressage training [12]. However to determine
69 this we need to first understand the biomechanics of dressage-specific paces, therefore
70 investigation of the kinematic differences between collected, medium and extended trot in
71 dressage horses is warranted.

72
73 During the stance phase the limbs are progressively loaded until peak load at midstance. In the
74 forelimbs this results in shoulder and elbow flexion and carpus and fetlock extension; in the
75 hindlimbs there is hip, stifle, and hock flexion and fetlock extension [13,14]. In all limbs, the
76 role of the suspensory apparatus is to limit fetlock extension; consequently any variable which
77 increases fetlock extension is likely to increase load on the joint and the suspensory apparatus,
78 [15,16] and therefore may increase injury risk to these structures. Increased speed and stride
79 length and reduced stride duration in medium and extended trots compared with collected trot
80 have been described [3]. More recently it was shown that changes in temporal variables can
81 influence extension in trot [17-18]. These findings were supported by a pilot study of four
82 mature advanced dressage horses in which greater fetlock extension and hock flexion were
83 found in extended trot compared with collected trot [7].

84
85 Epidemiological data has highlighted surface as a risk factor for injury in dressage horses [8,
86 9]. Surface properties have been found to influence limb kinematics in horses competing in

87 other disciplines such as racing [19-20] and trotting [21-24], but there has been minimal
88 investigation on the effect of surface in collected or extended trot. Greater fetlock extension at
89 extended trot has been reported in dressage horses on a synthetic surface compared with dirt
90 [21], which suggests that surface may influence kinematics at this pace.

91

92 The study aimed to investigate forelimb and hindlimb kinematics in: 1) young dressage horses
93 at collected and medium trot and 2) mature dressage horses at collected and extended trot. It
94 was hypothesised that 1) increased forelimb and hindlimb fetlock extension and hock flexion
95 would be seen at medium/extended trot compared with collected trot; 2) medium/extended trot
96 would have greater speed, stride length and reduced stride duration compared with collected
97 trot; 3) speed, stride length and stride duration and forelimb joint angles would be correlated
98 with forelimb fetlock extension and speed, stride length and stride duration and hindlimb joint
99 angles would be correlated with hock angle and hindlimb fetlock extension; 4) hindlimb fetlock
100 extension and hock flexion would be related to maximal hindlimb protraction and retraction
101 angles; 5) forelimb and hindlimb fetlock extension and hock flexion would be affected by
102 surface.

103

104

105 **MATERIALS AND METHODS**

106 *Horses*

107 A power calculation indicated that a sample size of 19 horses was required to detect a difference
108 at a significance level $P < 0.05$ for distal metatarsal coronary band vertical ratio (MTCR) which
109 represents hind fetlock extension, and hock angles based on pilot data [7].

110

111 Twenty clinically sound horses, with no history of suspensory ligament injury, in active
112 dressage training were used: Group 1) ten young (≤ 6 years) horses working at novice to
113 elementary level dressage [25]; Group 2) ten mature (≥ 9 years) horses working at Prix St
114 Georges and above [25]. Horses were conventionally shod or barefoot. Horses did not wear
115 boots or bandages. All horses were assessed on two different outdoor surfaces (Surfaces A and
116 B, Table 1). Surface composition was analysed by taking a sample from each arena and
117 carrying out simple material tests to quantify percentage moisture, sand, fibre and wax as
118 described in previous work [26]. The arena conditions were chosen because they simulated
119 surface composition and preparation routinely used for training and competing dressage horses.

120

121 All horse were evaluated by an experienced veterinarian (RM-Diplomate of the American
122 College of Veterinary Surgeons) in-hand at walk and trot in straight lines and in-hand at walk
123 in 5m diameter circles on a firm surface to ensure that they were free from lameness or graded
124 $< 1/8$ lame [27]. Domed 30mm markers were placed at predetermined anatomical sites (Figure
125 1A) on the left and right sides by a single experienced technician (blinded for review), verified
126 by a veterinarian, according to palpable surface landmarks [28]. Marker placement
127 repeatability has been previously validated [7]. Horses were warmed-up by their normal rider,
128 as they would be at a competition, for up to 30 minutes before testing.

129 Testing took place at a single venue, on both surfaces consecutively in a randomised order,
130 using a cross-over design. When the horses moved from the first surface to the second, 10
131 minutes were available for acclimatisation (duration used was rider-determined). Each horse
132 was ridden at collected trot sitting (the degree of collection depended upon the stage of training)
133 and at medium (Group 1) or extended (Group 2) trot sitting in a straight line marked out with
134 cones (Figure 1B).

135

136 *Data Collection*

137 High-speed motion-capture (240Hz, 1280 x 720 pixels) was used to assess each horse from the
138 left side. The camera (Casio EX-FH250¹) was placed 6m from the middle of the trot pathway
139 and the field of view was 5m wide and 3m high (Figure 1A). The camera was calibrated using
140 a known object in the field of view and also using a known measurement on the horse. These
141 were both compared to ensure that the calibration was accurate to 0.5 mm. A minimum of four
142 strides for each type of trot on each surface were collected. Strides were recorded when the
143 horse passed the camera. A single complete stride was selected per pass, because the field of
144 view prohibited recording of consecutive strides. Recordings were retained for analysis if the
145 stride was correct according to the Fédération Equestre Internationale Rules for Dressage [29],
146 contained the entire stance phase, and was in the centre third of the field of view (directly in
147 front of the camera) to reduce the camera/marker angle in order to maximise accuracy. This
148 was judged by 3 authors (RM, VW, JB). Speed was calculated from the time it took for each
149 horse to get from the cone at the start of the runway to the cone at the end of the runway and
150 was verified from normal-speed video camera footage.

151

152

153 *Data Analysis*

154 Images were analysed by an experienced analyst (blinded for review) using previously
155 validated techniques [7]. Data was tracked through the entire stance phase and a low-pass
156 Butterworth filter with a cut off of 15Hz was used. Shoulder, elbow, carpal, forelimb fetlock,
157 hip, stifle and tarsal angles (Figure 2) were determined at midstance, when the fetlock joint was
158 maximally extended and mid swing when the carpus/hock joint was maximally
159 extended/flexed. Fetlock extension angle throughout the stance phase was plotted graphically
160 and the frame of peak fetlock extension was determined. Repeatability of this frame selection
161 was carried out 5 times for 5 horses (Coefficient of variation < 3%). Hindlimb fetlock extension
162 was measured as MTCR at midstance, which was defined as the distance between the fetlock
163 and the coronary band marker. This was calculated as the difference between the vertical
164 location of markers 12 and 13 (Figure 3) on the Y axis at maximal extension of the MTPJ, as
165 previously described [7]. The MTCR measurement was used to determine the presence of
166 fetlock hyperextension (defined as marker 12 located below marker 13 at midstance). Using
167 this technique, it was less labour intensive to compare the degree of hyperextension/extension
168 among horses and between groups than measuring static fetlock angles and then making a
169 comparison with midstance angles. This was only performed in the hindlimbs because it is
170 commonly accepted that forelimb fetlock extension occurs during normal locomotion [30,31].
171 We aimed to determine metatarsophalangeal joint extension compared with the coronary band,
172 using a method which has been successfully applied previously [7]. The measurements of \geq
173 1mm were accurate. Data from the left side only were analysed.

174

175 The angle of the dorsal coronary band (marker 11) to a vertical line drawn from the tuber ischii
176 (marker 19) was used to represent hindlimb retraction (Figure 4A). Relative protraction of the
177 hindlimb was calculated as the angle between the dorsal coronary band marker relative to the
178 vertical line drawn from the proximal end of the tuber coxae (marker 15) (Figure 4B). Forelimb

179 protraction was defined as the angle between a vertical line drawn from the cranial eminence
180 of the greater tubercle of the humerus (marker 4) and the dorsal hoof wall marker (marker 10),
181 when the forelimb was in its foremost position just before hoof impact (Figure 4C). Forelimb
182 retraction was defined as the angle between a vertical line drawn from the cranial eminence of
183 the greater tubercle of the humerus (marker 4) and the dorsal hoof wall marker (marker 10)
184 when the forelimb was maximally retracted, but with the toe still in contact with the ground
185 (Figure 4D). These markers were chosen in preference to the spinous process of the 6th thoracic
186 vertebra (marker 24) and the tubera sacrale (marker 22) because they were easier to see and
187 also to minimise any effect of trunk rotation on the measurements.

188

189 *Statistical Analysis*

190 Descriptive statistics and mixed effect multilevel regression analyses were performed using
191 StataTM 12.0 software² with statistical significance taken at $P \leq 0.05$. All continuous data were
192 considered normally distributed after evaluation graphically using kernel density and normal
193 quantile plots. Outcome variables examined in separate analyses were i) midstance forelimb
194 fetlock angle ($^{\circ}$), ii) midstance hock angle ($^{\circ}$) and iii) MTCR (cm), which were each considered
195 continuous variables. Kinematic predictor variables of the forelimbs and hindlimbs (Table 2),
196 along with trot pace (collected, medium and extended) and surface (A and B), were assessed.
197 Following preliminary univariable linear regression analyses to examine the relationship
198 between outcome variables and each predictor variable separately, multivariable linear
199 regression was then used to investigate the relationship between outcomes and simultaneous
200 multiple predictor variables. Each capture was one observation (fetlock angle and MTCR
201 $n=308$, hock angle $n= 320$), because data comprised repeated measures with 16 separate
202 observations made on each of 20 individual horses. Mixed effect multiple linear regression
203 models were developed to evaluate continuous and categorical fixed effects variables as

204 multiple simultaneous predictors of midstance fetlock and hock joint angles and MTCR, each
205 separately, with horse set as a random effect (intercept) variable in all three models. Model
206 building was by forward stepwise selection of variables, with the final model retaining
207 variables that were significantly associated with the outcome and/or that significantly improved
208 the overall fit of the model, based on likelihood ratio testing. The distribution and outlier values
209 of the standardised residuals (difference between the model predicted and actual outcome
210 values) from each model were also assessed.

211

212 **RESULTS**

213 For Group 1 mean age was 5.5 ± 0.7 years and mean height was 167 ± 7 cm. For Group 2 mean
214 age was 12.3 ± 2.3 years and mean height was 169 ± 6 cm. Warm-up duration ranged from 12-29
215 minutes (mean 18 minutes). Means and standard deviations for all kinematic variables are
216 shown in Table 2.

217

218 Table 3 summarises final models from mixed effect multiple linear regression analyses with
219 only statistically significant variables retained for predicting i) midstance forelimb fetlock
220 angle, ii) midstance hock angle and iii) MTCR with horse included as a statistically significant
221 ($P < 0.0001$) random effect variable in each model. Results can be considered as representing
222 biologically plausible statistical models to predict values of each of the three continuous
223 outcome measures. Outcome values are derived as the sum of a baseline (intercept) value with
224 addition (positive regression coefficient values) or subtraction (negative regression coefficient
225 values) of estimated parameter values, comprising the product of each predictor variable
226 measurement and its corresponding regression coefficient. Surface was not retained as a
227 statistically significant predictor variable in any of the final models.

228

229 Speed and stride length were significantly increased and stride duration was significantly
230 decreased at medium trot compared with collected trot in Group 1 and at extended trot
231 compared with collected trot in Group 2 ($P < 0.0001$ for all).

232

233 *Forelimb fetlock angle*

234 The final model predicted that forelimb fetlock extension angle was significantly increased
235 (positive regression coefficient values) at medium and extended trots compared with collected
236 trot ($P < 0.001$ for both). It also predicted that forelimb fetlock extension angle was significantly
237 decreased (negative regression coefficient value, indicating reduced fetlock extension) when
238 stride length was increased ($P = 0.05$). The final model predicted that forelimb fetlock extension
239 angle significantly decreased (negative regression coefficient values) when shoulder angle was
240 increased (indicating decreased shoulder flexion) ($P = 0.042$). Forelimb fetlock extension angle
241 significantly increased (positive regression coefficient values) when carpus angle was
242 increased ($P < 0.001$).

243

244 *Hock angle*

245 Hock angle was not affected by pace. Hock angle significantly decreased, indicating greater
246 hock flexion, when stride duration ($P < 0.001$) and speed ($P = 0.002$) were increased. Hock angle
247 significantly increased when hip angle increased ($P = 0.005$). Hock angle significantly
248 decreased, indicating greater hock flexion, when hindlimb protraction and retraction angles
249 were increased ($P < 0.001$ for both).

250

251 *MTCR*

252 MTCR significantly decreased, indicating greater hindlimb fetlock extension, at medium and
253 extended trots, both compared with collected trot ($P < 0.001$ for both). MTCR significantly

254 increased, indicating reduced fetlock extension, when speed increased ($P=0.001$). The final
255 model predicted that MTCR significantly decreased, indicating greater hindlimb fetlock
256 extension, when hindlimb retraction angle was increased ($P=0.032$).

257

258 **DISCUSSION**

259 This study successfully investigated forelimb and hindlimb kinematics in young and mature
260 dressage horses at collected and lengthened trots. In both groups, lengthened (medium and
261 extended) trot was associated with forelimb and hindlimb fetlock extension, supporting our
262 first hypothesis, and had greater speed, stride length and reduced stride duration compared with
263 collected trot, supporting our second hypothesis. However, hock angle was not affected by
264 pace. The third hypothesis that speed, stride length, stride duration and forelimb joint angles
265 would be correlated with forelimb fetlock extension was partially supported. Forelimb fetlock
266 extension angle was positively correlated with carpus angle, and negatively correlated with
267 shoulder angle and stride length. No correlations between forelimb fetlock angle and speed,
268 stride duration or elbow angle were detected. For the hindlimb, our hypothesis that speed, stride
269 length, stride duration and hindlimb joint angles would be correlated with hock angle and
270 hindlimb fetlock extension was also partially proven. Hock angle significantly decreased when
271 stride duration and speed were increased, and was positively correlated with hip angle but not
272 stifle, MTCR or stride length, MTCR increased with speed (i.e. hindlimb fetlock extension was
273 reduced), but was not related to any of the measured hindlimb joint angles, stride length or
274 duration. The fourth hypothesis that hindlimb fetlock extension and hock flexion would be
275 related to maximal hindlimb protraction and retraction angles was supported by our findings.
276 The fifth hypothesis was unproven; no effect of surface on any outcome variables was detected.

277

278 Similar findings in both groups indicate that lengthening of the trot stride increases extension
279 of the fetlock joints at midstance compared with collected trot. The suspensory apparatus
280 moderates the extension of the fetlock [15,16, 32-34] and our findings suggests that lengthened
281 paces may increase the strain placed both on the suspensory apparatus and the fetlock in
282 forelimbs and hindlimbs. The magnitude of extension is greater in movements such as
283 cantering and jumping [35], but currently there is no evidence to specify the magnitude or
284 frequency of hyperextension necessary to increase risk of injury. A 6 to 8 degree increase in
285 fetlock joint overextension has been observed due to fatigue in trotting horses [36]. The authors
286 proposed this could increase strain on the suspensory ligament and the supporting structures of
287 the fetlock joint. In this study we did not work the horses to fatigue but, based on the findings
288 on trotters [33,34,36] and show jumpers [35], fatigue may affect the degree of fetlock extension
289 seen in either pace. It should be a consideration when teaching horses collected or lengthened
290 paces because they are likely to fatigue more rapidly when learning new movements. This
291 study aimed to further our knowledge of how collected and extended trot affect fetlock
292 extension so we can begin to understand the factors that are likely to provide an influence. It is
293 expected that the degree of fetlock extension in medium and extended trots, and its potential
294 risk of injury depends on many factors such as musculoskeletal strength and coordination,
295 conformation (static and dynamic), training intensity, training frequency and training volume
296 (potentially including how frequently and for how long the horse is asked to demonstrate
297 lengthened paces), previous injury, and genetics [37]. Further work is warranted to understand
298 the effect of these factors in horses performing different types of trot. No difference in hock
299 flexion angle between collected and lengthened trot was observed in either group. This is
300 contrary to previous results [7], which may be due to differences in sample size, horses' gait
301 patterns, training levels and/or level of collection/extension used in this and the previous study.
302

303 The degree of change in fetlock extension for collected to lengthened trot was greater in Group
304 2 (mature) horses performing extended trot than the Group 1 (young) horses performing
305 medium trot as seen by the greater regression coefficient value for Group 2 compared with
306 Group 1. We aimed to test the types of pace that were considered acceptable for the horses'
307 ages and levels of training. Young horses and those in the lower competitive levels are asked
308 to show lengthened or medium gaits in competition [37], so medium trot was selected for
309 Group 1, while Group 2 were trained to achieve greater collection and extension so could be
310 tested with more exaggerated pace types.

311

312 Greater speed, stride length and reduced stride duration at medium trot compared with collected
313 trot in Group 1 and at extended trot compared with collected trot in Group 2 were observed, as
314 hypothesised. This is consistent with previous findings [1-3,5,17,18], although we observed
315 slower collected, medium and extended trots with a shorter stride length than those observed
316 in national level dressage horses [3] and with slower and shorter strides in the extended trot
317 than recorded in Olympic competitors [5]. This may reflect differences in training level and
318 athletic ability compared with the current study, in which horses were of mixed levels (e.g.,
319 Group 2 ranged from Advanced medium to Grand Prix). The type of dressage horse has also
320 changed considerably over the last 20-25 years, so the populations are not directly comparable.

321

322 Fetlock angle has previously been linked to speed [13,38] so it could be suggested that an
323 increase in fetlock extension could simply be due to the increase in speed at medium and
324 extended trot compared with collected trot. Our findings suggest that temporal variables (speed
325 and stride length) have an influence on fetlock angle, but because they are inherent components
326 of pace it is hard to identify their pure effects in isolation. Pace is also made up of other
327 components, such as duty factor and muscle activation, not all of which were measured in this

328 study, but which would also be accounted for through inclusion of pace. Pace (type of trot) had
329 the principle effect on MTCR and as such would also have accounted for some of these inherent
330 component effects. However, as speed was retained in the MTCR model along with pace, this
331 indicated that there was clearly a still statistically significant residual effect of speed, beyond
332 that already accounted for by pace. Pace is quite a crude variable due to its complexity, but the
333 model suggested that it was the best predictor for MTCR angle. This means that the effect of
334 pace, through all its inherent components is to reduce MTCR in medium or extended trot
335 (increases fetlock extension), but speed also has a slightly positive residual effect (reduces
336 fetlock extension), which further improved the prediction of the model. Increased speed results
337 in slightly reduced fetlock extension, but overall when also accounting for pace there is a net
338 greater extension in medium and extended trot compared with collected trot. This means that
339 medium and extended trot reduce MTCR compared with collected trot, but that reduction is
340 slightly less if the horse is going faster.

341 In the forelimb fetlock extension model, we observed that at medium/extended trot forelimb
342 fetlock extension was increased compared with the collected trot, but the increase in fetlock
343 extension was slightly reduced when the stride length was greater. These findings could relate
344 to the faster speed or increased stride length of the medium and extended trots compared with
345 collected trot, potentially influencing stance duration and therefore loading time. It suggests
346 that although speed and stride length are part of the change in pace, the influence of pace is
347 made up of lots of different constituents, including stance duration and duty factor, all of which
348 need to be thoroughly investigated to understand the mechanism, the impact and potential
349 practical implications of these findings.

350

351 There were different associations between fetlock angle and other limb joint angles in the
352 forelimbs and hindlimbs. As previously documented [14-16], the forelimbs and hindlimbs are

353 kinematically different at midstance, which potentially affects the way they moderate forces at
354 midstance. As hypothesised, the forelimb shoulder and carpus angles were associated with
355 forelimb fetlock angle at midstance, although no association with elbow angle at midstance
356 was observed. This suggests that the forelimb as a unit is influenced by trot type and therefore
357 the kinematic and kinetic changes influence many of the structures of the forelimb, not just the
358 suspensory apparatus and fetlock.

359

360 In the hindlimb the reciprocal apparatus provides a connection between the stifle and hock, and
361 also has a connection to the fetlock via the deep digital flexor tendon [39]. However, we
362 observed no association between hindlimb fetlock extension and the angle of any of the
363 hindlimb joints at midstance. In the hindlimb, coxofemoral joint (hip) angle was positively
364 associated with hock angle, which may have implications for loading of the hip and hock. With
365 increased speed and/or greater hindlimb protraction and retraction the hock is more flexed at
366 midstance [13]. The results of the current study indicate that this flexion may be moderated by
367 the action of the hip.

368

369 It was previously suggested that an explanation for increased fetlock extension and increased
370 hock flexion during lengthened paces might be an alteration in protraction and retraction of the
371 hindlimbs between extended and collected trot [7]. In the current study hindlimb protraction
372 and retraction were associated with hock angle, with an increase in protraction/retraction
373 resulting in a decrease in hock flexion angle at midstance. However, hindlimb protraction and
374 retraction angles were not affected by different trot types. Thus the mechanism which causes
375 increased fetlock extension in lengthened, compared with collected trot remains unclear and
376 merits further investigation.

377

378 Increased hindlimb fetlock extension at medium and extended trots supports previous findings
379 [7]. There is an association between static or dynamic hindlimb fetlock overextension and
380 injury of the hindlimb suspensory apparatus [12, 37]. The current findings suggest that although
381 hindlimb fetlock extension occurred in both groups of horses, the mean value for each trot type
382 did not indicate dynamic hyperextension at the trot, previously defined as the fetlock marker
383 being distal to the coronary band marker at peak fetlock extension [7]. Horses in the current
384 study were subjectively considered to be well-conformed. Horses with a small dorsal fetlock
385 angle may be more at risk of hyperextension compared with better-conformed horses, which
386 may increase risk of injury to the suspensory apparatus [12, 40]. Our findings are only relevant
387 to the trot and other gaits and movements (e.g., canter pirouette) may have different results.

388

389 Surfaces A and B were selected because it was hypothesised that their functional properties
390 would be different. However, no effect of arena surface type was observed in the final models
391 for either collected or extended trot. Lower GRF and decreased maximal fetlock extension were
392 observed on deep, wet sand compared with firm, wet sand [23], suggesting that these two
393 surfaces were functionally dissimilar. Surface material is known to behave differently
394 according to composition, preparation and maintenance [20,41,42]. It is possible that despite
395 differences in surface type and moisture content, the overall make-up and maintenance of
396 surfaces A and B meant functional properties were comparable. Increased fetlock extension at
397 midstance was reported on one surface when it was harrowed versus rolled, however data
398 grouped all gaits together (walk, trot and canter) [41]. Canter would be expected to produce
399 greater fetlock extension [35], which might explain these findings compared with the present
400 study, conducted only in trot. The sample population included horses with a variety of
401 conformations; static or dynamic conformation and the surfaces on which the horses normally
402 train may influence preference of surface type. Fetlock extension was highly variable and

403 heterogeneity within the sample population may explain why no significant difference was
404 found between surfaces.

405

406 The study had some limitations. All motion capture was in two dimensions. Three-dimensional
407 analysis would be useful to evaluate other movement planes which may influence strain on the
408 suspensory apparatus and loading of the fetlocks. All testing was carried out on an artificial
409 surface which can influence the measurements acquired and the definition of impact. Rotation
410 of the hoof into the surface may have influenced the accuracy of the MTCR calculation. It also
411 made it difficult to accurately measure stance duration and therefore duty factor. All recruited
412 horses were Warmblood dressage horses which were grouped according to age and training
413 level, however there are likely to be considerable differences in natural athletic ability of the
414 horses, which may influence the findings. Extrapolation of these findings must therefore be
415 done with care, because they may not apply to different breed populations or to horses with
416 different gait characteristics e.g., Andalusian, Lusitano or Lipizzaner. Rider skill may also
417 have had some influence on the gaits of the horse [43]. All horses underwent a subjective
418 conformation assessment, but an objective assessment was not performed and would have been
419 preferable. Each horse and rider combination was evaluated on a single day on both surfaces,
420 and a cross-over design was used for both pace and surface in order to minimise order effect.
421 However in the second session there may have been an influence of previous warm up/mobility
422 and/or fatigue. Testing over multiple days could have reduced these effects; however we aimed
423 to keep the environmental conditions as similar as possible for each horse, because this can
424 influence surface functional properties [44]. Horse performance can also vary from day to day
425 for a variety of reasons. Comparisons between medium and extended trot, or between working
426 and medium trot were not performed due to time constraints. Further work is warranted to

427 assess the difference between working, collected, medium and extended paces and specific
428 movements, such as pirouettes.

429

430 **CONCLUSIONS**

431 Medium or extended trot increase extension of the forelimb shoulder, carpal and fetlock joints
432 and the hindlimb fetlock joint compared with collected trot in both young and mature dressage
433 horses, respectively.

434

435 **MANUFACTURERS' ADDRESSES**

436 ¹ Casio Computer Co Ltd, Tokyo, Japan.

437 ² Stata SE 12.1, College Station, Texas, USA.

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438 **FIGURE LEGENDS**

439 Figure 1: A) Marker placement for data collection: 1) rostral aspect of the facial crest 2) wing
440 of atlas 3) proximal aspect of the scapular spine 4) over the cranial eminence of the greater
441 tubercle of the humerus 5) the lateral epicondyle of the humerus over the lateral collateral
442 ligament of the elbow 6) lateral styloid process of the radius 7) proximal aspect of the third
443 metacarpal bone at the junction with the base of the 4th metacarpal bone 8) distal aspect of the
444 third metacarpal bone over the lateral collateral ligament of the metacarpophalangeal joint
445 9)lateral collateral ligament of the distal interphalangeal joint (designated coronary band) 10)
446 dorsal aspect of the coronary band 11) dorsal aspect of the coronary band 12) lateral collateral
447 ligament of the distal interphalangeal joint (designated coronary band) 13) distal aspect of the
448 third metatarsal bone over the collateral ligament of the metatarsophalangeal joint 14)
449 proximal aspect of the third metatarsal bone at the junction with the base of the 4th metatarsal
450 bone 15) mid talus 16) lateral aspect of the tibial crest 17) medial epicondyle of the distal femur
451 18) proximal aspect of the greater trochanter of the femur 19) ischiatic tuberosity 20) top of
452 tail 21) proximal aspect of the tuber coxae 22) tuber sacrale 23) spinous process of the 4th
453 lumbar vertebra 24) spinous process of the 6th thoracic vertebra. B) Arena set up for testing;
454 showing field of view and runway used.

455
456 Figure 2: Angles measured from high speed motion capture at midstance. In the forelimb:1)
457 Shoulder angle; calculated from the proximal aspect of the scapular spine, the cranial eminence
458 of the greater tubercle of the humerus, the lateral epicondyle of the humerus over the lateral
459 collateral ligament of the elbow. 2) Elbow angle; calculated from the cranial eminence of the
460 greater tubercle of the humerus, the lateral epicondyle of the humerus over the lateral collateral
461 ligament of the elbow, the lateral styloid process of the radius. 3) Carpus angle; calculated
462 from, the lateral epicondyle of the humerus over the lateral collateral ligament of the elbow,

463 lateral styloid process of the radius, proximal aspect of the third metacarpal bone at the junction
464 with the base of the 4th metacarpal bone. 4) Forelimb fetlock (metacarpophalangeal) angle;
465 calculated from the proximal aspect of the third metacarpal bone at the junction with the base
466 of the 4th metacarpal bone, distal aspect of the third metacarpal bone over the lateral collateral
467 ligament of the metacarpophalangeal joint, lateral collateral ligament of the distal
468 interphalangeal joint (designated coronary band). In the hindlimb: 5) Hip angle; calculated
469 from the proximal aspect of the tuber coxae, proximal aspect of the greater trochanter of the
470 femur, the medial epicondyle of the femur. 6) Stifle angle; calculated from proximal aspect of
471 the greater trochanter, medial epicondyle of the distal femur, lateral aspect of the tibial crest.
472 7) Hock (tarsal) angle; calculated from the lateral aspect of the tibial crest, mid talus, proximal
473 aspect of the third metatarsal bone at the junction with the base of the 4th metatarsal bone.
474 MTCR; metatarsal coronary band ratio is calculated as the difference between marker 12;
475 lateral collateral ligament of the distal interphalangeal joint (designated coronary band) and
476 marker 13: distal aspect of the third metatarsal bone over the collateral ligament of the
477 metatarsophalangeal joint along the Y axis.

478
479 Figure 3: Metatarsal coronary band ratio (MTCR). This is calculated as the difference between
480 marker 12; lateral collateral ligament of the distal interphalangeal joint (designated coronary
481 band) and marker 13: distal aspect of the third metatarsal bone over the collateral
482 ligament of the metatarsophalangeal joint along the Y axis. This is determined at midstance-
483 defined as the point of maximal fetlock extension. The image is calibrated to give a value in
484 centimetres.

485
486 Figure 4: Forelimb and hindlimb protraction and retraction angles. Maximal hindlimb
487 retraction (A) was defined as the angle of a line between the dorsal aspect of the coronary band

488 marker to the tuber ischii, relative to vertical. This was measured just before the toe left the
489 surface. Maximal hindlimb protraction (B) was defined as the angle of a line between the dorsal
490 aspect of the coronary band to the proximal aspect of the tuber coxae relative to vertical. This
491 was measured just before hoof/surface impact. Maximal forelimb protraction (C) was defined
492 as the angle of a line between the dorsal aspect of the coronary band at the toe to the cranial
493 eminence of the greater tubercle of the humerus relative to vertical. This was measured just
494 before hoof/surface impact. Maximal forelimb retraction (D) was defined as the angle of a line
495 between the dorsal aspect of the coronary band marker to the cranial eminence of the greater
496 tubercle of the humerus, relative to vertical. This was measured just before the toe left the
497 surface.

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622 <http://www.fei.org/fei/about-fei/publications/fei-books>
623

624 Table 1: Surface composition for the 2 arena surfaces (1 and 2) on which 20 dressage horses
 625 were assessed at collected and medium/ extended trot. Estimate of composition were based on
 626 a mean (n = 3 samples per surface) [27].

Component %	Surface A		Surface B	
	Mean	sd	Mean	sd
Moisture	11	2	6	2.6
Sand	76	1.9	46	5.8
Fibre/rubber	11	2.5	45	5.1
Wax	2	0.1	2	0.7
Composition	Small strand fibre <5cm, small grain rubber <1cm diameter, some large felt fibre up to 12cm in length.		Small felt fibre <5cm length, mainly small grain rubber <1cm diameter, some large grain rubber >1cm diameter	

627

628 Table 2: Mean and standard deviation (sd) for all kinematic variables of the forelimbs and
629 hindlimbs measured in 20 dressage horses: Group 1 (≤ 6 years of age, n=10) and Group 2 (≥ 9
630 years of age, n=10), assessed in straight lines in collected and medium trot and collected and
631 extended trot, respectively. Shading denotes outcome variables and non-shading denotes
632 predictor variables.

Variable	Group 1- collected		Group 1- medium		Group 2- collected		Group 2 - extended	
	Mean	sd	Mean	sd	Mean	sd	Mean	Sd
Forelimb fetlock angle (°)	246.2	9.3	249.3	10.5	246.1	13.9	251.1	13.4
Hock angle (°)	151.9	5.0	150.2	5.0	149.2	6.0	146.6	6.0
MTCR (cm)	2.3	0.5	1.8	0.6	1.8	0.2	1.0	0.4
Stride Duration (secs)	0.8	0.01	0.7	0.01	0.8	0.1	0.7	0.1
Speed (m/s)	2.7	0.3	3.7	0.4	2.7	0.4	4.0	0.4
Stride Length (m)	2.1	0.2	2.7	0.3	2.3	0.4	2.9	0.5
Mid stance shoulder angle (°)	126.2	8.4	125.5	7.4	122	8.7	121.9	8.8
Mid swing shoulder angle (°)	127.3	7.9	128.8	6.8	123.	8.3	125.	8.8
Mid stance elbow angle (°)	211.5	6.0	212.1	6.2	212.6	6.2	214.5	5.8
Mid swing elbow angle (°)	250.7	10.1	253.2	9.4	253.6	7.5	256.4	9.6
Mid stance carpus angle (°)	183.0	5.5	182.7	5.9	180.5	5.4	180.8	4.5
Mid swing carpus angle (°)	128.5	8.5	123.2	8.7	129.7	8.5	122.1	8.2
Mid swing fetlock angle (°)	175.1	9.4	170.5	10.8	179.9	14.0	174.8	14.6

Mid stance hip angle (°)	71.1	4.4	71.3	4.8	70.9	4.2	71.2	4.9
Mid swing hip angle (°)	64.1	3.8	63.4	4.4	62.7	3.2	61.9	3.4
Mid stance stifle angle (°)	101.6	7.2	101.4	8.0	99.6	6.4	99.2	7.6
Mid swing stifle angle (°)	98.8	9.3	96.9	10.4	96.8	14.9	95.8	14.7
Mid swing hock angle (°)	109.8	7.2	105.9	9.1	105.2	8.1	96.9	11.7
Mid swing fetlock angle (°)	150.1	13.9	149.5	14.1	150.7	12.5	149.0	12.1
HL Protraction angle (°)	11.9	1.9	12.9	1.7	11.6	2.2	13.4	2.4
HL Retraction angle (°)	16.1	1.9	18.0	2.4	15.1	2.2	18.5	1.8

633 Secs = seconds; m/s = metres per second; m = metres; ° = degrees; MTCR = metatarsal

634 coronary band ratio; cm = centimetres; HL = hindlimb.

635

636

637 Table 3: Summary of final models from mixed effect multiple linear regression analyses of i) forelimb fetlock angle (°) (n= 308), ii) hock angle
638 (°) (n 320) and iii) metatarsal coronary band ratio (MTCR; cm) (n=308) with different predictor variables and horse (n=20) included as a
639 statistically significant (P<0.0001) random effect variable in each model for two groups of dressage horse, young (group 1) and mature (group 2).

<i>Outcome measure</i>		Regression	Standard	95% confidence interval	P-value
Predictor variable	Comparator for interpretation	coefficient	error	of regression coefficient	
<i>Forelimb fetlock angle</i>		<i>(unit = °)</i>			
<i>Intercept</i>	<i>Baseline fetlock angle</i>	172.7	22.0	129.6 – 215.9	-
Medium trot (Group 1)	Versus collected trot as baseline	+5.70	1.59	2.58 – 8.82	<0.001
Extended trot (Group 2)	Versus collected trot as baseline	+8.59	1.75	5.16 – 12.03	<0.001
Stride length	Per metre increase of stride length	-2.87	1.48	-5.77 – 0.04	0.05
Carpus angle	Per degree increase of carpus angle	+0.61	0.10	0.41 – 0.82	<0.001
Shoulder angle	Per degree increase of shoulder angle	-0.17	0.08	-0.33 – -0.01	0.042
<i>Hock angle</i>		<i>(unit = °)</i>			
<i>Intercept</i>	<i>Baseline hock angle</i>	171.5	7.4	156.9 – 186.1	-

Stride duration	Per second increase of stride duration	-20.9	4.94	-30.6 – -11.2	<0.001
Speed	Per metre per second increase of speed	-1.25	0.39	-2.02 – -0.48	0.002
Hip angle	Per degree increase of hip angle	+0.21	0.08	0.06 – 0.36	0.005
Hindlimb (HL) protraction angle	Per degree increase of HL protraction angle	-0.49	0.11	-0.71 – -0.26	<0.001
Hindlimb (HL) retraction angle	Per degree increase of HL retraction angle	-0.39	0.11	-0.60 – -0.17	<0.001
<i>Metatarsal coronary band ratio</i>		<i>(unit = cm)</i>			
<i>Intercept</i>	<i>Baseline MTCR distance</i>	<i>1.43</i>	<i>0.61</i>	<i>0.24 – 2.62</i>	-
Medium trot (Group 1)	Versus collected trot as baseline	-0.87	0.19	-1.24 – -0.50	<0.001
Extended trot (Group 2)	Versus collected trot as baseline	-1.23	0.24	-1.71 – -0.76	<0.001
Speed	Per metre per second increase of speed	+0.51	0.15	0.22 – 0.81	0.001
Hindlimb (HL) retraction angle	Per degree increase of HL retraction angle	-0.06	0.03	-0.11 – -0.05	0.032

