



# Early rearing history influences oxytocin receptor epigenetic regulation in rhesus macaques

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**Adaptations to stress can occur through epigenetic processes and may be a conduit for informing offspring of environmental challenge. We employed ChIP-sequencing for H3K4me3 to examine effects of early maternal deprivation (peer-rearing, PR) in archived rhesus macaque hippocampal samples (male,  $n = 13$ ). Focusing on genes with roles in stress response and behavior, we assessed the effects of rearing on H3K4me3 binding by ANOVA. We found decreased H3K4me3 binding at genes critical to behavioral stress response, the most robust being the oxytocin receptor gene *OXTR*, for which we observed a corresponding decrease in RNA expression. Based on this finding, we performed behavioral analyses to determine whether a gain-of-function nonsynonymous *OXTR* SNP interacted with early stress to influence relevant behavioral stress reactivity phenotypes ( $n = 194$ ), revealing that this SNP partially rescued the PR phenotype. PR infants exhibited higher levels of separation anxiety and arousal in response to social separation, but infants carrying the alternative *OXTR* allele did not exhibit as great a separation response. These data indicate that the oxytocin system is involved in social-separation response and suggest that epigenetic down-modulation of *OXTR* could contribute to behavioral differences observed in PR animals. Epigenetic changes at *OXTR* may represent predictive adaptive responses that could impart readiness to respond to environmental challenge or maintain proximity to a caregiver but also contribute to behavioral pathology. Our data also demonstrate that *OXTR* polymorphism can permit animals to partially overcome the detrimental effects of early maternal deprivation, which could have translational implications for human psychiatric disorders.**

primate | epigenetic | stress | maternal care | oxytocin

**S**tress is a universal condition of life, but if it is chronic, severe, or occurs during critical developmental windows, it contributes to a variety of disease vulnerabilities, particularly disorders of the brain (1–3). In humans, there are known links between prenatal or early stress and a variety of psychiatric and developmental disorders, including depression, schizophrenia, autism spectrum disorders, posttraumatic stress disorder, anxiety disorders, and substance use disorders (4–6). A major advantage in using animal models is the ability to follow animals prospectively from or before birth and then to control environmental exposure. As such, studies aimed at determining the mechanisms through which in utero or postnatal environments induce long-lasting differences in neurophysiology and behavior have been performed using animal models.

Early infant development is a time of high brain plasticity as well as intense mother–infant interaction. For an infant, the mother’s behaviors and other cues (i.e., hormones in the milk, pheromones are among the main sources of information about

the environment to which an infant must adapt. An early period of enhanced environmental sensitivity has been documented in wild, laboratory, and domestic animals alike. In 1937, the ethologist Lorenz (7) defined a “critical period” for the social bonding that occurs during early development. Bateson later modified the nomenclature, instead referring to a “sensitive period,” which he described as a developmental phase during which events are particularly likely to produce prolonged effects on an individual (8).

Years later, rodent studies performed by Levine showed that early experience, as determined by the extent and quality of maternal care, produced long-lasting alterations in hypothalamic–pituitary–adrenal (HPA) axis activity, fearfulness, and social behaviors (9). These studies were rapidly replicated and expanded, demonstrating that epigenetic factors played a significant role, especially in stress-sensitive regions of brain such as

## Significance

**Epigenetically programmed stress adaptation may be a conduit for informing offspring of environmental challenge. We employed ChIP-sequencing to examine effects of early environment on epigenetic regulation using hippocampal samples from macaques exposed to disruption in maternal care. We found decreased H3K4me3 binding at genes critical to behavioral stress response, the most robust being the oxytocin receptor gene (*OXTR*), for which we observed a corresponding decrease in RNA expression. Post hoc analysis showed that a gain-of-function *OXTR* SNP rescued behavioral differences in early stress-exposed subjects. Our data suggest that epigenetic down-modulation of *OXTR* in brain could contribute to behavioral differences observed in early stress-exposed subjects and that functional genetic variation plays a role. These could have translational implications for human psychiatric disease and personality disorders.**

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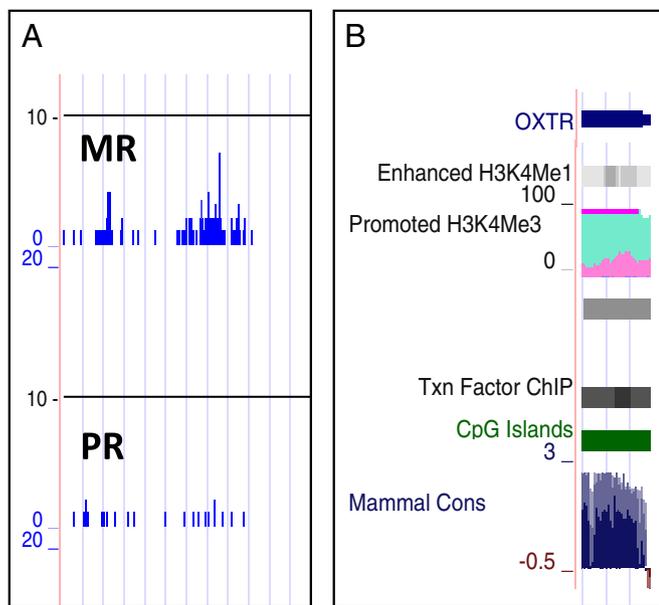
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Data deposition: The ChIP-seq data reported in this paper have been deposited in National Center for Biotechnology Information Sequence Read Archive database (accession no. [SRP004886](#)). They can be accessed at <https://trace.ncbi.nlm.nih.gov/Traces/sra/sra.cgi?study=SRP004886>.

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**Fig. 2.** Region of H3K4me3 binding found in rhesus hippocampus and potential for epigenetic and transcriptional regulation in the corresponding region at human *OXTR*. (A) Output showing H3K4me3-binding peaks at the *OXTR* promoter in MR vs. PR macaque hippocampus. (B) UCSC output for human *OXTR* showing potential sites for epigenetic regulation (H3K4me1, H3K4me3, and CpG islands) and transcription factor (Txn Factor)-binding sites [DNase hypersensitivity clusters and transcription factor ChIP-seq] for human *OXTR*. Also shown are regions of conservation across species (Mammal Cons).

could partially rescue the PR phenotype in a larger sample. Using archived social-separation response factors from studies in which 6-mo-old animals were separated from their social attachment sources (peers for PR infants and social group including mother for MR infants; see ref. 30 for prior factor analysis), we found that a newly discovered gain-of-function polymorphism, Ala6Ser (see *SI Materials and Methods* for discovery and functional characterization and Fig. S2), partially rescued the PR behavioral phenotype (Fig. 3). We found main effects of rearing on separation anxiety and arousal. In both cases, PR monkeys exhibited higher response levels [ $F(1,186) = 284.1, P \leq 0.001$  and  $F(1,186) = 14.5, P \leq 0.001$ , respectively]. For both types of separation response, there were also rearing  $\times$  *OXTR* genotype interactions [separation anxiety,  $F(1,186) = 5.29, P \leq 0.03$ ; arousal,  $F(1,186) = 7.3, P \leq 0.008$ ]. PR monkeys that were carriers of the Ser allele did not exhibit the same levels of separation anxiety or arousal as their PR Ala/Ala counterparts ( $P \leq 0.05$ , Tukey–Kramer test). There were no effects of sex or any interactive effects between sex and rearing and/or *OXTR* genotype.

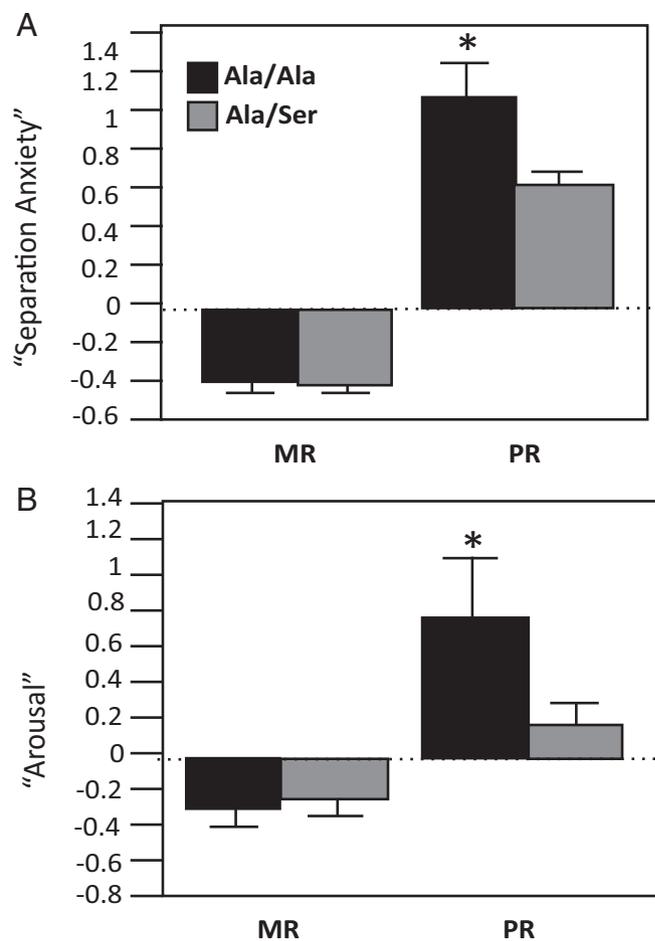
### Discussion

Many forms of psychopathology and psychiatric illness can occur through the pathways of altered environmental sensitivity, social functioning, and anxious responding, and, while these traits are also heritable, environmental conditions are known to play a critical role (31, 32). The adaptation to unpredictable or stressful environmental conditions can occur at both the species and the individual levels. While the former occurs through genetic polymorphism, the latter can occur through epigenetic processes (33–35) and may be a conduit for informing offspring of the potential for environmental challenge (36).

One prominent example of such an epigenetic phenomenon as it relates to early-life stress exposure and maternal care comes from work by Meaney and Szyf (10). This body of work demonstrates that, among other epigenetic effects, early-life stress in both rodents and

humans results in long-lasting, epigenetically driven decreases in hippocampal expression of glucocorticoid receptor (GR, or *NR3C1*) (13, 37–39) via alterations in DNA methylation. This is of relevance to stress-related disorders, since hippocampal GR is thought to be involved in engaging the fast-feedback “brake” on the HPA axis and therefore to be important for termination of the endocrine stress response (40). Disruption of this brake and a resultant persistence of elevated levels of corticosteroids have been observed in a number of stress-related psychiatric disorders (41, 42).

The afore-mentioned studies were foundational in the field of epigenetics for psychiatric research, but, although controlled rodent experiments have been invaluable in furthering the field, examining early environmental influences on behavior, and pointing to underlying epigenetic processes, some of the key mediators of stress response differ between catarrhine primates and other animal species (16, 17, 43). As stated above, there are papers reporting epigenetic variation as it relates to environmental exposure and to psychiatric disease burden in humans, although environmental factors are less controlled and many are performed using peripheral samples. For this reason, studies performed using primate brain are important additions to this body of work (44). For the present study, we had access to brain samples that had been archived from a terminal study performed in 2002 (43). We wanted to make use of this resource to



**Fig. 3.** A gain-of-function *OXTR* polymorphism partially rescues the PR phenotype. Shown are results from ANOVA performed using as dependent variables factors derived from factor analysis of social-separation data averaged from macaques at 6–8 mo of age. In both separation anxiety (A) and arousal (B), PR monkeys that are homozygous for the Ala allele exhibit higher reactivity than the other groups studied, including PR Ala/Ser monkeys;  $*P \leq 0.05$ .

determine whether we could observe epigenetic effects of the disruption of early maternal care in hippocampal tissue from adult rhesus macaques. Here, we performed H3K4me3 ChIP-seq and examined the effects of early peer rearing on epigenetic regulation at genes in hippocampus thought to moderate the risk for human psychopathology. Depending on the analytical window used for sampling, we found decreased H3K4me3 binding in a number of genes that are critical to behavioral stress response, including the GR gene, *NR3C1* (Table S1), although most results did not stand up after correcting for the false discovery rate (FDR). It should be noted that one potential confound of examining the effects of early adversity on molecular changes occurring in the hippocampus is that studies have indicated a potential for stress-induced alteration in neuron number, a sensitivity which differs across hippocampal cell fields (45, 46). Structural MRI studies performed in MR and PR monkeys do not indicate that early rearing history influences hippocampal volume (21); however, because each of the H3K4me3 marks that we observed to differ between the two rearing conditions was down-regulated in PR subjects, we were concerned about the potential for this observation being secondary to there being fewer neurons. We wanted to try to rule out the possibility that decreased H3K4me3 binding in PR brain was merely a reflection of a different degree of cellular heterogeneity between the two treatments. We therefore performed a post hoc examination to determine whether levels of expression for six neuronal or glial markers (microtubule-associated protein, glial fibrillary acidic protein, neuronal nitric oxide synthase, myelin basic protein, neural cell adhesion molecule, and calbindin) differed in PR and MR hippocampus, using expression microarray data. As none of these markers was differentially expressed (Fig. S3), we do not believe the potential for neuron loss secondary to early peer rearing was a confounding variable for the differences in H3K4me3 binding observed in this study.

The most robust and consistent result from this study was that obtained for *OXTTR* (Fig. 1 and Table S1). Results for *OXTTR* held with all five different ChIP-seq analytical transformations/windows (Fig. 1 and Fig. S1), and it was the only gene at which FDR correction consistently resulted in  $P$  values  $\leq 0.05$ . H3K4me3-binding levels were decreased in hippocampus from PR animals, with a corresponding decrease in *OXTTR* RNA expression. Another potential confound for this study is that the oxytocin system may both drive and be modulated by ethanol consumption (47). Since PR animals have been shown to exhibit higher levels of ethanol preference (22), and animals used for the epigenetics study had been given access to ethanol at some point before being killed, we therefore performed follow-up ANCOVA with ethanol consumption (expressed as grams per kilogram per hour) included as a continuous covariable. Since there were no effects of individual differences in ethanol intake ( $P > 0.4$ ) and because rearing history had similar effects on H3K4me3 binding with inclusion of this covariate ( $P < 0.05$ ), we do not believe ethanol exposure is a confound for this study.

Oxytocin is a neuropeptide critically involved in parturition and milk letdown but also one that plays roles in stress response, learning and memory, social affiliation, and care-giving (48–51). As such, there are certainly broad implications for behavioral differences that might result from a persistent decrease in epigenetic regulation and brain expression of *OXTTR* in PR monkeys. The ability to remanipulate the system to demonstrate that the observed molecular changes contribute to the PR phenotype would contribute significantly to these findings. One of the major disadvantages of using a catarrhine primate model, however, is that the molecular tools that can be relatively easily employed in live rodents for interrogation of gene or protein function are less feasible in rhesus macaques. In lieu of this, we investigated whether a naturally occurring nonsynonymous *OXTTR* polymorphism, which predicted increased affiliative responses to intranasal oxytocin in PR infants (Fig. S2), could partially rescue the PR phenotype in a larger sample. We predicted that, despite decreased epigenetic priming and expression at *OXTTR* in PR monkeys, for PR subjects expressing

a receptor that is more sensitive or effective would be less severely affected than those with the wild-type receptor. This study and others have demonstrated that PR infants show higher levels of behavioral reactivity to social separation (18, 30). Here, we show that PR infants carrying a gain-of-function *OXTTR* allele, *OXTTR* Ala6Ser (Fig. S2), exhibit attenuated social-separation responses relative to PR infants homozygous for the ancestral allele. These data indicate that the oxytocin system is involved in driving behavioral responses to social separation and suggest that epigenetic down-modulation of *OXTTR* could be involved in the behavioral differences observed between PR and MR animals.

The performance of this study relied on the use of archived brain tissue samples, DNA samples, and behavioral datasets. While having access to these resources was an enormous benefit and allowed us to perform directed analyses without needing to use additional animals, one limitation is that the ChIP-seq experiment was performed only in male brains. Given the role of oxytocin in maternal behavior and sexually dichotomous effects of genetic variation and stress exposure on behavioral responses to stress, and because disorders in which social cognition is severely impaired (autism spectrum disorders and antisocial personality disorder) are more common among males than females, demonstration of diminished H3K4me3 binding at the *OXTTR* promoter in female macaque brain would be of great interest (52, 53). This being said, when we examined the role of the *OXTTR* Ala6Ser genotype in social-separation responses in infants and included sex as a variable, we saw no demonstration of sexually dichotomous effects or genotype/rearing  $\times$  sex interactions. Furthermore, it could be that diminution in H3K4me3 binding is observed only at more advanced developmental stages, in other words, as a result of chronic social stress/adversity. Future studies should examine whether early disruption of maternal caregiving results in effects on the maternal behavior in female offspring, the developmental stage at which epigenetic effects emerge, whether endocrine regulation of *OXTTR* plays a significant role, and whether any potential deficits are rescued by functional genetic variation. The study of primate species in which paternal caregiving plays a significant role would also be of great interest and may have additional translational implications for the human condition.

To see if the epigenetic findings reported here had potential for translating to humans, we queried the human *OXTTR* gene (UCSC) and found indication of both the regulation of *OXTTR* by epigenetic processes (CpG islands and H3K4me3-binding peaks) and between-species conservation. These findings indicate that H3K4me3 binding may have a role in determining the effects on oxytocin response in humans. Further, they suggest that genetic selection is acting at this region. Because oxytocin plays critical roles in parturition and milk letdown in mammals, it is not surprising that this gene would be both under purifying selection and environmentally sensitive, in part through epigenetic mechanisms. Oxytocin is also a key player in social recognition, affiliation, and attachment. For species, such as *Homo sapiens*, in which mothers give birth to particularly helpless and altricial offspring, the development of the social bond between parent and infant is critical for infant survival and fitness. The importance of social bonding extends not only to other species but also to other types of social relationships within species. As a result of the importance of social connections and coalitions for mammalian survival and fitness, the neurobiological and behavioral systems driving sociality are thought to be highly conserved (54). These motivations are adaptive through driving caregiving behavior and the development of the parent–infant bond, pair-bonding/monogamy, and empathy and can even extend to phenomena such as parochial altruism. The oxytocin system is known to be involved in the evolution of these processes (55). If epigenetic regulation in human brain at *OXTTR* occurs via disturbances in parental care during early development, this suggests one mechanism by which early experience could moderate the risk for later-developing

psychopathology. It is also possible that epigenetic mechanisms at *OXTR* in brain could drive sensitivity to environmental effects as they relate to individual variation in temperament styles and the balance of alternative strategies, social/sexual orientation, and even political preference in human societies (2, 56).

Variation in maternal care as it relates to oxytocin system functioning has been demonstrated in rodents. Our results show that disrupted maternal care produces decreased binding of an activating histone and lower *OXTR* mRNA expression levels in adult macaque brain. Stress-induced epigenetic changes within the oxytocin system may represent predictive adaptive responses (e.g., increased sensitivity to environmental stress) that, in the face of prolonged stress exposure, could contribute to attachment disorders, stress-induced pathology, and allostatic load. Oxytocin dysregulation has been implicated in disorders such as social phobia, mood disorders, the addictions, and posttraumatic stress disorder (57–59). The predominant role of the oxytocin system in mediating social behaviors, learning, and anxiolytic effects, together with the potential for epigenetic regulation at the *OXTR*, make *OXTR* regulation  $\times$  stress interactions good candidates for elucidating the etiology and/or pathogenesis of such disorders (60, 61). Given the critical role of oxytocin in social behaviors and empathic responses, our findings may also have implications for vulnerability to the autism spectrum disorders, sociopathy, and other disorders in which disrupted social cognition or empathy are observed.

## Materials and Methods

**Early Rearing Conditions.** Rearing conditions have been previously described (62). Briefly, animals were reared either in social groups composed of 8–14 females (about half of which had same-aged infants) and two adult males (MR group) or were separated from their mothers at birth and hand-reared by human caregivers in a neonatal nursery for the first 37 d of life (PR group). For the first 14 d, PR infants were kept in an incubator and hand-fed. From day 15 until day 37, PR infants were placed alone in a nursery cage and provided a blanket and a terry-cloth-covered, rocking surrogate. A bottle from which the infants fed was fixed to the surrogate. At 37 d of age, they were placed in a cage with three other age-mates with whom they had continuous contact. At  $\sim$ 8 mo of age, animals were placed into age-matched (birth year) social groups and housed in large indoor-outdoor runs through late adolescence, at which point the cohorts were divided into same-sex groups under similar housing conditions. The macaques in this colony were maintained in an outbred state, with frequent introduction of new breeding stock, so that genetic diversity approached that occurring in free-ranging populations. Studies were approved by the National Institute on Alcohol Abuse and Alcoholism (NIAAA) and the Eunice Shriver Kennedy National Institute of Child Health and Human Development Animal Care and Use Committees.

**Subjects and Tissue Collection for Epigenetic Analysis.** The tissues used in the present study had been archived from a prior experiment (43). The subjects were 13 young adult male rhesus macaques (*M. mulatta*) (MR,  $n = 6$ , PR,  $n = 7$ ), previously members of a longitudinal study that investigated genetic and environmental influences on neurobiology, behavior, and alcohol consumption (62). At the time animals were killed, animals' ages ranged from 4 to 7 y. There were no age differences between the rearing groups (unpaired  $t$  test,  $t = -0.81$ ,  $P = 0.43$ ). The mean age ( $\pm$  SEM) of the MR group was  $58 \pm 6.7$  mo (range = 47–91 mo), and the mean age of the PR group was  $66.7 \pm 7.3$  mo. All subjects had been killed during the morning hours on four consecutive days. Animals were administered ketamine (15 mg/kg, i.m.) anesthesia and were perfused with ice-cold normal saline. Craniotomies were performed, brains were rapidly removed, and the left and right cerebral hemispheres were separated by a midsagittal incision. Both hemispheres were blocked into 0.5- to 0.8-cm-thick coronal sections, frozen in liquid isopentane ( $-42$  °C), and stored at  $-80$  °C until ready for processing. Brain tissue collections occurred between 08:00 and 11:30 h to control for diurnal variation, making sure that animals from both experimental groups were represented each morning. The hippocampus was identified in the right hemisphere slabs using gross anatomical landmarks and was excised using a fine-toothed saw. The entire dissection was done with the slab sitting on a sheet of dry ice. Given the relative ease of identification and accuracy of dissection, and because prior *in situ* studies demonstrated the rostral hippocampus to be particularly stress sensitive in rhesus macaques (43), blocks with the rostral hippocampus (at the level of the red nucleus) were identified, and the hippocampal region was further trimmed at  $-20$  °C on a Peltier cooling plate using a razor.

**ChIP.** Postmortem hippocampal tissue samples (50 mg) were cut into slices less than 1 mm in thickness and were fixed in 3 mL of 1% formaldehyde/PBS solution for 10 min at room temperature to cross-link chromatin DNA and proteins. After being washed with PBS, the tissue samples were homogenized in a glass-Teflon homogenizer. Following homogenization, chromatin was isolated using the Magna ChIP G kit (Millipore) according to the Millipore protocol. Briefly, cells were lysed in cell lysis buffer in the presence of protease inhibitor mixture (Magna ChIP G, cat. no. 17-611; Millipore). Nuclei were isolated from the lysed cells by centrifugation and were resuspended in nuclear lysis buffer. The chromatin DNA was then fragmented into the 150- to 500-bp range by sonication using a Branson Sonifier.

To immunoprecipitate specific genomic regions of chromatin DNA, 25 mg of the isolated chromatin fragments were incubated with 0.5 mg of an antibody directed against H3K4me3 (anti-H3K4me3; Abcam) and magnetic protein G beads (Millipore) at 4 °C for 2.5 h. Following incubation, the beads were washed with low-salt, high-salt, LiCl salt, and Tris-EDTA buffers, and chromatin fragments were reverse cross-linked by proteinase K digestion at 62 °C for 2 h. The eluted DNA was purified after reverse cross-linking by column purification. The H3K4me3-specific enrichment was validated by PCR amplification of select positive and negative genomic regions, and amplification signals were normalized to the input DNA samples, which were extracted from the same pools of chromatin fragments without enrichment by immunoprecipitation.

**Sequencing with the Illumina Genome Analyzer.** Sample preparation and sequencing on an Illumina's Genome Analyzer (Illumina) were carried out according to Illumina protocols with some modifications. Briefly, double-stranded ChIP-enriched genomic DNA fragments were treated with T4 DNA polymerase and Klenow fragments for end repair. The 5' ends of DNA fragments were then phosphorylated by T4 polynucleotide kinase, and an adenosine base was added to the 3' end of the fragments by Klenow (3'–5' exonuclease). A universal adaptor was added to the both ends of the DNA fragments by A-T ligation. Following 18 cycles of PCR with Phusion DNA polymerase (New England Biolabs), the DNA library was purified on a 2% agarose gel, and fragments 170- to 350-bp in size were recovered. Approximately 10 ng of the prepared DNA was then used for cluster generation on a grafted Illumina Flow Cell and sequenced on the Genome Analyzer for 36 cycles using the sequencing-by-synthesis method.

**Sequence Base Calling, Mapping to Genome, and Data Normalization.** Sequences were called from image files with the Illumina's Genome Analyzer Pipeline (GAPipeline) version 0.3.0 and aligned to the reference genome (UCSC rheMac2) using Extended Eland in the GAPipeline. The unique mapped reads varied from 4.4 million to 10 million. To control the variation due to total mapped reads, a total of 4,436,000 uniquely mapped H3K4me3 reads for each sample were retrieved from export.txt files (output of Extended Eland) based on their physical locations on the sequencing slides. Based on their mapping locations on the reference genome, these selected reads were parsed with in-house Perl scripts to generate base coverage in WIG file format. The raw sequence depths in the WIG files were smoothed with a 201-base moving window. The chromosome locations of enrichment peaks were identified from pooled WIG files using in-house Perl scripts. For peak identification from the pooled WIG files, the minimum height, width, and border distances between two peaks (two peaks were merged if they were within this minimum distance) were 15, 80, and 1,000 bp, respectively, for H3K4me3 ChIP-seq. The numbers of reads (aveCount, the average count in the peak), peak height (highest, the highest count in the peak and high50Ave, the average count of 50 bases with highest count in this peak), and area under the curve (AUC) (mean peak AUC, the sum of counts in the peak) of each individual peak were determined for each sample. Read counts were then  $\log_2$  transformed and normalized using quantile normalization (RPKM transposed). ChIP-seq data have been deposited in the National Center for Biotechnology Information Sequence Read Archive database with the accession number SRP004886. They can be accessed at <https://trace.ncbi.nlm.nih.gov/Traces/sra/sra.cgi?study=SRP004886>.

**Statistical Analysis.** We elected to examine epigenetic effects within regulatory regions for genes with known or suspected effects on stress response and behavior. The candidates on which we focused are listed in Table S1. Effects of rearing condition on H3K4me3 binding (across promoter and within peak) were assessed by one-way ANOVA with rearing condition (MR vs. PR) included as a nominal independent variable.

To provide validation for a role of epigenetic regulation occurring at *OXTR*, we performed set of post hoc analyses to determine whether a gain-of-function nonsynonymous SNP (Fig. S2) would interact with rearing to influence social-separation-related reactivity phenotypes, assessed at 6 mo of age (30). We performed three-way ANOVA with rearing (PR vs. MR), *OXTR* genotype (Ala/Ala vs. Ser allele carriers; *SI Materials and Methods*), and sex (female vs. male) as

nominal independent variables. For dependent variables, we used social-separation response factors that had previously been demonstrated to be increased among PR animals: separation anxiety (positive loading for vocalization and self-directed behavior) and arousal (positive loading for environmental exploration and locomotion) (30). Analyses were performed

using StatView 5.01 statistical software. The criterion for significance was set at  $P < 0.05$ .

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