

1 **Sperm is a sexual ornament in rose bitterling**

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13

14 **Abstract**

15 In many taxa, odour cues mediate mating decisions. A key question is what these odours
16 comprise, where they are produced, and what they signal. Using rose bitterling, fish that
17 spawn in the gills of freshwater mussels, we investigated the role of sperm cues on female
18 oviposition decisions using individuals of known MHC genotype. Male bitterling
19 frequently released sperm prior to female oviposition and females responded with an
20 increased probability of oviposition and released a greater number of eggs, particularly if
21 males had a dissimilar MHC genotype. These mating preferences by females were shown
22 to be adaptive, with MHC dissimilarity of males and females correlated positively with
23 embryo survival. These results support a role for indirect benefits to rose bitterling mate
24 choice and we propose that sperm acts as a releaser pheromone in bitterling, functioning
25 as a sexual ornament signalling male quality as a mate.

26 *Keywords:* ejaculate; mate choice; pheromone; sexual selection; spermatozoa.

27 **Introduction**

28 Many taxa use chemical signals as components of communication in the context of mating,
29 functioning as attractants to the opposite sex, signalling an individual's dominance, health
30 status, mating status, receptivity, genetic 'quality' and parasite burden (Penn & Potts,
31 1998; Wyatt, 2003). In fish, olfactory signals are involved in a wide range of functions,
32 such as antipredator responses, migration, kin recognition, and mating decisions
33 (Milinski, 2014; Wootton & Smith, 2015). Pheromones, which are chemical signals that
34 have evolved to elicit a specific reaction in a conspecific, play a key role in the courtship
35 and mating behaviour of fishes (Liley, 1982; Stacey *et al.*, 2003), though many aspects of
36 olfactory signalling in fishes, including signalling behaviour and signal structure, are
37 poorly understood (Rosenthal & Lobel, 2006).

38 While terrestrial animals typically release pheromones from specialised exocrine
39 glands onto a substrate and rely on airborne diffusion transmission to disseminate odour,
40 fish release odour cues directly into water where the rate of transmission is substantially
41 slower than in air. Thus, while terrestrial pheromones tend to belong to a limited family
42 of volatile chemicals, those of fish typically comprise a wide range of unspecialised water-
43 soluble compounds (Stacey *et al.*, 1986). A result is the evolution of highly flexible
44 chemical communication systems in fish with a diverse range of chemicals potentially
45 serving as pheromones, either priming physiological responses in conspecifics, or acting
46 as releasers, inducing intrinsic adaptive responses (Stacey *et al.*, 1986; Sorenson, 2015).

47 One mechanism by which odour cues may mediate mating preferences in
48 vertebrates is through the influence of an individual's major histocompatibility complex
49 (MHC) genotype. The MHC is a family of highly polymorphic genes that play a key role in
50 resistance to infectious disease in vertebrates. MHC genes encode a set of trans-
51 membrane proteins that function in distinguishing between self and non-self antigen,
52 presenting foreign peptides to immune-surveillance cells, such as T lymphocytes.

53 Individuals with a wide range of antigen-binding molecules are able to recognize and
54 eradicate a wider range of pathogens and tend to have a fitness advantage over
55 individuals with a more limited MHC profile (Doherty & Zinkernagel, 1975; Penn & Potts,
56 1998; Boehm & Zufall, 2006). It has also been demonstrated that an optimal rather than
57 maximal individual MHC diversity can confer enhanced resistance to pathogens through
58 negative T-cell selection during thymic development (Nowak *et al.*, 1992; Kalbe *et al.*,
59 2009). Because MHC-dissimilar parents are more likely to produce offspring with a
60 diverse MHC genotype, MHC genes have received attention as possible targets of sexual
61 selection through mate choice (Firman *et al.*, 2017).

62 An assumption is that MHC polymorphism generates a specific odour signature,
63 which is perceived by the olfactory system of a potential mate and results in mating if the
64 odour cues indicate MHC compatibility (Penn & Potts, 1998; Milinski *et al.*, 2005) or new
65 or rare MHC alleles that have a selective advantage through frequency-dependent
66 selection (Van Valen 1973; Hamilton 1980). A key question is what these odour signatures
67 comprise and where they reside. Urine and body odour have been implicated as the
68 primary source of compounds linked to mate choice and individual recognition in
69 terrestrial vertebrates (Santos *et al.*, 2016; Leclaire *et al.*, 2017; Ferkin, 2018), but given
70 the flexible chemical communication systems in fish, other sources of MHC-specific
71 odours may operate.

72 We investigated the role of odour cues in the mate choice decisions of a fish, the
73 rose bitterling, *Rhodeus ocellatus* (Kner, 1866). Rose bitterling, in common with all other
74 bitterling fishes, lay their eggs in the gills of living freshwater mussels. Males release
75 sperm over a mussel, and after fertilisation, the eggs complete development inside the
76 mussel gill, which typically lasts 3-4 weeks (Smith *et al.*, 2004). Female bitterling are
77 choosy over which mussels they will use for oviposition. Decision-making is primarily

78 based on olfactory cues in the exhalant flow from a mussel's gill and include mussel odour
79 and dissolved oxygen concentration (Phillips *et al.*, 2017).

80 Mate choice by female *R. ocellatus* is at least partly based on genetic compatibility.
81 Female mate preferences are strong, but incongruent among individual females, and
82 positively correlated with offspring survival and growth rate (Agbali *et al.*, 2010). There
83 is good evidence that male and female MHC dissimilarity affect offspring fitness, and
84 female mate preferences correlate with MHC similarity, with females depositing more
85 eggs with MHC-dissimilar mates (Reichard *et al.*, 2012). However, how female bitterling
86 recognise MHC compatibility in potential mates is not known.

87 Male bitterling guard mussels and attempt to lead females to mussels in their
88 territory to spawn (Smith *et al.*, 2004). Male bitterling perform regular pre-oviposition
89 ejaculations over mussels in their territory and there appears to be an association
90 between the likelihood of a female spawning in a mussel and the frequency of pre-
91 oviposition ejaculations in bitterling (Smith & Reichard, 2013; Smith *et al.*, 2014), though
92 this has yet to be formally tested. If the case, an implication is that pre-oviposition
93 ejaculation may provide females with odour cues regarding the likelihood of fertilisation
94 of her eggs and, alternatively or additionally, on mate compatibility.

95 We tested the role of sperm cues on female oviposition decisions in *R. ocellatus*
96 with an experimental approach using fish of known MHC genotype. We conducted three
97 experiments. The first was to identify the role of sperm on female oviposition decisions
98 (response to sperm cues), with the prediction that sperm release by males prior to
99 oviposition influenced female reproductive investment. In the second experiment, the aim
100 was to test the role of male genetic compatibility in female mating decisions and
101 understand the way that sperm release mediated female spawning decisions (response
102 to MHC compatibility). Here the prediction was that sperm carried cues that females used
103 to measure male mate compatibility, with the predicted outcome that females would

104 prefer MHC dissimilar males and that mating decisions were associated with ejaculation
105 frequency. In this experiment we additionally examined whether females responded to a
106 single male or groups of three, with either similar or dissimilar MHC genotypes. The aim
107 in performing this comparison was to examine whether MHC genotype, or genome-wide
108 variability in the case of groups of three males, contributed to female mating decisions.
109 The prediction in this case was that if only MHC genotype influenced female mating
110 decisions, there would be no difference in female response between single males and
111 groups of three, but that cues associated with genome wide variability would result in a
112 preference for three males. Finally, we examined whether MHC genotype influenced egg
113 survival (embryo survival), with the prediction that pairings between males and females
114 with dissimilar MHC genotypes would result in greater offspring developmental success.

115

116 **Materials and methods**

117 Experiment 1: Response to sperm cues

118 Rose bitterling used in the experiment were the second generation of a large outbred
119 population of *R. ocellatus* originally imported from the River Yangtze basin, China. A
120 sexually mature male was selected from a stock aquarium and housed in an experimental
121 aquarium measuring 250 (length) x 400 (width) x 300 (depth) mm with a single *Unio*
122 *pictorum* mussel in a 57-mm diameter ceramic flower pot and left alone overnight to
123 establish a territory. A length of 3-mm diameter silicon tubing was suspended directly
124 over the inhalant siphon of the mussel, 50 mm from the mussel inhalant siphon and
125 connected to a 20-ml plastic syringe. On the following day, a female with ovulated eggs
126 (recognizable by extension of her ovipositor) was gently removed from a stock aquarium
127 and transferred to the experimental aquarium containing the male and allowed at least
128 15 min to settle. During this time, the mussel was covered with a perforated plastic cup

129 that allowed the fish to see and smell the mussel but prevented spawning. Once the female
130 started approaching the mussel, it was uncovered, and experimental treatments imposed.

131 Each experimental pair of fish was randomly assigned to a sperm or control
132 treatment. In the case of the control treatment, a 20-ml solution of water from an
133 aquarium housing six male *R. ocellatus* was drawn into a plastic syringe, attached to the
134 silicon tubing suspended over the experimental mussel, and slowly released over the
135 inhalant siphon of the mussel. In the case of the sperm treatment, a 20-ml sperm solution
136 was released in the same way. The sperm treatment was obtained from six male *R.*
137 *ocellatus* randomly selected from stock aquaria and kept together for one day in an
138 aquarium measuring 1200 (length) x 400 (width) x 450 (depth) mm with a female in
139 spawning condition and a *U. pictorum* mussel. The mussel was covered with a perforated
140 plastic cup to allow inspection of the mussel but not spawning. On the following day
141 sperm was stripped from each male in 5 ml of water by gently pressing their abdomens.
142 A 3-ml subsample of the sperm solution from each male was combined and mixed with 2
143 ml of fresh water to make a 20-ml sperm solution. Sperm solutions were made up within
144 5 min. of each experimental test; bitterling spermatozoa remains viable for up to 14 min.
145 after ejaculation (Smith *et al.*, 2004).

146 During exposure to the mussel and imposition of treatments, fish behaviour was
147 videoed for 5 min and male and female behaviour subsequently scored. Behaviours
148 recorded were frequency of female mussel inspection (the female positions its snout close
149 to the exhalant siphon of the mussel), and male ejaculation frequency (the male skims
150 smoothly over the inhalant siphon of the mussel and releases sperm). In the case that
151 spawning occurred, the valves of the mussel were gently opened, and the number of eggs
152 deposited in the gills were counted. The mussel was subsequently covered and the pair
153 left for 1 h. After this period, the mussel was uncovered and the process repeated using

154 the alternative treatment. After completion of a paired trial, fish and mussels were
155 measured and none, including sperm donor males, were used again in the experiment.

156

157 Experiment 2: Response to MHC compatibility

158 A total of 65 males and 28 females were haphazardly selected from stock aquaria,
159 individually marked using coloured visible implant elastomer tags (VIE, Northwest
160 Marine Technology company) and genotyped for MHC Class II, which is known to be
161 associated with mate choice in several vertebrate taxa, including the rose bitterling
162 (Agbali *et al.*, 2010; Reichard *et al.*, 2012). Individual MHC profiles were identified for each
163 male and female from DAB1 and DAB3 genes, using a fin clip (for details on genotyping
164 methods see below). Females were randomly allocated to one of four treatment groups:
165 single male MHC similar, single male MHC dissimilar, three males MHC similar, three
166 males MHC dissimilar. Males were assigned from the pool of genotyped males to a
167 treatment group based on their MHC profile and its relationship to a corresponding
168 female MHC profile. MHC similarity/dissimilarity was maximized in terms of the number
169 of DAB1 and DAB3 alleles shared between the partners, analogous to the summation
170 method of Landry *et al.* (2001) and Eizaguirre *et al.* (2009). In *R. ocellatus*, the summation
171 method provided a stronger contrast than an alternative method based on functional
172 differences (allele divergence method) and the two measures were strongly correlated
173 (Reichard *et al.*, 2012).

174 We aimed to maximize contrasts between similar and dissimilar males by
175 allocating the most similar and the most dissimilar males to particular females, given the
176 constraints of our set of genotyped fish. For the similar genotype treatment, we attempted
177 to pair partners with identical MHC genotypes and in nine replicates this was achieved
178 (F01, F02, F06, F07, F09, F11, F14, F15, F22). In five replicates, an identical match
179 between male and female MHC genotypes was not possible (F03, F10, F18, F20, F25). In

180 two replicates, a female was paired with a similar male that possessed either an identical
181 DAB1 or DAB3 allele but had an additional DAB1 or DAB3 allele that was lacking in the
182 male (F10 and F25). In another replicate, the reverse was the case (F03). In two further
183 replicates a male had an additional DAB1 (F20) and DAB3 (F18) allele not found in the
184 female. These deviations from an identical match between females and males in the MHC
185 similar treatment still represented a major contrast with the dissimilar treatment, with a
186 median of 6 different DAB alleles (range 3-6) for three males and median of 2 (range 1-3)
187 different alleles for a single male (Table 1). A double-blind approach was employed for
188 MHC testing; genotyping and treatment assignment were performed in Brno (Czech
189 Republic) while behavioural tests were conducted blind to MHC similarity in St Andrews
190 (UK). Three females (F02, F16, F26) repeatedly failed to ovulate, resulting in a final
191 sample size of 25 experimental females, paired with 51 males (Table S1).

192 Experimental fish were housed in single sex groups in ten 60 L aquaria containing
193 a sand substrate and artificial plants. Mean (\pm s.e.) water temperature was 23.1 (\pm 1.3) °C.
194 Lighting was maintained on a 12: 12 h light: dark cycle. Fish were fed once daily with a
195 mixture of frozen bloodworm and flake food. Female reproductive status was monitored
196 each morning and those with ovulated eggs were gently transferred to a separate
197 experimental aquarium measuring 600 (length) x 300 (width) x 300 (depth) mm. The
198 single or group of three males assigned to the female were also caught from their
199 respective holding aquaria and released in the experimental aquarium. Experimental
200 aquaria had a layer of sand as a substrate and a single *U. pictorum* mussel in a ceramic
201 flower pot for spawning. The fish were left in the aquarium for at least 1 hour to settle
202 with the mussel covered, after which it was uncovered. Once courtship and spawning
203 behaviour started, the behaviour of the fish was recorded for 10 min. Behaviours
204 recorded were male ejaculation frequency and courtship frequency (male undulates body

205 at high frequency and low amplitude and swims towards the mussel, Smith *et al.* 2004)
206 and female oviposition.

207

208 Experiment 3: Embryo survival

209 The survival of embryos fathered by males with MHC similar and dissimilar genotypes
210 was measured using fertilised eggs from Experiment 2. Thus, 1 h after the completion of
211 each replicate in Experiment 2, the fish and mussel were removed and measured. The
212 valves of the mussel were gently opened, and the number of eggs laid in the mussel gill
213 were counted. The remaining ovulated eggs were stripped from the female by gently
214 pressing her abdomen and placed in aquarium water in a 70-mm diameter Petri dish.
215 Sperm was stripped from the paired male, in the cases where females were exposed to
216 three males the sperm from just one randomly selected male was collected. Egg
217 fertilisation followed an established protocol described in Agbali *et al.* (2010). Embryos
218 were scored for development to the neurula stage (Nagata & Miyabe, 1978), indicating
219 successful onset of development (Kimmel *et al.*, 1995). Fish and mussels were not used
220 again.

221

222 MHC analysis

223 For MHC analysis, we used the same protocol as Reichard *et al.* (2012). In brief,
224 genotyping focused on MHC Class II, known to be associated with mate choice in rose
225 bitterling. A gene encoding the MHC class II β chain of the protein (Sambrook *et al.*, 2005)
226 (named DAB) can be duplicated in cyprinids, resulting in the expression of DAB1 and
227 DAB3 genes, but there is no evidence of a further gene duplication at either DAB1 or DAB3
228 (Šimková *et al.*, 2006). We sequenced the complete (DAB1) or partial (DAB3) exon 2
229 encoding the β 1 domain; the most polymorphic fragment of MHC Class II molecules that
230 are responsible for antigen binding. To minimize problems with null alleles, we used a

231 combination of three primers located in introns and exon for DAB1 alleles (Fig. S1) and
232 two primer sets for DAB3 alleles (Fig. S2). DAB3 gene was only present in some
233 individuals. DNA sequences were translated into amino acid sequences and those were
234 used in all subsequent analyses.

235 A total of 23 DAB1 alleles (92 amino acids long, Fig. S3) and 6 DAB3 alleles (43
236 amino acids long, Fig. S4) were detected. Heterozygote deficiency was observed,
237 indicating the absence of the DAB1 and DAB3 loci on some chromosomes in our study
238 population. Heterozygote deficiency resulted from copy number variation rather than
239 resulting from the existence of null alleles; see Reichard *et al.* (2012) for full details. To
240 avoid the possibility of analysing pseudogenes, we compared the genotypes of the DAB1
241 gene from six individuals obtained from complementary DNA (cDNA) and genomic DNA
242 (gDNA) following RNA extraction from the spleen and reverse transcription. In all cases,
243 the sequences of exon 2 obtained from RNA and DNA were identical. Additionally, the
244 exon 2 sequences of all DAB alleles were aligned in SeqScape v2.5 (Applied Biosystems)
245 and examined for the presence of stop codons and/or insertions or deletions ('indels')
246 causing a shift of the reading frame. None showed these types of mutation.

247

248 Data analysis

249 Female response to the sperm treatment was modelled with a Poisson GLMM, which took
250 the form:

251

$$252 \quad Eggs_{ij} \sim Poisson(\mu_{ij})$$

$$253 \quad E(Eggs_{ij}) = \mu_{ij}$$

$$254 \quad \log(\mu_{ij}) = \eta_{ij}$$

$$255 \quad \eta_{ij} = treatment_{ij} + ejaculation_{ij} + fsl_{ij} + female_j$$

256 $female_j \sim N(0, \sigma_{female}^2)$

257

258 Where the number of eggs, denoted by $Eggs_{ij}$, spawned by female j was assumed to follow
 259 a Poisson distribution with mean and variance μ_{ij} . A log link function was used to model
 260 the expected number of eggs spawned as a function of the covariates. The covariate
 261 $treatment_{ij}$ was a categorical covariate with two levels, corresponding with experimental
 262 treatment; water control or sperm solution. The model also contained a linear effect for
 263 experimental male ejaculation frequency ($ejaculation_{ij}$) and female standard length (fsl_{ij}).
 264 The random intercept $female_j$ was included to introduce a correlation structure between
 265 observations for the same experimental female with variance σ^2 , distributed normally
 266 and equal to 0.

267 In the case of female response to MHC compatibility, because females frequently
 268 failed to spawn with the males with which they were paired (44% of cases), the data
 269 contained a large number of zeros. Consequently, these data were modelled with a zero-
 270 altered Poisson (ZAP) GLM. A ZAP (hurdle) model is partitioned into two parts, with a
 271 binary process modelling zeros and positive counts, and a second process modelling only
 272 positive counts using a zero-truncated model (Hilbe 2014). This modelling approach
 273 permitted us to separately identify the variables that elicited spawning (binary part), and
 274 number of eggs laid when spawning occurred (zero-truncated part) (Zuur *et al.*, 2009).
 275 The model took the form:

276

277 $Eggs_i \sim ZAP(\mu_i, \pi_i)$

278 $E(Eggs_i) = \frac{1 - \pi_i}{1 - e^{-\mu_i}} \times \mu_i$

279 $var(Eggs_i) = \frac{1 - \pi_i}{1 - e^{-\mu_i}} \times (\mu_i + \mu_i^2) - \left(\frac{1 - \pi_i}{1 - e^{-\mu_i}} \times \mu_i\right)^2$

280 $logit(\pi_i) = mhc_i \times ejaculation_i$

281 $\log(\mu_i) = \text{males}_i + \text{courtship}_i + \text{ejaculation}_i$

282

283 A log link function was used to model the expected number of eggs spawned as a function
284 of the covariates for the zero-truncated part of the model, and a logit function for the
285 binomial part, to ensure the fitted probability of spawning lay between 0 and 1. The
286 covariate males_i was a categorical covariate with two levels, corresponding with females
287 exposed to either a single male or three males, while mhc_i was a second categorical
288 variable, corresponding with females exposure to males with a similar or dissimilar MHC
289 genotype. The model contained linear effects for experimental male ejaculation frequency
290 (ejaculation_i) and courtship frequency (courtship_i).

291 The best-fit ZAP model was selected based on second-order Akaike's information
292 criterion (AICc), by removing predictor variables from the full model until the model with
293 the lowest AICc value was identified. To assess model robustness, we simulated 1000
294 datasets from the best-fitting model and compared these with observed data, using the
295 procedure of Zuur & Ieno (2016) for hurdle models.

296 Embryo survival data were modelled using a binomial GLM assuming egg survival
297 for i replicates followed a binomial distribution with probability π_i . Thus:

298

299 $\text{Survived}_i \sim \text{Bin}(\pi_i, \text{Eggs}_i)$

300 $E(\text{Survived}_i) = \text{Eggs}_i \times \pi_i$

301 $\text{var}(\text{Survived}_i) = \text{Eggs}_i \times \pi_i \times (1 - \pi_i)$

302 $\text{logit}(\pi_i) = \eta_i$

303 $\eta_i = \text{mhc}_i + \text{fsl}_i$

304

305 The variable $Survived_i$ was the number of eggs that survived to the neurula stage and $Eggs_i$
306 was the initial number of eggs incubated. The covariates mhc_i and fsl_i correspond with
307 definitions above.

308 All models were implemented using Bayesian inference with Integrated Nested
309 Laplace Approximation (INLA) (Rue *et al.*, 2009) in the R statistical environment, ver.
310 3.4.3 (R Development Core Team, 2017), with diffuse or non-informative priors put on all
311 parameters. The advantage of using Bayesian inference is that it provides probability
312 distributions for parameters of interest, so that probability statements about the
313 magnitude of model parameters can be made with confidence. This approach avoids
314 reliance on hypothesis testing and P-values, which are increasingly recognised as
315 unreliable statistical tools for any but the simplest models (Burnham & Anderson, 2014;
316 Nuzzo, 2014; Wasserstein & Lazar, 2016).

317

318 **Results**

319 Experiment 1: Response to sperm cues

320 Females spawned more eggs in the gills of mussels into which a sperm solution was
321 released than those receiving a water control, with zero falling outside the upper and
322 lower credible intervals of the posterior mean (Table 2). There was also a statistically
323 important positive effect of male ejaculation frequency on the number of eggs spawned,
324 though no effect of female size (Table 2; Fig. 1).

325

326 Experiment 2: Response to MHC compatibility

327 For the binomial part of the best-fitting ZAP model, the probability that females spawned
328 was greater with males with a dissimilar MHC genotype (Table 3). A greater ejaculation
329 frequency by males also increased the probability of spawning (Table 3; Fig. 2). For the

330 zero-truncated part of the model, the number of eggs spawned was greater with a single
331 male than with three males. There were also statistically important positive effects of
332 courtship frequency and ejaculation frequency on the number of eggs spawned (Table 3;
333 Fig. 2).

334

335 Experiment 3: Embryo survival

336 The probability of embryos surviving to the neurula stage was greater for those fathered
337 by males with MHC genotypes that were dissimilar to the MHC genotype of the female.
338 There was also a positive relationship between embryo survival and female size (Table 4;
339 Fig. 3).

340

341 Discussion

342 Our results provide evidence that sperm release functions as a releaser pheromone in *R.*
343 *ocellatus*, driving an adaptive, innate spawning response in females. Adding a sperm
344 solution from multiple males enhanced the attractiveness of a mussel to females (Fig. 1),
345 while multiple ejaculations by a guardian male, particularly those with dissimilar MHC
346 genotypes, increased the probability of female oviposition and simultaneously amplifying
347 the number of eggs spawned (Table 2; Fig. 2). MHC dissimilarity also correlated with mate
348 choice, and these mate preferences were adaptive; embryo survival was greater with
349 MHC-dissimilar parents (Fig. 3). Taken together, these findings offer two conclusions. The
350 first is that odour cues produced by the male signal MHC compatibility and elicit spawning
351 by the female, and the presence of sperm also serves to elicit spawning, but independently
352 of MHC-related odour cues. The second, more parsimonious, conclusion is that MHC-
353 related odour cues reside in the ejaculate and function as releaser pheromones that
354 females use in making adaptive oviposition decisions. In this scenario, the ejaculate has a

355 dual function; as a medium for delivering spermatozoa to the egg to accomplish
356 fertilisation, and as an ornament signalling male quality as a prospective mate.

357 The proximate mechanism by which individuals judge MHC dissimilarity in mating
358 partners has been persuasively demonstrated to be through olfactory cues in a range of
359 vertebrates (Eggert *et al.*, 1998; Penn, 2002; Ziegler *et al.*, 2005), even including taxa, such
360 as birds, with relatively poorly-developed olfaction (e.g. Rymešová *et al.*, 2017). The
361 functional benefits of selecting a mate with dissimilar MHC variants are recognised as
362 coming through increased MHC diversity and elevated heterozygosity in the offspring, as
363 well as from an enhanced performance accruing from specific haplotype combinations
364 (Tregenza & Wedell, 2000). However, a conceptual difficulty arises with the evolution of
365 a mate choice system based on a preference for MHC dissimilarity because it demands an
366 unusually complex set of traits, with an individual required to reference specific
367 components of their own genotype as well as those of potential mates in making mate
368 choice decisions (Puurttinen *et al.*, 2009). Elucidating the mechanisms by which genetic
369 compatibility functions in mate choice remains a significant challenge.

370 The association between female mate preference, MHC dissimilarity, and embryo
371 survival in *R. ocellatus* reinforces previous findings for a non-additive genetic basis to the
372 rose bitterling mating system (Agbali *et al.*, 2010; Reichard *et al.*, 2012). The present study
373 further provides circumstantial evidence that the proximate cue for mate choice is
374 associated with olfactory cues associated with sperm release. The chief components of
375 seminal fluid in teleost fishes are lipids, proteins, free amino acids and monosaccharides.
376 Seminal fluid also exhibits phosphatase, β -glucuronidase, and protease activity (Wootton
377 & Smith, 2015). An additional component of seminal fluid in some species, including
378 bitterling (Pateman-Jones *et al.*, 2011), is a sialoglycoprotein-rich fluid termed mucin,
379 which functions in slowly releasing active spermatozoa over an extended period after
380 ejaculation (Marconato *et al.*, 1996; Scaggiante *et al.*, 1999). Thus, seminal fluid comprises

381 a range of constituents that potentially carry MHC-dependent olfactory cues, though these
382 have yet to be identified.

383 Chemical signals, or pheromones, are widespread in nature (Wyatt, 2003),
384 including in fishes (Sorenson, 2015), potentially performing the function of sexual
385 ornaments comparable to colouration, morphological traits, or display behaviour
386 (Corkum & Cogliati, 2015). Female pheromones are recognised in initiating male
387 reproductive behaviour in fishes (Stacey *et al.*, 2003; Wootton & Smith, 2015), but
388 pheromones are also produced by males and serve to attract females and promote
389 spawning synchrony. Male pheromones derive from a variety of sources, including the
390 urine (Maruska & Fernald, 2012; Keller-Costa *et al.*, 2014), mesorchial glands (Gammon
391 *et al.*, 2005), anal glands (Serrano *et al.*, 2008), seminal vesicles (Lambert & Resink, 1991)
392 and testes (Hurk & Resink, 1992; Arbuckle *et al.*, 2005). In the Pacific herring (*Clupea*
393 *pallasii*), a releaser pheromone is associated with sperm (Stacey & Hourston, 1982) and
394 functions in initiating group spawning behaviour (Carolsfeld *et al.*, 1997). For
395 pheromones to function as ornaments, they must stimulate the receiver's sensory system,
396 be innate and not learned, carry a cost in their production, and show variation among
397 individuals, such that they serve as a measure of individual identity in mate choice
398 (Sorenson, 2015). In the case of bitterling, cues associated with sperm release appear to
399 satisfy all these criteria. Sperm is evidently detectable by females (Fig. 1), with female
400 responses apparently innate; responses are seen in females that have not spawned
401 previously and are shared by related taxa (Phillips, 2018). Sperm production is
402 recognised as costly in fishes (Wootton & Smith, 2015), and demonstrably so in bitterling
403 (Smith *et al.*, 2009). Finally, we present evidence that the strength of female response to
404 sperm release is conditional on male MHC genotype (Fig. 2).

405 A striking feature of the reproductive behaviour of male bitterling is the frequency
406 with which males ejaculate over mussels during reproduction (Smith *et al.*, 2004). Male

407 bitterling repeatedly inspect the exhalant siphon of the mussels they guard, ejaculating
408 over them up to 250 times over the course of a day of matings under natural conditions
409 (Smith *et al.*, 2009). Notably, males engage in pre-oviposition ejaculations, releasing
410 sperm over mussels as part of courtship, and even in the absence of a female. The function
411 of pre-oviposition ejaculations is opaque. It may function in obtaining precedence in
412 fertilisation when a female subsequently spawns; alternative mating tactics are common
413 in bitterling, and sperm competition between guarder and sneaker males inside the
414 mussel gill appears common (Reichard *et al.*, 2004a). Males may also keep mussel gills
415 ‘topped up’ with their sperm (*sensu* Parker, 1998), and thereby ensure fertilisation of eggs
416 should a female deposit eggs in a mussel in the male’s absence, since water filtration by
417 the mussel depletes sperm in the mussel gill (Smith & Reichard, 2013). The present
418 results suggest that an additional explanation for pre-oviposition ejaculation may be in
419 signalling male traits to prospective mates, including MHC compatibility, with sperm
420 thereby functioning as an ornament.

421 We showed that the number of males with which females were paired had a
422 statistically important effect on the number of eggs spawned by females in the zero-
423 truncated part of the ZAP model (Table 3), with females depositing more eggs with single
424 males rather than groups of three, irrespective of male MHC genotype. This outcome may
425 result from an artefact of our experimental design, since groups of males tended to disrupt
426 spawning by females in attempting to ejaculate over the mussel during oviposition, which
427 can significantly constrain oviposition rate at the population level (Reichard *et al.*, 2004b).
428 Our predicted outcome for this treatment was that, in the case that genome-wide
429 variability contributed to female mating decisions rather than MHC genotype alone,
430 groups of three males would present females with greater variability in olfactory cues
431 than single males. However, this proved not to be the case and it appeared to be MHC

432 dissimilarity specifically that influenced female mate choice, though with the caveat that
433 we failed to adequately control male-male and male-female interference in our design.

434 Females spawned a greater number of eggs with males that performed courtship
435 displays more frequently (Table 2). The courtship behaviour of male bitterling is striking,
436 involving the male undulating his fins and body in front of the female at high frequency
437 and interspersed with sperm releases over a mussel (Wiepkema, 1961; Smith *et al.*, 2004).
438 Male bitterling are brightly coloured, and a possible function of courtship is to display
439 these nuptial colours to the female, which may signal direct or indirect mate choice
440 benefits to females (Smith *et al.*, 2004). Vigorous courtship movements may also function
441 in directing sperm and associated odour cues to the female. The release of olfactory
442 signals by fish is often associated with fin or body movements performed during
443 courtship displays (Passos *et al.*, 2015), possibly because the diffusion of compounds in
444 water is relatively slow (Atema, 1996). In the swordtail *Xiphophorus birchmani*, males
445 release urine-borne chemical cues upstream of females, so that odours are carried to the
446 female (Rosenthal *et al.*, 2011). Thus, the positive effect of male courtship frequency on
447 female mating decisions may reflect the role of this behaviour in displaying visual or
448 olfactory ornaments to females, or both in the case that multiple cues operate in the rose
449 bitterling mating system.

450 Larger females produced more viable eggs, indicating significant maternal effects
451 in embryo survival (Table 3). Across a wide range of teleost species egg size correlates
452 positively with female body size (Wootton, 1998), and female age and size are recognised
453 as predictors of egg and embryo 'quality' (Wootton & Smith, 2015). Egg size was not
454 measured in the present study, though Agbali *et al.* (2010) did measure egg size in their
455 investigation of *R. ocellatus* and demonstrated that additive maternal effects were largely
456 explained by female size and egg size, and the same is assumed to be the case in the
457 present study.

458 In summary, female rose bitterling responded positively to the presence of sperm
459 released over mussels during spawning. Multiple ejaculations by males, particularly those
460 with dissimilar MHC genotypes, increased the probability of oviposition, as well as
461 increasing the number of eggs that females spawned. These mating preferences by
462 females were adaptive, with MHC dissimilarity correlated with improved embryo
463 survival. We propose that sperm has a dual function in rose bitterling, transporting the
464 spermatozoa to the egg and as a sexual ornament by acting as a releaser pheromone.
465

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629 **Figure legends**

630 **Fig. 1.** Posterior mean fitted number of eggs spawned by female *R. ocellatus* as a function
631 of male ejaculation frequency with 95% credible intervals (shaded area) exposed to an
632 experimental sperm solution and control solution. Data were modelled with a Poisson
633 GLMM with individual females fitted as random intercepts. Black circles are observed
634 data.

635 **Fig. 2.** Posterior mean fitted number of eggs spawned by female *R. ocellatus* as a function
636 of male ejaculation frequency with males with a similar or dissimilar MHC genotype. Data
637 were modelled with a zero-altered Poisson GLM. Black circles are observed data.

638 **Fig. 3.** Posterior mean probability of survival to the neurula stage of *R. ocellatus* embryos
639 produced by *in vitro* fertilisation as a function of female standard length (mm) with 95%
640 credible intervals (shaded area) for parents with a similar or dissimilar MHC genotype.
641 Data were modelled with a Binomial GLM. Black circles are observed data.

642

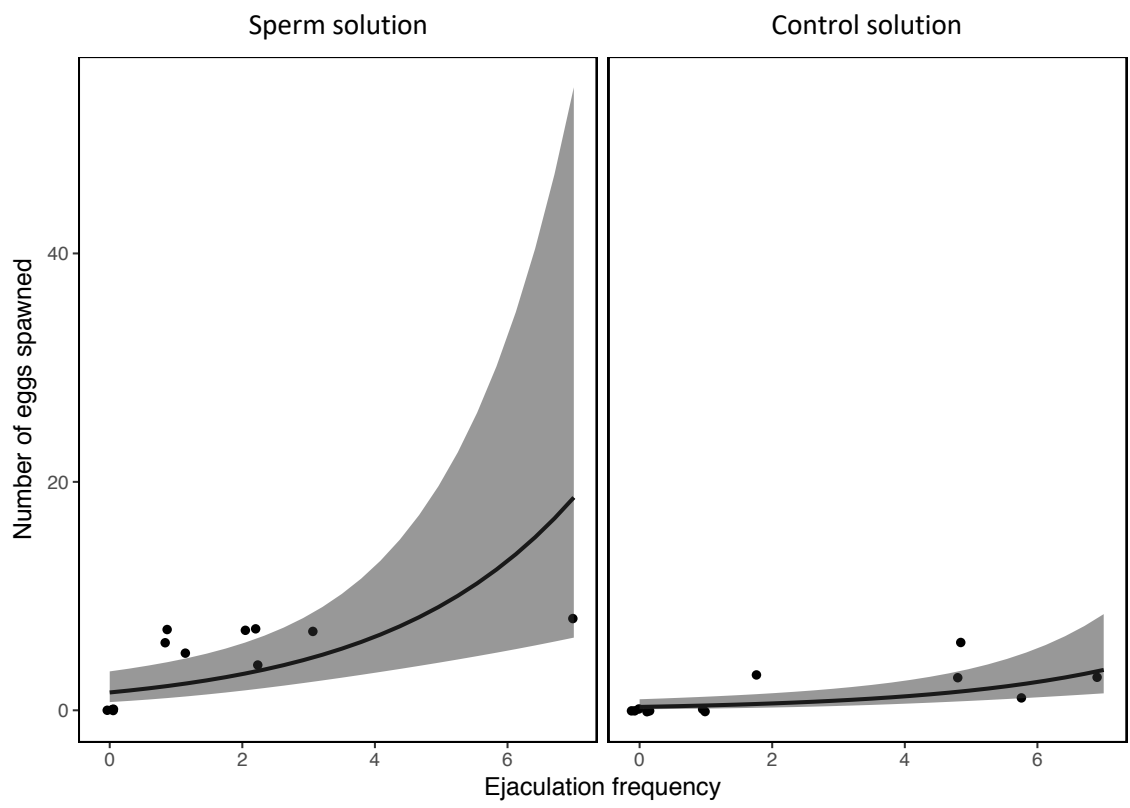
643 **Supporting information**

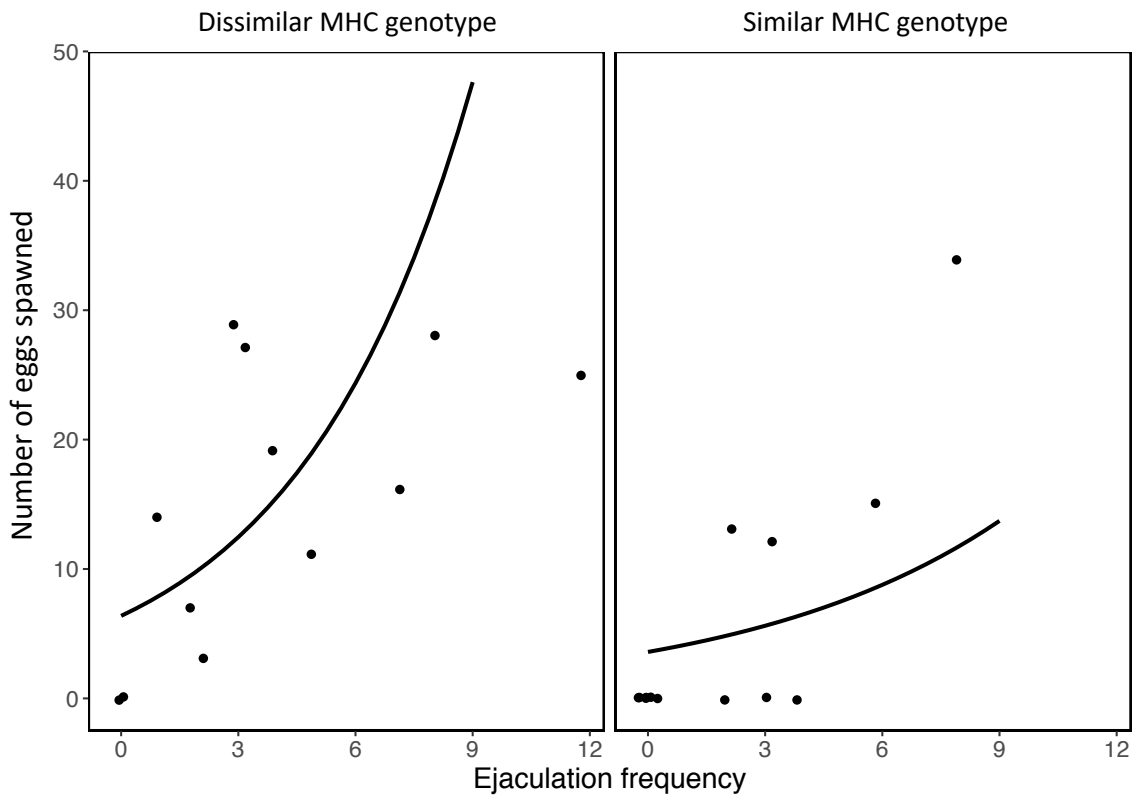
644 **Fig. S1.** Schematic representation of the structure of the DAB1 gene and the positions and
645 names of three combinations of primers used.

646 **Fig. S2.** Schematic representation of the structure of the DAB3 gene and the positions and
647 names of three combinations of primers used.

648 **Fig. S3.** Amino acid sequence alignment of 23 MHC Class II DAB1 variants. Codons are
649 numbered according to Aguilar & Garza (2007). Dots indicate the identity with the Rooc-
650 DAB1*01 allele.

651 **Fig. S4.** Amino acid sequence alignment of 23 MHC Class II DAB3 variants. Codons are
652 numbered according to Aguilar & Garza (2007). Dots indicate the identity with the Rooc-
653 DAB3*01 allele.





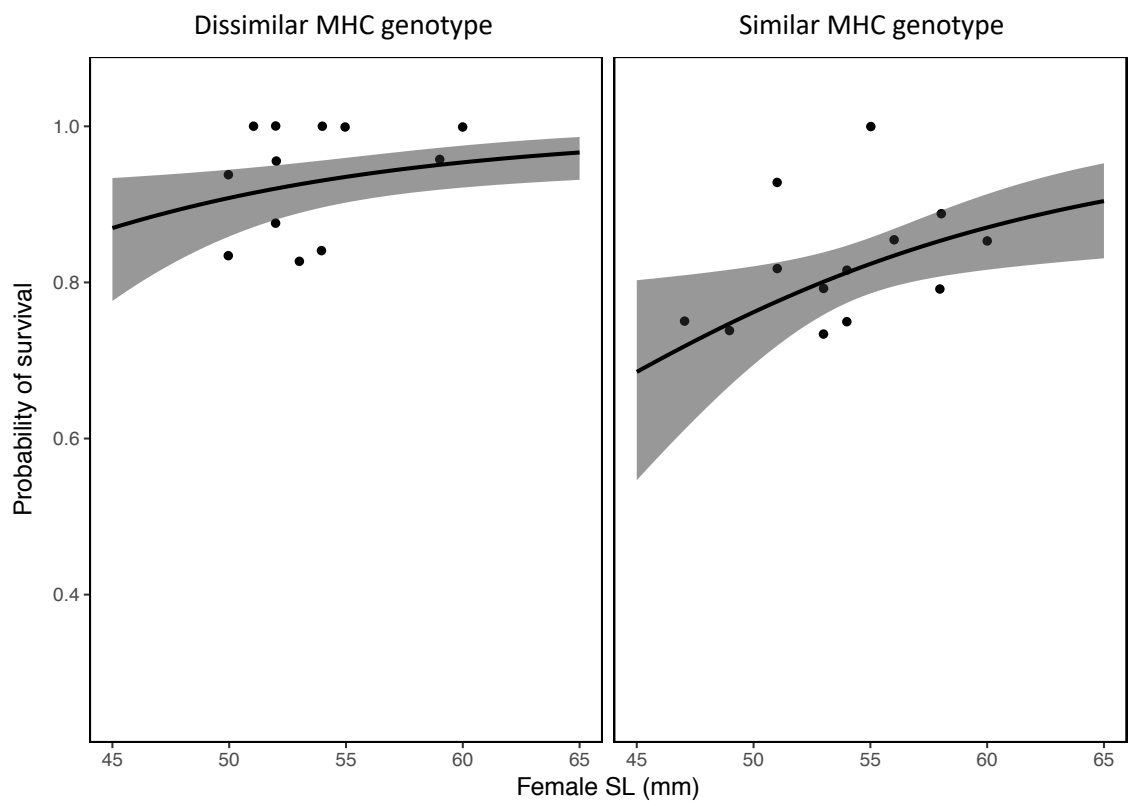


Table 1. Experimental crosses, MHC genotypes of females and males, and experimental outcomes. Females that failed to ovulate (shaded) were excluded from data analyses.

Female			Male1			Male2			Male3			MHC	
ID	DAB1	DAB3	ID	DAB1	DAB3	ID	DAB1	DAB3	ID	DAB1	DAB3	similarity	outcome
F01	Rooc*06	none	M05	Rooc*06	none	M10	Rooc*06	none	M50	Rooc*06	none	similar	spawned
F02	Rooc*03	none	M03	Rooc*03	none	-	-	-	-	-	-	similar	failed to ovulate
F03	Rooc*06	none	M18	Rooc*06	Rooc*05	-	-	-	-	-	-	similar	failed to spawn
F04	Rooc*03/27	none	M30	Rooc*14	Rooc*02	-	-	-	-	-	-	dissimilar	failed to spawn
F05	Rooc*02/31	none	M12	Rooc*01/18	none	M35	Rooc*18/25	none	M48	Rooc*06/18	none	dissimilar	spawned
F06	Rooc*02/31	none	M32	Rooc*02/31	none	-	-	-	-	-	-	similar	spawned
F07	Rooc*03	none	M25	Rooc*03	none	M27	Rooc*03	none	M49	Rooc*03	none	similar	failed to spawn
F08	none	Rooc*02	M29	none	Rooc*04	-	-	-	-	-	-	dissimilar	failed to spawn
F09	Rooc*03	none	M07	Rooc*03	none	-	-	-	-	-	-	similar	failed to spawn
F10	Rooc*25	Rooc*02	M08	Rooc*25	none	M33	Rooc*25	none	M43	none	Rooc*02	similar	spawned
F11	Rooc*01	none	M55	Rooc*01	none	-	-	-	-	-	-	similar	spawned
F12	Rooc*02/31	none	M54	Rooc*01/21	none	-	-	-	-	-	-	dissimilar	spawned
F13	Rooc*03	none	M21	Rooc*05	none	M23	Rooc*20	none	M53	Rooc*24	none	dissimilar	spawned
F14	Rooc*03/ 21	none	M22	Rooc*03/21	none	-	-	-	-	-	-	similar	failed to spawn
F15	Rooc*04	none	M16	Rooc*04	none	M42	Rooc*04	none	M46	Rooc*04	none	similar	failed to spawn
F16	Rooc*19	Rooc*01	M17	Rooc*03/26	none	M19	Rooc*06/29	none	M37	Rooc*14/21	none	dissimilar	failed to ovulate
F17	Rooc*02/30	none	M14	Rooc*01/09	none	M31	Rooc*03/26	none	M52	Rooc*03/26	none	dissimilar	spawned
F18	Rooc*06	Rooc*02	M24	Rooc*06	Rooc*02/03	M34	Rooc*06	Rooc*02	M45	Rooc*06	Rooc*02	similar	failed to spawn
F19	Rooc*22	none	M02	Rooc*20	none	M20	Rooc*03/04	none	M41	Rooc*14	Rooc*02	dissimilar	spawned
F20	Rooc*18	none	M01	Rooc*18	none	M06	Rooc*18	none	M09	Rooc*18/19	none	similar	failed to spawn
F21	Rooc*19	none	M39	Rooc*02	none	-	-	-	-	-	-	dissimilar	spawned
F22	Rooc*01	none	M04	Rooc*01	none	M15	Rooc*01	none	M38	Rooc*01	none	similar	failed to spawn
F23	none	Rooc*03	M26	Rooc*18/32	none	M28	Rooc*20	Rooc*05	M44	Rooc*06/33	none	dissimilar	spawned
F24	Rooc*25	Rooc*04	M11	Rooc*03/23	none	-	-	-	-	-	-	dissimilar	failed to spawn
F25	Rooc*01	Rooc*01	M56	Rooc*01	none	-	-	-	-	-	-	similar	failed to spawn
F26	Rooc*03/21	Rooc*02	M51	Rooc*06/ 19	none	-	-	-	-	-	-	dissimilar	failed to ovulate
F27	Rooc*03/19	Rooc*04	M13	Rooc*02/06	none	M36	Rooc*06/25	none	M47	Rooc*14/23	none	dissimilar	spawned
F28	Rooc*19	Rooc*06	M40	Rooc*03/ 21	none	-	-	-	-	-	-	dissimilar	spawned

Table 2. Posterior mean estimates for number of eggs spawned by female *R. ocellatus* as a function of sperm treatment, ejaculation frequency and female standard length (mm), modelled using a Poisson GLMM with individual females fitted as random intercepts. CrI is the 95% Bayesian credible interval. Credible intervals that do not contain zero in bold to indicate statistical importance.

Model parameter	Posterior mean	Lower CrI	Upper CrI
Fixed intercept	-0.36	-7.79	4.08
<i>Treatment</i> _(control)	-1.53	-2.34	-0.88
<i>Ejaculation</i>	0.30	0.15	0.54
<i>Female length</i>	0.02	-0.08	0.19

Table 3. Posterior mean estimates for number of eggs spawned by female *R. ocellatus* as a function of MHC similarity, number of males, male courtship frequency and ejaculation frequency modelled using a zero-altered Poisson GLM. CrI is the 95% Bayesian credible interval. Credible intervals that do not contain zero in bold to indicate statistical importance.

Model parameter	Occurrence model			Frequency model		
Model parameter	Posterior mean	Lower CrI	Upper CrI	Posterior mean	Lower CrI	Upper CrI
Fixed intercept	-0.88	-3.36	1.29	2.27	1.64	2.89
<i>Similarity</i> _(similar)	-4.09	-8.14	-1.02	-	-	-
<i>Males</i> _(three)	-	-	-	-0.38	-0.73	-0.03
<i>Courtship</i>	-	-	-	0.04	0.01	0.07
<i>Ejaculation</i>	1.54	0.48	2.97	0.07	0.01	0.13
<i>Similarity x Ejaculation</i>	-29.4	-52.0	-14.8	-	-	-

Table 4. Posterior mean estimates for number of *R. ocellatus* eggs surviving to the neurula stage as a function of MHC similarity and female standard length (mm), modelled using a binomial GLM. CrI is the 95% Bayesian credible interval. Credible intervals that do not contain zero in bold to indicate statistical importance.

Model parameter	Posterior mean	Lower CrI	Upper CrI
Fixed intercept	-1.43	-4.76	1.88
<i>Similarity</i> _(similar)	-1.15	-1.70	-0.64
<i>Female length</i>	0.08	0.01	0.14