

1 **The remarkable reproductive diversity of teleost fishes**

2 *Carl Smith<sup>1</sup> & Robert J. Wootton<sup>2\*</sup>*

3 <sup>1</sup>School of Biology, University of St. Andrews, St. Andrews, Fife, KY16 8LB, UK; Institute  
4 of Vertebrate Biology, Academy of Sciences of the Czech Republic, Květná 8, 603 65  
5 Brno, Czech Republic

6 <sup>2</sup>Institute of Biological, Environmental and Rural Sciences, Aberystwyth University,  
7 Aberystwyth, Ceredigion SY23 3DA, UK

8 \*Author deceased

9 **Correspondence:** Dr Carl Smith, School of Biology, University of St Andrews, St  
10 Andrews, Fife KY16 8LB, UK (email: cs101@st-andrews.ac.uk; tel: 01334 463448)

11

11 **Abstract**

12 Teleosts display the most striking reproductive diversity of all vertebrates. However, no  
13 convincing hypothesis has yet been proposed to explain why they have evolved this  
14 remarkable variability in their modes of reproduction. Some of the features of the  
15 reproductive biology of teleosts are briefly reviewed and unique characteristics of the  
16 group that may have made possible the evolution of their remarkable reproductive  
17 diversity are considered. These include whole genome duplication, the mode of  
18 differentiation of the gonads, and the organisation of the brain–pituitary relationship.

19 **Keywords:** alternative mating tactics, hermaphroditism, mating system, self-fertilisation,  
20 sex determination, unisexuality, viviparity

21 **Running title:** Reproductive diversity of teleosts

## 22 **Introduction**

23 Teleost fishes are an evolutionary puzzle. They display virtually every mode of  
24 reproduction found in vertebrates (Desjardins and Fernald 2009). Yet why this group  
25 has evolved such exuberant variation in its reproduction has still to be convincingly  
26 explained (Wootton and Smith 2015). In this brief review I summarise some of the  
27 features of the reproductive biology of teleosts and suggest unique characteristics of the  
28 group that may have made possible the evolution of this remarkable reproductive  
29 diversity.

## 30 **Modes of reproduction in teleosts**

### 31 **Gender systems**

32 In terms of sex determination, about 88% of known teleosts are gonochorists, with  
33 individuals either male or female (Patzner 2008). Gonochorism is the usual condition in  
34 vertebrates. The sex of an individual can be determined genetically, known as genetic  
35 sex determination (GSD). Sex can also be determined by the environmental conditions  
36 encountered in the early stages of development of the individual; termed environmental  
37 sex determination (ESD). Sex in some teleosts is determined by interactions between  
38 genes and the environment. Functional hermaphroditism, which is extremely rare in  
39 other vertebrate groups, has been identified in about 2% of teleost species, representing  
40 approximately 30 families. Its taxonomic distribution in the teleosts suggests  
41 hermaphroditism has evolved independently in several teleost lineages (Patzner 2008;  
42 Avise and Mank 2009; Erisman *et al.* 2013). Interestingly, in some gonochoristic teleost  
43 species, the gonad initially differentiates as an ovary even in individuals that eventually  
44 become male and there seems to be indeterminacy in the teleostean gonad in relation to  
45 development as an ovary or testis, which predisposes them towards hermaphroditism.  
46 Hermaphroditism in the teleosts can be simultaneous (synchronous) or sequential, the

47 latter mode expressed as protandrous, protogynous or serial (bi-directional)  
48 hermaphroditism (Awise and Mank 2009). The commonest forms of hermaphroditism  
49 are protandry and protogyny. In simultaneous hermaphroditism, the gonads contain  
50 both male (spermatozoa) and female (eggs) haploid gametes at the same time. The co-  
51 occurrence of spermatozoa and eggs opens up the possibility of self-fertilisation by an  
52 individual, but this has been described for only two closely related species, the  
53 cyprinodont mangrove rivulus (*Kryptolebias marmoratus* and *K. hermaphroditus*,  
54 Rivulidae) (Tatarenkov *et al.* 2012). Other species with simultaneous hermaphroditism  
55 outcross, mating with other individuals. Protandry describes the situation in which an  
56 individual functions as a sexually mature male, producing spermatozoa, but at some  
57 point in its life history switches to perform as a female, spawning eggs. Protogyny is the  
58 reverse of this. When sexually mature, an individual is initially female, spawning eggs,  
59 but then later transforms into a male producing spermatozoa. In serial species, the  
60 switch between male and female roles can take place more than once in a lifetime.

61 Parthenogenesis, in which only the female genome is transmitted from  
62 generation to generation, also occurs in the teleosts, although it is rare. A curious feature  
63 of parthenogenesis in most teleost biotypes that exhibit this mode of reproduction, such  
64 as the Amazon molly (*Poecilia formosa*), is that they require the presence of males from  
65 a closely related gonochoristic species (Lampert and Schartl 2008; Pandian 2011;  
66 Wootton and Smith 2015). The male spermatozoon activates the development of the egg  
67 of the parthenogenetic female. Teleosts show two modified forms of parthenogenesis:  
68 gynogenesis and hybridogenesis. In hybridogenesis, the genetic material of the male is  
69 functional in the developing individual, but when that individual reproduces only the  
70 female genome is transmitted to the progeny. In gynogenesis, the role of the  
71 spermatozoon is solely to activate the egg and the genes of the male play no role in the  
72 development of the zygote (Wootton and Smith 2015). Thus, parthenogenetic females

73 essentially parasitise the spermatozoa of the gonochoristic males (Neaves and Baumann  
74 2011).

### 75 **Spawning dynamics**

76 There are two major temporal patterns of reproductive activity that can be recognised  
77 in the life history of teleosts (Stearns 1992; Wootton 1998; Roff 2002). Semelparity  
78 describes the condition in which, after attaining sexual maturity, the individual breeds  
79 once and then dies without significant post-reproductive survival. In semelparous  
80 organisms, the physiological changes associated with reproduction result in  
81 consequences that inevitably end in death. Iteroparity is the condition in which, after  
82 reproduction, there is some probability that the individual will survive to breed again. In  
83 this case, reproduction typically takes place at yearly intervals.

84         Within a breeding season, two temporal patterns of spawning occur in female  
85 teleosts (Wootton 1998; Patzner 2008). In total spawners, the female spawns all her  
86 eggs over a short period of time and no further eggs are shed in that breeding season. If  
87 the female is semelparous, she then dies. If iteroparous, her ovaries regress and become  
88 quiescent until the physiological and environmental conditions induce the  
89 recrudescence of the ovaries leading to the production of eggs for the next breeding  
90 season. In batch spawners, the female spawns eggs in batches (or clutches) at intervals  
91 during the breeding season, which is typically of an extended duration. The interval  
92 between spawnings varies. In some species, the female may spawn at daily intervals, but  
93 in others, spawning takes place at intervals of several days. There are two types of batch  
94 spawner. Some species have determinate fecundity. The females have all the eggs that  
95 are going to be spawned during that breeding season present in the ovaries at the start  
96 of the breeding season and there is no addition to the pool of eggs available to be

97 spawned in that season. Other species have indeterminate fecundity. Here, the pool of  
98 eggs that can be spawned can be replenished during the breeding season.

99         In short-lived fishes, the females may be batch spawners, but may not survive to  
100 breed in the next breeding season. They thus share the characteristics of semelparous  
101 and iteroparous species. However, in such species, there is usually the physiological  
102 capacity to survive to the next breeding season if environmental conditions are benign.  
103 Such species can be described as showing abbreviated iteroparity.

#### 104 **Modes of fertilisation**

105 The vast majority of teleost species have external fertilisation (Patzner 2008). The eggs  
106 and sperm are released into water, where fertilisation takes place. In about 500-600  
107 species, fertilisation takes place internally within the female. The male introduces the  
108 spermatozoa into the gonoduct of the female, using an intromittent organ (Wourms  
109 1981). This is analogous to the mode of fertilisation seen in the cartilaginous fishes, the  
110 Chondrichthyes, and in mammals and a few other vertebrates. In most teleosts with  
111 internal fertilisation some development of the fertilised egg takes place within the ovary  
112 of the female, but in a few species the eggs are deposited soon after fertilisation. In some  
113 cichlid species in which the female broods the fertilised eggs in her buccal cavity, the  
114 female lays the eggs and then sucks them into her buccal cavity and also sucks in the  
115 male's spermatozoa, so the eggs are fertilised within the buccal cavity (Fryer and Iles  
116 1972).

#### 117 **Mating systems**

118 Teleosts also show diversity in the social contexts in which mating occurs (Patzner  
119 2008; Wootton and Smith 2015). These contexts differ in the extent to which they allow  
120 some selection of partners by the mating fish. In promiscuity, males potentially fertilise  
121 the eggs of several females and the eggs of a female may be fertilised by several males,

122 with minimal selection of partners. Polygamy refers to the social situation in which an  
123 individual mates with several partners, but with the possibility of some selection of the  
124 partner at each spawning. Males in polygynous species mate with several females, but  
125 each female spawns only with one male. Females in polyandrous species mate with  
126 several males, but each male spawns with only a single female. In this system the male  
127 may assume the parental role. A form of promiscuity, but with the possibility of mate  
128 selection, is polygynandry. A male will spawn with several females and a female with  
129 several males over the course of a breeding season, but with mate choice operating. In  
130 monogamy, a single male and female form a mating pair and show some degree of  
131 bonding with the mate. This type of mating system is particularly associated with  
132 species in which parental care follows the fertilisation of eggs. The pair bond may be  
133 long lasting or more temporary, only persisting for a single breeding attempt. Even in  
134 socially monogamous systems, both males and females may take part in extra-pair  
135 spawnings, so socially monogamous matings systems may not be genetically  
136 monogamous (Wootton and Smith 2015).

137         Where a pair bond forms, if only for as long as it takes for successful spawning,  
138 the bond may be subverted by other males, who take advantage of the pairing to steal or  
139 'sneak' fertilisations by depositing sperm close to, or at, the site of spawning. The  
140 sneaking males are demonstrating an alternative mating tactic (Taborsky 2008).

#### 141 **Secondary sexual characteristics**

142 Sexually mature males and females may differ in appearance, either permanently or only  
143 in the breeding season (Helfman *et al.* 2009; Wootton and Smith 2015). In sexually  
144 monomorphic species, there are no obvious differences between sexually mature males  
145 and females, although one or both sexes may produce pheromones to attract mates. The  
146 sexual dimorphism may only be present during the breeding season and outside of

147 breeding, males and females are indistinguishable. However, in some species, the sexual  
148 dimorphism is permanent, so sexually mature males and females can be reliably  
149 identified (Pandian 2011).

## 150 **Parental care**

151 The majority of teleost species show no post-fertilisation care of their progeny.  
152 However, in a number of teleost lineages, parental care has evolved, taking different  
153 forms in different lineages (Mank *et al.* 2005). The commonest form is paternal care, in  
154 which the male cares for one or more clutches of fertilised eggs. An extreme form of this  
155 is seen in the pipefishes and seahorses (Syngnathidae). The female transfers eggs to a  
156 brood pouch on the abdomen of her male partner and the eggs develop in the male's  
157 pouch in a form of male pregnancy (Kolm 2009).

158         In maternal care, the female takes care of the developing eggs. The nature of the  
159 maternal care depends on the mode of fertilisation. If the eggs were fertilised externally,  
160 the female must protect the eggs from adverse environmental conditions. Incubation in  
161 the buccal cavity is one solution to this problem. The female scoops up the eggs in her  
162 mouth and the eggs complete their development in their mother's buccal cavity (Kolm  
163 2009). A second form of maternal care is seen in viviparous species in which the eggs  
164 are fertilised and develop in the ovaries of the female. In some viviparous species, the  
165 female provides only protection, but in others provides the developing eggs with  
166 nutrients in a way analogous to pregnancy in mammals (Wourms 1981).

167         In a few species with external fertilisation, there is bi-parental care, with the  
168 female and male co-operating in care of the young. In this situation, the female and male  
169 may show some division of labour, often with the male protecting the area around the  
170 eggs and the female tending to the eggs and young stages (Smith and Wootton 1995). An  
171 even rarer form of parental care has been described for a few cichlid species. The



172 parents are helped in the care of a current brood by juveniles from a previous spawning.  
173 This phenomenon of juvenile helpers has been well studied in birds, but less so in  
174 teleosts (Wong and Balshine 2011).

## 175 **Unique characteristics of teleosts**

### 176 **Species number**

177 In terms of number of species, teleosts are by far the most speciose of the vertebrates,  
178 with about 30,000 species, representing approximately half of all vertebrates (Helfman  
179 *et al.* 2009). Associated with this diversity is the extremely wide range of aquatic  
180 habitats that teleosts occupy (Wootton, 1998; Helfman *et al.* 2009). The reproductive  
181 diversity of teleosts may, therefore, simply reflect the reproductive adaptations that are  
182 required for them to occupy this range of habitats.

183         However, species richness alone is an unsatisfactory explanation for their  
184 reproductive diversity, since it still begs the question of why the teleosts have speciated  
185 to such a spectacular degree in comparison with other vertebrates. Indeed, this  
186 speciosity may be a potent manifestation of underlying mechanisms that have likewise  
187 driven the diversification of reproductive modes. Thus there are distinctive  
188 characteristics of the teleosts that may have facilitated the evolution of their  
189 reproductive diversity.

### 190 **Whole genome duplication**

191 A key feature of the evolution of the teleosts is that in the early Devonian, after their  
192 divergence from the Sarcopterygii (lobe-finned fishes), and early in the evolutionary  
193 history of the teleost lineage, there was a whole genome duplication (WGD) (Amores *et*  
194 *al.* 1998; Finn and Kristoffersen 2007; Santini *et al.* 2009), which resulted in each gene  
195 being duplicated. Genome duplication presents the opportunity for one of the replicated  
196 genes to acquire a new function, termed 'neo-functionalism'. WGD may help to account

197 for the extraordinary species diversity of teleosts, as well as some of their unusual traits  
198 compared with other lineages of jawed vertebrates, by making available a reservoir of  
199 genetic variation with the possibility of duplicated genes evolving separate functions  
200 and hence opening up the possibility of diversity in reproductive modes (Meyer and  
201 Schartl 1999; Le Comber and Smith 2004). Within the teleosts, extremely quick genomic  
202 evolution has occurred, with rapid duplication of genes and genomes (Desjardins and  
203 Fernald 2009; Mank and Avise 2009), strongly implying that genetic variation leads to  
204 reproductive diversity.

205 An example of the impact of WGD is in the evolution of pelagic eggs. The ancestral  
206 spawning habit of teleost fishes is benthic eggs. Pelagic eggs need hydration to float and  
207 this state is primarily achieved in teleosts through the presence of high concentrations  
208 of free amino acids (FAA), which increase the osmolality of the ooplasm resulting in  
209 water uptake. During oogenesis, FAAs in the oocyte are derived from the proteolysis of  
210 vitellogenin, which is the main source of the proteins and lipids needed by the  
211 developing embryo and which is synthesised in the liver of a female before transport to  
212 the ovary in the blood supply (Wootton and Smith 2015). The evolution of an effective  
213 means of hydrating the oocyte was essential for the teleosts to invade the open ocean,  
214 because there are no surfaces on which eggs can be deposited, so the eggs have to be  
215 pelagic. Duplication of a vitellogenin gene through WGD appears have allowed the  
216 specialisation of a vitellogenin whose neo-function is to be proteolysed to FAA (Finn and  
217 Kristoffersen 2007), thereby permitting spawning in open water and invasion of the  
218 pelagic environment.

## 219 **Gonad differentiation**

220 The mode of differentiation of the gonads in teleosts differs from the majority of  
221 vertebrates. The gonads of vertebrates have two cellular components; the somatic cells

222 and the germ cells. It is the latter that give rise to the gametes. In most lineages of jawed  
223 vertebrates, the somatic cells have two embryological origins. One population originates  
224 from the cells of the walls of the peritoneum, lining the coelomic cavity. This population  
225 forms the cortex of the developing gonad. The second population, which forms the  
226 medulla of the gonad, is derived from mesonephric blastema, a feature of the developing  
227 kidney. In females, the medulla component of the gonad degenerates, while the cortical  
228 component expands and gives rise to the ovaries. In males, the development of the testes  
229 involves the medulla, while the cortex degenerates (Atz 1964; Merchant-Larios 1978;  
230 Nakamura *et al.* 1998). In terms of the embryological tissues involved, the cortex has a  
231 mesodermal origin, while the medulla has an endodermal origin (Francis 1992). It has  
232 been argued that the position of cells, cortical or medullary, determines whether they  
233 develop as cells characteristic of males or females, though recent evidence from studies  
234 on the mouse suggest that the outcome is determined by each cell rather than by the  
235 position of the cells (Maatouk and Capel 2008).

236         In teleosts, the gonadal somatic cells are derived only from the peritoneal cells;  
237 both ovaries and testes develop from the gonadal cortex and hence have a mesodermal  
238 origin. Thus the somatic tissues of the ovaries and testes of teleosts have a common  
239 embryological origin (Nakamura *et al.* 1998). There is a correlation between this  
240 distinctive mode of development of the gonads in teleosts and the relative sensitivity of  
241 the developing gonad to factors that cause changes in sex of the differentiating gonad,  
242 for example the presence of exogenous hormones (Francis 1992). The evidence suggests  
243 that teleosts tend to show a protogynous pattern of sexual development, even in  
244 gonochorists (Francis 1992). In some teleostean lineages, males pass through a stage in  
245 which the gonad develops as a proto-ovary, before switching to differentiate as testis.  
246 The position of the cells in the gonadal anlagen is not a factor in their pattern of  
247 differentiation. A consequence is that in many teleost species the gonads remain

248 bipotential until later in development than in other vertebrates. An outcome is that the  
249 developmental 'decision' to become a functional male or a functional female is delayed  
250 and remains plastic (Adkins-Regan 2005).

251 Allied to this gonadal bipotentiality, teleosts tend to retain a sexually bipotential  
252 brain. There is evidence that the sexual behavioural dimorphism seen in teleosts is a  
253 consequence of the activational effects of hormones rather than the structural  
254 organisation of the brain (Adkins-Regan 2005). A further possible factor is that in  
255 teleosts there is adult neurogenesis, which may make flexibility in reproductive  
256 behaviour more possible (Adkins-Regan 2005).

### 257 **Relationship between brain and pituitary**

258 In vertebrates, the brain does not communicate directly with the gonads to control  
259 reproduction. Instead, neurohormones produced in the brain, especially the  
260 hypothalamus affect the pituitary, the major endocrine organ in vertebrates. Under the  
261 influence of these neurohormones, some pituitary cells produce hormones, which are  
262 secreted into the blood stream to be transported to the target organs. In the case of the  
263 reproductive system, the gonads are the targets. This arrangement is called the brain-  
264 pituitary-gonad reproductive axis (BPG axis), or, to emphasise the role of the  
265 hypothalamus of the brain, the HPG axis (Meccariello *et al.* 2014). In the ovary, the  
266 thecal and granulosa cells of the ovarian follicle complex are the target cells. In the  
267 testes, the Leydig and Sertoli cells are the main target cells (Wootton and Smith 2015).  
268 Modulation and modification of the activity of the BPG reproductive axis can take place  
269 at a variety of levels in the axis, including the brain, the pituitary and the gonads (van  
270 der Kraak *et al.* 1998).

271 In comparison with the other jawed vertebrates, the teleosts have an  
272 idiosyncratic organisation of the brain-pituitary relationship (Zohar *et al.* 2010; Kah and

273 Dafour 2011). In teleosts, hypophysiotropic neurons from the brain pass into the  
274 pituitary and release their neurohormones close to the pituitary cells (gonadotropes)  
275 that synthesise the hormones (Sherwood and Adams 2005; Levavi-Sivan *et al.* 2010). In  
276 other jawed vertebrates, in contrast, the neurohormones are released into the blood  
277 stream and pass into the pituitary in a hypophysial portal system. The adaptive  
278 significance of the difference between teleosts and other vertebrates is unclear, but the  
279 direct neural connection in teleosts probably allows a faster and more precise control  
280 over the secretion of pituitary hormones. Another endocrinological difference is that  
281 teleosts produce a non-aromatisable androgen, 11 ketotestosterone (11-KT), which  
282 characterises the male and is particularly important in the regulation of male  
283 reproductive behaviour (Adkins-Regan 2005; Munakata and Kobayashi 2010).

## 284 **Conclusions**

285 The unusual reproductive diversity of the teleosts demands explanation. In this brief  
286 review three features of the teleosts are proposed as contributing to this diversity (Fig.  
287 1). Whole genome duplication generates a pool of duplicated genes that can evolve new  
288 functions. The outcome is an enhanced capacity for rapid genomic evolution, potentially  
289 encompassing a broad range of reproductive roles. While the functional significance of  
290 the idiosyncratic organisation of the brain–pituitary relationship in teleosts has yet to be  
291 fully appreciated, it appears to permit a finely-tuned system for controlling pituitary  
292 hormone secretion. The outcome is a highly adaptable neuroendocrine system that is  
293 responsive to social and environmental factors, which may permit the evolution of  
294 reproductive tactics unavailable to other jawed vertebrates. The mode of gonad  
295 differentiation in teleosts, with gonadal somatic cells in males and females having a  
296 common mesodermal origin, generates an inherently protogynous pattern of sexual  
297 development, irrespective of mode of sex determination. An outcome of this

298 bipotentiality is the unusual frequency of hermaphroditism in teleosts, a reproductive  
299 strategy rarely expressed in other vertebrates. This lability of the gonads may also  
300 facilitate the evolution of multiple alternative mating tactics within species.

301         While these distinctive characteristics of teleost reproduction have been dealt  
302 with separately, the interactions of these features may be the key to teleost reproductive  
303 diversity. For example, whole genome duplication may be pivotal in facilitating how  
304 rapidly the neuroendocrine system is able to respond to selection in generating  
305 variation in reproductive patterns. Similarly, the bipotentiality of the teleost gonads and  
306 brain may make regulatory mechanisms in the neuroendocrine system more responsive  
307 to selection for flexibility in mating behaviour.

308         How might an understanding of these distinctive features of teleost biology be  
309 used to explain why the teleosts among all other vertebrates have evolved such an  
310 extraordinary diversity of reproduction? Two inter-related explanatory frameworks  
311 offer a means to tackle the question: phylogenetic relationships and adaption by natural  
312 selection. Phylogenetic lineages map the evolutionary relationships among species and  
313 hence map the changes in the gene pool represented by the lineage. This means that the  
314 adaptive responses by a population to its physical and social environment are likely to  
315 be constrained to a lesser or greater extent by its genetic inheritance (Wootton and  
316 Smith 2015). Within these constraints the suite of traits expressed by an individual that  
317 determine how it spreads its reproduction over its lifetime is summarised by its life-  
318 history strategy. Life-history theory predicts that selection will favour a pattern of  
319 allocation of resources to reproduction over the lifetime of an individual that will  
320 maximise the contribution of offspring to the next generation (Roff 1992; Stearns 1992).

321         The challenge is to develop a coherent hypothesis of how the distinctive features of  
322 teleost reproduction identified here, and perhaps others yet to be recognized, can  
323 explain the diversity of reproductive modes. This will be achieved by using emerging

324 phylogenetic information about the relationships within the teleost lineage, as well as  
325 the large and disparate literature on the reproductive biology of teleosts, interpreted in  
326 the context of life-history theory.

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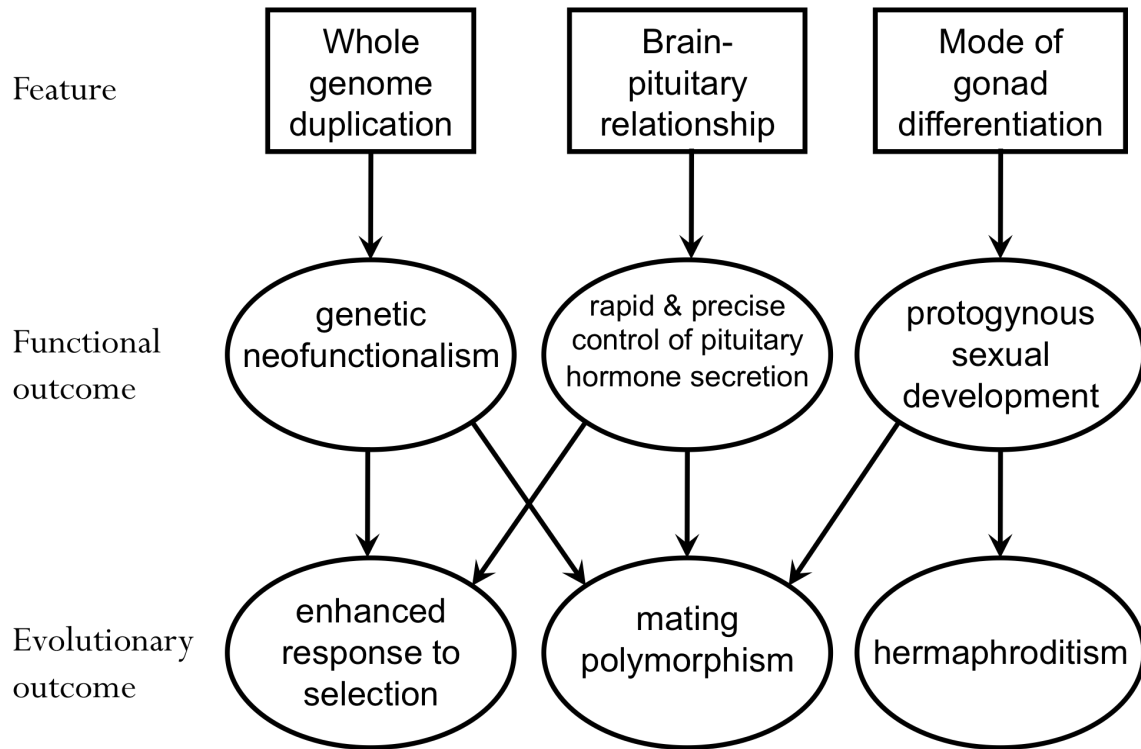
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426 **Figure caption**

427 **Figure 1** Distinctive features of teleost reproduction, their functional significance and  
428 the potential evolutionary outcomes for reproductive diversity. Arrows indicate  
429 proposed impacts.



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